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执行蒙特利尔议定书  
多边基金执行委员会  
第五十九次会议  
2009年11月10日至14日，埃及迦里卜港

## 秘书处的活动

## 通报执行委员会第五十八次会议所做决定

1. 载有执行委员会各项决定的第五十八次会议的报告（UNEP/OzL.Pro/ExCom/58/53），已提交给执行委员会所有成员、第五十八次会议其他与会者和蒙特利尔议定书缔约方。此外，还向 74 个第 5 条国家以及有关双边和执行机构送交了与项目核准、执行拖延和年度付款申请提交拖延有关的决定。一份概述第五十八次会议上所做决定的会后文件通过电子邮件分别发放给所有与会者、臭氧秘书处和环境规划署臭氧行动处，以转递给蒙特利尔议定书缔约方和区域网络。多边基金的内联网和网站上也登载了概述第五十八次会议所做决定的会后文件，并向会议的与会者和蒙特利尔议定书缔约方发送了这一文件。多边基金和环境规划署网站上发布了一份新闻稿，报道了伊拉克国家方案和国家淘汰计划的核准情况。
2. 依照 2009 年 8 月 18 日第 58/9（c）号决定，执行委员会主席致信瓦努阿图政府，敦促其完成立法工作，为培训海关官员提供便利，以促进瓦努阿图在执行《太平洋岛国战略》时遵守《蒙特利尔议定书》。

## 要求秘书处和/或执行机构采取某些行动的决定

3. 秘书处向财务主任发出指示，在执行委员会第五十八次会议报告定稿之后马上就第五十八次会议上核准的净分配额向四个执行机构转款，并且用有关国家的捐款抵消双边项目核准额。
4. 秘书处在提交给执行委员会第五十八次会议的草稿的基础上，根据在会议上举行的讨论和做出的决定，对执行委员会提交第二十一一次缔约方会议的报告（UNEP/OzL.Pro.21/6）进行定稿。依照第 58/37（b）号决定，该报告详细介绍了执行委员会就建立贷款和其他来源额外收入设施进行的讨论，同时还提到了执行委员会第五十八次会议上审议的文件（UNEP/OzL.Pro/ExCom/58/49）。
5. 依照主席的请求，执行委员会内联网上登载了一份反映联系小组就未决政策问题进行讨论的工作文件。联系小组将在 2009 年 11 月 9 日，即执行委员会第五十九次会议前夕重新召集。

## 审查筹备第五十九次会议的任务

6. 秘书处为筹备第五十九次会议执行了以下各项任务。

## 资源和规划情况

### 余额和资金供应情况

7. 秘书处编制了关于余额和资金供应情况的资料，这些资料包括对各机构所持已完成项目的已承付的总余额的审查，以及与这些已完成项目剩余资金有关的机构资助费用。资料

还包括对可供执行委员会第五十九次会议核准的资源总额的计算。资源包括缴款情况表中记录的现金和期票，另加余额形式的已完成项目的退款数额。包括机构支助费用在内，总共有 1,517,967 美元作为余额被退回，因此，截至 2009 年 10 月 4 日，共有 58,585,087 美元供执行委员会第五十九次会议核准。

### 2009 年业务计划和年度付款申请提交的拖延

8. 秘书处参照提交第五十九次会议的呈件、2009 年业务计划中的拨款情况以及第五十七次上做出的决定，审查了 2009 年业务计划的执行情况。UNEP/OzL.Pro/ExCom/59/5 号文件谈及了提交给第五十九次会议的活动超出 2009 年业务计划预算的程度，并着重说明了没有提交第五十九次会议、但列入 2009 年业务计划中被视为需要履约的活动。秘书处还编制了关于年度付款申请提交拖延的资料，其中包括拖延不只一次会议的付款申请资料。UNEP/OzL.Pro/ExCom/59/5 号文件增编将发行，以研究在 2009-2011 年业务计划中报请第五十九次会议核准的预先承付款项与这些款项的相关数额的一致程度。

### 拖延项目的执行情况和第 5 条国家实现遵守《蒙特利尔议定书》今后管制措施的前景

9. 秘书处根据 2008 年第 7 条数据或 2008 年国家方案数据编写了第 5 条国家遵守《蒙特利尔议定书》管制措施情况最新报告。UNEP/OzL.Pro/ExCom/59/6 号文件对第 7 条和国家方案数据进行了分析。关于氟氯烃的新内容对最新氟氯烃消费数据进行了分析，并说明国家是否收到了氟氯烃淘汰计划编制供资。秘书处还提供了履约委员会收到的关于履约问题的信息、国家方案数据、执行拖延项目和逾期未交的进度报告。

10. 文件第六部分载有关于 2007 年 4 月发起的国家方案数据报告网上系统的报告，网上国家概况审查了近期这些系统的使用情况，以便以后加以使用和发展。

### 三年期滚动淘汰计划最新范本：2010-2012 年（第 56/5 号决定）

11. 依照第 56/5 号决定，秘书处编制了 2010-2012 三年期滚动淘汰计划最新范本，为编制多边基金 2010-2012 年业务计划（UNEP/OzL.Pro/ExCom/59/7）提供指导。根据该范本的结果，还必须另外列入 61.7 ODP 吨氟氯化碳、13.2 ODP 吨哈龙和 0.1 吨四氯化碳，以使所有第 5 条国家都能遵守《蒙特利尔议定书》规定的 2010 年淘汰目标。该文件还指出在 2009 年剩余时间及 2010-2012 三年期需要供资、氟氯烃不包括在内的消耗臭氧层物质淘汰量，并且提供了根据第 7 条数据和相应的氟氯烃消费预测估算的氟氯烃基准，33,700 ODP 吨。

### 方案执行情况

#### 监测与执行

12. UNEP/OzL.Pro/ExCom/59/8 号文件提出了完成项目综合报告，分析了自 2008 年 11 月第五十六次会议以来双边和执行机构提交的关于投资项目（14 份）和非投资项目（71 份）

的 85 份项目完成报告中的结果概览。29 份投资项目和 112 份非投资项目的项目完成报告还没有提交。不要求提交多年期协定的项目完成报告，因为目前没有这种报告的格式。项目完成报告中报告吸取的经验教训汇总在本文件附件中。

### 关于附有具体报告规定的核定项目的审查

13. 秘书处参照原有的项目提案、有关政府根据《蒙特利尔议定书》第 7 条报告的消耗臭氧层物质数据以及执行委员会和缔约方会议所做的有关决定，审查了执行机构代表中国（三个项目）、哥伦比亚、印度、阿拉伯利比亚民众国、罗马尼亚、委内瑞拉玻利瓦尔共和国和也门政府提交的项目执行进度报告。秘书处的审查情况和评论载于 UNEP/OzL.Pro/ExCom/59/10 号文件。依照第 58/7 号决定，秘书处还报告了执行委员会第四十七次和第四十八次会议核准的 8 个冷风机示范项目的执行进度。

### 项目审查

14. 秘书处收到了 146 项供资申请，其中包括新的多年期协定、已核准多年期协定付款以及金额计 62,752,086 美元（适用时包括机构支助费用）的项目和活动。经秘书处项目审查后，金额计 20,939,539 美元的 83 个项目和活动为建议一揽子核准；还有金额计 34,864,993 美元的 42 个项目和活动正提交执行委员会审议。有关机构撤销了金额为 1,207,689 美元的 21 个项目和活动。秘书处编写了关于项目审查期间查明问题的概览（UNEP/OzL.Pro/ExCom/59/11 号文件），其中包括从执行和双边机构收到的呈件的统计资料和第 15 段提出的一些政策问题纲要。

### 概览文件提出的政策问题

15. 在项目审查过程中，秘书处确定了 10 个政策问题，供执行委员会审议。这些问题包括为消耗臭氧层物质处置项目提交 13 份项目编制申请和关于氟氯烃淘汰活动的一些问题，后者包括作为氟氯烃淘汰管理计划一部分的体制建设供资问题、与技术转换的气候影响有关的费用接受资助的资格问题以及氟氯烃淘汰管理计划协定草案。

### 2010 年履约协助方案预算

16. 基金秘书处审查了拟议的 2010 年履约协助方案预算 9,628,200 美元，其中包括 8% 的机构支助费用，比 2009 年核定预算超出 4.9%。预算增长是因为有机构提出申请，以弥补南亚网络运行的费用，因为瑞典国际开发署（瑞开发署）通知环境规划署，此项供资将在 2009 年底停止供应。

## 开发计划署、工发组织和世界银行的 2010 年核心单位成本

17. 秘书处编写了 UNEP/OzL.Pro/ExCom/59/18 号文件，该文件审查了开发计划署、工发组织和世界银行 2008-2010 年核心单位预算数据和其他行政费用。审查分析包括评估 2010 年为行政费用提供的资源在多大程度上能承担拟议的 2010 年费用。

### 化工生产问题分组

18. 秘书处编制了化工生产问题分组临时议程，该组将在第五十九次会议间歇期间开会，秘书处还将依照第 57/35 号决定对 HCFC-22 生产用途由受控用途转向原料用途的技术可行性进行分析并提交第五十九次会议审议。化工生产问题分组的两份文件都登载在执行委员会内联网上。

### 确定氟氯烃淘汰技术的轻重缓急以减少对环境的其他影响（第 57/33 号决定和执行委员会第五十八次会议报告第 147 段）

19. 执行委员会在 UNEP/OzL.Pro/ExCom/59/51 号文件中对多边基金资助的确定影响气候影响指标的工具进行了深入分析。

### 对第二阶段转产新做法、确定截至日期和其他未决氟氯烃政策问题的分析以及 2010 年以后的体制建设供资

20. UNEP/OzL.Pro/ExCom/59/52 号文件“对第二阶段转产新做法、确定截至日期和其他未决氟氯烃政策问题的分析”以及关于“体制建设：2010 年以后的供资办法”的 UNEP/OzL.Pro/ExCom/59/53 和 UNEP/OzL.Pro/ExCom/58/48 号文件，将由氟氯烃联系小组讨论，该小组将在执行委员会第五十九次会议开幕的前一天开会。

### 关于从借款和其他来源获得补充收入的特别筹资机制的进一步概念文件（第 58/37 号决定）

21. UNEP/OzL.Pro/ExCom/59/54 号文件介绍了第五十七次和第五十八次会议上审议的先前关于特别筹资机制的文件中提出的概念的进一步发展情况。按照第 58/37 号决定，该文件探讨了第五十八次会议上提出的问题，包括界定该机制、接受资助的资格、有关该机制比使用基金现行机构产生的增值的讨论、该机制的资源以及财务主任如何管理气候变化信用以使机制的该项内容能够发挥作用。文件还讨论了法律事项和法人资格；共同出资和资源调动建议以及该机制可能对基金秘书处工作量产生的影响。

### 多边基金账户

22. 秘书处审查了财务主任编写的关于多边基金 2008 年最终账户的文件（UNEP/OzL.Pro/ExCom/59/55 号文件），并且着重说明了自第五十八次会议推出临时账户以来发生的变化。UNEP/OzL.Pro/ExCom/59/56 号文件中介绍了多边基金账户调节情况。

## 基金秘书处 2010 和 2011 年订正预算及 2012 年拟议预算

23. UNEP/OzL.Pro/ExCom/59/58 号文件包括对 2010 年预算的订正，其中第 54/44 号决定核准了人事部分，该文件还包括第 56/68 号决定核准的 2011 年预算，并且阐述了 2012 年预算将承担 2012 年的工作人员费用。按照第 58/43 (c) 号决定，秘书处在其 2009 年预算中进行必要的调整，以抵消工作人员出差到迦里卜港参加执行委员会第五十九次会议及会议费用产生的额外支出。

## 基金秘书处编制的文件和政策文件

24. 在提交第五十九次会议审议的文件中，以下文件为基金秘书处编制：

- 临时议程和附加说明的议程；
- 秘书处的活动；
- 收支情况（与财务主任合编）；
- 关于余额和资金供应情况的报告；
- 2009 年业务计划和年度付款申请提交的拖延；
- 拖延项目的执行情况以及第 5 条国家实现遵守《蒙特利尔议定书》今后管制措施的前景；
- 三年期滚动淘汰计划最新范本：2010-2012 年（第 53/5 (d) 号决定的后续行动）；
- 2009 年完成项目综合报告；
- 关于编制多年期协定表格的进度报告；
- 关于附有具体报告规定的核定项目执行情况的报告；
- 关于项目审查期间查明问题的概览；
- 双边合作；
- 关于开发计划署、环境规划署、工发组织和世界银行 2009 年工作方案修正的评论和建议（4 份文件）；
- 开发计划署，工发组织和世界银行的 2009 年核心单位成本；
- 关于 2010 年履约协助方案预算的评论；
- 关于提交第五十九次会议的项目和活动的绩效评价表、评论和建议（30 份文件）；
- 关于索马里国家方案的评论；
- 确定氟氯烃淘汰技术的轻重缓急以减少对环境的其他影响（第 57/33 号决定和执行委员会第五十八次会议报告第 147 段）；
- 关于第二阶段转产新做法、确定截至日期和其他未决氟氯烃政策问题的分析；
- 关于从借款和其他来源获得补充收入的特别筹资机制的进一步概念文件（第 58/37 号决定）；
- 账户调节（第 57/38 号和第 58/39 号决定）；
- 财务主任关于作为多边基金财务主任的环境规划署与执行委员会之间的协定的提案评论；以及

- 基金秘书处 2010 和 2011 年订正预算及 2012 年拟议预算。

### 与其他多边环境协定及其他组织的合作

25. 基金秘书处收到出席化学和废物筹资办法协商委员会第一次和第二次会议的邀请，这两次会议分别将于 2009 年 7 月 24 日至 25 日在内罗毕举行、2009 年 10 月 25 日至 26 日在泰国曼谷举行。秘书处不出席这两次会议，但这些会议成为环境规划署执行主任主持的协商过程的一部分，以探讨为化学品和废物的合理管理找出新的额外资源和获得这种资源的途径。

### 国际化学品管理战略办法

26. 针对第二届国际化学品管理会议报告中所载的 2009 年 5 月第二届国际化学品管理会议的成果，<sup>1</sup>环境规划署执行主任就国际化学品管理战略办法致信执行委员会主席。2009 年 10 月 13 日收到的执行主任来信提请主席注意其先前 2006 年 6 月 6 日的信件，特别是注意第二届国际化学品管理会议审议国际化学品管理战略办法的长期筹资问题，并请主席“着重处理执行蒙特利尔议定书多边基金秘书处能够且应当做些什么，以确保在这些成果的基础上在实现国际化学品管理战略办法目标方面取得进展。”

27. 执行委员会在 2006 年 6 月 6 日的信中已经谈到这个问题，并且在 2006 至 2009 年期间的几次会议上审议这个问题及其他相关问题。这些会议的相关摘要作为附件一附在本文件之后。附件二载有执行主任、执行委员会主席、臭氧秘书处、国际化学品管理战略办法和主任的来往信件副本。附件三载有 SAICM/ICCM.2/INF/26 号文件，后者包括基金秘书处为第二届国际化学品管理会议撰写的信件和报告。秘书处欢迎委员会对如何继续工作提出指导。

### 俄罗斯联邦对多边基金的缴款

28. 主任收到了俄罗斯联邦自然资源部副部长 2009 年 4 月 14 日就俄罗斯联邦缴纳多边基金的缴款问题、答复其 2009 年 1 月 12 日信件的信件。在此方面，在 2009 年 7 月不限成员名额工作组第二十九次会议间歇期间，主任同俄罗斯联邦政府和臭氧秘书处代表举行了非正式磋商。作为 UNEP/OzL.Pro/ExCom/59/Inf.3 号文件提交给执行委员会的该信件指出，“以任何形式冲销拖欠多边基金缴款的累积欠款，都可能对俄罗斯财政部就俄罗斯联邦缴纳蒙特利尔议定书多边基金的缴款做出积极决定产生重大影响”。此项事宜将在议程项目 15 “其他事项”下进行审议。

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<sup>1</sup> “第二届国际化学品管理会议报告”（在 [www.saicm.org](http://www.saicm.org) 上登载）。

## 出席的任务和进行的出访

### 主任的出访

#### 法国巴黎 ( 2009 年 9 月 2 日至 3 日 )

29. 主任与臭氧秘书处执行秘书一同出席了履约协助方案咨询小组会议。该咨询小组就以下若干问题向履约协助方案工作人员提出建议,其中包括履约协助方案在执行 2010 年氟氯化碳淘汰目标方面的活动、氟氯烃淘汰管理计划和相关的气候惠益以及《蒙特利尔议定书》尤其是在氢氟碳化物方面的气候联系。

#### 孟加拉国 ( 2009 年 10 月 22 日至 23 日 )

30. 主任参加了由执行委员会主席、臭氧秘书处法律事务和履约主任、履约委员会主席、环境规划署臭氧行动处负责人和开发计划署代表等组成的高级别访问团,出访了孟加拉国。该访问团的目的是帮助孟加拉国解决它所面临的《蒙特利尔议定书》履约问题,尤其是在履约委员会第四十二次会议、不限成员名额工作组第二十九次会议和执行委员会第五十七次会议上,针对孟加拉国由于氟氯化碳计量吸入器方面的挑战在其氟氯化碳消费方面的不遵守情事解决这个问题。

### 其他工作人员的出访

#### 尼日利亚阿布贾 ( 2009 年 8 月 14 日至 21 日 )

31. 一名高级方案干事出席了非洲英语国家和法语国家臭氧干事网络联席会议,在会上他介绍了执行委员会第五十七次和第五十八次会议的主要决定以及在编制氟氯烃淘汰管理计划时要考虑的主要问题。

#### 约旦阿曼 ( 2009 年 10 月 30 日至 11 月 2 日 )

32. 一名高级方案干事参加了约旦实况调查,调查与 Petra 工程公司制造分体式空调由使用氟氯烃的技术转用无氟氯烃的技术的示范项目有关的活动。

### 区域网络会议

33. 秘书处为 2009 年 10 月 8 日至 10 日在泰国清迈举行的南亚及东南亚和太平洋网络联席会议编写了一份发言稿。发言稿由执行委员会一名成员宣读,涉及第五十八次会议中与南亚及东南亚和太平洋网络国家有关的重要决定。

34. 秘书处工作人员只能出席 2009 年举行的 10 次网络会议中的三次,因为有七次网络会议是在秘书处工作人员忙于筹备执行委员会会议的日子里举行的。



### 多边基金外聘审计最新情况

35. 2009年6月和7月举行的多边基金外聘审计的报告草案正在由内罗毕办事处、臭氧秘书处和基金秘书处进行审查。外聘审计的主要目的是核查2008-2009年账户，审查管理情况以及评估分配给执行机构的项目。在报告定稿后且通过环境规划署执行主任转递给主任后，审计建议将提交给执行委员会。

### 基金秘书处办公地点

36. 2009年12月，基金秘书处将其办公室从1800 McGill College Avenue迁到1000 de la Gauchetière Street West, Montreal, H3B 4W5。秘书处的电话、传真和电子邮件地址保持不变。

### 招聘和遴选进度报告

37. 副主任员额的遴选工作正在进行中。遴选工作应当在2010年初完成。三名P3级专业员额已刊登招聘广告。M. Leyva女士被遴选为方案管理干事，是三名P3级专业员额之一。另两名P3级员额的面试过程已经完成，专家建议已提交中央审查委员会，在执行主任批准之前审查推荐候选人的遴选情况。高级监测和评价干事员额开始在候选人中挑选。

38. 关于两名新的一般事务G6级员额，一名员额已经填补，另一名正由主管在招聘。

### 工作人员培训

39. 两名高级方案干事前往纽约（2009年9月22日至25日），参加联合国管理发展方案第1单元的培训，该单元是面向联合国高级职员的强制性领导和管理方案。

40. 高级行政和基金管理干事将参加2009年10月26日至30日在内罗毕环境规划署总部举办的行政管理讲习班。

### 执行委员会会议的筹备工作

41. 为定于2009年11月10日至14日在埃及迦里卜港举行的执行委员会第五十九次会议做了后勤安排。向执行委员会成员、第二十一次缔约方会议主席团主席、履约委员会主席和副主席、环境规划署执行主任、臭氧秘书处执行秘书、各执行机构和非政府组织发出了邀请函和会议文件。东道国政府与埃及政府之间的协定已经定稿。

### 信息活动

42. 执行委员会第五十八次会议的阿拉伯文、中文、英文、法文和西班牙文的报告、会后摘要和新闻稿已张贴在多边基金的公共网站上（[www.multilateralfund.org](http://www.multilateralfund.org)）。在基金秘书处的内联网上，开设了第五十九次会议的网址，内载第五十九次会议的文件和后勤信息。所有普发文件都另行公布于公共网站上。

43. 基金秘书处审查并更新了第五十九次会议的以下数据库、文件和业务准则：

- 截至 2009 年 7 月的核准项目清单，
- 截至 2009 年 7 月的国家方案一览表，
- 截至 2009 年 7 月的政策、程序、准则和标准。

## Annex I

### 49<sup>th</sup> Meeting of the Executive Committee

*Paragraph 24 of “Secretariat activities” (document UNEP/OzL.Pro/ExCom/49/2)*

24. The Chief Officer received a letter dated 6 June 2006 from the UNEP Deputy Executive Director/Officer in charge (DED/OIC) reporting the outcome of the International Conference on Chemicals Management (ICCM) which adopted the Strategic Approach to International Chemicals Management (SAICM) on 6 February 2006 in Dubai. The ICCM also established the Quick Start Programme (QSP) as a principle mechanism for supporting the initial implementation of SAICM, comprising a Trust Fund to be administered by UNEP together with multilateral, bilateral and other forms of cooperation. The DED/OIC is encouraging the Secretariat “to consider ways in which the Multilateral Fund might be able to contribute to the QSP in accordance with the objective and strategic priorities set out in resolution I/4 of the ICCM and perhaps even to explore the potential for Multilateral Fund and QSP Trust Fund co-financing of projects”.

*Decision 49/1*

[.....] the Executive Committee decided:

- (a) To take note with appreciation of the report on Secretariat activities contained in document UNEP/OzL.Pro/ExCom/49/2;
- (b) With respect to the cooperation between the Multilateral Fund Secretariat and other relevant conventions or organizations, to request the Multilateral Fund Secretariat:
  - (i) To enhance its communication of information to the Executive Committee by adding to its reports on Secretariat activities any known or anticipated requests for information about, or for interactions with, the Multilateral Fund;
  - (ii) To respond to the request by the Subsidiary Body for Implementation of the United Nations Framework Convention on Climate Change for information on the Multilateral Fund, in line with the information already provided, attaching the full text of Article 10 of the Montreal Protocol;
- (c) To request the Chair of the Executive Committee to bring to the attention of the Ozone Secretariat, prior to the Eighteenth Meeting of the Parties, the invitation by the first session of the International Conference on Chemicals Management (ICCM) to the Montreal Protocol and its Multilateral Fund to consider whether and how these might support implementation of appropriate and relevant strategic approach objectives within their mandates and to report to the ICCM; and
- (d) To request the Chief Officer of the Multilateral Fund, in her response to the Deputy Executive Director of UNEP, to inform him of the procedure started under subparagraph (c) above.

### 50<sup>th</sup> Meeting of the Executive Committee

*Paragraph 4 of “Secretariat Activities” (document UNEP/OzL.Pro/ExCom/50/2)*

4. As requested by decision 49/1, paragraph (c), the Chair of the Executive Committee wrote to the Ozone Secretariat on 28 July 2006 to bring to its attention, prior to the Eighteenth Meeting of the Parties, the invitation by the first session of the International Conference on Chemicals Management (ICCM) to

the Montreal Protocol and the Multilateral Fund to consider whether and how these might support implementation of appropriate and relevant strategic approach objectives within their mandates and to report to the ICCM. The Chief Officer, pursuant to paragraph (d) of the same decision, informed the Executive Director of UNEP in a letter dated 31 July 2006 of the above procedure, in the context of the response to the invitation by ICCM. UNEP's Executive Director responded on 8 August 2006 indicating that he looked forward to hearing the outcome of further possible deliberations at the 18th Meeting of the Parties (MOP). All correspondence referred to can be made available by the Fund Secretariat at the Meeting upon request.

### **57<sup>th</sup> Meeting of the Executive Committee**

*Paragraph 20 of "Report of the 57<sup>th</sup> Meeting of the Executive Committee" (document UNEP/OzL.Pro/ExCom/57/69)*

20. There had been communication between the Secretariat and several other multilateral environmental agreement (MEA) secretariats and organizations. An invitation had been received from the Secretariat of the Strategic Approach to International Chemicals Management (SAICM) for the Fund Secretariat to submit a report to the second session of the International Conference on Chemical Management (ICCM2), to be held in Geneva from 11 to 15 May 2009, on activities supported by the Multilateral Fund that contributed to the objectives of SAICM, including an update on any consideration given by the Parties to the Protocol on engagement in the initiative. The Chief Officer sought guidance from the Committee on responding to the request from the SAICM Secretariat.

*Decision 57/1 (b) and (c)*

[.....] the Executive Committee decided:

- (b) To request the Secretariat:
  - (i) To prepare a report for the second session of the International Conference on Chemical Management (ICCM2), taking into account the guidance provided at the 57th Meeting and the letter sent to the Secretariat of the Rotterdam Convention;
  - (ii) To circulate the draft report to interested Executive Committee Members and the Chair, by mid-April 2009 for review, before sending it to the Strategic Approach to International Chemicals Management Secretariat in time for the May meeting;
- (c) To request the Chief Officer to report back to the Executive Committee at its 58<sup>th</sup> Meeting on her attendance at ICCM2.

### **58<sup>th</sup> Meeting of the Executive Committee**

*Paragraphs 30 and 35 of "Secretariat Activities" (document UNEP/OzL.Pro/ExCom/58/2)*

30. The Chief Officer attended the International Conference on Chemical Management (ICCM2), during which she made a brief presentation on the report on the contribution of the Multilateral Fund to the Strategic Approach to International Chemicals Management (SAICM). She also took the opportunity to have a brief meeting with Mr. Achim Steiner, Executive Director of UNEP, Ms. Sylvie Lemmet, Director of UNEP DTIE and the head of the Montreal Protocol units in UNDP and the World Bank on the future work of the Multilateral Fund in respect of HCFC phase-out and achieving related climate benefits.

35. Pursuant to decision 57/1, the Fund Secretariat prepared a brief report on the contribution of the Multilateral Fund to the implementation of the Strategic Approach to International Chemicals

Management (SAICM) for the second session of the International Conference on Chemical Management (ICCM2). The report took into account the guidance provided by the Executive Committee at its 57<sup>th</sup> Meeting, the letter sent to the Secretariat of the Rotterdam Convention and comments received from Australia, Belgium and the United States on the draft report circulated to Executive Committee members for their review in April 2009. As reported in paragraph 30, the Chief Officer attended ICCM2. The document from the Fund Secretariat “Report on the contribution of the Multilateral Fund for the Implementation of the Montreal Protocol to the implementation of the Strategic Approach to International Chemicals Management” (SAICM/ICCM.2/INF/26) is available on the SAICM website.



**Annex II**

**Correspondence related to cooperation with the Strategic Approach to International Chemicals Management (SAICM)**

- Attachment 1: Letter of 15 September 2009 from the UNEP ED to Chair of the Executive Committee
- Attachment 2: Letter of 26 February 2009 from the Ozone Secretariat to the Chief Officer in response to letter of 8 January 2006 from the Chief Officer
- Attachment 3: Letter of 8 January 2009 from the Chief Officer to the Ozone Secretariat enclosing letter of 25 November 2008 from the SAICM Secretariat
- Attachment 4: Letter of 28 July 2006 from the Chair of the Executive Committee to the Ozone Secretariat
- Attachment 5: Letter of 31 July 2006 from the Chief Officer to the Executive Director of UNEP containing the letter dated 6 June 2006 from the UNEP Deputy Executive Director/Officer in charge (DED/OIC)

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# UNITED NATIONS ENVIRONMENT PROGRAMME

Programme des Nations Unies pour l'environnement    Programa de las Naciones Unidas para el Medio Ambiente  
Программа Организации Объединенных Наций по окружающей среде    برنامج الأمم المتحدة للبيئة

联合国环境规划署



15 September 2009

Dear Mr. Klaly,

Having successfully concluded the second session of the International Conference on Chemicals Management held in Geneva from 11 to 15 May 2009, the focus is swiftly turning to the implementation of the agreed outcomes and the steps we should be taking in the short- and medium-term to ensure progress towards meeting the objectives of the Strategic Approach to International Chemicals Management. As convener of the Conference and on behalf of the President of the International Conference on Chemicals Management, Mr. Ivan Eržen, I would like to thank you for your contributions made to the Conference and encourage you to focus on what the Secretariat of the Multilateral Fund for the Implementation of the Montreal Protocol can and should do to ensure progress towards meeting the objectives of the Strategic Approach to International Chemicals Management on the basis of these outcomes. The agreed outcomes are contained in the finalized report of the second session, which I am pleased to enclose, with a view to conveying the recommendations of the Conference to the Fund's Secretariat.

The second session of the Conference, being the first time it performed its substantive functions, saw significant progress achieved despite a heavy agenda. In institutional terms, the Conference has adopted its rules of procedure (resolution II/1), and established its Bureau as well as an Open-ended Working Group as its subsidiary body with important intersessional functions. In substantive terms, the Conference has made important progress in relation to emerging policy issues as set out in resolution II/4 dealing with lead in paint, chemicals in products, electrical and electronic products, and nanotechnologies and manufactured nanomaterials. The Conference also considered chemicals management issues from different sectoral perspectives, such as health (resolution II/8) and took steps to enhance synergies and coordination with and among intergovernmental organizations and relevant actors, such as the Commission on Sustainable Development (resolution II/7). It considered regional activities and coordination (resolution II/2) as well as several guidance tools and documents for the implementation of the Strategic Approach. In addition, the Conference took action on perfluorinated chemicals, by adopting resolution II/5. The Conference furthermore developed a process for the updating of the Global Plan of Action (Annex 2 of the report). It also agreed on modalities for reporting on Strategic Approach implementation (Annex 3 of the report).

Of particular interest to the Secretariat of the Multilateral Fund for the Implementation of the Montreal Protocol may be the Conference's consideration of the long-term financing of Strategic Approach implementation. You will recall that Section V of the Overarching Policy Strategy contains an invitation to the Multilateral Fund to consider whether and how it may support implementation of appropriate and relevant Strategic Approach objectives and to report accordingly. I would like to

Mr. Khaled Klaly

Chair of the Executive Committee

The Secretariat of the Multilateral Fund for the Implementation of the Montreal Protocol

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Montreal, Quebec H3A 3J6

Canada

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E-mail: [secretariat@unmfs.org](mailto:secretariat@unmfs.org)

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Executive Office

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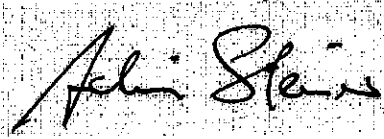
[www.unep.org](http://www.unep.org)



draw your attention to my letter of 6 June 2006 and subsequent correspondence seeking to follow up on the financial arrangements contained in the Overarching Policy Strategy and invite you to consider the matter further in light of resolution II/3 adopted by the Conference at the second session. Resolution II/3 seeks to enhance progress on the financial arrangements envisaged in the Section V of the Overarching Policy Strategy. Putting emphasis on the cross-sectoral character of chemicals management, it encourages mainstreaming and prioritization of sound chemicals management in national development planning and in international development assistance. The resolution invites all stakeholders to provide funding for the implementation of the Strategic Approach, to build on existing synergies as well as to strengthen support for activities contributing to the achievement of Strategic Approach objectives, and to contribute to the Quick Start Programme. It furthermore encourages research on the economic and social costs of unsound chemicals management as well as on economic instruments that internalize the external costs related to chemicals. Under the terms of the resolution, all stakeholders are invited to assess and report on the steps they have undertaken to implement the Strategic Approach financial arrangements at the latest six months before the third session of the Conference to the secretariat. In relation to the Conference's consideration of long-term financing of chemicals management, I would also like to draw your attention to the discussion and conclusions of the respective high-level round table (Annex 4 of the report).

In closing, I would like to emphasize that the progress achieved thus far, including the successful conclusion of the second session of the Conference, is the product of the shared commitment and joint effort of all stakeholders to the Strategic Approach. I would like to encourage you to build on the momentum generated to make further progress towards achieving the Johannesburg Plan of Implementation objective of sound chemicals management by 2020.

Yours sincerely,

A handwritten signature in black ink, appearing to read 'Achim Steiner', is written over a rectangular area with a light gray grid pattern.

Achim Steiner  
Executive Director

Annex II  
UNITED NATIONS ENVIRONMENT PROGRAMMEProgramme des Nations Unies pour l'environnement    Programa de las Naciones Unidas para el Medio Ambiente  
(Программа Организации Объединенных Наций по окружающей среде    برنامج الأمم المتحدة للبيئة)

联合国环境规划署

**Our Ref:** Oz.Sec/Meetings/MG/jn

26 February 2009

Dear Ms. Nolan,

I am pleased to acknowledge receipt of your letter dated 8 January 2009 regarding the second session of the International Conference on Chemicals Management (ICCM) to be held in May 2009.

The first meeting of the International Conference on Chemicals Management was held in Dubai, United Arab Emirates in February 2006. During that meeting, and in the context of the adoption of the Strategic Approach to Chemicals Management, the Montreal Protocol and its Multilateral Fund were invited to consider whether and how, within their mandates, they might support the implementation of appropriate and relevant objectives of the Strategic Approach.

As you may recall, this request was presented to the Parties at the 18<sup>th</sup> Meeting of the Parties in document UNEP/OzL.Pro.18/2 for their information and guidance. At that meeting, however, no decision was taken by the Parties on this matter.

Yours sincerely,

Marco Gonzalez  
Executive Secretary  
Secretariat of the Vienna Convention  
and the Montreal Protocol

Ms. Maria Nolan  
Chief Officer  
Multilateral Fund for the Implementation of the Montreal Protocol  
1800 McGill College Ave, 27th floor  
Montreal Trust Building  
Montreal H3A 3J6  
Quebec, Canada  
E-mail: maria.nolan@unmfs.org



MULTILATERAL FUND  
FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

*Secretariat*

8 January 2009

  
Dear Mr. Gonzalez,

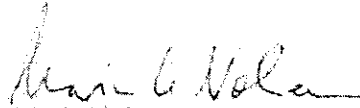
I refer to the attached letter from the Coordinator of the SAICM Secretariat to the Fund Secretariat and the invitation to participate in, and to prepare a report for the second session of the International Conference on Chemicals Management (ICCM) to be held in May 2009.

In addition to the request for information on the activities supported by the Multilateral Fund which might be considered to have contributed to SAICM's objectives, it is expected that the report should also contain an update on actions agreed by Montreal Protocol governing bodies on the further engagement in SAICM.

You may recall that the then Chairman of the Executive Committee, Mr. Khaled Klaly, wrote to you on 28 July 2006 transmitting decision 49/1 of the Executive Committee with regards to SAICM citing in particular paragraph (c) which requested the Chair of the Executive Committee to *"bring to the attention of the Ozone Secretariat, prior to the Eighteenth Meeting of the Parties, the invitation by the first session of the International Conference on Chemicals Management (ICCM) to the Montreal Protocol and its Multilateral Fund to consider whether and how these might support implementation of appropriate and relevant strategic approach objectives within their mandates and to report to the ICCM"*.

I would be grateful if you could kindly provide me with information on what further action has been taken by the Ozone Secretariat following our communication of decision 49/1 as soon as possible, as I would need to inform members of the Executive Committee of the SAICM invitation as well as seek their guidance on the request for a report to the second session of the ICCM.

Sincerely,

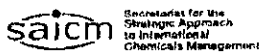


Maria Nolan  
Chief Officer

Mr. Marco Gonzalez  
Executive Secretary  
Ozone Secretariat  
UNEP

enclosure.

Annex II



25 November 2008

Dear Ms Nolan,

Further to your correspondence with the Executive Director of UNEP in 2006, I would like to invite the secretariat of the Multilateral Fund for the Implementation of the Montreal Protocol to prepare a report for the second session of the International Conference on Chemicals Management (ICCM). The report would be on the Fund's contributions to the implementation of the Strategic Approach to International Chemicals Management (SAICM).

The second session of the ICCM, scheduled for 11 to 15 May 2009 in Geneva, will be the first opportunity to review implementation progress since the adoption of SAICM in February 2006. It will also be the first time the ICCM performs its official functions, including evaluating the performance of the financing of SAICM and working to ensure that the necessary financial and technical resources are available for SAICM implementation.

You will recall that the SAICM financial arrangements set out in the Overarching Policy Strategy include "making more effective use of and building upon existing sources of relevant global funding, including by inviting... the Multilateral Fund... within [its] mandate to consider whether and how [it] might support implementation of appropriate and relevant SAICM objectives and to report."

A report from the Fund secretariat might include an analysis of the extent to which the Fund's mandate would allow it to support SAICM objectives (set out in section IV of the SAICM Overarching Policy Strategy, available at [www.saicm.org](http://www.saicm.org)) and an account of activities supported by the Fund in the period 2006-2009 which might be considered, in whole or in part, to have contributed to the achievement of SAICM objectives. The report might also include an update on what further consideration, if any, has been given by Montreal Protocol governing entities to engagement in SAICM since the matter was discussed by the Executive Committee and referred to the Ozone Secretariat (your letter of 31 July 2006 refers). The report would be issued as an Information Document in English only, unless the Fund secretariat wishes to arrange translation itself. We would need to receive the report by 15 March 2009.

Please let me know if the Fund secretariat would like to accept the invitation to prepare the report for the second session of the ICCM. In closing, I should also note that we very much hope it will be possible for the secretariat of the Fund to participate in the second session of the ICCM, for which official invitations will be issued in mid-January 2009. Should it be of interest, booking forms for side events, exhibitions and offices are available on the SAICM website, [www.saicm.org](http://www.saicm.org).

Yours sincerely,

Matthew Gubb  
Coordinator, SAICM secretariat

Ms. Maria Nolan  
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The Secretariat of the Multilateral Fund for the  
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**MULTILATERAL FUND**  
FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

*Executive Committee*

28 July 2006

Dear Mr. Gonzalez,

I refer to decision 49/1 adopted by the Executive Committee at its 49<sup>th</sup> Meeting in the context of agenda item 3 concerning Secretariat Activities and to the outcome of the International Conference on Chemicals Management (ICCM), held on 4-6 February 2006, as it relates to the Multilateral Fund.

As you may know, the International Conference on Chemicals Management, held in Dubai on 4-6 February 2006, adopted the Strategic Approach to International Chemicals Management (SAICM). One of the documents adopted by the Conference, the SAICM Overarching Policy Strategy, makes specific reference to the Multilateral Fund. In particular, in its chapter on financial considerations, it invites the Multilateral Fund, within its mandate, *"to consider whether and how it might support implementation of appropriate and relevant Strategic Approach objectives"*.

The Deputy Executive Director and Officer in Charge of UNEP, Mr. Kakakhel, brought this matter to the attention of the Chief Officer of the Multilateral Fund, through a letter dated 6 June 2006, hereby enclosed, requesting her to draw it to the attention of the Multilateral Fund's appropriate authorities.

The Chief Officer did so in the context of the report on Secretariat Activities, presented to the Executive Committee at its 49<sup>th</sup> Meeting as document UNEP/OzL.Pro/ExCom/49/2, which contained a section on Cooperation with other Multilateral Environmental Agreements. Following the presentation of the report and subsequent discussion, the Committee, by decision 49/1 (c), requested the Chair of the Executive Committee to *"bring to the attention of the Ozone Secretariat, prior to the Eighteenth Meeting of the Parties, the invitation by the first session of the International Conference on Chemicals Management (ICCM) to the Montreal Protocol and its Multilateral Fund to consider whether and how these might support implementation of appropriate and relevant strategic approach objectives within their mandates and to report to the ICCM"*.


Mr. Marco Antonio González Salazar  
Executive Secretary  
Ozone Secretariat  
UNEP

Enclosures

Pursuant to that decision, I am therefore writing to you in my capacity as the Chair of the Executive Committee to bring to the attention of the Ozone Secretariat the abovementioned invitation, which is hereby enclosed.

Please also find enclosed for your reference an extract of the report of the 49<sup>th</sup> Meeting, containing the full text of decision 49/1.

Sincerely



**Khaled Klaly**  
Chair of the Executive Committee of the  
Multilateral Fund

c.c. Ms. Maria Nolan  
Chief Officer  
Multilateral Fund Secretariat



MULTILATERAL FUND  
FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

*Secretariat*

31 July 2006

Dear Mr. Steiner,

As you may be aware, Mr. Kakakhel wrote to me on 6 June 2006, in his capacity as Deputy Executive Director and Officer in Charge, informing me of the outcome of the International Conference on Chemicals Management (ICCM), held on 4-6 February 2006, as it refers to the Multilateral Fund. He conveyed to me the invitation made by that Conference to the Multilateral Fund, to consider within its mandate *"whether and how it might support implementation of appropriate and relevant Strategic Approach objectives and to report"*. Mr. Kakakhel in his letter also encouraged me to draw the ICCM invitation *"to the attention of the appropriate authorities of the Multilateral Fund and its Secretariat, and to report to the second session of the ICCM in 2009 on Fund activities that contribute to SAICM implementation"*.

This invitation was brought to the attention of the Executive Committee, at its 49<sup>th</sup> Meeting, held in Montreal on 10-14 July 2006, in the context of the report on Secretariat Activities. Following the report and subsequent discussion, the Executive Committee adopted decision 49/1, which *inter alia* agreed a communication procedure between the Multilateral Fund and the Parties to the Montreal Protocol, through the Ozone Secretariat, on the ICCM invitation and requested me to bring that procedure to your attention.

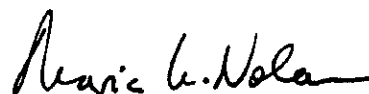
In particular the Executive Committee in paragraph (c) of decision 49/1 requested the Chair *"to bring to the attention of the Ozone Secretariat, prior to the Eighteenth Meeting of the Parties, the invitation by the first session of the International Conference on Chemicals Management (ICCM) to the Montreal Protocol and its Multilateral Fund to consider whether and how these might support implementation of appropriate and relevant strategic approach objectives within their mandates and to report to the ICCM"*. In this context, you may be interested to note that the Eighteenth Meeting of the Parties to the Montreal Protocol will take place from 30 October to 3 November 2006 in New Delhi.

Mr. Achim Steiner  
Executive Director  
UNEP

Therefore, pursuant to decision 49/1, I am informing you that the Chair of the Executive Committee, Mr. Klaly, has written to the Ozone Secretariat for the purpose of bringing the abovementioned invitation to the attention of the Parties to the Montreal Protocol. His letter, dated 28 July 2006, is enclosed with the full text of decision 49/1 for your information and reference.

I would also like to take this opportunity to congratulate you on your recent appointment as Executive Director of UNEP and to wish you every success in your future work.

Yours sincerely



Maria Nolan  
Chief Officer

c.c.: Mr. Shafqat Kakakhel  
Deputy Executive Director  
UNEP

Mr. Khaled Klaly  
Chair of the Executive Committee  
of the Multilateral Fund





## United Nations Environment Programme

برنامج الأمم المتحدة للبيئة • 联合国环境规划署  
PROGRAMME DES NATIONS UNIES POUR L'ENVIRONNEMENT • PROGRAMA DE LAS NACIONES UNIDAS PARA EL MEDIO AMBIENTE  
ПРОГРАММА ОРГАНИЗАЦИИ ОБЪЕДИНЕННЫХ НАЦИОНАЛ ПО ЭКОЛОГИЧЕСКОМУ СОТРУДНИЧЕСТВУ

Our Ref: DTIE/MB/SAICM

6 June 2006

Dear Ms. Nolan,

You will recall that on 6 February 2006 in Dubai, United Arab Emirates, the International Conference on Chemicals Management (ICCM) adopted the Strategic Approach to International Chemicals Management (SAICM). The development of this important new international policy framework was initiated by UNEP Governing Council in 2002 and endorsed by Heads of State and Government at their world summits in Johannesburg in 2002 and again in New York in 2005. SAICM's adoption in Dubai was the culmination of a three-year negotiation involving representatives of Governments, intergovernmental organizations and non-governmental organizations drawn from sectors such as agriculture, environment, health, industry and labour.

SAICM will serve as a key tool for stakeholders as they work towards the Johannesburg Plan of Implementation goal that, by 2020, chemicals are produced and used in ways that minimize significant adverse effects on human health and the environment. The SAICM texts comprising the Dubai Declaration on International Chemicals Management, the Overarching Policy Strategy and the Global Plan of Action can be found at [www.chem.unep.ch/saicm](http://www.chem.unep.ch/saicm).

In its resolution I/1 the ICCM "commended SAICM to the attention of the governing bodies of relevant intergovernmental organizations and encouraged them to endorse or otherwise appropriately acknowledge the Strategic Approach with a view to incorporating its objectives into their programmes of work within their mandates." I am pleased to note that UNEP Governing Council, the UNITAR Board of Trustees and the World Health Assembly have already endorsed or formally noted SAICM. I look forward to news of action by other governing bodies, where appropriate, in due course.

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Ms. Maria Nolan  
Chief Officer  
Secretariat of the Multilateral Fund for the Implementation of the Montreal Protocol  
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## United Nations Environment Programme

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Furthermore, the Ministers, heads of delegation and other stakeholders who adopted the Dubai Declaration expressed their intention of striving to "integrate SAICM into the work programmes of all relevant United Nations organizations, specialized agencies, funds, and programmes consistent with their mandates as accorded by the respective governing bodies." In the same vein, the SAICM Overarching Policy Strategy makes specific reference to the Multilateral Fund for the Implementation of the Montreal Protocol. In its chapter on financial considerations, that document notes that "financial arrangements for the Strategic Approach include, among other things:... making more effective use of and building upon existing sources of relevant global funding" and in that regard it invites the Multilateral Fund, within its mandate, "to consider whether and how it might support implementation of appropriate and relevant Strategic Approach objectives and to report." Accordingly I would encourage you to draw this invitation to the attention of the appropriate authorities of the Multilateral Fund and its secretariat, and to report to the second session of the ICCM in 2009 on Fund activities that contribute to SAICM implementation.

One of the principal mechanisms for supporting the initial implementation of SAICM will be the Quick Start Programme (QSP) established by the ICCM. The QSP comprises a UNEP-administered trust fund together with multilateral, bilateral and other forms of cooperation. The ICCM invited stakeholders, including intergovernmental organizations to contribute to the QSP. I encourage you to consider ways in which the Multi Lateral Fund might be able to contribute to the QSP in accordance with the objective and strategic priorities set out in resolution I/4 of the ICCM. For example, it may be appropriate to note certain Multilateral Fund projects as supporting QSP priorities and perhaps even to explore the potential for Multilateral Fund and QSP co-financing of projects.

Further information on SAICM and the QSP is available on the SAICM web site [www.chem.unep.ch/saicm](http://www.chem.unep.ch/saicm) or from the SAICM secretariat, Chemicals Branch, UNEP/DTIE, 11-13 chemin des Anémones, CH-1219 Châtelaine, Geneva, Switzerland, telephone (41 22) 917 8334, fax (41 22) 797 3460, e-mail [saicm@chemicals.unep.ch](mailto:saicm@chemicals.unep.ch).

UNEP looks forward to working with Multilateral Fund in the implementation of SAICM and the achievement of the Johannesburg goal of sound management of chemicals by 2020.

Yours sincerely,



Shafiqat Kakakhel  
Deputy Executive Director and  
Officer-in-Charge

**Annex III**

Document SAICM/ICCM.2/INF/26: Report on the contribution of the Multilateral Fund for the Implementation of the Montreal Protocol to the implementation of the Strategic Approach to International Chemicals Management



SAICM/ICCM.2/INF/26

Distr.: General  
29 April 2009



English only

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**International Conference on Chemicals Management**

**Second session**

Geneva, 11–15 May 2009

Item 4 (e) of the provisional agenda\*

**Implementation of the Strategic Approach to International Chemicals Management:  
financial and technical resources for implementation**

**Report on the contribution of the Multilateral Fund for the  
Implementation of the Montreal Protocol to the implementation of  
the Strategic Approach to International Chemicals Management**

**Note by the secretariat**

The secretariat has the honour to circulate, in the annex to the present note, a report by the Secretariat of the Multilateral Fund for the Implementation of the Montreal Protocol on the Fund's contribution to the implementation of the Strategic Approach to International Chemicals Management. The document has been reproduced as received, without formal editing, and is provided for the information of the Conference.

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\* SAICM/ICCM.2/1.

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## Annex



MULTILATERAL FUND  
FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

*Secretariat*

22 April 2009

Dear Mr. Gubb,

I refer to your letter dated 25 November 2008 inviting the Secretariat of the Multilateral Fund to prepare a report for the second session of the International Conference on Chemicals Management (ICCM), which will be held in Geneva in May 2009, and the subsequent exchange of e-mails between our Secretariats on this issue.

The Executive Committee, at its recently concluded 57<sup>th</sup> meeting has agreed that a short report be provided for the ICCM briefly covering information on activities supported by the Multilateral Fund that may have contributed to SAICM objectives. Please find this report attached.

As you are aware, I will be participating in the ICCM 2 meeting in May and, if required, will be ready to provide an oral summary to this meeting on the attached report.

Sincerely yours,

A handwritten signature in black ink, appearing to read "Maria Nolan".

Maria Nolan  
Chief Officer

Mr. Matthew Gubb  
Coordinator, SAICM secretariat  
Geneva, Switzerland  
Fax: 41 22 797 3460

Enclosure

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**BRIEF REPORT ON THE CONTRIBUTION OF THE MULTILATERAL FUND OF  
THE MONTREAL PROTOCOL TO THE IMPLEMENTATION OF THE STRATEGIC  
APPROACH TO INTERNATIONAL CHEMICALS MANAGEMENT (SAICM)**

**Background:**

1. The Overarching Policy Strategy of the SAICM as adopted at the First International Conference on Chemicals Management (ICCM 1) in February 2006 has, as its overall objective the achievement of the sound management of chemicals throughout their life-cycle so that, by 2020, chemicals are used and produced in ways that lead to the minimization of significant adverse effects on human health and the environment. This objective will be achieved by implementing activities set out in the Global Plan of Action, which covers the following main areas of work:

- Identifying measures to support risk reduction;
- Strengthening knowledge and information;
- Strengthening of institutions, law and policy to promote good governance;
- Enhancing capacity building;
- Addressing illegal international traffic; and
- Improving general chemical management practices.

2. In the same overarching policy strategy, SAICM financial arrangements include, *inter alia*, “making more effective use of and building upon existing sources of relevant global funding including by inviting the Multilateral Fund within its mandate to consider whether and how it might support implementation of appropriate and relevant SAICM objectives and to report to the ICCM.”

3. This short paper is prepared as a report to the Second Session of the International Conference of Chemicals Management upon invitation by the SAICM Secretariat.

**The Multilateral Fund and SAICM Objectives**

4. At its 49<sup>th</sup> Meeting, the Executive Committee of the Multilateral Fund agreed on decision 49/1(c) with regards to SAICM, which states to “*bring the attention of the Ozone Secretariat prior to the Eighteenth Meeting of the Parties, the invitation by the first session of the International Conference on Chemicals Management (ICCM) to the Montreal Protocol and its Multilateral Fund to consider whether and how these might support implementation of appropriate and relevant strategic approach objectives within their mandate and report to the ICCM.*” This decision was conveyed to the 18<sup>th</sup> Meeting of the Parties for their action. At that time the Parties to the Montreal Protocol did not address this issue.

5. The Parties to the Montreal Protocol established, at their 2<sup>nd</sup> Meeting in 1990 a financial mechanism according to Article 10 of the Montreal Protocol to provide financial and technical assistance to countries operating under Article 5(1) of the Montreal Protocol (developing countries) to enable them to comply with the phase-out of ozone-depleting substances (ODS)

following the control schedule under the Protocol. In implementing this mandate the Multilateral Fund for the implementation of the Montreal Protocol, since 1991, has approved US\$2.3 billion for activities that have contributed towards phasing out the consumption and production of ozone depleting chemicals in over 140 countries.

6. Most of these funded activities include elements similar to those in the SAICM Global Plan of Action, recognizing that all of the Fund's work is related to ozone protection. Taking into consideration the activities listed in paragraph 1 above, the projects funded by the Multilateral Fund have contributed to the objectives of SAICM as shown below:

- Supporting **risk reduction**, as the projects funded and approved by the Multilateral Fund promote the phase-out of chemicals that pose a **risk** to the ozone layer, human health and environment;
- The Multilateral Fund ensures **knowledge and information sharing** through the operation of an information clearinghouse for the Montreal Protocol as well as a Compliance Assistance Programme;
- Training of customs officers and harmonizing customs codes for ODS are important activities that **promote knowledge and information sharing**, and encourage a stricter **monitoring of illegal trade in ODS**;
- Implementation of activities in countries are supported by approved national action plans (country programmes or strategic phase-out plans) that are prepared with broad stakeholder agreement through consultations and discussions thereby promoting **stronger governance** in the country;
- Most projects funded under the Multilateral Fund promote **good governance** by requiring countries to enact ozone protection regulations and enforce import and export licensing systems to regulate the movement of ODS across national borders to **prevent illegal trade in ODS**;
- **Capacity building** of the government institutions responsible for ozone protection is created through funded national ozone units (NOU) covered by institutional strengthening projects in each country. These units own and oversee the national programme of phasing out ODS consumption and production according to the Montreal Protocol's requirements;
- Training in good service practices for refrigeration technicians promotes safe handling of ODS during servicing and disposal of equipment and promotes **capacity building** of those stakeholders involved in ODS phase out; and
- Regional enforcement networks in at least 4 regions have been established to facilitate joint meetings between Customs Officers and the Ozone focal points to exchange information and enable the **monitoring of illegal international traffic** in ozone depleting chemicals.

7. The capacities so created and strengthened have been instrumental in achieving the success of the Montreal Protocol to date in developing countries. While these capacities have been funded in line with Article 10 of the Montreal Protocol and created to achieve the objectives of this Treaty, they embody the objectives of SAICM, and promote a synergy of activities in a number of instances. For example, in many countries, both agreements share national focal points therefore facilitating coordination of national activities in the country on chemicals management. Taking into account the success of the Montreal Protocol and its twenty years of experience in achieving its goals, SAICM could consider looking to the implementation mechanisms of the Multilateral Fund as it develops its own approaches. For instance, the concept of national ozone units/regional groupings have been effective operational models for ozone protection and may be effective for implementing SAICM objectives.

8. The experience and lessons learned under the Montreal Protocol in data collection and reporting, drafting and enacting import/export licensing systems, activities related to harmonisation of customs, and training of customs officers, among other implementation modalities could inform SAICM participants.

9. With the above, it is evident that the actions under the Multilateral Fund have proven to support many, if not all, SAICM objectives. However, it is difficult to consider other actions under the Multilateral Fund that are designed to specifically to support SAICM objectives because of the Fund's well defined mandate under the Montreal Protocol that limits the use of the Fund to providing support to implement commitments under the Protocol. Any closer collaborative actions would require careful examination of the legal, administrative and financial implications of so doing at an appropriate level, such as that of the Meeting of the Parties to the Montreal Protocol.

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