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EXECUTIVE COMMITTEE OF
THE MULTILATERAL FUND FOR THE
IMPLEMENTATION OF THE MONTREAL PROTOCOL
Fifty-fourth Meeting
Montreal, 7-11 April 2007

REPORT ON BALANCES AND AVAILABILITY OF RESOURCES

Pre-session documents of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol are without prejudice to any decision that the Executive Committee might take following issuance of the document.

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Introduction

1. This document presents a summary of the financial adjustments indicated by the implementing agencies and agreed to by the Fund Secretariat. The document includes statistical data from projects with balances that have been held for over the allowable 12-month period following completion of the project, as required by decision 28/7. The data presented are based on the reporting format that has been developed pursuant to decision 31/2 and include both obligated and un-obligated balances. Further to decision 41/92, this document also indicates the level of resources available to the Executive Committee in cash and promissory notes as recorded in the Status of Contributions (UNEP/OzL.Pro/ExCom/54/3), plus the amount of funds returned from projects as stated in the report on balances. With the addition of balances returned from projects that may be cancelled at this meeting, ongoing projects and the return for a bilateral project, the total balances addressed in this report amount to US \$532,906 including support costs.

Funds being returned to the 54th Meeting

2. Information supplied by the implementing and bilateral agencies indicates that a total of US \$492,160 excluding agency support costs will be returned to the 54th Meeting. This amount is calculated taking into account completed projects with balances and includes The Government of Australia's return of US \$93,400 in projects costs for the halon management and banking programme in India. No support costs had been approved for this project.

Table 1

FUNDS TO BE RETURNED TO THE 54th MEETING (US \$)

Agency	Funds from completed projects	Funds from closed projects	Fund from Ongoing Projects	Total funds to be returned to the 54 th Meeting
UNDP	413	0	0	413
UNEP	251,684	0	28,000	279,684
UNIDO	21,694	35,474	0	57,168
World Bank	61,495	0	0	61,495
Sub-total	335,286	35,474	28,000	398,760
Australia	93,400	0	0	93,400
TOTAL	428,686	35,474	28,000	492,160

Projects with balances by year of completion

3. Table 2 presents the number of projects with balances that are still being held by the implementing agencies and the years in which those project were completed. It shows that for projects completed up to and including 31 December 2006 (at least 12 months previously) implementing agencies had balances that totalled US \$15,847,496.

Table 2

BALANCES HELD BY YEAR OF PROJECT COMPLETION

Year completed	Number of projects and amount of balances reported by agency									
	UNDP		UNEP		UNIDO		World Bank		TOTAL	
	Number	US \$	Number	US \$	Number	US \$	Number	US \$	Number	US \$
2002	1	2,356	2	52,553	0	0	0	0	3	54,909
2003	6	9,812	5	124,810	0	0	5	2,474,751	16	2,609,373
2004	11	94,851	19	463,935	6	618,416	4	2,977,497	40	4,154,699
2005	11	280,699	18	400,228	5	44,266	6	3,478,928	40	4,204,121
Projects completed over 2 years ago	29	387,718	44	1,041,526	11	662,682	15	8,931,176	99	11,023,102
2006	32	2,646,935	49	1,671,170	24	406,289	1	100,000	106	4,824,394
Sub-total	61	3,034,653	93	2,712,696	35	1,068,971	16	9,031,176	205	15,847,496
Closed	1	107,248	0	0	0	0	0	0	1	107,248
Ongoing project	0	0	2	64,648	2	3,307,225	0	0	4	3,371,873
Transferred project	0	0	0	0	0	0	0	0	0	0
TOTAL	62	3,141,901	95	2,777,344	37	4,376,196	16	9,031,176	210	19,326,617

4. At its 47th Meeting, the Executive Committee requested the Fund Secretariat to continue to monitor multi-year annual tranches in the context of documents on implementation delays and project balances (decision 47/50(b)(ii)).

5. The World Bank holds the highest reported balance (US \$9,031,176) all of which is attributable to multi-year annual tranches. UNDP holds a total balance of US \$3,141,901, of which US \$2,496,846 is attributable to MYAs, and UNIDO holds a total balance of US \$4,376,196, of which US \$266,424 is attributable to MYAs. UNEP however has the lowest reported balance (US \$2,777,344) of which US \$198,982 is attributable to multi-year agreements (MYAs). UNEP however has the highest number of projects with balances (95) followed by UNDP (62), UNIDO (37) and the World Bank (16).

6. All agencies have projects that were completed over two years ago that have remaining balances. Most of the funds (US \$8,931,176 of US \$11,023,102) are attributed to the World Bank's 15 reported projects that were completed over two years ago. UNEP has 44 projects that were completed over two years ago, with balances totalling US \$1,041,526.

7. Agencies have been asked to clarify why obligated balances remained for projects completed over three years ago. UNEP indicated that the main reasons for balances still remaining for such projects is due to the fact that final reports of expenditures are difficult to get for some of the projects implemented in countries with problematic administrations. For IS projects, final expenditure reports have not been received and therefore not yet recorded as expenditures as per the requirements set in the agreements established with the partners.

8. In some circumstances, UNDP (country offices) effects payments on UNEP's behalf e.g. for GLO/SEV/44/TAS/260 where some workshops including travel were organized through the UNDP country offices. There are delays in receiving the financial acknowledgements of these payments from UNDP.

9. UNDP explained the amount of US \$32,430 including support costs is the remaining balance for the two RMP projects for Burkina Faso. The provisional PCR amount reported to the 51st Meeting seems to have understated total disbursements. In fact, the 2005 PR had already previously reported total disbursements of US \$118,177 at that point. These projects were already under implementation at the time of cancellation/closure. The financial procedures of UNDP apply in ensuring that final budget revisions are issued to mark the formal closure and establish a basis for closing the projects in UNDP systems. These final budgets have been requested from the UNDP country office but have not yet been received. Follow up efforts will continue and the balance will be returned as soon as the final budget revisions have been received.

10. UNIDO indicated that most of the balance is associated with three projects. Several activities are yet to be completed and negotiations are underway with a new company to fulfil other contractual obligations for two large foam sector projects in China. Until these issues are resolved the payments withheld cannot be released. Most of the remaining balance is for the payment of the incremental operational costs component of the projects that will only be released once the Government confirms that the company has met all the requirements as per decision 52/2.

11. As explained by the Bank, most of the balances from its oldest projects are from projects savings to be used for future activities. The issue of balances appears most likely related to that of the definition of completion for the annual tranches of MYAs and perhaps the original design of that in the case of savings can lead to an overestimation of the costs for the annual tranche. However, in the case of activities lasting over several years, a completion date may have been reported that was not related to when the anticipated funding activities were to be completed, but rather was related to when the next tranche would be approved.

Obligated and un-obligated balances

12. The level of balances classified as obligated and un-obligated for the four implementing agencies are as follows:

Table 3

OBLIGATED AND UN-OBLIGATED BALANCES BY AGENCY FOR PROJECTS WITH BALANCES (US \$)

Agency	Obligated balances	Un-obligated balances	Total
UNDP	3,141,901	-	3,141,901

UNEP	2,777,344	-	2,777,344
UNIDO	2,227,466	2,148,730	4,376,196
World Bank	9,031,176	-	9,031,176
TOTAL	17,177,887	2,148,730	19,326,617

13. Of the total balance of US \$19,326,617 from completed, cancelled and ongoing projects, US \$17,177,887 is obligated (which means that these funds are expected to be spent) and US \$2,148,730 has not been obligated. Implementing agencies are required to report reasons why any un-obligated balances could not be returned within the required time-frame, and to indicate when they will be returned (decision 31/2(a)(ii)). Annex I presents additional information on obligated and un-obligated balances by agency.

14. UNDP has 61 completed projects and one closed project. It has no un-obligated balances. The overall number of projects with balances has increased from 36 projects reported to the 53rd Meeting to 62 reported to the 54th Meeting. The overall level of balances has increased from US \$703,009 to US \$3,141,901.

15. UNEP has 93 completed projects and two ongoing projects. It has no un-obligated balances. The overall number of projects with balances has increased from 80 projects reported to the 53rd Meeting to 95 reported to the 54th Meeting. The overall level of balances has increased from US \$1.82 million to US \$2.78 million.

16. UNIDO has 35 completed projects, two ongoing projects, and un-obligated balances of US \$2,148,730. The overall number of projects with balances has increased from 21 projects reported to the 53rd Meeting to 37 reported to the 54th Meeting, and the overall level of balances has increased from US \$835,377 to US \$4,376,196.

17. The World Bank holds US \$9,031,176 in obligated balances for completed projects, of which all were for multi-year projects. It has no un-obligated balances. The overall number of projects with balances has decreased from 22 projects reported to the 53rd Meeting to 16 reported to the 54th Meeting, and the overall level of balances has decreased from US \$11,929,010 to US \$9,031,176.

Agency support costs

18. At its 35th Meeting, the Executive Committee requested that implementing agencies return all agency support costs associated with remaining funds from completed projects. If expenditures had been incurred from these costs, the implementing agencies should provide an explanation and return the balance of funds (decision 35/13(k)). Table 4 indicates that a total of US \$40,746 in agency support costs will be returned at the 54th Meeting.

Table 4

ADMINISTRATIVE SUPPORT COSTS RETURNED TO THE 54th MEETING (US \$)

Agency	Funds from completed projects	Funds from closed projects	Funds from Ongoing Projects	Total funds to be returned to the 54th Meeting
UNDP	45	0	0	45

UNEP	27,638	0	3,640	31,278
UNIDO	2,234	3,193	0	5,427
World Bank	3,996	0	0	3,996
TOTAL	33,913	3,193	3,640	40,746

Total resources available for the 54th Meeting

19. The status of contributions and disbursements as of 7 March 2008 indicates that the Treasurer had an available balance amounting to US \$84,352,512 in cash and promissory notes. The total level of balances returned by multilateral implementing agencies and a bilateral agency, including agency support costs, is US \$532,906. Therefore, as of 7 March 2008, the total level of resources available for approvals by the Executive Committee at its 54th Meeting is US \$84,885,418. The issue of available resources for the 54th Meeting, in light of the value of potential approvals, is addressed in the document "Overview of issues identified during project review" (UNEP/OzL.Pro/ExCom/54/16).

COMMENTS

20. Of the US \$19.3 million in projects with balances in this report, about US \$11,993,428 is for MYAs and of that amount US \$9,031,176 is under World Bank implementation. As was the case in the last balances reports, the issue of balances from MYAs is therefore mostly an issue for the World Bank.

RECOMMENDATIONS

21. The Executive Committee may wish to:

- (a) Note the report on balances and availability of resources contained in UNEP/OzL.Pro/ExCom/54/4;
- (b) Note the net level of funds being returned by the multilateral implementing agencies to the 54th Meeting amounting to US \$398,760 against project balances. This includes the return of US \$413 from UNDP, US \$279,684 from UNEP, US \$57,168 from UNIDO and US \$61,495 from the World Bank;
- (c) Note the net level of support costs being returned by the multilateral implementing agencies to the 54th Meeting amounting to US \$40,746 against project support cost balances. This includes the return of US \$45 from UNDP, US \$31,278 from UNEP, US \$5,427 from UNIDO and US \$3,996 from the World Bank;
- (d) Note that multilateral implementing agencies had balances totalling US \$11,023,102 excluding support costs from projects completed over two years ago. This includes US \$387,718 from UNDP, US \$1,041,526 from UNEP, US \$662,682 from UNIDO, and US \$8,931,176 from the World Bank;
- (e) Note that US \$93,400 should be deducted from the bilateral contributions of Australia as a result of funds being returned from the halon management and banking programme in India; and
- (f) Note that US \$84,885,418 (to be updated at the meeting) was available to the Executive Committee for approvals at the 54th Meeting.

Annex I

OBLIGATED AND UN-OBLIGATED BALANCES HELD BY IMPLEMENTING AGENCY

UNDP

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Obligated Balance as per 54th Meeting (US\$)	Unobligated Balance as per 54th Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
ARG/SEV/38/INS/130	Extension of institutional strengthening project	COM	Dec-05	311,610	197	-		
BAH/REF/43/PRP/13	Project preparation for a refrigerant management plan update	COM	Dec-06	24,000	1,463	-		
BDI/ARS/35/INV/09	Fadi, S.A. aerosol project in Burundi	COM	Dec-03	128,900	180	-		
BDI/REF/41/TAS/13	Implementation of the RMP: supplementary training and spares to the national programme for recovery and recycling of refrigerants	COM	Dec-06	32,500	153	-		
BDI/REF/41/TAS/16	Implementation of the RMP: monitoring the activities in the RMP	COM	Dec-06	20,000	464	-		
BGD/SEV/35/INS/13	Renewal of the institutional strengthening project in Bangladesh(phase III)	COM	Dec-04	100,000	2,099	-		
BHU/REF/45/TAS/06	Implementation of the RMP: technical assistance in the refrigeration servicing sector	COM	Dec-06	45,000	99	-		
BKF/REF/32/TAS/11	Implementation of the RMP: incentive programme for the commercial and industrial refrigeration end-user sector	COM	Dec-06	132,250	12,349	-		
BKF/REF/34/TAS/13	Implementation of the RMP: monitoring the activities in the RMP	COM	Dec-06	16,350	16,350	-		
BRA/FOA/36/INV/256	Repor foamproject in Brazil	COM	Dec-04	323,201	47,309	-		
BRA/REF/28/INV/132	Metalurgica Venan Ltd. refrigeration project in Brazil	COM	Mar-04	741,800	6,793	-		
CHI/REF/42/PRP/155	Project preparation for the formulation of commercial refrigeration project	COM	Dec-06	32,000	329	-		
COL/FOA/38/INV/58	Terminal umbrella project for phase out of the use of CFC-11 in the manufacture of polyurethane foam	COM	Dec-06	840,170	2,453	-		
COL/SEV/38/INS/59	Renewal of the institutional strengthening (phase 4)	COM	Dec-05	275,600	3,484	-		
COS/REF/32/TAS/23	Technical assistance for RMP survey and preparation	COM	Dec-05	40,000	14,646	-		
COS/SEV/41/INS/32	Extension of institutional strengthening project	COM	Dec-06	140,513	776	-		
CPR/FOA/24/INV/245	Conversion to cyclopentane in the manufacture of heating pipes at Jixi City Thermal Power Company	COM	Dec-03	673,380	3,133	-		
CPR/FOA/25/INV/254	Victory Petroleum Organization Bureau foam project in China	COM	Nov-03	563,760	273	-		
CPR/FOA/26/INV/265	Daqing Petroleum Organization Bureau foam project in China	COM	Nov-03	1,124,560	618	-		
CPR/REF/25/INV/249	Hangzhou Household Electrical Appliance Industrial Corporation (HHEAIC) refrigeration project in China	COM	Nov-04	2,238,580	1,062	-		
CPR/REF/32/INV/367	Qingdao Haier No. 2 Freezer Plant refrigeration project in China	COM	Nov-04	788,359	9,293	-		
CPR/SOL/23/INV/224	Fujian Putian Vikay Electronics Co. Ltd. solvent project in China	COM	Nov-02	619,780	2,356	-		
CUB/ARS/34/INV/18	Phase-out of CFC-12 in the manufacture of insecticides and technical aerosols by conversion to hydrocarbon propellant at CIDT aerosol plant	COM	Dec-06	124,220	181	-		
CUB/ARS/36/TAS/19	Development of MDI transition strategies in Cuba	COM	Mar-04	30,000	5,998	-		
DRC/FOA/37/INV/10	Phasing out of CFC-11 in the manufacture of flexible slabstock foam at Bek SPRL by conversion to methylene chloride	COM	Dec-05	165,670	34,499	-		

Annex I

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Obligated Balance as per 54th Meeting (US\$)	Unobligated Balance as per 54th Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
FIJ/PHA/45/PRP/12	Project preparation for complete ODS phase-out plan	COM	Jul-06	16,870	6,870	-		
GHA/FUM/37/TRA/18	Training programme for terminal phase-out of methyl bromide use, excluding QPS applications	COM	Dec-06	101,550	799	-		
GHA/PHA/47/PRP/23	Project preparation of a terminal phase-out management plan	COM	Dec-06	30,000	609	-		
GHA/REF/32/TAS/14	Implementation of the RMP: incentive programme for the commercial/industrial refrigeration end-user sector	COM	Dec-06	198,000	1,419	-		
GHA/SEV/38/INS/19	Renewal of institutional strengthening (phase 5) in Ghana	COM	Dec-04	139,100	860	-		
GHA/SEV/43/INS/20	Extension of the institutional strengthening project (phase VI)	COM	Dec-06	139,100	272	-		
GHA/SOL/44/TAS/22	Technical assistance for the CTC sector	COM	Dec-06	20,000	284	-		
GLO/REF/46/PRP/266	Project preparation in the chiller sector	COM	Dec-05	122,000	133	-		
HON/REF/41/PRP/13	Preparation of the RMP update proposal	COM	Dec-05	15,000	2,000	-		
IDS/ARS/44/INV/167	National strategy for phasing out the use of CFC in the aerosol: conversion from CFC to hydrocarbon aerosol propellants at P.T Yulia	COM	Jun-06	224,000	42,113	-		
IDS/REF/41/INV/157	Sector phase-out plan for elimination of CFCs in the refrigeration (servicing) sector (second tranche)	COM	Dec-06	1,805,987	434,867	-		
IDS/SEV/41/INS/159	Extension of institutional strengthening project	COM	Dec-06	271,245	703	-		
IND/ARS/29/TAS/244	Aerosol technical assistance project in India	COM	Sep-04	155,000	16,537	-		
IND/FOA/44/INV/384	Sectoral phase-out plan for elimination of CFCs in the foam sector (third tranche)	COM	Dec-06	1,500,000	1,345,998	-		
IND/REF/41/INV/364	Plan for phase-out of CFCs in the refrigeration manufacturing sector (second tranche)	COM	Dec-06	476,536	380,435	-		
IND/REF/44/INV/383	Plan for phase-out of CFCs in the refrigeration manufacturing sector (third tranche)	COM	Dec-06	250,000	250,000	-		
IND/SEV/34/INS/310	Renewal of institutional strengthening project in India(phase IV)	COM	Mar-04	287,100	1,243	-		
IND/SEV/41/INS/367	Extension of institutional strengthening project: phase 5	COM	Jul-06	373,230	3,066	-		
IRA/REF/35/INV/133	Conversion from CFC-11 to HCFC-141b technology and from CFC-12 to HFC-134a technology in the manufacture of domestic and commercial refrigeration equipment at Ghotb Jonoub Industrial Group	COM	Apr-06	170,125	4,022	-		
JAM/SOL/42/TAS/20	Technical assistance umbrella project to phase out ODS solvent use	COM	Dec-06	25,000	3,066	-		
LEB/SEV/37/INS/50	Renewal of institutional strengthening project (Phase 3) in Lebanon	COM	Dec-04	155,090	979	-		
LIB/FOA/32/INV/05	Sebha Unit foam project in Libya	CLO		128,097	107,248	-		
LIB/FOA/32/INV/07	Phasing out of CFC-11 in the manufacture of rigid polyurethane foam at Electrical Household Appliance-Tajura by conversion to a combination of water and HCFC-141b based systems	COM	Dec-05	119,802	8,846	-		
LIB/FOA/35/INV/15	Phase out of CFC-11 by conversion to liquid carbon dioxide (LCD) in the manufacture of flexible polyurethane foam at El Houria Unit Plant	COM	Aug-06	520,405	61,298	-		
MAL/ARS/19/INV/85	Umbrella project to phase out ODS at SMEs in the aerosol sector	COM	Dec-05	1,486,660	188,732	-		
MAL/FUM/29/DEM/129	Alternatives to the use of methyl bromide on Malaysian timbers	COM	Jul-06	230,000	13,367	-		
MAL/SEV/32/INS/142	Extension of the institutional strengthening project in Malaysia (Phase IV)	COM	Mar-05	215,000	10,994	-		
MAL/SEV/38/INS/148	Extension of institutional strengthening project	COM	Dec-05	279,500	17,133	-		
MLW/FUM/43/INV/21	Phase-out of all non-essential and non-QPS methyl bromide (fourth tranche)	COM	Dec-06	849,824	43,434	-		
NIC/REF/41/PRP/10	Preparation of the RMP update proposal (investment component)	COM	Dec-05	15,000	36	-		
NIR/SEV/34/INS/93	Renewal of institutional strengthening project in Nigeria (phase II)	COM	Aug-03	200,000	851	-		
NIR/SEV/40/INS/107	Extension of institutional strengthening project	COM	Dec-06	260,000	2,760	-		

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SIL/HAL/45/PRP/10	Project preparation for a halon sectoral phase-out plan	COM	Dec-06	16,870	12,853	-		
SRL/SEV/37/INS/20	Renewal of institutional strengthening project (Phase 4) in Sri Lanka	COM	Aug-04	134,056	2,678	-		
SRL/SEV/43/INS/22	Extension of the institutional strengthening project (phase V)	COM	Dec-06	134,056	14	-		
TRI/SEV/32/INS/13	Institutional strengthening project in Trinidad and Tobago	COM	Jan-03	44,000	4,757	-		
TRI/SEV/38/INS/15	Extension of institutional strengthening project (phase 3)	COM	Dec-06	57,200	4,069	-		
TOTAL					3,141,901			

UNEP

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AFG/SEV/43/CPG/02	Preparation of the country programme/RMP project	COM	Oct-05	60,000	37,083	10,994	-		
AFR/FUM/34/TRA/28	Fumigant training project in Africa	COM	Mar-04	75,390	51,634	23,756	-		
ALB/PHA/39/TRA/07	National ODS phase-out plan: training of trainers in good practices of refrigeration	COM	Jul-06	82,425	29,018	46,602	-		
ANT/SEV/38/INS/07	Renewal of institutional strengthening project	COM	Jun-05	31,200	26,663	4,524	-		
BDI/SEV/44/INS/18	Extension of institutional strengthening project (phase III)	COM	Dec-06	60,000	20,000	39,468	-		
BGD/REF/29/TRA/11	Implementation of the RMP in Bangladesh: training of trainers in good refrigerant management practices and implementation of a national technicians training programme	COM	Dec-04	87,053	54,856	32,197	-		
BHA/REF/23/TRA/04	Implementation of the RMP: training in monitoring and controlling of ODSs	COM	Sep-05	22,333	14,000	8,333	-		
BHA/SEV/42/INS/10	Extension of institutional strengthening project (phase II)	COM	Jul-06	21,667	16,968	4,699	-		
BKF/PHA/47/PRP/18	Project preparation request for TPMP	COM	Sep-06	20,000	5,000	15,000	-		
BKF/SEV/44/INS/17	Extension of institutional strengthening project (phase VI)	COM	Dec-06	72,410	58,110	14,300	-		
BRU/REF/30/PRP/03	Development of RMP	COM	Nov-04	25,000	14,500	10,500	-		
BRU/SEV/26/INS/02	Institutional strengthening for the phase-out of ODSs under the Montreal Protocol	COM	Jul-04	80,000	72,206	7,794	-		
CHD/SEV/44/INS/11	Extension of institutional strengthening project (phase II)	COM	Dec-06	60,000	36,024	23,970	-		
COI/REF/35/TAS/05	Implementation of the RMP: monitoring of RMP implementation and its impact in the service and end-users sectors	COM	Jul-06	18,000	16,000	2,000	-		
CPR/FUM/35/TAS/381	Awareness raising workshop to support ratification of the Copenhagen Amendment in China	COM	Dec-04	100,000	46,182	24,000	-		
CPR/FUM/35/TAS/382	Techno-economic evaluation of methyl bromide alternatives in China	COM	Dec-04	70,907	41,089	29,818	-		
CRO/SEV/41/INS/21	Extension of institutional strengthening project	COM	Dec-06	87,707	54,170	20,553	-		
DJI/REF/37/TRA/04	Implementation of the RMP: customs training	COM	Dec-06	33,100	21,126	11,974	-		
DJI/REF/37/TRA/05	Implementation of the RMP: training in good practices of refrigeration	COM	Dec-06	74,200	26,984	47,162	-		
DJI/REF/37/TRA/08	Implementation of the RMP in Djibouti: assistance to establish legislation	COM	Apr-04	9,000	-	9,000	-		
DMI/REF/26/TRA/02	Implementation of the RMP: custom training	COM	Apr-06	15,000	8,000	7,000	-		
DMI/REF/26/TRA/03	Implementation of the RMP: training of trainers in good refrigerant management practices	COM	Jun-06	20,000	17,000	3,000	-		
DMI/REF/44/PRP/07	Preparation of refrigerant management plan	COM	Aug-06	20,000	12,206	7,794	-		
DOM/SEV/37/TAS/31	Policy and technical assistance	COM	Jun-06	10,000	7,500	2,500	-		
DRC/REF/32/TAS/03	Assistance in developing the RMP project proposal	COM	Dec-03	55,195	35,195	20,000	-		

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DRC/REF/41/TAS/17	Implementation of the RMP: monitoring the activities in the RMP	COM	Dec-06	40,000	20,045	19,955	-		
DRC/REF/41/TRA/15	Implementation of the RMP: training programme for technicians in the refrigeration sector	COM	Dec-06	115,000	31,235	83,751	-		
DRC/REF/41/TRA/18	Implementation of the RMP: customs training programme	COM	Dec-06	109,000	33,594	75,392	-		
DRK/REF/40/TAS/25	Monitoring the activities in the refrigeration servicing subsector in DPR Korea	COM	Dec-04	10,000	5,000	5,000	-		
DRK/REF/40/TRA/27	Training of refrigeration service technicians in good servicing practices in DPR Korea	COM	Dec-04	70,000	64,762	3,067	-		
DRK/SEV/41/INS/31	Implementation of the RMP in Fiji: training of custom officers, ODS inspectorate and NOU staff	COM	Dec-05	123,552	94,105	29,447	-		
ERI/SEV/47/PRP/03	Country programme/RMP project preparation	COM	Sep-06	40,000	38,000	2,000	-		
ETH/SEV/39/INS/11	Development of a total ODS phase out plan	COM	Dec-06	26,216	18,246	7,970	-		
FIJ/REF/29/TRA/07	Implementation of the RMP in Fiji: training of custom officers, ODS inspectorate and NOU staff	COM	Jul-04	42,500	24,500	18,000	-		
FIJ/SEV/29/INS/05	Renewal of institutional strengthening in Fiji (Phase II)	COM	May-02	43,930	36,087	7,843	-		
FIJ/SEV/37/INS/08	Renewal of institutional strengthening project in Fiji (Phase III)	COM	Aug-04	57,000	-	57,000	-		
FSM/SEV/36/INS/03	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	34,000	20,400	13,600	-		
GAB/REF/41/TRA/12	Implementation of the RMP: customs training programme (phase II)	COM	Dec-06	50,000	48,015	1,985	-		
GAB/SEV/44/INS/16	Extension of institutional strengthening project (phase IV)	COM	Dec-06	60,000	48,016	11,984	-		
GBS/SEV/39/INS/01	Establishment of ozone unit in Guinea-Bissau	COM	Jul-04	30,000	-	30,000	-		
GBS/SEV/39/PRP/03	Formulation of the country programme/RMP in Guinea-Bissau	COM	Jul-04	60,000	27,173	32,827	-		
GEO/REF/35/TRA/12	Implementation of the RMP: training programme in the refrigeration sector (phase III)	COM	Nov-05	20,000	17,588	2,412	-		
GEO/SEV/39/INS/14	Extension of institutional strengthening project in Georgia (phase 3)	COM	Dec-04	60,667	55,143	5,524	-		
GLO/SEV/29/TAS/198	Complement for translation and printing of four guidelines and training modules into Arabic, Chinese, French and Spanish	COM	Apr-05	120,000	98,000	22,000	-		
GLO/SEV/34/TAS/230	Study on development of ODS phase-out strategy for SMEs	ONG		100,000	30,000	60,000	-		
GLO/SEV/42/TAS/255	Assistance for regional awareness raising	COM	Apr-06	200,000	109,569	35,112	-		
GLO/SEV/44/TAS/260	Compliance Assistance Programme: 2005 budget	COM	Dec-05	7,045,619	6,843,638	82,990	-		
GLO/SEV/47/TAS/270	Compliance Assistance Programme: 2006 budget	COM	Dec-06	7,770,000	7,087,326	682,674	-		
GRN/REF/46/PRP/08	Preparation of refrigerant management plan update	COM	Sep-06	15,000	5,698	9,302	-		
GUI/REF/45/TRA/12	Implementation of the RMP: customs officers training in monitoring and control of ODS (phase II)	COM	Dec-06	25,000	13,006	11,994	-		

Annex I

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Funds Disbursed as of 31 December 2006 (US\$)	Obligated Balance as per 54th Meeting (US\$)	Unobligated Balance as per 54th Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
GUI/REF/45/TRA/13	Implementation of the RMP: training programme for refrigeration technicians (phase II)	COM	Dec-06	25,000	20,000	5,000	-		
HAI/SEV/43/INS/08	Renewal of the institutional strengthening project (phase I, years 2 and 3)	COM	Sep-06	100,000	93,929	6,071	-		
IND/REF/44/TAS/382	National CFC consumption phase-out plan focussing on the refrigeration service sector: 2005 work programme	COM	Apr-06	256,300	100,820	152,380	-		
KUW/SEV/37/INS/02	Institutional strengthening and capacity building	COM	Jan-06	121,520	88,131	33,389	-		
KYR/REF/37/TRA/03	Implementation of the RMP: training for customs excise and personnel involved in the monitoring of ODS/equipment	COM	Jun-05	74,910	66,244	8,666	-		
KYR/REF/37/TRA/06	Implementation of the RMP: training of refrigeration technicians	COM	Aug-05	97,900	24,008	73,892	-		
KYR/SEV/37/INS/05	Establishment of the Ozone Unit in Kyrgyzstan	ONG		133,650	129,002	4,648	-		
LAO/REF/34/TAS/07	Implementation of the RMP: monitoring the activities contained in the RMP	COM	Dec-06	17,500	5,000	12,458	-		
LIR/REF/41/TRA/07	Implementation of the RMP: customs training programme	COM	Jun-06	40,040	37,651	2,389	-		
LIR/SEV/27/CPG/01	Country programme preparation in Liberia	COM	Sep-03	50,000	33,000	17,000	-		
MAG/SEV/43/INS/06	Renewal of the institutional strengthening project (phase II)	COM	Dec-06	60,700	47,999	12,701	-		
MAU/REF/33/PRP/06	Development of an RMP in Mauritius	COM	Dec-03	60,000	21,905	38,095	-		
MDV/REF/38/TAS/06	Implementation of the RMP: monitoring and review	COM	Aug-05	15,000	1,036	13,964	-		
MDV/REF/38/TRA/07	Implementation of the RMP: train the trainer programme for refrigeration service technicians	COM	Jul-05	35,000	33,000	2,000	-		
MDV/REF/38/TRA/08	Implementation of the RMP: training of customs officials and the Ozone Unit staff	COM	Nov-05	35,000	27,973	7,027	-		
MLI/REF/43/PRP/13	Preparation of the RMP update	COM	Nov-05	10,000	-	10,000	-		
MLI/SEV/44/INS/15	Extension of institutional strengthening project (phase III)	COM	Dec-06	60,677	24,000	36,677	-		
MOL/REF/25/TRA/04	Implementation of the RMP in Moldova: training on monitoring and control of CFC and CFC using equipment	COM	Dec-04	66,550	38,000	21,201	-		
MYA/REF/29/PRP/03	Preparation of a refrigerant management plan in Myanmar	COM	Apr-05	30,000	5,134	24,866	-		
NER/REF/42/TRA/14	Implementation of the RMP update: train the trainer programme for refrigeration service technicians	COM	Dec-06	55,747	11,221	1,501	-		
NIU/SEV/44/INS/01	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	15,000	7,100	7,900	-		
OMA/SEV/37/TAS/07	Policy and technical assistance	COM	Feb-06	10,000	5,000	476	-		
PER/REF/37/PRP/32	Preparation of the refrigerant management plan update proposal in Peru	COM	Jul-04	15,000	8,375	3,625	-		
PER/SEV/29/INS/27	Renewal of institutional strengthening in Peru (Phase II)	COM	Jun-02	102,700	57,990	44,710	-		
PNG/SEV/19/INS/02	Creation of an Ozone Unit in Papua New Guinea	COM	Dec-03	45,800	21,085	24,715	-		
RWA/REF/41/TRA/06	Implementation of the RMP: train the trainer programme for refrigeration service technicians	COM	Dec-06	50,000	48,838	1,162	-		

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RWA/REF/41/TRA/07	Implementation of the RMP: training programme for customs officers	COM	Dec-06	50,000	11,482	38,518	-		
SAM/SEV/39/INS/06	Extension of institutional strengthening project (phase 2)	COM	Jan-06	26,000	17,971	8,029	-		
SIL/SEV/35/TAS/01	Formulation of a national phase-out strategy in Sierra Leone	COM	Dec-03	40,000	15,000	25,000	-		
SOI/SEV/36/INS/03	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	20,250	12,150	8,100	-		
SRL/REF/32/TRA/16	Implementation of the RMP: customs training programme	COM	Apr-05	87,000	45,367	41,633	-		
SRL/REF/32/TRA/17	Refrigeration training project in Sri Lanka	COM	Sep-04	196,997	140,997	39,499	-		
STK/REF/44/PRP/07	Preparation of a refrigerant management plan	COM	Mar-06	15,000	10,478	960	-		
STP/SEV/44/INS/05	Establishment of the Ozone Unit (institutional strengthening)	COM	Jun-06	40,000	30,000	9,992	-		
STV/REF/44/PRP/08	Preparation of refrigerant management plan update	COM	Nov-05	20,000	13,020	6,980	-		
SUD/SEV/34/INS/13	Renewal of institutional strengthening project in Sudan	COM	Dec-04	112,200	43,696	68,504	-		
TOG/REF/38/TRA/09	Implementation of the RMP: training of customs officers	COM	Dec-06	43,500	20,501	22,999	-		
TON/SEV/36/INS/02	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	19,700	11,820	7,880	-		
TTR/SEV/36/INS/03	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	34,000	20,400	1,200	-		
URT/SEV/44/INS/17	Extension of institutional strengthening project (phase II)	COM	Dec-06	57,200	23,000	34,200	-		
VEN/REF/34/TRA/92	Training of customs officers	COM	Dec-05	120,000	107,836	6,000	-		
VEN/SEV/34/TAS/90	Review of ODS license system and Decree 3220 related to control of these substances	COM	Dec-05	80,000	35,500	44,500	-		
YEM/REF/37/TAS/16	Implementation of the RMP: establishment of regulations and legislation	COM	Dec-06	25,000	20,000	5,000	-		
ZAM/SEV/30/INS/11	Renewal of institutional strengthening in Zambia	COM	Dec-04	50,400	7,777	42,623	-		
ZIM/SEV/43/INS/27	Renewal of the institutional strengthening project (phase IV)	COM	Nov-06	148,242	87,795	60,447	-		
TOTAL						2,777,344	-		

UNIDO

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BHE/FOA/39/INV/15	Phase-out of CFC-11 by conversion to n-pentane in the manufacture of rigid foam products for insulating purposes at Stirokart Co.	COM	Dec-06	364,650	316,177	13,957	16	3	56
BHE/FUM/41/INV/17	Phase-out of methyl bromide in tobacco seedling vegetables and flower production sector	COM	Dec-06	229,000	221,042	1,761	1,576	MY	n/a
BHE/PHA/41/INV/16	National ODS phase-out plan: first tranche	COM	Dec-06	265,300	261,922	1,947	52	MY	n/a
CPR/FOA/34/INV/376	Phase-out of CFC-12 in the manufacture of extruded polystyrene foams to butane at 9 enterprises (umbrella)	COM	Dec-04	2,808,338	2,435,750	136,279	16,309	4	56
CPR/FOA/36/INV/387	Terminal umbrella project for the elimination of CFC-12 in manufacturing of EPE foam packaging nets at 30 enterprises	COM	Dec-04	4,325,709	3,451,764	218,486	10,034	4	56
CRO/PHA/39/INV/20	Terminal phase-out management plan for CFCs in Croatia (first tranche)	COM	Apr-05	184,000	174,140	9,468	392	MY	n/a
CRO/PHA/42/TAS/22	Terminal phase-out management plan (second tranche)	COM	Dec-05	48,300	31,458	8,000	1,342	MY	n/a
DRK/REF/40/INV/26	Sectoral phase-out in domestic refrigeration sector at 5th October Electronic and Automation Company in China (first tranche)	COM	Dec-04	384,000	343,616	20,384	0	MY	n/a
EGY/ARS/45/PRP/90	Preparation of a MDI phase-out plan (CFC)	COM	Dec-06	50,000	37,639	1,323	6,369	4	56
GEO/FUM/37/TAS/13	Technical assistance for the phase-out of methyl bromide for soil fumigation	COM	Dec-06	220,000	185,841	930	27	3	55
IND/PAG/34/INV/314	Conversion of carbon tetrachloride as process agent to monochlorobenzene at FDC Limited, Roha	COM	Dec-04	238,371	234,766	3,414	191	4	56
IRA/ARS/52/INV/183	Phase-out of CFC consumption in the manufacture of aerosol MDIs	ONG		3,529,508	0	1,354,241	1,942,451	4	56
IRA/FOA/37/INV/155	Conversion from CFC-11 to n-pentane in the production of rigid foam panels at Yakhchavan Co.	COM	Dec-05	531,838	513,818	16,167	584	3	56
IRA/FUM/29/INV/57	Phasing out of the important non critical, non essential use of methyl bromide for post-harvest treatment	COM	Dec-06	260,698	224,036	6,782	14,394	3	55
IRA/PHA/45/INV/170	National CFC phase-out plan: 2005 annual implementation programme	COM	Dec-06	742,449	565,751	2,103	150	MY	n/a
KAM/FUM/46/TAS/10	Training and awareness workshop in the fumigants sector (methyl bromide)	COM	Jul-06	30,000	27,173	1,712	718	3	55
KUW/REF/37/TAS/05	Implementation of the RMP: national recovery and recycling	COM	Dec-06	448,816	381,082	9,189	1	4	56
LIB/PHA/41/INV/22	National ODS phase-out plan: first tranche	COM	Aug-05	1,500,000	1,359,109	1,220	21	MY	n/a
LIB/REF/32/INV/03	Phasing out ODS in the production of refrigerators and freezers at Electrical Household Appliances Manufacturing	COM	Mar-04	558,113	365,013	191,573	1,527	3	55
MAG/FUM/45/TAS/09	Training and awareness workshop in the fumigants sector (methyl bromide)	COM	Dec-06	40,000	31,919	1,383	816	3	56

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MDN/ARS/32/INV/17	Phase-out of CFC-11 and CFC-12 in the manufacture of aerosols by conversion to HFC and hydrocarbon propellants at Alkaloid A.D.	ONG		110,000	99,467	0	10,533	4	56
MEX/FUM/42/TAS/121	Technical assistance to comply with the 2005 phase-out of 20% of methyl bromide	COM	Dec-06	207,035	187,742	0	2,361	4	56
MEX/PRO/45/INV/126	Sector plan for phasing out CFC-11 and CFC-12 production sector (third tranche)	COM	Dec-06	4,000,000	3,933,808	4,698	558	MY	n/a
MOL/FUM/45/TAS/13	Training and awareness workshop in the fumigants sector (methyl bromide)	COM	Dec-06	30,000	5,824	1,588	1,758	3	56
MOR/FUM/34/INV/44	Phase-out of methyl bromide for soil fumigation in tomato production (first tranche)	COM	Sep-05	400,000	384,279	4,633	2,439	MY	n/a
NIR/PHA/38/INV/105	National CFC phase-out plan: refrigeration manufacturing sub-sector (first tranche)	COM	Mar-06	682,386	628,661	22,197	102	MY	n/a
NIR/SOL/46/INV/113	Terminal ODS phase-out umbrella project in the solvent sector (2nd tranche)	COM	Jul-06	317,000	261,552	1,649	2,335	MY	n/a
OMA/SOL/45/TAS/11	Training and awareness workshop in the solvent sector (CTC)	COM	Dec-06	35,000	17,300	1,389	0	3	55
PAK/FUM/45/TAS/63	Training and awareness workshop in the fumigants sector (methyl bromide)	COM	Dec-06	30,000	25,860	3,118	4,031	3	56
PAK/REF/41/TAS/56	Implementation of the RMP (institutional framework, customs empowerment, training service technicians, recovery and recycling) in Pakistan (first tranche)	COM	Dec-04	191,000	155,108	20,219	0	MY	n/a
QAT/SEV/41/INS/06	Extension of institutional strengthening project: phase 2	COM	Sep-06	44,500	42,125	1,105	110	3	56
ROM/PRO/47/INV/32	Sector plan for production sector (first tranche)	COM	Dec-06	3,200,000	2,767,699	20	91,322	MY	n/a
SUD/PHA/44/INV/18	National CFC/CTC phase-out plan (first tranche)	COM	Dec-06	499,480	266,459	44,163	9,553	MY	n/a
SYR/FUM/34/INV/80	Phase-out of the use of methyl bromide in grain storage (first tranche)	COM	Dec-06	300,000	230,661	0	16,310	MY	n/a
SYR/PHA/45/PRP/92	Preparation of CFC-phase-out plan including methyl bromide	COM	Dec-06	70,000	66,097	1,011	889	3	55
SYR/SEV/33/INS/75	Renewal of institutional strengthening (Phase II)	COM	Dec-06	195,000	187,559	3,069	48	3	56
VEN/PHA/42/INV/98	National CFC phase-out plan: 2004 work programme	COM	Sep-06	1,895,062	1,738,350	1,119	28	MY	n/a
YUG/FOA/35/INV/14	Phase-out of CFC-11 by conversion to n-pentane technology in the production of continuous rigid polyurethane foam insulating panels at Prva Iskra-Fim, Co.	COM	Dec-06	475,728	376,735	95,239	3,006	3	56
ZAM/SOL/45/TAS/16	Training and awareness workshop in the solvents and process agents (CTC and TCA) sectors	COM	Dec-06	40,000	17,372	9,314	2,004	3	55
ZIM/FUM/31/INV/21	Phase-out of methyl bromide in cut flowers	COM	Dec-06	904,200	871,279	12,616	4,373	3	56
TOTAL						2,227,466	2,148,730		

: 2: Financial completion initiated; 3: Financial completion being initiated; 4: Financial completion to be initiated after settlement of all pending issues

MY = Multiyear

WORLD BANK

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ARG/PRO/38/INV/133	CFC production closure plan (first tranche)	COM	Dec-03	500,000	315,890	0		
CPR/FOA/44/INV/424	Sector plan for phase-out of CFC-11 in the China foam sector: 2005 annual programme	COM	Dec-05	10,903,000	2,097,400	0		The balance is expected to be disbursed in March 2008.
ECU/PHA/41/INV/32	National CFC phase-out plan: first tranche	COM	Apr-05	777,326	31,612	0		
MAL/PHA/37/INV/147	National CFC phase-out plan: 2002 annual plan (release of second tranche)	COM	Aug-03	2,969,065	178,101	0		
MAL/PHA/40/INV/149	National CFC phase-out plan: 2003 annual work programme	COM	Dec-04	2,013,100	143,715	0		
MAL/PHA/44/INV/154	National CFC phase-out plan: 2004 annual programme	COM	Dec-05	1,688,300	281,850	0		
PHI/PHA/38/INV/69	National CFC phase out plan: manufacturing sector (first tranche)	COM	Dec-03	2,858,473	715,780	0		
PHI/PHA/41/INV/71	National CFC phase-out plan (manufacturing sector): 2004 annual programme	COM	Dec-04	1,877,418	271,415	0		
PHI/PHA/44/INV/75	National CFC phase-out plan: 2005 annual programme	COM	Dec-05	2,160,071	120,269	0		
THA/PHA/37/INV/138	National CFC phase-out plan: release of second tranche for implementation of the 2002 annual plan	COM	Dec-03	5,194,380	583,071	0		
THA/PHA/41/INV/140	National CFC phase-out plan: 2003 annual programme	COM	Mar-04	4,011,846	2,203,284	0		
THA/PHA/42/INV/141	National CFC phase-out plan: 2004 annual programme	COM	Apr-05	1,315,400	477,085	0		
TUR/PHA/38/INV/79	Total phase out of CFCs plan: 2003 annual programme	COM	Dec-03	2,500,000	681,909	0		
TUR/PHA/41/INV/81	Total phase-out of CFCs plan: 2004 annual programme	COM	Dec-04	1,000,000	359,083	0		
TUR/PHA/44/INV/85	Total phase-out of CFCs plan: 2005 annual programme	COM	Dec-05	750,000	470,713	0		
VEN/PRO/46/INV/106	National CFC production closure plan (second tranche)	COM	Apr-06	8,100,000	100,000	0		
TOTAL				48,618,379	9,031,176			
