



联合国



环境规划署

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执行蒙特利尔议定书
多边基金执行委员会
第五十三次会议
2007年11月26日至30日，蒙特利尔

关于资金余额和可动用资金的报告

执行蒙特利尔议定书多边基金执行委员会的会前文件不妨碍文件印发后执行委员会可能作出的任何决定。
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导言

1. 本文件摘要介绍了由执行机构提出、并经基金秘书处同意的财务调整。本文载有第 28/7 号决定所要求的、从完成 12 个月后仍留有余额的项目收集的统计数字。本文所载数据是以按照第 31/2 号决定制定的报告格式为基础，既包括已承付余额，也包括未承付余额。根据第 41/92 号决定，本文件还介绍了捐款情况报告 (UNEP/OzL.Pro/ExCom/ 53/3) 中记录的、执行委员会可动用的资金水平 (现金和期票)，以及余额报告中说明的各个项目退还的资金额。再加上可能在本次会议上撤销的项目和执行中项目退还的余额，本报告所涉总余额达 15,288,092 美元。

将退还第五十三次会议的资金

2. 执行机构提供的资料显示，将向第五十三次会议退还总共 292,961 美元，外加机构支助费。计算这一数额时考虑了留有余额的已完成项目。

3. 表 1 开列了每个机构退还的资金额。

表 1

将退还第五十三次会议的资金 (美元)

机构	已完成项目退还的资金	已撤销项目退还的资金	已移交项目退还的资金	执行中项目退还的资金	将退还第五十三次会议的资金总额
开发计划署	28,509	0	522,659		551,168
环境规划署	22,708	0	0		22,708
工发组织	8,994	0	(522,659)	232,750	(280,915)
世界银行	0	0	0		0
共计	60,211	0	0	232,750	292,961

按完成年份开列的尚有余额的项目

4. 表 2 开列了执行机构仍持有余额的项目数和项目的完成年份。该表显示，执行机构持有的在 2006 年 9 月 30 日之前 (含该日期) (即至少 12 个月之前) 完成的项目的余额总计 15,095,663 美元。

表 2

按项目完成年份开列的所持余额

完成年度	按机构分列的项目数目和余额									
	开发计划署		环境规划署		工发组织		世界银行		共计	
	数目	美元	数目	美元	数目	美元	数目	美元	数目	美元
2001 年	-	-	-	-	-	-	-	-	-	-
2002 年	1	2,356	2	52,553	-	-	1	403,729	4	458,638
2003 年	6	9,812	5	124,810	1	12,116	5	2,645,624	17	2,792,362
2004 年	11	97,496	21	491,121	6	633,023	4	3,660,507	42	4,882,147
2005 年 1 月 1 日至 2005 年 9 月 30 日	1	9,848	11	230,578	3	24,213	2	1,178,865	17	1,443,504
2 年前完成的项目	19	119,512	39	899,062	10	669,352	12	7,888,725	80	9,576,651
2005 年 10 月 1 日至 2006 年 9 月 30 日	16	476,249	39	846,986	10	155,492	10	4,040,285	75	5,519,012
小计	35	595,761	78	1,746,048	20	824,844	22	11,929,010	155	15,095,663
已撤销项目	1	107,248					-	-	1	107,248
执行中项目	-	-	2	74,648	1	10,533	-	-	3	85,201
共计	36	703,009	80	1,820,696	21	835,377	22	11,929,010	159	15,288,092

5. 在其第四十七次会议上，执行委员会请基金秘书处结合关于执行中的拖延和项目余额的文件，继续对多年期协定的年度档进行监测（第 47/50 号决定(b)(c)段）。

6. 世界银行持有的上报余额最多（11,929,010 美元），全部来自多年期协定的年度档。环境规划署持有的余额共计 1,820,696 美元，其中 200,532 美元来自多年期协定。工发组织持有共计 835,377 美元的余额，其中 222,308 来自多年期协定。开发计划署持有的上报余额最少（703,009 美元），其中没有任何是来自多年期协定。在仍有余额的项目方面，环境规划署的项目最多（80 个），其次是开发计划署（36 个）、世界银行（228 个）和工发组织（21 个）。

7. 所有这些机构都有两年前即已完成但仍持有余额的项目。大多数资金（9,576,651 美元中的 7,888,725 美元）都来自世界银行上报的 12 个两年前即已完成的项目。环境规划署有 39 个两年前即已完成的项目，余额共计 899,062 美元。

8. 执委会请各机构说明，为什么三年前完成的项目仍有已承付余额。世界银行为 2003 年 12 月前完成的项目持有的余额达 3,049,354 美元。这些来自 6 个早期的多年期协定。其中最低的余额为 178,000 美元（第三十七次会议核准的马来西亚的 CFC 项目），最高的余

额为 775,117 美元（第三十八次会议核准的菲律宾的 CFC 项目）。在核准多年期协定的下次年度档时，均认为以前各年度档的活动已经完成。在若干情况下，资金是分配给将持续几年的活动。在另一些情况下，余额是将按照灵活性条款重新分配的节省经费。世界银行表示，对于阿根廷的生产设施关闭项目，其年度计划的余额已分配给该国政府开展的与关闭生产设施 FIASA 有关的技术援助活动。这些资金被用来进行年度财务和技术审计以及其他与政府的工厂关闭措施有关的活动。

9. 环境规划署表示，在 2003 年底之前完成的项目仍有余额的主要原因是，在某些与政府之间有难题尚未解决的国家，例如利比里亚、几内亚比绍、尼泊尔和刚果民主共和国，难以获得某些已执行项目的最后支出报告，或者对环境规划署提出的查询做出答复的速度很慢。在一些情况下，在从联合国国家办事处收到付款确认书的时候出现拖延。关于尚未按照 2005 年履约协助方案预算支付的 193,959 美元，环境规划署表示，其中 146,864 美元是根据分包合同承付的。此外还有履约协助方案工作人员的差旅费 23,295 美元，以及 23,800 美元尚未结清的人事费。环境规划署说，大多数与会议和分包合同有关的余额仍然是承付款，有待提交最后支出报告，在某些情况下则有待提交产出。环境规划署说，它将密切监视这个问题，以获得尚未提交的报告和产出，从而加速支付尚未支付的款项。

10. 对于 2003 年 12 月之前完成的项目，开发计划署有 12,168 美元的已承付余额。开发计划署表示，总计 12,168 美元的余额报告之所以上报为承付款，是因为有关项目是政府执行的。由于政府尚未退还预付款的现金余额，开发计划署或是正在在退还资金之前等待政府付款，然后再把其付款退还给多边基金，或是可以由政府提交一份财务报告，要求开发计划署记录更多的支出。开发计划署将要求其国家办事处解决这些问题。

11. 对于 2003 年 12 月之前完成的项目，工发组织有 11,148 美元的已承付余额。持有这些余额的原因是，对开发计划署国家办事处的义务尚未结束（主要原因是 2005 和 2006 年期间的战争和政治不稳定）。工发组织正在密切注视承付款问题，但由于这个余额涉及多年期项目，预计将不会退还任何资金。

已承付和未承付余额

12. 四个执行机构的已承付和未承付资金余额如下：

表 3

按机构开列的已承付和未承付项目经费余额（美元）

机构	已承付余额	未承付余额	共计
开发计划署	703,009	-	703,009
环境规划署	1,820,696	-	1,820,696
工发组织	714,675	120,702	835,377
世界银行	11,929,010	-	11,929,010
共计	15,167,390	120,702	15,288,092

13. 已完成、已撤销和执行中项目的余额共计 15,288,092 美元，其中已承付 15,167,390 美元（意味着这些资金预计将要支出），还有 120,702 美元未承付。基金秘书处要求各执行机构提交报告，解释为什么没有在规定时限内退还未承付余额，并表明退还余额的时间（第 31/2 号决定(a)(c)段）。附件一按机构开列了关于已承付和未承付余额的补充资料。

14. 开发计划署有 35 个已完成项目和 1 个已关闭项目，没有未承付余额。仍有余额的项目总数有所减少，向第五十二次会议报告的这类项目有 39 个，向第五十三次会议报告的则有 36 个。总余额则从 608,083 美元增至 703,009 美元。

15. 环境规划署有 78 个已完成项目和 2 个执行中项目，没有未承付余额。仍余额的项目总数有所增加，向第五十二次会议报告的这类项目有 76 个，向第五十三次会议报告的则有 80 个。总余额则从 185 万美元减至 182 万美元。执行委员会第 52 次会议敦促环境规划署解决 1,680,292 美元未承付余额问题，并就此向第五十三次会议提出报告。环境规划署报告说，在该机构为时两年的审计周期结束时，所有承付余额均已注销，在能够再次用于承付之前成为未承付余额。环境规划署表示，这是就未承付余额向第五十二次会议报告的理由，现在，这些数额或是已经再次用于承付，或是成为项目经费余额。

16. 工发组织有 20 个已完成项目和 1 个执行中项目，未承付余额为 120,702 美元。仍余额的项目总数有所增加，向第五十二次会议报告的这类项目有 18 个，向第五十三次会议报告的则有 21 个。总余额从 803,385 美元降至 835,377 美元。

17. 世界银行持有 11,929,010 美元的已完成项目的已承付余额，全部是多年期项目的余额。世界银行没有未承付余额。仍有余额的项目总数有所减少，向第五十二次会议报告的这类项目有 25 个，向第五十三次会议报告的则有 22 个。总余额从 25,837,831 美元减至 11,929,010 美元。

机构支助费

18. 执行委员会第三十五次会议请各执行机构全部退还与已完成项目的留存资金有关的机构支助费。如果用机构支助费支付了开支，执行机构应做出解释，并退还资金余额（第 35/31 号决定(k)段）。表 4 显示，将向第五十三次会议退还的机构支助费共计 24,787 美元。

表 4

向第五十三次会议退还的行政支助费（美元）

机构	已完成项目退还的资金	已撤销项目退还的资金	已移交项目退还的资金	执行中项目退还的资金	将退还第五十三次会议的资金总额
开发计划署	3,569	0	39,200		42,769
环境规划署	2,952	0	0		2,952
工发组织	810	0	(39,200)	17,456	(20,934)
世界银行	0	0	0		0
共计	7,331	0	0	17,456	24,787

项目移交

19. 肯尼亚政府请求将两个全国甲基溴项目（KEN/FUM/44/INV/38 和 KEN/FUM/50/INV/40）从开发计划署转给工发组织。开发计划署表示，两笔分期付款的未支出余额为 510,659 美元。由于需要签署一项新的协定来把项目转给工发组织，概述文件（UNEP/OzL.Pro/ExCom/53/15）讨论了该协定的核准问题。

20. 尼日尔政府请求把第四十八次会议核准的结束性淘汰管理计划项目的编制活动从开发计划署转给工发组织。由于尚未支付任何资金，将把核准的全部数额转给工发组织。

21. 执行委员会第 52/33 号核准了在伊朗伊斯兰共和国的计量吸入器生产中淘汰 CFC 消费的项目，但须由该国自行选择，把核准的计量吸入器改造项目或伊朗全国淘汰计划的经费减少 465,500 美元，或同时减少二者的经费，使减少额达到这个数，目的是消除资金的重复计算。执委会请工发组织向第五十三次会议汇报作出的选择。伊朗伊斯兰共和国政府已同意把计量吸入器改造项目的经费减少一半。因此，工发组织将向执行委员会第五十三次会议退还 232,750 美元的经费，并退还 17,456 美元的机构支助费。由于这一改动还涉及协定的改动，关于在项目审查期间所发现问题的概述文件（UNEP/OzL.Pro/ExCom/53/15）也讨论了几个问题。

第五十三次会议可动用的资金总额

22. 截至 2007 年 10 月 22 日的捐款和支付情况表明，财务主任可动用资金余额共计 102,052,565 美元，均为现金和期票。多边执行机构退还余额总计 317,748 美元，其中包括机构支助费。因此，2007 年 10 月 22 日可供执行委员会第五十三次会议核准动用的资金总额为 102,370,313 美元。关于项目审查期间所发现问题的概述文件（UNEP/OzL.Pro/ExCom/53/15）参照可能核准动用的资金数额，讨论了第五十三次会议可动用的资

金问题。

评论

23. 在本报告所述 1,530 万美元的项目资金余额中，大约 1,230 万美元是多年期协定的余额，其中又有 1,190 万美元来自世界银行负责执行的协定。因此，多年期协定的余额问题主要是世界银行的问题，开发计划署的多年期协定的余额是零，其他机构的多年期协定的余额不到 223,000 美元。世界银行解释说，其最老项目的大多数余额来自将用于今后活动的项目节省费用。余额问题看来主要涉及如何界定多年期协定年度档的完成，并也许涉及这些协定当初的设计，在费用节省导致年度活动费用的高估，或者活动的持续时间长达几年的情况下，所报告的完成日期与所预期的供资活动的完成时间无关，而是与核准下一档的时间有关。

建议

24. 谨建议执行委员会：

- (a) 注意到 UNEP/OzL.Pro/ExCom/53/4 号文件所载关于资金余额和可动用资金的报告；
- (b) 注意到多边执行机构将向第五十三次会议退还的项目余额净额为 292,961 美元。这个数额考虑到了将补偿工发组织的 280,915 美元、开发计划署退还的 551,168 美元和环境规划署退还的 22,708 美元；
- (c) 注意到多边执行机构将向第五十三次会议退还的机构支助费余额净额为 24,787 美元。这个数额考虑到了将补偿工发组织的 20,934 美元、开发计划署退还的 42,769 美元和环境规划署退还的 2,952 美元；
- (d) 注意到多边执行机构持有的余额共计 9,576,651 美元，其中不包括来自两年前即已完成项目的机构支助费。这笔余额包括开发计划署的 119,512 美元、环境规划署的 899,062 美元、工发组织的 669,352 美元和世界银行的 7,888,725 美元；
- (e) 注意到把肯尼亚全国甲基溴项目（KEN/FUM/44/INV/38 和 KEN/FUM/50/INV/40）的 510,659 美元经费及其机构支助费从开发计划署转给工发组织；
- (f) 注意到把尼日尔结束性淘汰管理计划项目（NER/PHA/48/PRP/17）的 12,000 美元经费及其机构支助费从开发计划署转给工发组织；
- (g) 注意到现有 102,370,313 美元（本次会议将予以修订）可供执行委员会第五十三次会议核准动用。

Annex I

OBLIGATED AND UN-OBLIGATED BALANCES HELD BY IMPLEMENTING AGENCY

UNDP

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Estimated Funds Disbursed as per 53rd Meeting (US\$)	Obligated Balance as per 53rd Meeting (US\$)	Unobligated Balance as per 53rd Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
ARG/SEV/38/INS/130	Extension of institutional strengthening project	COM	Dec-05	311,610	311,413	197	-		
BDI/ARS/35/INV/09	adi, S.A. aerosol project in Burundi	COM	Dec-03	128,900	128,720	180	-		
BGD/SEV/35/INS/13	Renewal of the institutional strengthening project in Bangladesh(phase III)	COM	Dec-04	100,000	97,901	2,099	-		
BRA/FOA/36/INV/256	Repor foam project in Brazil	COM	Dec-04	323,201	275,892	47,309	-		
BRA/REF/28/INV/132	Metalurgica Venan Ltd. refrigeration project in Brazil	COM	Mar-04	741,800	736,014	5,786	-		
COL/SEV/38/INS/59	Renewal of the institutional strengthening (phase 4)	COM	Dec-05	275,600	274,510	1,090	-		
COS/REF/32/TAS/23	Technical assistance for RMP survey and preparation	COM	Dec-05	40,000	25,104	14,896	-		
CPR/FOA/24/INV/245	Conversion to cyclopentane in the manufacture of heating pipes at Jixi City Thermal Power Company	COM	Dec-03	673,380	670,247	3,133	-		
CPR/FOA/25/INV/254	Victory Petroleum Organization Bureau foam project in China	COM	Nov-03	563,760	563,487	273	-		
CPR/FOA/26/INV/265	Daqing Petroleum Organization Bureau foam project in China	COM	Nov-03	1,124,560	1,123,942	618	-		
CPR/REF/25/INV/249	Hangzhou Household Electrical Appliance Industrial Corporation (HHEAIC) refrigeration project in China	COM	Nov-04	2,238,580	2,237,518	1,062	-		
CPR/REF/32/INV/367	Qingdao Haier No. 2 Freezer Plant refrigeration project in China	COM	Nov-04	788,359	779,066	9,293	-		
CPR/SOL/23/INV/224	Fujian Putian Vikay Electronics Co. Ltd. solvent project in China	COM	Nov-02	619,780	617,424	2,356	-		
CUB/ARS/36/TAS/19	Development of MDI transition strategies in Cuba	COM	Mar-04	30,000	24,002	5,998	-		
DRC/FOA/37/INV/10	Phasing out of CFC-11 in the manufacture of flexible slabstock foam at Bek SPRL by conversion to methylene chloride	COM	Dec-05	165,670	131,171	34,499	-		
FIJ/PHA/45/PRP/12	Project preparation for complete ODS phase-out plan	COM	Jul-06	16,870	10,000	6,870	-		
GHA/SEV/38/INS/19	Renewal of institutional strengthening (phase 5) in Ghana	COM	Dec-04	139,100	138,240	860	-		
GLO/REF/46/PRP/266	Project preparation in the chiller sector	COM	Dec-05	122,000	121,867	133	-		

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Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Estimated Funds Disbursed as per 53rd Meeting (US\$)	Obligated Balance as per 53rd Meeting (US\$)	Unobligated Balance as per 53rd Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
HON/REF/41/PRP/13	Preparation of the RMP update proposal	COM	Dec-05	15,000	13,000	2,000	-		
IDS/ARS/44/INV/167	National strategy for phasing out the use of CFC in the aerosol: conversion from CFC to hydrocarbon aerosol propellants at P.T Yulia	COM	Jun-06	224,000	181,887	42,113	-		
IND/ARS/29/TAS/244	Aerosol technical assistance project in India	COM	Sep-04	155,000	138,463	16,537	-		
IND/SEV/34/INS/310	Renewal of institutional strengthening project in India(phase IV)	COM	Mar-04	287,100	281,423	5,677	-		
IND/SEV/41/INS/367	Extension of institutional strengthening project: phase 5	COM	Jul-06	373,230	372,564	666	-		
IRA/REF/35/INV/133	Conversion from CFC-11 to HCFC-141b technology and from CFC-12 to HFC-134a technology in the manufacture of domestic and commercial refrigeration equipment at Ghotb Jonoub Industrial Group	COM	Apr-06	170,125	106,290	63,835	-		
LEB/SEV/37/INS/50	Renewal of institutional strengthening project (Phase 3) in Lebanon	COM	Dec-04	155,090	154,893	197	-		
LIB/FOA/32/INV/05	Sebha Unit foam project in Libya	CLO		128,097	20,849	107,248	-		
LIB/FOA/32/INV/07	Phasing out of CFC-11 in the manufacture of rigid polyurethane foam at Electrical Household Appliance-Tajura by conversion to a combination of water and HCFC-141b based systems	COM	Dec-05	119,802	110,956	8,846	-		
LIB/FOA/35/INV/15	Phase out of CFC-11 by conversion to liquid carbon dioxide (LCD) in the manufacture of flexible polyurethane foam at El Houria Unit Plant	COM	Aug-06	520,405	453,647	66,758	-		
MAL/ARS/19/INV/85	Umbrella project to phase out ODS at SMEs in the aerosol sector	COM	Dec-05	1,486,660	1,297,928	188,732	-		
MAL/FUM/29/DEM/129	Alternatives to the use of methyl bromide on Malaysian timbers	COM	Jul-06	230,000	201,598	28,403	-		
MAL/SEV/32/INS/142	Extension of the institutional strengthening project in Malaysia (Phase IV)	COM	Mar-05	215,000	205,152	9,848	-		
MAL/SEV/38/INS/148	Extension of institutional strengthening project	COM	Dec-05	279,500	262,325	17,175	-		
NIC/REF/41/PRP/10	Preparation of the RMP update proposal (investment component)	COM	Dec-05	15,000	14,964	36	-		
NIR/SEV/34/INS/93	Renewal of institutional strengthening project in Nigeria (phase II)	COM	Aug-03	200,000	199,149	851	-		
SRL/SEV/37/INS/20	Renewal of institutional strengthening project (Phase 4) in Sri Lanka	COM	Aug-04	134,056	131,378	2,678	-		
TRI/SEV/32/INS/13	Institutional strengthening project in Trinidad and Tobago	COM	Jan-03	44,000	39,243	4,757	-		
Total				30,170,976	28,792,732	703,009	0		

UNEP

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Estimated Funds Disbursed as per 53rd Meeting (US\$)	Obligated Balance as per 53rd Meeting (US\$)	Unobligated Balance as per 53rd Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
AFG/SEV/43/CPG/02	Preparation of the country programme/RMP project	COM	Oct-05	60,000	37,083	10,994			All funds has been disbursed
AFG/SEV/43/INS/01	Institutional strengthening project (phase I, start-up)	COM	Jul-05	40,000	21,287	18,700			
AFR/FUM/34/TRA/28	Fumigant training project in Africa	COM	Mar-04	75,390	51,634	23,756			
AFR/SEV/32/TAS/28	Harmonization of legislative and regulatory mechanisms to improve monitoring and control of ODS consumption in French-speaking African countries	COM	Nov-04	135,825	129,415	6,410			
ALB/PHA/39/TRA/07	National ODS phase-out plan: training of trainers in good practices of refrigeration	COM	Jul-06	82,425	29,018	46,602			USD 64500 MOU and USD 11,120 for equipment
ANT/SEV/38/INS/07	Renewal of institutional strengthening project	COM	Jun-05	31,200	26,663	4,524			
BGD/REF/29/TRA/11	Implementation of the RMP in Bangladesh: training of trainers in good refrigerant management practices and implementation of a national technicians training programme	COM	Dec-04	87,053	54,856	32,197	0		All funds has been disbursed and been reported in PCR as zero balance
BHA/REF/23/TRA/04	Implementation of the RMP: training in monitoring and controlling of ODSs	COM	Sep-05	22,333	14,000	8,333			
BHA/SEV/42/INS/10	Extension of institutional strengthening project (phase II)	COM	Jul-06	21,667	16,968	4,699			
BHU/REF/45/TRA/04	Implementation of the RMP: train the trainer programme for customs and enforcement officers	COM	Sep-06	25,000	24,893	107			All funds has been disbursed and been reported in PCR as zero balance
BKF/PHA/47/PRP/18	Project preparation request for TPMP	COM	Sep-06	20,000	5,000	15,000			
BRU/REF/30/PRP/03	Development of RMP	COM	Nov-04	25,000	14,500	10,500			All funds has been disbursed to the country
BRU/SEV/26/INS/02	Institutional strengthening for the phase-out of ODSs under the Montreal Protocol	COM	Jul-04	80,000	72,206	7,794			All funds has been disbursed to the country
COI/REF/35/TAS/05	Implementation of the RMP: monitoring of RMP implementation and its impact in the service and end-users sectors	COM	Jul-06	18,000	16,000	2,000			
CPR/FUM/35/TAS/381	Awareness raising workshop to support ratification of the Copenhagen Amendment in China	COM	Dec-04	100,000	46,182	24,000			Project completion report submitted: Total cost USD 70,182. All the amount was disbursed.

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CPR/FUM/35/TAS/382	Techno-economic evaluation of methyl bromide alternatives in China	COM	Dec-04	70,907	41,089	29,818			Project completion report submitted: Total cost USD 71,089. All the amount was disbursed. Small difference to be settled.
DJI/REF/37/TRA/08	Implementation of the RMP in Djibouti: assistance to establish legislation	COM	Apr-04	9,000	0	9,000			
DMI/REF/26/TRA/02	Implementation of the RMP: custom training	COM	Apr-06	15,000	8,000	7,000			
DMI/REF/26/TRA/03	Implementation of the RMP: training of trainers in good refrigerant management practices	COM	Jun-06	20,000	17,000	3,000			
DMI/REF/44/PRP/07	Preparation of refrigerant management plan	COM	Aug-06	20,000	12,206	7,794			
DMI/SEV/42/INS/05	Extension of institutional strengthening project (phase II)	COM	Dec-05	13,000	5,619	7,381			
DOM/SEV/37/TAS/31	Policy and technical assistance	COM	Jun-06	10,000	7,500	2,500			
DRC/REF/32/TAS/03	Assistance in developing the RMP project proposal	COM	Dec-03	55,195	35,195	20,000			
DRK/REF/40/TAS/25	Monitoring the activities in the refrigeration servicing subsector in DPR Korea	COM	Dec-04	10,000	5,000	5,000			All funds has been disbursed and been reported in PCR as zero balance
DRK/REF/40/TRA/27	Training of refrigeration service technicians in good servicing practices in DPR Korea	COM	Dec-04	70,000	64,762	3,067			All funds has been disbursed and been reported in PCR as zero balance
DRK/SEV/41/INS/31	Implementation of the RMP in Fiji: training of custom officers, ODS inspectorate and NOU staff	COM	Dec-05	123,552	94,105	29,447			
ERI/SEV/47/PRP/03	Country programme/RMP project preparation	COM	Sep-06	40,000	38,000	2,000			
FIJ/REF/29/TRA/07	Implementation of the RMP in Fiji: training of custom officers, ODS inspectorate and NOU staff	COM	Jul-04	42,500	24,500	18,000			All funds has been disbursed and been reported in PCR as zero balance
FIJ/SEV/29/INS/05	Renewal of institutional strengthening in Fiji (Phase II)	COM	May-02	43,930	36,087	7,843	0		All funds has been disbursed to the country
FIJ/SEV/37/INS/08	Renewal of institutional strengthening project in Fiji (Phase III)	COM	Aug-04	57,000	0	57,000	0		This project is completed. Awaiting for the final expenditure report to disburse the final payments.
FSM/SEV/36/INS/03	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	34,000	20,400	13,600			All funds has been disbursed to the country
GBS/SEV/39/INS/01	Establishment of ozone unit in Guinea-Bissau	COM	Jul-04	30,000	0	30,000			
GBS/SEV/39/PRP/03	Formulation of the country programme/RMP in Guinea-Bissau	COM	Jul-04	60,000	27,173	32,827			

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GEO/REF/35/TRA/12	Implementation of the RMP: training programme in the refrigeration sector (phase III)	COM	Nov-05	20,000	17,588	2,412			MOU signed for USD 20,000
GEO/SEV/39/INS/14	Extension of institutional strengthening project in Georgia (phase 3)	COM	Dec-04	60,667	55,143	5,524			
GLO/SEV/29/TAS/198	Complement for translation and printing of four guidelines and training modules into Arabic, Chinese, French and Spanish	COM	Apr-05	120,000	98,000	22,000	0		
GLO/SEV/34/TAS/230	Study on development of ODS phase-out strategy for SMEs	ONG	Dec-05	100,000	30,000	70,000			All funds have been disbursed. Adjustments are underway to record the expenditures
GLO/SEV/39/TAS/247	Assistance for regional awareness raising	COM	Apr-06	200,000	122,044	53,225			
GLO/SEV/42/TAS/255	Assistance for regional awareness raising	COM	Apr-06	200,000	119,188	80,812			
GLO/SEV/44/TAS/260	Compliance Assistance Programme: 2005 budget	COM	Dec-05	7,045,619	6,851,660	193,959			
GLO/SEV/45/TAS/263	Development of effective awareness materials	COM	Jan-06	120,000	106,733	13,267			
GRN/REF/46/PRP/08	Preparation of refrigerant management plan update	COM	Sep-06	15,000	5,698	9,302			
GRN/SEV/30/INS/02	Creation of a National Ozone Unit	COM	Aug-06	30,000	13,480	16,520			
HAI/SEV/43/INS/08	Renewal of the institutional strengthening project (phase I, years 2 and 3)	COM	Sep-06	100,000	93,929	6,071			
IND/REF/44/TAS/382	National CFC consumption phase-out plan focusing on the refrigeration service sector: 2005 work programme	COM	Apr-06	256,300	102,370	153,930			
KUW/SEV/37/INS/02	Institutional strengthening and capacity building	COM	Jan-06	121,520	88,131	33,389			
KYR/REF/37/TRA/03	Implementation of the RMP: training for customs excise and personnel involved in the monitoring of ODS/equipment	COM	Jun-05	74,910	66,244	8,666			MOU was made for USD 74,910 all disbursed but UNDP has not submitted the claim for the amounts paid.
KYR/REF/37/TRA/06	Implementation of the RMP: training of refrigeration technicians	COM	Aug-05	97,900	24,008	73,892			MOU was made for USD 97,900 all disbursed but UNDP has not submitted the claim for the amounts paid.
KYR/SEV/37/INS/05	Establishment of the Ozone Unit in Kyrgyzstan	ONG	Dec-04	133,650	129,002	4,648			
LIR/REF/41/TRA/07	Implementation of the RMP: customs training programme	COM	Jun-06	40,040	37,651	2,389			
LIR/SEV/27/CPG/01	Country programme preparation in Liberia	COM	Sep-03	50,000	33,000	17,000			
MAS/SEV/36/INS/03	Establishment of a National Compliance Centre (institutional strengthening)	COM	Aug-06	34,000	33,178	822			All funds has been disbursed to the country
MAU/REF/33/PRP/06	Development of an RMP in Mauritius	COM	Dec-03	60,000	21,905	38,095			

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MDV/REF/38/TAS/06	Implementation of the RMP: monitoring and review	COM	Aug-05	15,000	1,036	13,964			All funds has been disbursed and been reported in PCR as zero balance
MDV/REF/38/TRA/07	Implementation of the RMP: train the trainer programme for refrigeration service technicians	COM	Jul-05	35,000	33,000	2,000			All funds has been disbursed and been reported in PCR as zero balance
MDV/REF/38/TRA/08	Implementation of the RMP: training of customs officials and the Ozone Unit staff	COM	Nov-05	35,000	27,973	7,027	0		All funds has been disbursed and been reported in PCR as zero balance
MLI/REF/43/PRP/13	Preparation of the RMP update	COM	Nov-05	10,000	0	10,000			
MOL/REF/25/TRA/04	Implementation of the RMP in Moldova: training on monitoring and control of CFC and CFC using equipment	COM	Dec-04	66,550	38,000	21,201			MOU Signed for USD 21500. Consultant paid USD 9138. Equipment purchased USD 18,563. Technical coop USD 10,000
MYA/REF/29/PRP/03	Preparation of a refrigerant management plan in Myanmar	COM	Apr-05	30,000	5,134	24,866			All funds has been disbursed
NEP/SEV/37/INS/11	Renewal of institutional strengthening project (Phase 2)	COM	Aug-04	53,733	49,458	4,275			
NIU/SEV/44/INS/01	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	15,000	7,100	7,900			All funds has been disbursed to the country
OMA/SEV/37/TAS/07	Policy and technical assistance	COM	Feb-06	10,000	7,262	2,738			All funds have been paid. The recording of the expenditures in missing.
PER/REF/37/PRP/32	Preparation of the refrigerant management plan update proposal in Peru	COM	Jul-04	15,000	8,375	3,625			
PER/SEV/29/INS/27	Renewal of institutional strengthening in Peru (Phase II)	COM	Jun-02	102,700	57,990	44,710			
PNG/SEV/19/INS/02	Creation of an Ozone Unit in Papua New Guinea	COM	Dec-03	45,800	21,085	24,715			All funds has been disbursed to the country
SAM/SEV/39/INS/06	Extension of institutional strengthening project (phase 2)	COM	Jan-06	26,000	17,971	8,029			All funds has been disbursed to the country
SIL/SEV/35/TAS/01	Formulation of a national phase-out strategy in Sierra Leone	COM	Dec-03	40,000	15,000	25,000			
SOI/SEV/36/INS/03	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	20,250	12,150	8,100			All funds has been disbursed to the country

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SRL/REF/32/TRA/16	Implementation of the RMP: customs training programme	COM	Apr-05	87,000	45,367	41,633			All funds has been disbursed and been reported in PCR as zero balance
SRL/REF/32/TRA/17	Refrigeration training project in Sri Lanka	COM	Sep-04	196,997	140,997	56,000			Reported expenditure in the PCR is 202,499 funds disbursed through UNDP. UNDP has not submitted its claim. Difference of about 5.5K to be reconciled with other payments released to SRL.
STK/REF/44/PRP/07	Preparation of a refrigerant management plan	COM	Mar-06	15,000	10,478	2,210			
STP/SEV/44/INS/05	Establishment of the Ozone Unit (institutional strengthening)	COM	Jun-06	40,000	30,000	10,000			
STV/REF/25/TRA/03	Implementation of the RMP in St. Vincent and Grenadines : monitoring and control of ODS and ODS based equipment	COM	Feb-05	25,000	13,000	12,000			
STV/REF/44/PRP/08	Preparation of refrigerant management plan update	COM	Nov-05	20,000	13,020	6,980			
SUD/SEV/34/INS/13	Renewal of institutional strengthening project in Sudan	COM	Dec-04	112,200	43,696	68,504	0		
TON/SEV/36/INS/02	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	19,700	11,820	7,880			All funds has been disbursed to the country
TTR/SEV/36/INS/03	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	34,000	26,600	7,400			All funds has been disbursed to the country
VEN/REF/34/TRA/92	Training of customs officers	COM	Dec-05	120,000	107,836	6,000			
VEN/SEV/34/TAS/90	Review of ODS license system and Decree 3220 related to control of these substances	COM	Dec-05	80,000	35,500	44,500			
ZAM/SEV/30/INS/11	Renewal of institutional strengthening in Zambia	COM	Dec-04	50,400	7,777	42,623			Final payment effected through UNDP. UNDP has to submit the disbursement report to enable the recording of this disbursement and liquidate the obligation
Total				14,520,717	12,460,792	1,820,696	0		

UNIDO

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Estimated Funds Disbursed as per 53rd Meeting (US\$)	Obligated Balance as per 53rd Meeting (US\$)	Unobligated Balance as per 53rd Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?*
CPR/FOA/34/INV/376	Phase-out of CFC-12 in the manufacture of extruded polystyrene foams to butane at 9 enterprises (umbrella)	COM	Dec-04	2,808,338	2,655,750	136,278	16,310	4	54
CPR/FOA/36/INV/387	Terminal umbrella project for the elimination of CFC-12 in manufacturing of EPE foam packaging nets at 30 enterprises	COM	Dec-04	4,325,709	4,097,095	218,501	10,113	4	54
CRO/PHA/39/INV/20	Terminal phase-out management plan for CFCs in Croatia (first tranche)	COM	Apr-05	184,000	174,140	9,468	392	MY	n/a
CRO/PHA/42/TAS/22	Terminal phase-out management plan (second tranche)	COM	Dec-05	48,300	38,958	8,000	1,342	MY	n/a
DRK/REF/40/INV/26	Sectoral phase-out in domestic refrigeration sector at 5th October Electronic and Automation Company in China (first tranche)	COM	Dec-04	384,000	343,616	40,384	0	MY	n/a
GLO/REF/46/PRP/265	Project preparation in the chiller sector	COM	Jun-06	119,000	118,360	555	85	3	54
IND/PAG/34/INV/314	Conversion of carbon tetrachloride as process agent to monochlorobenzene at FDC Limited, Roha	COM	Dec-04	238,371	234,766	3,414	191	3	54
IRA/FOA/37/INV/155	Conversion from CFC-11 to n-pentane in the production of rigid foam panels at Yakhchavan Co.	COM	Dec-05	531,838	514,785	16,209	844	3	54
IRA/PHA/41/INV/162	National CFC phase-out plan: 2004 annual implementation programme	COM	Dec-05	2,465,906	2,456,441	5,592	3,873	MY	n/a
KAM/FUM/46/TAS/10	Training and awareness workshop in the fumigants sector (methyl bromide)	COM	Jul-06	30,000	27,484	1,734	782	3	54
LEB/FUM/38/INV/52	Phase-out of methyl bromide for soil fumigation in strawberry production (second tranche) in Lebanon	COM	Dec-03	421,946	409,830	11,148	968	MY	n/a
LIB/PHA/41/INV/22	National ODS phase-out plan: first tranche	COM	Aug-05	1,500,000	1,498,759	1,220	21	MY	n/a
LIB/REF/32/INV/03	Phasing out ODS in the production of refrigerators and freezers at Electrical Household Appliances Manufacturing	COM	Mar-04	558,113	365,013	191,573	1,527	4	54
MDN/ARS/32/INV/17	Phase-out of CFC-11 and CFC-12 in the manufacture of aerosols by conversion to HFC and hydrocarbon propellants at Alkaloid A.D.	ONG		110,000	99,467	0	10,533	4	54

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MOR/FUM/34/INV/44	Phase-out of methyl bromide for soil fumigation in tomato production (first tranche)	COM	Sep-05	400,000	386,888	10,644	2,468	MY	n/a
NIR/PHA/38/INV/105	National CFC phase-out plan: refrigeration manufacturing sub-sector (first tranche)	COM	Mar-06	682,386	628,661	0	53,725	MY	n/a
NIR/SOL/46/INV/113	Terminal ODS phase-out umbrella project in the solvent sector (2nd tranche)	COM	Jul-06	317,000	302,828	9,963	4,209	MY	n/a
OMA/REF/34/TAS/06	Implementation of the RMP: recovery and recycling project	COM	Dec-05	230,000	226,795	2,703	502	3	54
PAK/REF/41/TAS/56	Implementation of the RMP (institutional framework, customs empowerment, training service technicians, recovery and recycling) in Pakistan (first tranche)	COM	Dec-04	191,000	176,268	14,732	0	MY	n/a
QAT/SEV/41/INS/06	Extension of institutional strengthening project: phase 2	COM	Sep-06	44,500	43,285	1,105	110	4	55
VEN/PHA/42/INV/98	National CFC phase-out plan: 2004 work programme	COM	Sep-06	1,895,062	1,850,903	31,452	12,707	MY	n/a
Total				78,750,873	77,785,939	714,675	120,702		

: 2: Financial completion initiated; 3: Financial completion being initiated; 4: Financial completion to be initiated after settlement of all pending issues
MY = Multiyear

WORLD BANK

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Estimated Cumulative Funds Disbursed as per 53rd Meeting (US\$)	Obligated Balance as per 53rd Meeting (US\$)	Unobligated Balance as per 53rd Meeting (US\$)	Why any unobligated balances could not be returned/disbursed?	When they could be returned/disbursed?
ARG/PRO/38/INV/133	CFC production closure plan (first tranche)	COM	Dec-03	500,000	98,068	401,932	0		
ARG/PRO/44/INV/141	Strategy for gradual phase-out of CFC-11 and CFC-12 production: 2004 annual programme	COM	Dec-05	3,500,000	3,492,250	7,750	0		
ARG/PRO/45/INV/143	Strategy for gradual phase-out of CFC-11 and CFC-12 production: 2005 annual programme	COM	Apr-06	300,000	0	300,000	0		
CPR/FOA/44/INV/424	Sector plan for phase-out of CFC-11 in the China foam sector: 2005 annual programme	COM	Dec-05	10,903,000	8,805,600	2,097,400	0		
CPR/HAL/44/INV/423	Sector plan for halon phase-out in China: 2005 annual programme	COM	Nov-05	1,800,000	1,440,000	360,000	0		
ECU/PHA/41/INV/32	National CFC phase-out plan: first tranche	COM	Apr-05	777,326	555,682	221,644	0		
IDS/FOA/42/INV/160	Phase-out of residual CFCs in the foam sector	COM	Dec-05	1,725,000	1,556,393	168,607	0		
MAL/PHA/35/INV/145	National CFC phase-out plan: 2002 annual programme	COM	Dec-02	1,799,940	1,396,211	403,729	0		
MAL/PHA/37/INV/147	National CFC phase-out plan: 2002 annual plan (release of second tranche)	COM	Aug-03	2,969,065	2,790,964	178,101	0		
MAL/PHA/40/INV/149	National CFC phase-out plan: 2003 annual work programme	COM	Dec-04	2,013,100	1,869,385	143,715	0		
MAL/PHA/44/INV/154	National CFC phase-out plan: 2004 annual programme	COM	Dec-05	1,688,300	1,291,049	397,251	0		
PHI/PHA/38/INV/69	National CFC phase out plan: manufacturing sector (first tranche)	COM	Dec-03	2,858,473	2,083,356	775,117	0		
PHI/PHA/41/INV/71	National CFC phase-out plan (manufacturing sector): 2004 annual programme	COM	Dec-04	1,877,418	1,559,769	317,649	0		

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Estimated Cumulative Funds Disbursed as per 53rd Meeting (US\$)	Obligated Balance as per 53rd Meeting (US\$)	Unobligated Balance as per 53rd Meeting (US\$)	Why any unobligated balances could not be returned/disbursed?	When they could be returned/disbursed?
PHI/PHA/44/INV/75	National CFC phase-out plan: 2005 annual programme	COM	Dec-05	2,160,071	2,026,507	133,564	0		
THA/PHA/37/INV/138	National CFC phase-out plan: release of second tranche for implementation of the 2002 annual plan	COM	Dec-03	5,194,380	4,585,815	608,565	0		
THA/PHA/41/INV/140	National CFC phase-out plan: 2003 annual programme	COM	Mar-04	4,011,846	1,171,787	2,840,059	0		
THA/PHA/42/INV/141	National CFC phase-out plan: 2004 annual programme	COM	Apr-05	1,315,400	358,179	957,221	0		
TUR/PHA/38/INV/79	Total phase out of CFCs plan: 2003 annual programme	COM	Dec-03	2,500,000	1,818,091	681,909	0		
TUR/PHA/41/INV/81	Total phase-out of CFCs plan: 2004 annual programme	COM	Dec-04	1,000,000	640,917	359,083	0		
TUR/PHA/44/INV/85	Total phase-out of CFCs plan: 2005 annual programme	COM	Dec-05	750,000	279,287	470,713	0		
VEN/PRO/44/INV/101	Phased reduction and closure of the entire CFC production: 2004 annual programme	COM	Dec-05	3,300,000	3,295,000	5,000	0		
VEN/PRO/46/INV/106	National CFC production closure plan (second tranche)	COM	Apr-06	8,100,000	8,000,000	100,000	0		
TOTAL				104,153,919	91,476,148	11,929,010	0		