



**United Nations
Environment
Programme**



Distr.
GENERAL

UNEP/OzL.Pro/ExCom/53/4
26 October 2007

ORIGINAL: ENGLISH

EXECUTIVE COMMITTEE OF
THE MULTILATERAL FUND FOR THE
IMPLEMENTATION OF THE MONTREAL PROTOCOL
Fifty-third Meeting
Montreal, 26-30 November 2007

REPORT ON BALANCES AND AVAILABILITY OF RESOURCES

Pre-session documents of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol are without prejudice to any decision that the Executive Committee might take following issuance of the document.

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Introduction

1. This document presents a summary of the financial adjustments indicated by the implementing agencies and agreed to by the Fund Secretariat. The document includes statistical data from projects with balances that have been held for over the allowable 12-month period following completion of the project, as required by decision 28/7. The data presented are based on the reporting format that has been developed pursuant to decision 31/2 and include both obligated and un-obligated balances. Further to decision 41/92, this document also indicates the level of resources available to the Executive Committee in cash and promissory notes as recorded in the Status of Contributions (UNEP/OzL.Pro/ExCom/53/3), plus the amount of funds returned from projects as stated in the report on balances. With the addition of balances returned from projects that may be cancelled at this meeting and ongoing projects, the total balances addressed in this report amounts to US \$15,288,092.

Funds being returned to the 53rd Meeting

2. Information supplied by the implementing agencies indicates that a total of US \$292,961 plus agency support costs will be returned to the 53rd Meeting. This amount is calculated taking into account completed projects with balances.

3. Table 1 indicates the level of funds being returned by each agency.

Table 1

FUNDS TO BE RETURNED TO THE 53rd MEETING (US \$)

Agency	Funds from completed projects	Funds from cancelled projects	Funds from transferred projects	Fund from Ongoing Projects	Total funds to be returned to the 53 rd Meeting
UNDP	28,509	0	522,659		551,168
UNEP	22,708	0	0		22,708
UNIDO	8,994	0	(522,659)	232,750	(280,915)
World Bank	0	0	0		0
TOTAL	60,211	0	0	232,750	292,961

Projects with balances by year of completion

4. Table 2 presents the number of projects with balances that are still being held by the implementing agencies and the years in which those projects were completed. It shows that for projects completed up to and including 30 September 2006 (at least 12 months previously) implementing agencies had balances that totalled US \$15,095,663.

Table 2

BALANCES HELD BY YEAR OF PROJECT COMPLETION

Year completed	Number of projects and amount of balances reported by agency									
	UNDP		UNEP		UNIDO		World Bank		TOTAL	
	Number	US \$	Number	US \$	Number	US \$	Number	US \$	Number	US \$
2001	-	-	-	-	-	-	-	-	-	-
2002	1	2,356	2	52,553	-	-	1	403,729	4	458,638
2003	6	9,812	5	124,810	1	12,116	5	2,645,624	17	2,792,362
2004	11	97,496	21	491,121	6	633,023	4	3,660,507	42	4,882,147
1 January 2005 to 30 September 2005	1	9,848	11	230,578	3	24,213	2	1,178,865	17	1,443,504
Projects completed over 2 years ago	19	119,512	39	899,062	10	669,352	12	7,888,725	80	9,576,651
1 October 2005 to 30 September 2006	16	476,249	39	846,986	10	155,492	10	4,040,285	75	5,519,012
Sub-total	35	595,761	78	1,746,048	20	824,844	22	11,929,010	155	15,095,663
Cancelled project	1	107,248					-	-	1	107,248
Ongoing project	-	-	2	74,648	1	10,533	-	-	3	85,201
TOTAL	36	703,009	80	1,820,696	21	835,377	22	11,929,010	159	15,288,092

5. At its 47th Meeting, the Executive Committee requested the Fund Secretariat to continue to monitor multi-year annual tranches in the context of documents on implementation delays and project balances (decision 47/50(b)(ii)).

6. The World Bank holds the highest reported balance (US \$11,929,010) all of which is attributable to multi-year annual tranches. UNEP holds a total balance of US \$1,820,696, of which US \$200,532 is attributable to multi-year agreements (MYAs). UNIDO holds a total balance of US \$835,377, of which US \$222,308 is attributable to MYAs. UNDP has the lowest reported balance (US \$703,009) none of which is attributable to MYAs. UNEP has the highest number of projects with balances (80) followed by UNDP (36), the World Bank (22), and UNIDO (21).

7. All agencies have projects that were completed over two years ago that have remaining balances. Most of the funds (US \$7,888,725 of US \$9,576,651) are attributed to the World Bank's 12 reported projects that were completed over two years ago. UNEP has 39 projects that were completed over two years ago, with balances totalling US \$899,062.

8. Agencies have been asked to clarify why obligated balances remained for projects completed over three years ago. The World Bank holds balances amounting to US \$3,049,354 for projects that had been completed by December 2003. These balances were from six early MYAs. The lowest balance stands at US \$178,000 (for the Malaysia CFC project, which had been approved at the 37th Meeting) and the largest at US \$775,117 (for the Philippines CFC project, which had been approved at the 38th Meeting). The MYA tranches had been considered completed when the next tranche was approved. In several cases, the funds were allocated for activities that would be conducted over a period of several years, and in other cases the balances represent savings that would be reallocated using the flexibility clause. The Bank indicated that

for the Argentina Production Closure project the annual plan balance had been allocated for technical assistance activities by the Government associated with the closure of FIASA, the production facility. These funds are used to carry out annual financial and technical audits and other activities associated with the closure by the Government.

9. UNEP indicated that the main reasons for balances still remaining for those projects that had been completed by the end of 2003, is that final reports of expenditures are difficult to get for some of the projects implemented in countries with difficult administrations such as Liberia, Guinea Bissau, Nepal or Democratic Republic of Congo and/or the replies to UNEP's queries are provided at a slow pace. In several cases, there are delays in receiving confirmation of payments from UN country offices. With respect to the US \$193,959 left to be disbursed against the 2005 CAP budget, UNEP indicates that US \$146,864 has been obligated under sub-contracts. There are also US \$23,295 in travel costs of CAP staff and US \$23,800 related to personnel that have not been liquidated. UNEP stated that most of the balances related to meetings and sub-contracts remained as commitments pending the submission of final expenditures reports and in some cases the submission of outputs. UNEP indicated that it would closely follow up on the issue to obtain the pending reports/outputs to expedite the pending payments.

10. UNDP had a balance of US \$12,168 still obligated for projects that had been completed by December 2003. UNDP indicated that the balances totalling US \$12,168 were reported as obligated because the projects in question are implemented by the government. As the balance of funds that are related to cash advances has not yet been returned by the government, UNDP is either waiting for a payment from the government prior to returning the funds, which would then be returned to the Multilateral Fund, or the government could submit a financial report which would require that additional expenditure be recorded by UNDP. UNDP is asking its country offices to resolve these issues.

11. UNIDO had a balance of US \$11,148 still obligated for projects that had been completed by December 2003. These balances are being held because the obligation to UNDP's country office has not been terminated (mainly because of the war and political instability during the years 2005 and 2006). UNIDO is monitoring the obligations, but since the balance is for a multi-year project, no funds are expected to be returned.

Obligated and un-obligated balances

12. The level of balances classified as obligated and un-obligated for the four implementing agencies are as follows:

Table 3

OBLIGATED AND UN-OBLIGATED BALANCES BY AGENCY FOR PROJECTS WITH BALANCES (US \$)

Agency	Obligated balances	Un-obligated balances	Total
UNDP	703,009	-	703,009
UNEP	1,820,696	-	1,820,696
UNIDO	714,675	120,702	835,377
World Bank	11,929,010	-	11,929,010
TOTAL	15,167,390	120,702	15,288,092

13. Of the total balance of US \$15,288,092 from completed, cancelled and ongoing projects, US \$15,167,390 is obligated (which means that these funds are expected to be spent) and US \$120,702 has not been obligated. Implementing agencies are required to report reasons why any un-obligated balances could not be returned within the required time-frame, and to indicate when they will be returned (decision 31/2(a)(ii)). Annex I presents additional information on obligated and un-obligated balances, by agency.

14. UNDP has 35 completed projects and one closed project. It has no un-obligated balances. The overall number of projects with balances has decreased from 39 projects reported to the 52nd Meeting to 36 reported to the 53rd Meeting. The overall level of balances has increased from US \$608,083 to US \$703,009.

15. UNEP has 78 completed projects and two ongoing projects. It has no un-obligated balances. The overall number of projects with balances has increased from 76 projects reported to the 52nd Meeting to 80 reported to the 53rd Meeting. The overall level of balances has decreased from US \$1.85 million to US \$1.82 million. At its 52nd Meeting, the Executive Committee urged UNEP to resolve the US \$1,680,292 in un-obligated balances and report thereon to the 53rd Meeting. UNEP reported that at the end of its two-year audit cycle, all obligated balances are cancelled and become un-obligated until they can be re-obligated. It indicated that this was the case for the un-obligated balances reported to the 52nd Meeting and now those sums have either been re-obligated or they have become project balances.

16. UNIDO has 20 completed projects, one ongoing project, and un-obligated balances of US \$120,702. The overall number of projects with balances has increased from 18 projects reported to the 52nd Meeting to 21 reported to the 53rd Meeting, and the overall level of balances has increased from US \$803,385 to US \$835,377.

17. The World Bank holds US \$11,929,010 in obligated balances for completed projects, of which all were for multi-year projects. It has no un-obligated balances. The overall number of projects with balances has decreased from 25 projects reported to the 52nd Meeting to 22 reported to the 53rd Meeting, and the overall level of balances has decreased from US \$25,837,831 to US \$11,929,010.

Agency support costs

18. At its 35th Meeting, the Executive Committee requested that implementing agencies return all agency support costs associated with remaining funds from completed projects. If expenditures had been incurred from these costs, the implementing agencies should provide an explanation and return the balance of funds (decision 35/13(k)). Table 4 indicates that a total of US \$24,787 in agency support costs will be returned at the 53rd Meeting.

Table 4

ADMINISTRATIVE SUPPORT COSTS RETURNED TO THE 53rd MEETING (US \$)

Agency	Funds from completed projects	Funds from cancelled projects	Funds from Transferred projects	Funds from Ongoing Projects	Total funds to be returned to the 53 rd Meeting
UNDP	3,569	0	39,200		42,769
UNEP	2,952	0	0		2,952
UNIDO	810	0	(39,200)	17,456	(20,934)
World Bank	0	0	0		0
TOTAL	7,331	0	0	17,456	24,787

Project transfers

19. The Government of Kenya requested that the national methyl bromide projects (KEN/FUM/44/INV/38 and KEN/FUM/50/INV/40) should be transferred from UNDP to UNIDO. UNDP indicated that the unspent balance of funds for the two tranches amounts to US \$510,659. Since a new agreement is needed to effect the transfer to UNIDO, the approval of the agreement is addressed in the Overview Paper (UNEP/OzL.Pro/ExCom/53/15).

20. The Government of Niger requested that the TPMP project preparation approved at the 48th Meeting (NER/PHA/48/PRP/17) should be transferred from UNDP to UNIDO. Since none of the funds have been disbursed, the full amount of the approval can be transferred to UNIDO.

21. Through its decision 52/33, the Executive Committee approved the transition strategy and the project for the phase-out of CFC consumption in the manufacture of MDIs in the Islamic Republic of Iran subject to a deduction of US \$465,500 to be applied to the approved amount of the MDI conversion project or to the national phase-out plan (NPP) for Iran, or both, at the country's discretion, in order to eliminate double-counting of funds. UNIDO was requested to report back to the Executive Committee at its 53rd Meeting on the choice made. The Government of the Islamic Republic of Iran has agreed to deduct half of the amount from the MDI conversion project. Therefore, UNIDO is returning US \$232,750 plus agency support costs of US \$17,456 for UNIDO to the 53rd Meeting of the Executive Committee. Since this modification involves a modification of the agreement, this issue is also addressed in the Overview of Issues Identified during Project Review (UNEP/OzL.Pro/ExCom/53/15).

Total resources available for the 53rd Meeting

22. The status of contributions and disbursements as of 22 October 2007 indicates that the Treasurer had an available balance amounting to US \$102,052,565 in cash and promissory notes. The total level of balances returned by multilateral implementing agencies, including agency support costs, is US \$317,748. Therefore, as of 22 October 2007, the total level of resources available for approvals by the Executive Committee at its 53rd Meeting is US \$102,370,313. The issue of resources available for the 53rd Meeting, in light of the value of potential approvals, is addressed in the document "Overview of issues identified during project review" (UNEP/OzL.Pro/ExCom/53/15).

COMMENTS

23. Of the US \$15.3 million in projects with balances in this report, about US \$12.3 million is for MYAs and of that amount US \$11.9 million is under World Bank implementation. The issue of balances from MYAs is therefore mostly an issue for the World Bank, noting that UNDP had zero balances for MYAs and the other agencies had balances for MYAs that were less than US \$223,000. As explained by the Bank, most of the balances from its oldest projects are from projects savings to be used for future activities. The issue of balances appears most likely related to the issue of the definition of completion for the annual tranches of MYAs and perhaps the original design, that in the case of savings lead to an overestimation of the costs for the annual tranche or, in the case of activities lasting over several years, reported a completion date that was not related to when the anticipated funding activities were to be completed, but rather was related to when the next tranche would be approved.

RECOMMENDATIONS

24. The Executive Committee may wish to:

- (a) Note the report on balances and availability of resources contained in UNEP/OzL.Pro/ExCom/53/4;
- (b) Note the net level of funds being returned by the multilateral implementing agencies to the 53rd Meeting amounting to US \$292,961 against project balances. This takes into account a reimbursement to UNIDO of US \$280,915, and returns of US \$551,168 from UNDP and US \$22,708 from UNEP;
- (c) Note the net level of support costs being returned by the multilateral implementing agencies to the 53rd Meeting amounting to US \$24,787 against project support cost balances. This takes into account a US \$20,934 reimbursement to UNIDO, and the return of US \$42,769 from UNDP and US \$2,952 from UNEP;
- (d) Note that multilateral implementing agencies had balances totalling US \$9,576,651 excluding support costs from projects completed over two years ago. This includes US \$119,512 from UNDP, US \$899,062 from UNEP, US \$669,352 from UNIDO, and US \$7,888,725 from the World Bank;
- (e) Note the transfer of US \$510,659 plus agency fees from UNDP to UNIDO for national methyl bromide projects in Kenya (KEN/FUM/44/INV/38 and KEN/FUM/50/INV/40);
- (f) Note the transfer of US \$12,000 plus agency fees from UNDP to UNIDO for the TPMP project in Niger (NER/PHA/48/PRP/17); and
- (g) Note that US \$102,370,313 (to be updated at the meeting) was available to the Executive Committee for approvals at the 53rd Meeting.

Annex I

OBLIGATED AND UN-OBLIGATED BALANCES HELD BY IMPLEMENTING AGENCY

UNDP

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Estimated Funds Disbursed as per 53rd Meeting (US\$)	Obligated Balance as per 53rd Meeting (US\$)	Unobligated Balance as per 53rd Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
ARG/SEV/38/INS/130	Extension of institutional strengthening project	COM	Dec-05	311,610	311,413	197	-		
BDI/ARS/35/INV/09	adi, S.A. aerosol project in Burundi	COM	Dec-03	128,900	128,720	180	-		
BGD/SEV/35/INS/13	Renewal of the institutional strengthening project in Bangladesh(phase III)	COM	Dec-04	100,000	97,901	2,099	-		
BRA/FOA/36/INV/256	Repor foam project in Brazil	COM	Dec-04	323,201	275,892	47,309	-		
BRA/REF/28/INV/132	Metalurgica Venan Ltd. refrigeration project in Brazil	COM	Mar-04	741,800	736,014	5,786	-		
COL/SEV/38/INS/59	Renewal of the institutional strengthening (phase 4)	COM	Dec-05	275,600	274,510	1,090	-		
COS/REF/32/TAS/23	Technical assistance for RMP survey and preparation	COM	Dec-05	40,000	25,104	14,896	-		
CPR/FOA/24/INV/245	Conversion to cyclopentane in the manufacture of heating pipes at Jixi City Thermal Power Company	COM	Dec-03	673,380	670,247	3,133	-		
CPR/FOA/25/INV/254	Victory Petroleum Organization Bureau foam project in China	COM	Nov-03	563,760	563,487	273	-		
CPR/FOA/26/INV/265	Daqing Petroleum Organization Bureau foam project in China	COM	Nov-03	1,124,560	1,123,942	618	-		
CPR/REF/25/INV/249	Hangzhou Household Electrical Appliance Industrial Corporation (HHEAIC) refrigeration project in China	COM	Nov-04	2,238,580	2,237,518	1,062	-		
CPR/REF/32/INV/367	Qingdao Haier No. 2 Freezer Plant refrigeration project in China	COM	Nov-04	788,359	779,066	9,293	-		
CPR/SOL/23/INV/224	Fujian Putian Vikay Electronics Co. Ltd. solvent project in China	COM	Nov-02	619,780	617,424	2,356	-		
CUB/ARS/36/TAS/19	Development of MDI transition strategies in Cuba	COM	Mar-04	30,000	24,002	5,998	-		
DRC/FOA/37/INV/10	Phasing out of CFC-11 in the manufacture of flexible slabstock foam at Bek SPRL by conversion to methylene chloride	COM	Dec-05	165,670	131,171	34,499	-		
FIJ/PHA/45/PRP/12	Project preparation for complete ODS phase-out plan	COM	Jul-06	16,870	10,000	6,870	-		
GHA/SEV/38/INS/19	Renewal of institutional strengthening (phase 5) in Ghana	COM	Dec-04	139,100	138,240	860	-		
GLO/REF/46/PRP/266	Project preparation in the chiller sector	COM	Dec-05	122,000	121,867	133	-		

Annex I

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HON/REF/41/PRP/13	Preparation of the RMP update proposal	COM	Dec-05	15,000	13,000	2,000	-		
IDS/ARS/44/INV/167	National strategy for phasing out the use of CFC in the aerosol: conversion from CFC to hydrocarbon aerosol propellants at P.T Yulia	COM	Jun-06	224,000	181,887	42,113	-		
IND/ARS/29/TAS/244	Aerosol technical assistance project in India	COM	Sep-04	155,000	138,463	16,537	-		
IND/SEV/34/INS/310	Renewal of institutional strengthening project in India(phase IV)	COM	Mar-04	287,100	281,423	5,677	-		
IND/SEV/41/INS/367	Extension of institutional strengthening project: phase 5	COM	Jul-06	373,230	372,564	666	-		
IRA/REF/35/INV/133	Conversion from CFC-11 to HCFC-141b technology and from CFC-12 to HFC-134a technology in the manufacture of domestic and commercial refrigeration equipment at Ghotb Jonoub Industrial Group	COM	Apr-06	170,125	106,290	63,835	-		
LEB/SEV/37/INS/50	Renewal of institutional strengthening project (Phase 3) in Lebanon	COM	Dec-04	155,090	154,893	197	-		
LIB/FOA/32/INV/05	Sebha Unit foam project in Libya	CLO		128,097	20,849	107,248	-		
LIB/FOA/32/INV/07	Phasing out of CFC-11 in the manufacture of rigid polyurethane foam at Electrical Household Appliance-Tajura by conversion to a combination of water and HCFC-141b based systems	COM	Dec-05	119,802	110,956	8,846	-		
LIB/FOA/35/INV/15	Phase out of CFC-11 by conversion to liquid carbon dioxide (LCD) in the manufacture of flexible polyurethane foam at El Houria Unit Plant	COM	Aug-06	520,405	453,647	66,758	-		
MAL/ARS/19/INV/85	Umbrella project to phase out ODS at SMEs in the aerosol sector	COM	Dec-05	1,486,660	1,297,928	188,732	-		
MAL/FUM/29/DEM/129	Alternatives to the use of methyl bromide on Malaysian timbers	COM	Jul-06	230,000	201,598	28,403	-		
MAL/SEV/32/INS/142	Extension of the institutional strengthening project in Malaysia (Phase IV)	COM	Mar-05	215,000	205,152	9,848	-		
MAL/SEV/38/INS/148	Extension of institutional strengthening project	COM	Dec-05	279,500	262,325	17,175	-		
NIC/REF/41/PRP/10	Preparation of the RMP update proposal (investment component)	COM	Dec-05	15,000	14,964	36	-		
NIR/SEV/34/INS/93	Renewal of institutional strengthening project in Nigeria (phase II)	COM	Aug-03	200,000	199,149	851	-		
SRL/SEV/37/INS/20	Renewal of institutional strengthening project (Phase 4) in Sri Lanka	COM	Aug-04	134,056	131,378	2,678	-		
TRI/SEV/32/INS/13	Institutional strengthening project in Trinidad and Tobago	COM	Jan-03	44,000	39,243	4,757	-		
Total				30,170,976	28,792,732	703,009	0		

UNEP

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AFG/SEV/43/CPG/02	Preparation of the country programme/RMP project	COM	Oct-05	60,000	37,083	10,994			All funds has been disbursed
AFG/SEV/43/INS/01	Institutional strengthening project (phase I, start-up)	COM	Jul-05	40,000	21,287	18,700			
AFR/FUM/34/TRA/28	Fumigant training project in Africa	COM	Mar-04	75,390	51,634	23,756			
AFR/SEV/32/TAS/28	Harmonization of legislative and regulatory mechanisms to improve monitoring and control of ODS consumption in French-speaking African countries	COM	Nov-04	135,825	129,415	6,410			
ALB/PHA/39/TRA/07	National ODS phase-out plan: training of trainers in good practices of refrigeration	COM	Jul-06	82,425	29,018	46,602			USD 64500 MOU and USD 11,120 for equipment
ANT/SEV/38/INS/07	Renewal of institutional strengthening project	COM	Jun-05	31,200	26,663	4,524			
BGD/REF/29/TRA/11	Implementation of the RMP in Bangladesh: training of trainers in good refrigerant management practices and implementation of a national technicians training programme	COM	Dec-04	87,053	54,856	32,197	0		All funds has been disbursed and been reported in PCR as zero balance
BHA/REF/23/TRA/04	Implementation of the RMP: training in monitoring and controlling of ODSs	COM	Sep-05	22,333	14,000	8,333			
BHA/SEV/42/INS/10	Extension of institutional strengthening project (phase II)	COM	Jul-06	21,667	16,968	4,699			
BHU/REF/45/TRA/04	Implementation of the RMP: train the trainer programme for customs and enforcement officers	COM	Sep-06	25,000	24,893	107			All funds has been disbursed and been reported in PCR as zero balance
BKF/PHA/47/PRP/18	Project preparation request for TPMP	COM	Sep-06	20,000	5,000	15,000			
BRU/REF/30/PRP/03	Development of RMP	COM	Nov-04	25,000	14,500	10,500			All funds has been disbursed to the country
BRU/SEV/26/INS/02	Institutional strengthening for the phase-out of ODSs under the Montreal Protocol	COM	Jul-04	80,000	72,206	7,794			All funds has been disbursed to the country
COI/REF/35/TAS/05	Implementation of the RMP: monitoring of RMP implementation and its impact in the service and end-users sectors	COM	Jul-06	18,000	16,000	2,000			
CPR/FUM/35/TAS/381	Awareness raising workshop to support ratification of the Copenhagen Amendment in China	COM	Dec-04	100,000	46,182	24,000			Project completion report submitted: Total cost USD 70,182. All the amount was disbursed.

Annex I

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CPR/FUM/35/TAS/382	Techno-economic evaluation of methyl bromide alternatives in China	COM	Dec-04	70,907	41,089	29,818			Project completion report submitted: Total cost USD 71,089. All the amount was disbursed. Small difference to be settled.
DJI/REF/37/TRA/08	Implementation of the RMP in Djibouti: assistance to establish legislation	COM	Apr-04	9,000	0	9,000			
DMI/REF/26/TRA/02	Implementation of the RMP: custom training	COM	Apr-06	15,000	8,000	7,000			
DMI/REF/26/TRA/03	Implementation of the RMP: training of trainers in good refrigerant management practices	COM	Jun-06	20,000	17,000	3,000			
DMI/REF/44/PRP/07	Preparation of refrigerant management plan	COM	Aug-06	20,000	12,206	7,794			
DMI/SEV/42/INS/05	Extension of institutional strengthening project (phase II)	COM	Dec-05	13,000	5,619	7,381			
DOM/SEV/37/TAS/31	Policy and technical assistance	COM	Jun-06	10,000	7,500	2,500			
DRC/REF/32/TAS/03	Assistance in developing the RMP project proposal	COM	Dec-03	55,195	35,195	20,000			
DRK/REF/40/TAS/25	Monitoring the activities in the refrigeration servicing subsector in DPR Korea	COM	Dec-04	10,000	5,000	5,000			All funds has been disbursed and been reported in PCR as zero balance
DRK/REF/40/TRA/27	Training of refrigeration service technicians in good servicing practices in DPR Korea	COM	Dec-04	70,000	64,762	3,067			All funds has been disbursed and been reported in PCR as zero balance
DRK/SEV/41/INS/31	Implementation of the RMP in Fiji: training of custom officers, ODS inspectorate and NOU staff	COM	Dec-05	123,552	94,105	29,447			
ERI/SEV/47/PRP/03	Country programme/RMP project preparation	COM	Sep-06	40,000	38,000	2,000			
FIJ/REF/29/TRA/07	Implementation of the RMP in Fiji: training of custom officers, ODS inspectorate and NOU staff	COM	Jul-04	42,500	24,500	18,000			All funds has been disbursed and been reported in PCR as zero balance
FIJ/SEV/29/INS/05	Renewal of institutional strengthening in Fiji (Phase II)	COM	May-02	43,930	36,087	7,843	0		All funds has been disbursed to the country
FIJ/SEV/37/INS/08	Renewal of institutional strengthening project in Fiji (Phase III)	COM	Aug-04	57,000	0	57,000	0		This project is completed. Awaiting for the final expenditure report to disburse the final payments.
FSM/SEV/36/INS/03	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	34,000	20,400	13,600			All funds has been disbursed to the country
GBS/SEV/39/INS/01	Establishment of ozone unit in Guinea-Bissau	COM	Jul-04	30,000	0	30,000			
GBS/SEV/39/PRP/03	Formulation of the country programme/RMP in Guinea-Bissau	COM	Jul-04	60,000	27,173	32,827			

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GEO/REF/35/TRA/12	Implementation of the RMP: training programme in the refrigeration sector (phase III)	COM	Nov-05	20,000	17,588	2,412			MOU signed for USD 20,000
GEO/SEV/39/INS/14	Extension of institutional strengthening project in Georgia (phase 3)	COM	Dec-04	60,667	55,143	5,524			
GLO/SEV/29/TAS/198	Complement for translation and printing of four guidelines and training modules into Arabic, Chinese, French and Spanish	COM	Apr-05	120,000	98,000	22,000	0		
GLO/SEV/34/TAS/230	Study on development of ODS phase-out strategy for SMEs	ONG	Dec-05	100,000	30,000	70,000			All funds have been disbursed. Adjustments are underway to record the expenditures
GLO/SEV/39/TAS/247	Assistance for regional awareness raising	COM	Apr-06	200,000	122,044	53,225			
GLO/SEV/42/TAS/255	Assistance for regional awareness raising	COM	Apr-06	200,000	119,188	80,812			
GLO/SEV/44/TAS/260	Compliance Assistance Programme: 2005 budget	COM	Dec-05	7,045,619	6,851,660	193,959			
GLO/SEV/45/TAS/263	Development of effective awareness materials	COM	Jan-06	120,000	106,733	13,267			
GRN/REF/46/PRP/08	Preparation of refrigerant management plan update	COM	Sep-06	15,000	5,698	9,302			
GRN/SEV/30/INS/02	Creation of a National Ozone Unit	COM	Aug-06	30,000	13,480	16,520			
HAI/SEV/43/INS/08	Renewal of the institutional strengthening project (phase I, years 2 and 3)	COM	Sep-06	100,000	93,929	6,071			
IND/REF/44/TAS/382	National CFC consumption phase-out plan focusing on the refrigeration service sector: 2005 work programme	COM	Apr-06	256,300	102,370	153,930			
KUW/SEV/37/INS/02	Institutional strengthening and capacity building	COM	Jan-06	121,520	88,131	33,389			
KYR/REF/37/TRA/03	Implementation of the RMP: training for customs excise and personnel involved in the monitoring of ODS/equipment	COM	Jun-05	74,910	66,244	8,666			MOU was made for USD 74,910 all disbursed but UNDP has not submitted the claim for the amounts paid.
KYR/REF/37/TRA/06	Implementation of the RMP: training of refrigeration technicians	COM	Aug-05	97,900	24,008	73,892			MOU was made for USD 97,900 all disbursed but UNDP has not submitted the claim for the amounts paid.
KYR/SEV/37/INS/05	Establishment of the Ozone Unit in Kyrgyzstan	ONG	Dec-04	133,650	129,002	4,648			
LIR/REF/41/TRA/07	Implementation of the RMP: customs training programme	COM	Jun-06	40,040	37,651	2,389			
LIR/SEV/27/CPG/01	Country programme preparation in Liberia	COM	Sep-03	50,000	33,000	17,000			
MAS/SEV/36/INS/03	Establishment of a National Compliance Centre (institutional strengthening)	COM	Aug-06	34,000	33,178	822			All funds has been disbursed to the country
MAU/REF/33/PRP/06	Development of an RMP in Mauritius	COM	Dec-03	60,000	21,905	38,095			

Annex I

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Estimated Funds Disbursed as per 53rd Meeting (US\$)	Obligated Balance as per 53rd Meeting (US\$)	Unobligated Balance as per 53rd Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
MDV/REF/38/TAS/06	Implementation of the RMP: monitoring and review	COM	Aug-05	15,000	1,036	13,964			All funds has been disbursed and been reported in PCR as zero balance
MDV/REF/38/TRA/07	Implementation of the RMP: train the trainer programme for refrigeration service technicians	COM	Jul-05	35,000	33,000	2,000			All funds has been disbursed and been reported in PCR as zero balance
MDV/REF/38/TRA/08	Implementation of the RMP: training of customs officials and the Ozone Unit staff	COM	Nov-05	35,000	27,973	7,027	0		All funds has been disbursed and been reported in PCR as zero balance
MLI/REF/43/PRP/13	Preparation of the RMP update	COM	Nov-05	10,000	0	10,000			
MOL/REF/25/TRA/04	Implementation of the RMP in Moldova: training on monitoring and control of CFC and CFC using equipment	COM	Dec-04	66,550	38,000	21,201			MOU Signed for USD 21500. Consultant paid USD 9138. Equipment purchased USD 18,563. Technical coop USD 10,000
MYA/REF/29/PRP/03	Preparation of a refrigerant management plan in Myanmar	COM	Apr-05	30,000	5,134	24,866			All funds has been disbursed
NEP/SEV/37/INS/11	Renewal of institutional strengthening project (Phase 2)	COM	Aug-04	53,733	49,458	4,275			
NIU/SEV/44/INS/01	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	15,000	7,100	7,900			All funds has been disbursed to the country
OMA/SEV/37/TAS/07	Policy and technical assistance	COM	Feb-06	10,000	7,262	2,738			All funds have been paid. The recording of the expenditures in missing.
PER/REF/37/PRP/32	Preparation of the refrigerant management plan update proposal in Peru	COM	Jul-04	15,000	8,375	3,625			
PER/SEV/29/INS/27	Renewal of institutional strengthening in Peru (Phase II)	COM	Jun-02	102,700	57,990	44,710			
PNG/SEV/19/INS/02	Creation of an Ozone Unit in Papua New Guinea	COM	Dec-03	45,800	21,085	24,715			All funds has been disbursed to the country
SAM/SEV/39/INS/06	Extension of institutional strengthening project (phase 2)	COM	Jan-06	26,000	17,971	8,029			All funds has been disbursed to the country
SIL/SEV/35/TAS/01	Formulation of a national phase-out strategy in Sierra Leone	COM	Dec-03	40,000	15,000	25,000			
SOI/SEV/36/INS/03	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	20,250	12,150	8,100			All funds has been disbursed to the country

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SRL/REF/32/TRA/16	Implementation of the RMP: customs training programme	COM	Apr-05	87,000	45,367	41,633			All funds has been disbursed and been reported in PCR as zero balance
SRL/REF/32/TRA/17	Refrigeration training project in Sri Lanka	COM	Sep-04	196,997	140,997	56,000			Reported expenditure in the PCR is 202,499 funds disbursed through UNDP. UNDP has not submitted its claim. Difference of about 5.5K to be reconciled with other payments released to SRL.
STK/REF/44/PRP/07	Preparation of a refrigerant management plan	COM	Mar-06	15,000	10,478	2,210			
STP/SEV/44/INS/05	Establishment of the Ozone Unit (institutional strengthening)	COM	Jun-06	40,000	30,000	10,000			
STV/REF/25/TRA/03	Implementation of the RMP in St. Vincent and Grenadines : monitoring and control of ODS and ODS based equipment	COM	Feb-05	25,000	13,000	12,000			
STV/REF/44/PRP/08	Preparation of refrigerant management plan update	COM	Nov-05	20,000	13,020	6,980			
SUD/SEV/34/INS/13	Renewal of institutional strengthening project in Sudan	COM	Dec-04	112,200	43,696	68,504	0		
TON/SEV/36/INS/02	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	19,700	11,820	7,880			All funds has been disbursed to the country
TTR/SEV/36/INS/03	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	34,000	26,600	7,400			All funds has been disbursed to the country
VEN/REF/34/TRA/92	Training of customs officers	COM	Dec-05	120,000	107,836	6,000			
VEN/SEV/34/TAS/90	Review of ODS license system and Decree 3220 related to control of these substances	COM	Dec-05	80,000	35,500	44,500			
ZAM/SEV/30/INS/11	Renewal of institutional strengthening in Zambia	COM	Dec-04	50,400	7,777	42,623			Final payment effected through UNDP. UNDP has to submit the disbursement report to enable the recording of this disbursement and liquidate the obligation
Total				14,520,717	12,460,792	1,820,696	0		

UNIDO

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CPR/FOA/34/INV/376	Phase-out of CFC-12 in the manufacture of extruded polystyrene foams to butane at 9 enterprises (umbrella)	COM	Dec-04	2,808,338	2,655,750	136,278	16,310	4	54
CPR/FOA/36/INV/387	Terminal umbrella project for the elimination of CFC-12 in manufacturing of EPE foam packaging nets at 30 enterprises	COM	Dec-04	4,325,709	4,097,095	218,501	10,113	4	54
CRO/PHA/39/INV/20	Terminal phase-out management plan for CFCs in Croatia (first tranche)	COM	Apr-05	184,000	174,140	9,468	392	MY	n/a
CRO/PHA/42/TAS/22	Terminal phase-out management plan (second tranche)	COM	Dec-05	48,300	38,958	8,000	1,342	MY	n/a
DRK/REF/40/INV/26	Sectoral phase-out in domestic refrigeration sector at 5th October Electronic and Automation Company in China (first tranche)	COM	Dec-04	384,000	343,616	40,384	0	MY	n/a
GLO/REF/46/PRP/265	Project preparation in the chiller sector	COM	Jun-06	119,000	118,360	555	85	3	54
IND/PAG/34/INV/314	Conversion of carbon tetrachloride as process agent to monochlorobenzene at FDC Limited, Roha	COM	Dec-04	238,371	234,766	3,414	191	3	54
IRA/FOA/37/INV/155	Conversion from CFC-11 to n-pentane in the production of rigid foam panels at Yakhchavan Co.	COM	Dec-05	531,838	514,785	16,209	844	3	54
IRA/PHA/41/INV/162	National CFC phase-out plan: 2004 annual implementation programme	COM	Dec-05	2,465,906	2,456,441	5,592	3,873	MY	n/a
KAM/FUM/46/TAS/10	Training and awareness workshop in the fumigants sector (methyl bromide)	COM	Jul-06	30,000	27,484	1,734	782	3	54
LEB/FUM/38/INV/52	Phase-out of methyl bromide for soil fumigation in strawberry production (second tranche) in Lebanon	COM	Dec-03	421,946	409,830	11,148	968	MY	n/a
LIB/PHA/41/INV/22	National ODS phase-out plan: first tranche	COM	Aug-05	1,500,000	1,498,759	1,220	21	MY	n/a
LIB/REF/32/INV/03	Phasing out ODS in the production of refrigerators and freezers at Electrical Household Appliances Manufacturing	COM	Mar-04	558,113	365,013	191,573	1,527	4	54
MDN/ARS/32/INV/17	Phase-out of CFC-11 and CFC-12 in the manufacture of aerosols by conversion to HFC and hydrocarbon propellants at Alkaloid A.D.	ONG		110,000	99,467	0	10,533	4	54

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MOR/FUM/34/INV/44	Phase-out of methyl bromide for soil fumigation in tomato production (first tranche)	COM	Sep-05	400,000	386,888	10,644	2,468	MY	n/a
NIR/PHA/38/INV/105	National CFC phase-out plan: refrigeration manufacturing sub-sector (first tranche)	COM	Mar-06	682,386	628,661	0	53,725	MY	n/a
NIR/SOL/46/INV/113	Terminal ODS phase-out umbrella project in the solvent sector (2nd tranche)	COM	Jul-06	317,000	302,828	9,963	4,209	MY	n/a
OMA/REF/34/TAS/06	Implementation of the RMP: recovery and recycling project	COM	Dec-05	230,000	226,795	2,703	502	3	54
PAK/REF/41/TAS/56	Implementation of the RMP (institutional framework, customs empowerment, training service technicians, recovery and recycling) in Pakistan (first tranche)	COM	Dec-04	191,000	176,268	14,732	0	MY	n/a
QAT/SEV/41/INS/06	Extension of institutional strengthening project: phase 2	COM	Sep-06	44,500	43,285	1,105	110	4	55
VEN/PHA/42/INV/98	National CFC phase-out plan: 2004 work programme	COM	Sep-06	1,895,062	1,850,903	31,452	12,707	MY	n/a
Total				78,750,873	77,785,939	714,675	120,702		

: 2: Financial completion initiated; 3: Financial completion being initiated; 4: Financial completion to be initiated after settlement of all pending issues
MY = Multiyear

WORLD BANK

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Estimated Cumulative Funds Disbursed as per 53rd Meeting (US\$)	Obligated Balance as per 53rd Meeting (US\$)	Unobligated Balance as per 53rd Meeting (US\$)	Why any unobligated balances could not be returned/disbursed?	When they could be returned/disbursed?
ARG/PRO/38/INV/133	CFC production closure plan (first tranche)	COM	Dec-03	500,000	98,068	401,932	0		
ARG/PRO/44/INV/141	Strategy for gradual phase-out of CFC-11 and CFC-12 production: 2004 annual programme	COM	Dec-05	3,500,000	3,492,250	7,750	0		
ARG/PRO/45/INV/143	Strategy for gradual phase-out of CFC-11 and CFC-12 production: 2005 annual programme	COM	Apr-06	300,000	0	300,000	0		
CPR/FOA/44/INV/424	Sector plan for phase-out of CFC-11 in the China foam sector: 2005 annual programme	COM	Dec-05	10,903,000	8,805,600	2,097,400	0		
CPR/HAL/44/INV/423	Sector plan for halon phase-out in China: 2005 annual programme	COM	Nov-05	1,800,000	1,440,000	360,000	0		
ECU/PHA/41/INV/32	National CFC phase-out plan: first tranche	COM	Apr-05	777,326	555,682	221,644	0		
IDS/FOA/42/INV/160	Phase-out of residual CFCs in the foam sector	COM	Dec-05	1,725,000	1,556,393	168,607	0		
MAL/PHA/35/INV/145	National CFC phase-out plan: 2002 annual programme	COM	Dec-02	1,799,940	1,396,211	403,729	0		
MAL/PHA/37/INV/147	National CFC phase-out plan: 2002 annual plan (release of second tranche)	COM	Aug-03	2,969,065	2,790,964	178,101	0		
MAL/PHA/40/INV/149	National CFC phase-out plan: 2003 annual work programme	COM	Dec-04	2,013,100	1,869,385	143,715	0		
MAL/PHA/44/INV/154	National CFC phase-out plan: 2004 annual programme	COM	Dec-05	1,688,300	1,291,049	397,251	0		
PHI/PHA/38/INV/69	National CFC phase out plan: manufacturing sector (first tranche)	COM	Dec-03	2,858,473	2,083,356	775,117	0		
PHI/PHA/41/INV/71	National CFC phase-out plan (manufacturing sector): 2004 annual programme	COM	Dec-04	1,877,418	1,559,769	317,649	0		

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PHI/PHA/44/INV/75	National CFC phase-out plan: 2005 annual programme	COM	Dec-05	2,160,071	2,026,507	133,564	0		
THA/PHA/37/INV/138	National CFC phase-out plan: release of second tranche for implementation of the 2002 annual plan	COM	Dec-03	5,194,380	4,585,815	608,565	0		
THA/PHA/41/INV/140	National CFC phase-out plan: 2003 annual programme	COM	Mar-04	4,011,846	1,171,787	2,840,059	0		
THA/PHA/42/INV/141	National CFC phase-out plan: 2004 annual programme	COM	Apr-05	1,315,400	358,179	957,221	0		
TUR/PHA/38/INV/79	Total phase out of CFCs plan: 2003 annual programme	COM	Dec-03	2,500,000	1,818,091	681,909	0		
TUR/PHA/41/INV/81	Total phase-out of CFCs plan: 2004 annual programme	COM	Dec-04	1,000,000	640,917	359,083	0		
TUR/PHA/44/INV/85	Total phase-out of CFCs plan: 2005 annual programme	COM	Dec-05	750,000	279,287	470,713	0		
VEN/PRO/44/INV/101	Phased reduction and closure of the entire CFC production: 2004 annual programme	COM	Dec-05	3,300,000	3,295,000	5,000	0		
VEN/PRO/46/INV/106	National CFC production closure plan (second tranche)	COM	Apr-06	8,100,000	8,000,000	100,000	0		
TOTAL				104,153,919	91,476,148	11,929,010	0		