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COMITÉ EJECUTIVO DEL FONDO MULTILATERAL
PARA LA APLICACIÓN DEL
PROTOCOLO DE MONTREAL
Cuadragésima Quinta Reunión
Montreal, 4 al 8 de abril de 2005

USOS DE AGENTES DE PROCESOS EN LOS PAÍSES QUE OPERAN AL AMPARO DEL ARTÍCULO 5 Y SUS NIVELES DE EMISIÓN RELACIONADOS (DECISIÓN 44/65)

Introducción

1. Por medio de la decisión 44/65, el Comité Ejecutivo autorizó a la Secretaría la contratación de un experto en calidad de consultor, para elaborar una lista de usos de agentes de procesos en los países que operan al amparo del Artículo 5 y los correspondientes niveles de emisión, en el entendido de que no se analizarían las opciones para abordar la reducción de emisiones. La Secretaría del Fondo recomendó esta actividad a fin de facilitar la preparación de un informe del Grupo de Trabajo de composición abierta en 2005, conforme a lo requerido en la decisión XV/7 de las Partes, a saber: "Pedir al Grupo de Evaluación Tecnológica y Económica y al Comité Ejecutivo que informen al Grupo de Trabajo de composición abierta, en su 25 período de sesiones, y en años alternos a partir de entonces, a menos que las Partes decidan otra cosa, sobre los progresos conseguidos en la reducción de las emisiones de sustancias controladas derivadas de su uso como agentes de procesos y sobre la aplicación y el desarrollo de técnicas de reducción de las emisiones y procesos alternativos que no utilicen sustancias agotadoras del ozono."

2. El estudio del consultor, intitulado "*A study to catalogue process agent uses and emissions levels involving substances controlled under the Montreal Protocol in countries operating under Article 5.1 of the Protocol*" (Estudio para catalogar los usos como agentes de procesos y los niveles de emisiones de sustancias controladas conforme al Protocolo de Montreal en los países que operan al amparo del Artículo 5.1 del Protocolo) se adjunta al presente documento (Anexo I) junto con un proyecto de informe del Ejecutivo al Grupo de Trabajo de composición abierta preparado por la Secretaría (Anexo II).

Antecedentes

3. La decisión X/14 de las Partes (Anexo III) también indicaba, entre otras cosas, que el Comité Ejecutivo puede considerar una variedad de opciones para reducir las emisiones de las sustancias controladas procedentes del uso como agentes de procesos en los países que operan al amparo del Artículo 5 "a niveles que el Comité Ejecutivo acuerde que son razonablemente alcanzables sin un abandono indebido de infraestructura". Los costos adicionales de una variedad de medidas rentables, tales como, por ejemplo, conversiones de procesos, clausura de plantas, tecnologías de control de emisiones y racionalización industrial, para reducir las emisiones de las sustancias controladas a estos niveles deberían resultar admisibles para la financiación con arreglo a las normas y directrices del Comité Ejecutivo del Fondo Multilateral. El párrafo 3 de la decisión X/14 también pide al Comité Ejecutivo que acuerde niveles de emisiones que sean "razonablemente alcanzables", como antes se indica. Sobre la base de estas consideraciones, el uso de sustancias controladas como agentes de procesos no se trataría como consumo en los países que operan al amparo del Artículo 5 siempre que dichas emisiones se limitaran a los niveles que el Comité Ejecutivo hubiera acordado que resultan "razonablemente alcanzables". El Comité Ejecutivo adoptó posteriormente directrices y principios amplios para un marco para los proyectos de agentes de procesos, conforme al cual consideraría la financiación de costos adicionales para la eliminación de aplicaciones de agentes de procesos (decisión 27/28).

4. El Comité Ejecutivo ha aprobado 13 proyectos individuales para eliminar el consumo de 1 214 toneladas PAO de CTC usado como agente de proceso, a un costo de 5 192 304 $EUA. Los proyectos individuales más recientes se aprobaron en diciembre de 2001. Todos han

empleado conversión de procesos para eliminar completamente el uso de CTC, con lo que se hace innecesario el requisito de especificar niveles aceptables de emisiones residuales.

5. También se han aprobado en principio tres planes nacionales plurianuales de eliminación de CTC (China, República Popular Democrática de Corea e India), a un costo total de 122 684 044 $EUA (con inclusión de eliminación de la producción de CTC en China e India), y se ya se han comenzado a liberar la financiación de los tramos anuales. Los proyectos para China y República Popular Democrática de Corea incluyen disposiciones que estipulan que los países pueden solicitar asistencia adicional del Fondo Multilateral para eliminar completamente un nivel específico de consumo en aplicaciones como agentes de procesos potenciales que no se incluyeron en la decisión X/14 como usos aprobados como agentes de procesos en el momento en que el Comité Ejecutivo consideró dichos proyectos; sin embargo, no se solicitará más asistencia para eliminar CTC.

6. Los usos adicionales de China se aprobaron posteriormente conforme a la decisión XV/6 de las Partes (Anexo IV). La República Popular Democrática de Corea aún tiene cuatro aplicaciones que no están incluidas en la lista de aplicaciones aprobadas en la decisión XV/6. Las cuatro aplicaciones se encontraban entre aquellas recomendadas por el GETE en su informe de 2004. La India ha identificado ocho usos que no están incluidos en la decisión XV/6; sin embargo, la India ha acordado con el Comité Ejecutivo eliminar todo el consumo de CTC sin otra asistencia del Fondo y el acuerdo le otorga flexibilidad para reasignar la financiación de la manera que mejor facilite la eliminación.

7. Con la excepción de tres aplicaciones, la eliminación completa de las SAO utilizadas como agentes de procesos pertinentes se logrará en todo los proyectos presentados al Comité Ejecutivo por medio del cambio del proceso para eliminar el uso de SAO. Las excepciones son tres aplicaciones incluidas en el plan de eliminación de CTC para China, donde actualmente se usa CTC para el que deben proponerse controles de emisiones para limitar las emisiones futuras de CTC a niveles aceptables. Estas actividades se abordarán en una etapa posterior de la ejecución del plan sectorial y no se dispone actualmente de los detalles técnicos.

Estudio para catalogar los usos como agentes de procesos y los niveles de emisiones

8. El consultor dispuso de los siguientes recursos: datos de consumo informado por las Partes con arreglo al Artículo 7 del Protocolo y en los informes anuales sobre la ejecución de los programas de país, datos de uso de las empresas y otra información técnica incluida en todas las propuestas de proyectos individuales y plurianuales relativos a aplicaciones como agentes de procesos aprobados por el Comité Ejecutivo, e información de una encuesta sobre uso de agentes de procesos y emisiones realizada por el consultor en conjunto con la Secretaría.

9. La encuesta de los países se realizó por medio de un cuestionario distribuido a 26 países que operan al amparo del Artículo 5. Se seleccionó a esos 26 países porque habían informado explícitamente un consumo en el sector de agentes de procesos o bien habían informado un consumo mayor que 1 tonelada PAO de una o más de las tres SAO identificadas como agentes de procesos en informes anteriores (es decir, CTC, CFC-113 y bromoclorometano [BCM]). Se tomó esta medida a fin de asegurar que los usos como agentes de procesos no se pasaran por alto inadvertidamente, habiéndoselos informado anteriormente como usos como solventes. El

informe del consultor incluye una descripción completa de la metodología, así como los cuestionarios.

10. Al momento de la redacción del estudio (16 de marzo de 2005) se habían recibido respuestas de 12 países. Resulta importante destacar que entre los 12 países que respondieron se incluyen países de consumo medio o alto que no estaban ejecutando planes de eliminación de CTC, pero que podrían ser potenciales candidatos para el consumo de agentes de procesos. Por lo tanto, se suministró información útil que confirmó que había un bajo consumo de agentes de procesos además de lo que ya se había informado explícitamente a la Secretaría del Fondo. Los documentos de proyecto que respaldan los tres planes nacionales de eliminación de CTC para China, República Popular Democrática de Corea e India, sumados a la información de los proyectos individuales anteriores proporcionaron por cierto la mayor parte de la información detallada que se utilizó en el estudio.

Hallazgos

11. Las principales conclusiones del estudio se encuentran en el resumen ejecutivo que se reproduce en forma completa a continuación.

> Resumen ejecutivo
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> 12. El objetivo de este estudio es catalogar los usos como agentes de procesos y los niveles de emisiones relacionados en los países que operan al amparo del Artículo 5.1 del Protocolo de Montreal (países en desarrollo). Se excluyó específicamente el análisis de las opciones para la reducción de emisiones.
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> 13. El estudio incluyó un estudio teórico de datos de consumo anual de SAO proporcionado por las Partes a la Secretaría del Ozono con arreglo al Articulo 7 del Protocolo y a la Secretaría del Fondo en los informes anuales sobre la marcha de la ejecución de los programas de país. Estos datos, junto con los planes de proyecto y los planes de eliminación, proporcionaron la mayor parte de la información. Se continuó el estudio con un cuestionario enviado a los países que operan al amparo del Artículo 5.1 pertinentes a fin de determinar su uso actual de sustancias controladas en aplicaciones como agentes de procesos y los niveles de emisiones de dichos procesos. No se incluyó en el estudio el uso de sustancias controladas como materia prima química para la fabricación de fluorocarbonos en la República Popular China y para la fabricación de DV ácido clorhídrico como producto químico intermedio en la India.
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> 14. Se encuestó a alrededor de 26 países; el criterio para la inclusión fue el uso de más de 1 tonelada PAO de una sustancia controlada como agente de proceso o como solvente. A la fecha, se han recibido 12 respuestas. Los principales hallazgos que surgen de la información contenida en los proyectos y planes de eliminación en poder de ambas Secretarías y las respuestas a los cuestionarios son:

- En la mayoría de los casos, el agente de proceso se usa como solvente de procesos. Esta situación es particularmente cierta en el caso del tetracloruro de carbono (CTC), que representa el total de las emisiones salvo el 0,4%[1];
- Con dos excepciones, se realiza alguna forma de reciclaje del solvente de proceso. Éstas son la producción de ketinofeno en la República Popular China y de ácido ascórbico en la República Popular Democrática de Corea;
- La medición más informativa de la eficacia del confinamiento del solvente en el proceso completo es el factor de uso; ésta es la cantidad anual de agente de proceso consumida (también denominada "cantidad aportada") en relación con la cantidad de producto producido anualmente;
- Cuanto más cercano a cero es el factor de uso, tanto más eficaz es el reciclaje del agente de proceso; los valores varían entre 0,006 y 13,4 para todos los usos. Incluso en las seis aplicaciones que usan más de 1000 toneladas PAO por año de agentes de procesos, se informaron factores de uso entre 0,12 y 1,6. Por lo tanto, la eficacia del reciclaje es altamente variable. No obstante, en cualquiera de los procesos, una mejora del reciclaje resultaría tan eficaz para reducir las emisiones de agentes de procesos como la captura y destrucción de las emisiones;
- Ninguna de las Partes suministró pruebas de que se destruyeran actualmente los agentes de procesos; por lo tanto, las cantidades que se liberan al medio ambiente son equivalentes a las cantidades utilizadas para reponer el material en el proceso, o las cantidades aportadas. Todos los agentes de procesos objeto de estudio tenderán a emigrar hacia el compartimiento atmosférico del medio ambiente (en contraposición al agua, el suelo o la fauna y la flora).
- La variación anual del consumo informada por las Partes puede resultar tanto confusa como elevada. Éste es el caso especialmente cuando los usos de agentes de procesos se calculan como el remante después de importaciones, exportaciones, producción doméstica y usos como materia prima, sin contabilizar los cambios en las existencias (inventario).
- Según los cálculos basados en los mejores datos disponibles en los planes nacionales y en los informes a las Secretarías del Protocolo, el uso total de agentes de procesos en los países que operan al amparo del Artículo 5.1 fue de alrededor de 13 600 toneladas PAO por año en 2003. Sin embargo, considerando los motivos antes expuestos, existe una gran incertidumbre respecto de esta cifra. Por ejemplo, la suma simple de todos los datos informados en 2003 da como resultado 23 300 toneladas PAO, un valor que casi seguramente es erróneo, ya que hubiera requerido que una Parte duplicara su uso de agentes de proceso, contraviniendo en forma directa su plan nacional de eliminación;
- Las aplicaciones del total de 13 600 toneladas PAO usadas, menos el 0,2%, se describen en los planes nacionales o en los proyectos aprobados en forma individual; sin embargo, 7 350 toneladas PAO de esta cantidad pueden estar

[1] El resto de las emisiones son de CFC-113 y bromoclorometano (BCM).

sujetas a solicitudes adicionales de apoyo del Fondo Multilateral, a pesar de estar ya identificadas en los planes de eliminación.

- Alrededor de 94% del consumo identificado se produce en aplicaciones que están ahora incluidas en las listas de agentes de procesos conforme a las decisiones XV/6 y XV/7 adoptadas en la 15ª Reunión de las Partes;
- Se propone lograr alrededor de 91% de la reducción del uso de agentes de procesos por medio de cambios de tecnología (que incluyen la sustitución del agente de proceso por una sustancia no controlada) o por medio del cierre de las plantas. Se espera lograr el 9% restante por medio de controles de emisiones para reducir al mínimo, capturar y destruir las sustancias controladas que se liberan a la atmósfera. Sin embargo, no se presentaron pruebas de que se estén aplicando dichos procedimientos actualmente.

Repercusiones de los hallazgos del estudio para la labor futura del Comité Ejecutivo

15. En relación con la labor del Comité Ejecutivo para brindar asistencia a los países que operan al amparo del Artículo 5 para cumplir con sus límites de control de CTC por medio de la eliminación del consumo de agentes de proceso, las repercusiones de los principales hallazgos parecen ser las siguientes:

- De las SAO identificadas como agentes de procesos, que ascienden a un total de alrededor de 13 600 toneladas PAO, 97% fueron notificadas por tres países, China (10 538 toneladas PAO), India (2 268 toneladas PAO) y República Popular Democrática de Corea (432 toneladas PAO), que tienen planes de eliminación de CTC vigentes. Por lo tanto, ya se ha abordado, en principio, la mayor parte de la eliminación requerida en el sector de agentes de procesos;

- Se ha identificado consumo en 18 aplicaciones además de aquellas aprobadas hasta ahora por las Partes para el uso como agentes de procesos. No obstante, este consumo asciende a sólo 6% u 844 toneladas PAO del total del uso de agentes de procesos identificado:

  - alrededor de la mitad de esta cantidad, unas 402 toneladas PAO, han sido identificadas por la India en ocho aplicaciones y, por lo tanto, serán eliminadas sin apoyo adicional del Fondo;

  - se puede anticipar que se recibirán solicitudes de asistencia para completar la eliminación en la República Popular Democrática de Corea y Rumanía, si es que las aplicaciones que se producen en dichos países son aprobadas por las Partes, y cuando fueran aprobadas;

- Podría existir cierta incertidumbre respecto a si se han identificado todos los usos en los países que operan al amparo del Artículo 5; por ejemplo, Irán indicó en su respuesta que se requeriría un estudio para poder confirmar si existían usos como agentes de procesos en el país:

- no obstante, sólo se ha identificado un consumo actual o reciente de una determinada cantidad de SAO como agente de proceso en 13 países, y se ha identificado un consumo de más de 100 toneladas sólo en cuatro países;

- Las aplicaciones de agentes de procesos no aprobadas y financiadas deben ser tratadas aún por medio de tecnologías de control de emisiones; todas se han abordado por medio de la eliminación del uso de CTC en el proceso o por medio del cierre de las plantas;

- Respecto de la principal aplicación identificada para el uso futuro de tecnología de control de emisiones en China (con un uso anual de 1 200 toneladas PAO), se incluye un proceso similar en el plan de eliminación de la República Popular Democrática de Corea. Sin embargo, en el caso de la República Popular Democrática de Corea, el organismo pertinente ha identificado una tecnología que eliminará la necesidad de usar CTC:

  - puede resultar apropiado pedir al Banco Mundial que consulte con la ONUDI acerca de la posibilidad de aplicar la tecnología al proceso correspondiente en China, con miras a eliminar también el uso de CTC en dicho proceso.

Informe a la 25ª Reunión del Grupo de Trabajo de composición abierta

16. El estudio técnico para catalogar los usos como agentes de procesos y los niveles de emisiones se puede usar como base para proporcionar gran parte de la información solicitada por las Partes por medio de su decisión XV/7. Por lo tanto, la Secretaría ha incorporado la información pertinente en un proyecto de informe a la 25ª Reunión del Grupo de Trabajo de composición abierta que se encuentra en el Anexo II de este documento.

Conclusiones

17. Tras considerar este estudio y el proyecto de informe a la 25ª Reunión del Grupo de Trabajo de composición abierta, el Comité Ejecutivo podría considerar:

a) Pedir a la Secretaría que:

i) finalice la redacción del proyecto de informe, incorporando los puntos de vista de los miembros del Comité Ejecutivo expresados en la 45ª Reunión y que solicite la aprobación de la Presidente del Comité Ejecutivo;

ii) presente el informe a la 25ª Reunión del Grupo de Trabajo de composición abierta, junto con el estudio para catalogar los usos como agentes de procesos y los niveles de emisiones de sustancias controladas conforme al Protocolo de Montreal en los países que operan al amparo del Artículo 5.1 del Protocolo, recomendando que el estudio técnico se ponga a disposición de la 25ª Reunión como documento informativo.

b) Pedir al Banco Mundial que consulte con la ONUDI a fin de determinar si la tecnología propuesta para el plan de eliminación de CTC para la República Popular Democrática de Corea puede aplicarse al uso similar en China para el que se proponen actualmente controles de emisiones.

Annex I

A Study to Catalogue Process Agent Uses and Emissions Levels Involving Substances Controlled under the Montreal Protocol in Countries Operating under Article 5.1 of the Protocol

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Executive Summary

The aim of this study is to catalogue process agent uses and related emission levels in countries operating under Article 5.1 of the Montreal Protocol (developing countries). Analysis of options for emissions reductions was specifically excluded.

The survey involved a desk study of annual ODS consumption data, provided by the Parties, to the Ozone Secretariat under Article 7 of the Protocol and to the Fund Secretariat under annual reports on the progress of implementation of country programmes. These, together with the project plans and phase-out plans provided most of the information. The study was followed up by a questionnaire to relevant Article 5.1 countries to ascertain their current usage of controlled substances for process agent applications and the levels of emissions from the processes. Use of controlled substances as chemical feedstocks for fluorocarbons manufacture in the People's Republic of China and in India and for the production of the intermediate chemical DV acid chloride in India were not included in this study.

Some 26 countries were surveyed; the criterion for inclusion being process agent or solvent use of a controlled substance comprising more than 1 ODP tonne per year. To date, 12 responses have been received. The principal findings from the information in the projects and phase-out plans already held by the Secretariats and the responses to questionnaires are:

- In most cases, the process agent is used as a process solvent. This is particularly so for carbon tetrachloride (CTC) which constitutes all but 0.4% of the emissions[1].
- With two exceptions, some form of recycle of the process solvent is carried out. The exceptions are the production of Ketotifen in the People's Republic of China and l-Ascorbic acid in the Democratic People's Republic of Korea.
- The most informative measure of the effectiveness of containment of the solvent in the whole process is the use factor (or *usage*); this is the annual quantity of process agent consumed (also known as the "makeup quantity") relative to the annual quantity of product made.
- The closer the use factor is to zero, the more effective is the recycle of process agent and values range from 0.006 to 13.4 for all uses. Even in the six applications using more than 1,000 ODP tonnes per year of process agent, use factors from 0.12 to 1.6 were reported. Thus the effectiveness of recycling is highly variable. Nevertheless, in any particular process, improved recycling would be as effective in reducing process agent emissions as the capture and destruction of the emissions.
- No Party provided evidence for current destruction of process agents and so the quantities that are lost into the environment are equal to the quantities used to replenish material in the process - the "makeup quantities". All of the process agents under consideration will tend to migrate into the atmospheric compartment of the environment (as against water, soil or biota).

[1] The rest of the emissions are CFC-113 and bromochloromethane (BCM).

- The year to year variation in the consumption reported by Parties can be misleading and high. This is particularly the case where process agent uses are calculated as the remainder after accounting for imports, exports, domestic production and feedstock use, without allowing for changes in stock holding (inventory).
- Calculated from the best available data in national plans and the reports to the Protocol Secretariats, total process agent use in Article 5.1 countries was in the region of 13,600 ODP tonnes per year in 2003. However, for the reasons given above, the uncertainty of this number is large. For example, the simple sum of all reported data in 2003 is 23,300 ODP tonnes; a value that is almost certainly in error since it would have required a doubling in process agent use by one Party in direct contravention of its national plan for phase-out.
- The applications of all but 0.2% of the 13,600 ODP tonnes used are described in national plans or in individually approved projects but 7,350 ODP tonnes of this, while already identified in phase-out plans, may be the subject of additional requests for support from the Multilateral Fund.
- Some 94% of the identified consumption is in applications that are now listed as process agents under decisions XV/6 and XV/7 taken at the Fifteenth Meeting of the Parties.
- About 91% of the reduction in process agent use is proposed to be accomplished by changes in technology (including change in the process agent to a substance that is not controlled) or by shutting down the plant. The other 9% is expected to be achieved by emission controls to minimise, capture and destroy controlled substances vented to atmosphere. However, no evidence was presented to indicate that such procedures are happening now.

Introduction

At their fifteenth meeting the Parties to the Montreal Protocol requested the Executive Committee to report to the twenty fifth session of the Open-ended Working Group (in July 2005) on the progress made in reducing emissions of controlled substances from process-agent uses and on the implementation and development of emissions-reduction techniques and alternative processes not using ozone-depleting substances. Subsequently at its 44th Meeting, the Executive Committee of the Multilateral Fund authorised the study in this form to catalogue process agent uses and related emission levels (excluding the analysis of options for emissions reductions) in countries operating under Article 5.1 of the Montreal Protocol (developing countries).

The survey involved a desk study of annual ODS consumption data provided to the Ozone Secretariat under Article 7 of the Protocol and to the Fund Secretariat under annual reports on progress with implementation of country programmes, followed up by a questionnaire to relevant Article 5 countries to ascertain their usage of controlled substances for process agent applications and the levels of emissions from the processes.

The Nature of Process Agents

A Process Agent is defined in the Process Agent Task Force Report of 1997 [*1*] as a controlled substance that because of its unique chemical or physical properties facilitates an intended chemical reaction or inhibits an unintended (undesired) chemical reaction. Thus a solvent that facilitates a chemical reaction simply by dissolving the reagents and does not react itself with those reagents meets the criteria for a process agent. Many of the process agent applications described in this report fall into that category.

In a broader context, the chemical and physical properties that make a controlled substance suitable for use as a process agent in a chemical process include:

chemical inertness in the chemical reaction process,
appropriate physical properties, e.g.
- Boiling point
- Vapour pressure
- Specific solvency,

non-flammability and the ability to suppress explosion.

They are used:

to facilitate reactions, including entering into the reaction acting as chain transfer agents,
to control the desired physical properties of a process, e.g.,
- Molecular weight
- Viscosity,

to increase plant yield and
to minimise undesirable by-product formation.

The complete definition is given in Appendix A.

Where the controlled substance is a major component of the reaction mixture and becomes transformed during the reaction and is incorporated chemically into the product, it should be treated as a chemical feedstock.

The process agents considered in this report comprise only those that were listed in the responses from Article 5.1 Parties:

> Carbon tetrachloride (CTC, CCl_4),
> Fluorotrichloromethane (CFC-12, CCl_2F_2),
> Trichlorotrifluoroethane (CFC-113, CCl_2FCClF_2) and
> Bromochloromethane (BCM, CH_2ClBr)

and, throughout the report, the materials will be referred to by their short names - CTC, CFC-12, CFC-113 and BCM.

Approved uses covered by Decisions XV/6 and XV/7, taken at the Fifteenth Meeting of the Parties are listed in Appendix B, Table 1, plus brief descriptions of the reasons for using the agent and ways that emissions can be reduced. Appendix B, Table 2 carries a similar list for applications not yet approved. In both cases, only the applications that have been identified by Article 5.1 Parties are listed.

Reductions in emissions may be accomplished in a number of ways through optimisation of the process. On the other hand, elimination of emissions requires more radical approaches. These involve changes to the process to avoid use of controlled substances, shut-down of the process (and cessation of manufacture) or treatment of the process streams that are released into the environment to destroy the controlled substances they contain. The extent of emission of the controlled substance is different for each process agent application.

In the general case where controlled substances are used as process agents, the supply is utilized to replenish process inventory lost as the result of transformation, destruction and emissions to the atmosphere from the process and/or trace quantities slowly emitted from the product. Therefore the supply required for replenishment of lost inventory is referred to as "makeup" and defined as follows:

Make up quantity: The quantity of controlled substance per year, needed to continue the manufacture of products in a plant, due to transformation, destruction and inadvertent losses (i.e. emissions and residual amounts in final product) [*2*].

Feedstock Uses

Carbon tetrachloride is used in India and China as a chemical feedstock in the manufacture of CFCs 11 and 12 (fluorotrichloromethane and dichlorodifluoromethane), in the course of which all of the quantity used is either chemically converted or lost into the environment from process leaks [*3, 4*]. It is not a process agent in this application.

Carbon tetrachloride is also used in India as a chemical feedstock to make "DV acid chloride", 3-(2,2-dichloroethenyl)-2,2-dimethyl cyclopropane carbonyl chloride or *cypermethric acid chloride*. This is an intermediate in the manufacture of insecticides. No details of the process were made available but the consumption is significant, at several thousand tonnes per year, and increasing rapidly. Emissions of CTC from the process were stated to be much less than 7% of the make-up quantities and this use is not treated as process agent in this report [*3*].

Emissions

CTC

This is the most significant process agent in terms of both its range of applications and the quantities involved. In a large number of the processes, CTC is used as a solvent (*see Appendix B, Tables 1 and 2*) to facilitate the chemical reaction. It is recovered and recycled within the process by a variety of means: distillation and decantation being the more common. The recovery and recycle regime can be highly effective; for example in the average chlorinated rubber process in China the instantaneous inventory is in the region of 10 tonnes but some 160 tonnes/year of CTC passes through each processes [*4*]. It can also be non-existent; in the same country the production of Ketotifen is accompanied by total loss of the 13.4 tonnes of CTC used to make each tonne of product [*4*]. The data in Appendix B contain values, as reported in the reference documents, for:

> The use ratio (also reported as usage). This is the quantity of process agent consumed per unit of product and is a measure of the overall efficiencies of use, recovery and recycle. With total recovery and recycle, the use ratio would be zero but, in practical situations, some makeup quantities are required and some material is destroyed within the process. The use ratio combines all of these influences and so is reported here. Furthermore, most of the reference documents provide enough information to calculate use ratios.
>
> The emission ratio (instantaneous quantity of process agent not recovered relative to the quantity in use). There are many fewer data for this and the number itself is less informative than the use ratio. A low emission ratio simply indicates that only a small proportion of the mass in circulation in the process is lost each time it passes through. That could still mean that the use ratio is significant. For example, if the emission ratio were 4% and 30 tonnes of process agent were circulated for each tonne of product, then 1.2 tonnes of process agent would be lost for each tonne of product, giving a use ratio of 1.2.

None of the processes is completely sealed and losses occur by leakage of CTC directly into the atmosphere (from storage and processing vessels) and also indirectly, after being released into surface water. It has been demonstrated that chlorinated solvent (such as CTC) in a contaminated surface water course rapidly migrates into the atmosphere, rather that remaining in the water [*5, 6*]

Emission ratios vary from 100% (total loss of the material as it is used) to a few percent (effective recycle procedures) but, in an established process unless specific procedures have been put in place to collect and destroy the potential emissions ("emission control technology"), the quantity required each year for process agent use is equal to the quantity lost into the environment. Although the possibility of emission control technology was discussed in some national plans [*3, 4*], no party claimed that emission control is currently being operated (or indeed that it has been installed). Consequently, the quantity of material emitted was set equal to the quantity used.

With very few exceptions, the process agents are recycled to some extent through the processes, with varying degrees of success in their recovery and containment. However, for most, if not all, of the CTC uses reported by Article 5.1 Parties, there is no transformation within the process and no deliberate destruction and so make-up quantities are equal to the quantities emitted.

CFC-12
Consumption of this controlled substance as a process agent was reported historically by one Party (see Table 5). While it was thought to be used as a purifying agent in primary aluminium production, the exact nature of the process agent application was not made available and, although the material and this application were included in the survey, no details could be given in Appendix B. No CFC-12 is now used in this application.

CFC-113
The single process agent application for CFC-113 considered here is in the production of fluoropolymer resins. In this case, emissions may be reduced by capture and treatment of the process streams that are released into the atmosphere [*4*].

BCM
Two uses for this material are included in Appendix B. In the first, the manufacture of the pharmaceutical Losartan Potassium (Losartan K), use of BCM as a process agent was approved under Decisions XV/6 and XV/7 of the Fifteenth Meeting of Parties. In the second, BCM is a reagent and solvent in the chloromethylation of Sulbactam to make chloromethylpenicillinate-S,S-dioxide. In the course of this reaction it is a chemical reagent that is completely incorporated into the product molecule and gives rise to sodium bromide as a co-product. Although this use is still included in those subsequently listed in this report as process agents, it would appear that it is more accurately characterised as a feedstock.

Box 1.
Article 5.1 Parties reporting consumption under Article 7 of the Montreal Protocol

Afghanistan, Albania, Algeria, Angola, Antigua and Barbuda, Argentina, Armenia, Bahamas, Bahrain, Bangladesh, Barbados, Belize, Benin, Bhutan, Bolivia, Bosnia and Herzegovina, Botswana, Brazil, Brunei Darussalam, Burkina Faso, Burundi, Cambodia, Cameroon, Cape Verde, Central African Republic, Chad, Chile, China, Colombia, Comoros, Congo, Democratic Republic of Congo, Cook Islands, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Cyprus, Djibouti, Dominica, Dominican Republic, Ecuador, Egypt, El Salvador, Ethiopia, Federated States of Micronesia, Fiji, Gabon, Gambia, Georgia, Ghana, Grenada, Guatemala, Guinea, Guinea Bissau, Guyana, Haiti, Honduras, India, Indonesia, Islamic Republic of Iran, Jamaica, Jordan, Kenya, Kiribati, Democratic People's Republic of Korea, Republic of Korea, Kuwait, Kyrgyzstan, Lao People's Democratic Republic, Lebanon, Lesotho, Liberia, Libyan Arab Jamahiriya, Madagascar, Malawi, Malaysia, Maldives, Mali, Marshall Islands, Mauritania, Mauritius, Mexico, Moldova, Mongolia, Morocco, Mozambique, Myanmar, Namibia, Nauru, Nepal, Nicaragua, Niger, Nigeria, Niue, Oman, Pakistan, Palau, Panama, Papua New Guinea, Paraguay, Peru, Philippines, Qatar, Romania, Rwanda, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Samoa, Sao Tome and Principe, Saudi Arabia, Senegal, Serbia and Montenegro, Seychelles, Sierra Leone, Singapore, Solomon Islands, Somalia, South Africa, Sri Lanka, Sudan, Suriname, Swaziland, Syrian Arab Republic, United Republic of Tanzania, Thailand, The Former Yugoslav Republic of Macedonia, Togo, Tonga, Trinidad and Tobago, Tunisia, Turkey, Tuvalu, Uganda, United Arab Emirates, Uruguay, Vanuatu, Venezuela, Viet Nam, Yemen, Zambia, Zimbabwe.
Source, reference [*7*]

Table 1. Summary of Country Studies

Country	Not examined - use less than 1 ODP tonne	Individual Process Agent Application(s)	Process Agent(s) approved as part of National Plan	Potential Process Agent use	Responded to question-naire	Included in further study
Algeria				✓		
Argentina		✓			✓	✓
Bahamas				✓		
Bahrain	✓					
Bangladesh				✓		
Barbados	✓					
Bolivia	✓					
Brazil		✓				
China, PR			✓			✓
Colombia		✓			✓	✓
Congo, DR				✓		
Cuba	✓					
Egypt		✓			✓	
Ghana	✓					
India			✓			✓
Indonesia				✓		
Iran *				✓	✓	
Jordan				✓	✓	
Korea, DPR			✓			✓
Lebanon	✓					
Mauritius	✓					
Mexico		✓			✓	
Morocco	✓					
Myanmar	✓					
Nepal	✓					
Nigeria				✓		
Oman	✓					
Pakistan		✓				✓
Paraguay				✓		
Peru	✓					
Romania		✓			✓	✓
Sri Lanka		✓			✓	✓
Sudan		✓				
Syria				✓	✓	
Tanzania	✓					
Tunisia	✓					
Turkey		✓			✓	✓
Uganda	✓					
Uruguay	✓					
Venezuela				✓		
Yemen **				✓	✓	
Zimbabwe				✓	✓	

* The response from Iran indicated that a further survey would be required to ascertain applications and quantities of process agents

** In the period of writing this report, consumption of Process Agent reported by Yemen was amended to below the 1 ODP tonne threshold.

Methodology-
Survey by Questionnaire

Based on submissions of the Parties to the Ozone Secretariat (as required by Article 7 of the Montreal Protocol) and submissions to the Fund Secretariat, a list of candidate Parties was compiled. The criteria for inclusion in this initial screen of the 143 Article 5.1 Parties, that report under Article 7 (see Box 1), was that they should have either declared a process agent consumption or the consumption of a compound of interest in the "solvent" application category. Throughout this study, it has been assumed that submissions by parties are accurate and exact numerically but this is not always consistent with the actual results.

The list of Parties surveyed is shown in Table 1 and comprises 42 of the 143 eligible Parties. At this stage, 16 countries were deselected because, although they had reported individual consumption as either a process agent or solvent, the value was less than 1 ODP tonne. Although this is a rather arbitrary cut-point, it represents only 1/100th of 1 percent of the total process agent use by Article 5.1 Parties and is a defensible *de minimis* level.

The remaining 26 Parties received questionnaires individually designed to elicit their latest data for the quantities, nature and applications of process agent use. For the 10 Parties with process agent uses declared in individually approved projects and the three Parties whose process agent declarations were part of National Plans, the questionnaire sought to update the information previously provided. In addition, the questionnaire provided the opportunity for the Party to list any other applications of the controlled substances as process agents that had not been submitted as approved projects or in applications that have not yet been approved (as process agents by Parties), although they could meet the criteria.

The general form of this questionnaire is shown in Appendix C. In the particular case cited, one of the process agent applications that was part of the National Plan is no longer approved by the Parties (the manufacture of Ketotifen). However, when the National Plan was drawn up, Ketotifen was on the approved list and it appears to remain, technically, a process agent application of CTC, so was cited in this part of the form for this country.

The thirteen parties remaining had submitted data that showed solvent applications for one of the controlled substances of interest. In these cases a questionnaire of the form shown in Appendix D was employed with the aim of eliciting whether or not any part of that use could have been as a process agent and, if so, in what application.

In total twelve responses were received. In the absence of a response from countries receiving a general questionnaire, it was assumed that their solvent applications had been correctly reported and that they could be excluded from further study. The responses from three Parties also enabled them to be eliminated:

> *Argentina,* where BCM is no longer used in the manufacture of Losartan K and the 13.86 tonnes of CTC used in petroleum reforming catalyst treatment has been reclassified by the party as feedstock because it is destroyed;
>
> *Egypt*, where 51 ODP tonnes of CFC-12 had been declared as a process agent apparently to purify primary production aluminium, reported that controlled substances were no longer used for this application and

Mexico, where a 26.4 ODP tonne use of CFC-113 had actually been miscategorised.

Process agent applications in Colombia, Romania, Sri Lanka, Sudan and Turkey were examined on the basis of their responses to the questionnaires and information supplied to the Ozone and Fund Secretariats. Similar applications in China, India, D.P.R. of Korea and Pakistan were studied using the National Plans or individual process agent approvals.

Results

There are three classes of Application:

1. Those that are approved under Decisions XV/6 and XV/7 from the Fifteenth meeting of Parties. The method of use of the process agent is well described and the essentials are listed in Appendix B, Table B.1.
2. Those that are not approved under a decision of the Parties but which are also well documented and are listed in Appendix B, Table B.2. In some cases, although the method of use of the controlled substance is documented, no actual use is reported by any of the Parties.
3. Those for which quantities are claimed by some Parties but which are not well documented. These are listed without further comment at the end of Table B.2.

The quantities used in each application are listed in Table 2 which also shows the source of information and the countries reporting use. In total, some 13,621 ODP tonnes of process agents have been reported as being used by Article 5.1 Parties, comprising 13,569 ODP tonnes of CTC, 40 of CFC-113 and 12 of BCM. The last figure is material used in Turkey for the manufacture of Sultamicillin antibiotic that, in fact, may be feedstock.

The values were taken from the latest information that gave consumption in individual applications; either the responses to the questionnaires or data submitted to the Fund Secretariat and Ozone Secretariat. This has resulted in total values that are significantly less than the total value for the year 2003 published by the Ozone Secretariat. This is almost wholly due to the values reported by the People's Republic of China and will be discussed later.

Emissions were assumed to equal consumption. Firstly, no Party reported that emissions were any different from consumption in their responses to the questionnaire, and secondly, the technical data summarised in Appendix B suggests that, in most cases, emission reductions would result only from changes in process agent use. The exceptions are the uses of CFC-113 for fluoropolymer resins, CTC for Ketotifen and CTC for the manufacture of chlorosulphonated polyolefin in the People's Republic of China, where vents treatment systems that will reduce emissions by destroying the controlled substance component are planned [*4*]. These applications account for 9% of the emissions that potentially can be abated. Alternative technology has been proposed to stop emissions of CTC from the manufacture of chlorosulphonated polyolefin in the DPR of Korea by changing to a new, solvent free fluidised bed process [*10*].

In the region of 94% of the quoted consumption is in applications that have been approved under Decisions XV/6 and XV/7 by the Fifteenth Meeting of the Parties and are shown on the first page of Table 2. The remainder, shown on the second page of Table 2 have yet to be considered by the Parties for approval, or were dropped from the approved list for procedural reasons. For example, the manufacture of Ketotifen was in the list approved initially [*1*] but is not on the current list although it is included in a national plan that was approved in the meantime [*4*].

Table 2. Process Agent Use and Emission by Article 5.1 Parties

Process Agent	Application (approved under decisions XV/6 and XV/7)	References	Emission, equal to Use ODP tonnes	Countries
CTC	Elimination of nitrogen trichloride in the production of chlorine	*1, 8, 9*	2.75	Colombia
CTC	Manufacture of chlorinated rubber	*3,4,10,11*	1908	China, India, DPR Korea
CTC	Manufacture of Endosulphan insecticide	*1,3,12,13*	290	India
CTC	Manufacture of isobutyl acetophenone (Ibuprofen analgesic)	*3, 14, 15, 16, 17, 18, 19*	274	India, Pakistan
CTC	Manufacture of 1,1-bis (4-chlorophenyl) 2,2,2-trichloroethanol (Dicofol insecticide)	*1, 3, 20*	76	India
CTC	Manufacture of chlorosulphonated polyolefin (CSM)	*1,4, 10, 21*	1375	China, DPR Korea
CFC-113	Manufacture of fluoropolymer resins	*4, 22*	40	China
CTC	Manufacture of chlorinated paraffin	*1, 3, 4, 23*	1442	China, India
CTC	Manufacture of bromohexine hydrochloride	*3, 24, 25, 26, 27, 28*	234	India
CTC	Manufacture of Diclofenac sodium	*3, 29, 30*	561	India
CTC	Manufacture of phenyl glycine	*3, 31, 32, 33*	15	India
CTC	Manufacture of chlorinated polypropylene Manufacture of chlorinated EVA	*4*	1942	China
CTC	Manufacture of methyl isocyanate derivatives	*4*	1440	China
CTC	Manufacture of 3-phenoxybenzaldehyde	*4*	520	China
CTC	Manufacture of 2-chloro-5-methylpyridine	*4*	282	China
CTC	Manufacture of Imidacloprid	*4*	1230	China
CTC	Manufacture of Buprofenzin	*4*	964	China
CTC	Manufacture of Oxadiazon	*4*	17	China
CTC	Manufacture of chloridized N-methylaniline	*4*	103	China
CTC	Manufacture of Mefenacet	*4*	23	China
CTC	Manufacture of 1,3-dichlorobenzothiazole	*4*	28	China
BCM	Manufacture of Losartan potassium	*2,34*	2.4	Argentina

Process Agent	Application (not yet approved)	References	Emission, equal to Use ODP tonnes	Countries
BCM	Manufacture of Sultamicillin	*35*	12	Turkey
CFC-11	Purification of aluminium	no data	0	Egypt
CTC	Manufacture of Ampicillin	*3, 31, 32, 33*	*Included in phenyl glycine above*	
CTC	Manufacture of ascorbic acid	*2, 10, 36*	79.2	DPR Korea
CTC	Manufacture of betamethazone phosphate	*33*		
CTC	Manufacture of Cefaclor®	*33*		
CTC	Manufacture of Ceftriaxone®	*33*		
CTC	Manufacture of Chlorophenesin	*33*	44	India
CTC	Manufacture of Ciprofloxacin	*2, 10, 33, 36*	82.5	DPR Korea
CTC	Manufacture of Clotrimazole	*33*		
CTC	Manufacture of Cloxacillin	*33*		
CTC	Manufacture of dexamethazone phosphate	*33*	55	India
CTC	Manufacture of estramustine phosphate	*33*		
CTC	Manufacture of the herbicide 2,4-D	*2, 37*	22	Romania
CTC	Manufacture of the herbicide DHEPC	*2, 37*	135.3	Romania
CTC	Manufacture of isosorbide mononitrate	*33*	6	India
CTC	Manufacture of Ketotifen	*4*	13	China
CTC	Manufacture of Naproxen	*33*		
CTC	Manufacture of Norfloxacin	*2, 10, 33, 36*	*Included in Ciprofloxacin above*	DPR Korea
CTC	Manufacture of Omeprazol	*33*		
CTC	Manufacture of trityl chloride	*33*	130	India
CTC	Production of the disinfectant sodium dichloroisocyanurate	*2, 10, 33, 36*	68.2	DPR Korea
CTC	Conditioning of Petroleum Reforming Catalyst	*34, 38*	13.86	Argentina
CTC	Production of Vinyl Chloride Monomer	*39*	0	Brazil
CTC	Manufacture of Carbimazole	*3*	8	India
CTC	Production of p-nitrobenzyl bromide	*3*	103	India
CTC	Production of benzophenone	3	45	India
CTC	Production of ethyl-4-chloroacetoacetate	*3*	11	India
CTC	Absorption quality testing of activated carbon	no data	16.65	Sri Lanka

Tables 3 and 4 carry information similar to Table 2, grouped into applications approved under decisions XV/6 and XV/7 and those not so approved. Furthermore, within each table, the results are grouped by Party. The year to which the results actually correspond is also given. However, in most cases, values in the plan and more recent information are similar.

The data in the column describing the use factor for each application in each Party were extracted from the national data reported in the quoted reference. In almost all cases where carbon tetrachloride is used as a process solvent it is recycled. The exceptions are Ketotifen production in the People's Republic of China and ascorbic acid production in the Democratic People's Republic of Korea. The effectiveness of recycling is variable and this is partly responsible for the wide variation in the use factors, which are also impacted by process technology considerations. For some applications, the national data did not give enough information to calculate a usage factor; generally because the process agent use was quoted without giving a value for the production. Nevertheless, in any one process, improved recycling would be as effective in reducing process agent emissions as the capture and destruction of the emissions.

Table 3. Process Agent Usage and Emissions in Activities Approved under Decisions XV/6 and XV/7.

Party	Activity	ODS used	Year	Emission, equal to Use ODP tonnes	Use factor metric tonnes per tonne of product	Ref.
Argentina	Manufacture of Losartan potassium	BCM	2000	2.4	id	*34*
China (PR)	Manufacture of chlorinated rubber	CTC	2000	1494	0.55	*4*
	Manufacture of chlorosulphonated polyolefin (CSM)	CTC	2000	1202	0.44	*4*
	Manufacture of fluoropolymer resins	CFC-113	2000	40	0.006	*4*
	Manufacture of chlorinated paraffin	CTC	2000	1243	0.20	*4*
	Manufacture of chlorinated polypropylene	CTC	2000	1942	0.73	*4*
	Manufacture of chlorinated EVA	CTC				
	Manufacture of methyl isocyanate derivatives	CTC	2000	1440	0.12	*4*
	Manufacture of 3-phenoxybenzaldehyde	CTC	2000	520	0.40	*4*
	Manufacture of 2-chloro-5-methylpyridine	CTC	2000	282	4.07	*4*
	Manufacture of Imidacloprid	CTC	2000	1230	1.60	*4*
	Manufacture of Buprofenzin	CTC	2000	964	0.29	*4*
	Manufacture of Oxadiazon	CTC	2000	17	0.28	*4*
	Manufacture of chloridized N-methylaniline	CTC	2000	103	0.18	*4*
	Manufacture of Mefenacet	CTC	2000	23	0.70	*4*
	Manufacture of 1,3-dichlorobenzothiazole	CTC	2000	28	0.35	*4*
Colombia	Elimination of nitrogen trichloride in the production of chlorine	CTC	2000*	2.75	na	*8*
India	Manufacture of chlorinated rubber	CTC	2000	305	id	*3*
	Manufacture of Endosulphan insecticide	CTC	2000	290	0.067	*12*
	Manufacture of isobutyl acetophenone (Ibuprofen analgesic)	CTC	2000	186	0.68	*14*
	Manufacture of 1,1-bis (4-chlorophenyl) 2,2,2-trichloroethanol (Dicofol insecticide)	CTC	2000	76	id	*3*
	Manufacture of chlorinated paraffin	CTC	2000	199	id	*3*
	Manufacture of bromohexine hydrochloride	CTC	2000	234	0.92	*24*
	Manufacture of Diclofenac sodium	CTC	2000	561	1.14	*29*
	Manufacture of phenyl glycine	CTC	2000	15	0.083	*31*
Korea (DPR of)	Manufacture of chlorinated rubber	CTC	2002	109	id	*10*
	Manufacture of chlorosulphonated polyolefin (CSM)	CTC	2002	173	id	*10*
Pakistan	Manufacture of isobutyl acetophenone (Ibuprofen analgesic)	CTC	2003	88	0.78	*14*

Notes:
id insufficient data in references to complete calculation
na not applicable
* average over the period 1997-2001

Table 4. Process Agent Usage and Emissions in Activities not Approved under Decisions XV/6 and XV/7.

Party	Activity	ODS used	Year	Emission, equal to Use ODP tonnes	Use factor metric tonnes per tonne of product	Ref.
Argentina	Conditioning of Petroleum Reforming Catalyst	CTC	2002	13.86	na	*38*
Brazil	Production of Vinyl Chloride Monomer	CTC	2003	68.38	na	*39*
China (PR)	Manufacture of Ketotifen	CTC	2000	12	13.4	*4*
Egypt	Purification of aluminium	CFC-12	2003	0	na	
India	Manufacture of Chlorophenesin	CTC	2000	44	id	*3*
	Manufacture of dexamethazone phosphate	CTC	2000	55	id	*3*
	Manufacture of isosorbide mononitrate	CTC	2000	6	id	*3*
	Manufacture of trityl chloride	CTC	2000	130	id	*3*
	Manufacture of Carbimazole	CTC	2000	8	id	*3*
	Production of p-nitrobenzyl bromide	CTC	2000	103	id	*3*
	Production of benzophenone	CTC	2000	45	id	*3*
	Production of ethyl-4-chloroacetoacetate	CTC	2000	11	id	*3*
Korea (DPR of)	Manufacture of ascorbic acid	CTC	2002	79.2	0.92	*36*
	Manufacture of Ciprofloxacin	CTC	2002	82.5	4.6	*36*
	Manufacture of Norfloxacin	CTC			4	*36*
	Production of the disinfectant sodium dichloroisocyanurate	CTC	2002	68.2	0.24	*36*
Romania	Manufacture of the herbicide 2,4-D	CTC	2003	22	0.56	*37*
	Manufacture of DEHPC	CTC	2003	135.3	1.38	*37*
SriLanka	Absorption quality testing of activated carbon	CTC	2003	16.65	na	
Turkey	Manufacture of Sultamicillin *	BCM	2003	12	7.3	*35*

Notes:
* This may not be a process agent application
id insufficient data in references to complete calculation
na not applicable

Table 5 summarises the total consumptions listed for each party in Tables 3 and 4 and also the latest reported total data from the Ozone Secretariat [*40*]. The report from Argentina to the Ozone Secretariat under Article 7 of the Protocol did not contain information on either of the process agent uses mentioned in reference [*34*]. In the cases of Brazil, Egypt and Mexico, use has been discontinued, so that the most recent (2004) reported consumptions are all zero.

In Pakistan, Romania, Sri Lanka and Turkey, there is no significant change in use and the reduction in Colombia is more apparent than real because of the effect of sporadic imports on the accounting for process agent use (without allowing for stockholding).

There would seem to be a similar problem, on a much larger scale, with the data from the People's Republic of China. It is apparent that the usage rate for CTC as a process agent is calculated from its annual production, plus imports, minus exports, less the quantity used as feedstock. The remainder is given as the quantity used as process agent *without allowing for stock changes*. In the year 2001, the process agent use was reported as 10,637 ODP tonnes [*41*] so that the apparent 88% growth rate in process agent use over two years between 2001 and 2003 is almost certainly the result of such stock changes not being properly accounted.

Because neither Party responded to the questionnaire, there are no data to substantiate the reasons for the fall in use of CTC in India by 9% between 2000 and 2002, nor the rise in use of CTC in the Democratic People's Republic of Korea by 69% between 2002 and 2003. As with the data from China, these could be artefacts of the accounting and reporting procedures.

Table 5 Summary of Uses of Process Agents by the Parties

Party	ODS used	Sum of latest individually denominated uses				Latest reported total [*40, 42*]	
		Activities not approved by the Parties		Activities approved under Decisions XV/6 and XV/7			
		Year	Use ODP tonnes	Year	Use ODP tonnes	Year	Use ODP tonnes
Argentina	BCM			2004	0	2003	2.4
	CTC	2004	0			2003	0
Brazil	CTC	2003	68.38			2003	68.38
PR of China	CFC-113			2000	40	2003	17.11
	CTC	2000	13	2000	10485	2003	20014.36
Colombia	CTC			2000	2.75	2004	1.38
Egypt	CFC-12	2004	0			2003	51
India	CFC-113					2002	23.58
	CTC	2000	402	2000	1866	2002	2065.8
DPR of Korea	CTC	2002	229.9	2002	202	2003	731.5
Pakistan	CTC	2001	88			2003	88
Romania	CTC	2002	173			2004	157.3
Sri Lanka	CTC	2003	16.65			2003	16.65
Sudan	CTC					2003	1.1
Turkey	BCM	2003	12			2003	12

Viewed as uncertainties, these discrepancies would suggest that country data could be in error by an average of about 30 to 50% in any one year but the remedy is to account for the use as actual quantities used in the process operations ("bottom-up accounting") rather than attempting to asses the usage from overall production and use in other major outlets without allowing for stock changes.

Conclusions

Some 143 Parties to the Montreal Protocol operating under Article 5.1 report data on their consumption of controlled substances to the Protocol Secretariats. From these data, only 26 Parties were determined to use (or could potentially be using) controlled substances as process agents. Each was sent a questionnaire individually designed to elicit their latest values for the quantities of process agent used and to ascertain the applications in which they were employed, together with estimates of the emissions from these applications.

The results showed that, in total, some 13,599 ODP tonnes per year of process agents were used by Article 5.1 Parties, comprising 13,562 ODP tonnes of CTC, 40 of CFC-113 and 12 ODP tonnes of BCM. The estimate relates to years in the period 2000 to 2003 but the year to year variation in the values quoted by Parties can be misleading and high. This is particularly the case where process agent uses are calculated as the remainder after accounting for imports, exports, domestic production and feedstock use, without allowing for changes in stock holding (inventory). For example, the simple sum of all reported data in 2003 is 23,300 ODP tonnes; a value that is almost certainly in error since it would have required a doubling in process agent use by one Party in direct contravention of its national plan for phase-out.

Applications of all but 0.2% of the 13,600 ODP tonnes used are described in national plans or in individually approved projects but 7,350 ODP tonnes of this, while already identified in phase-out plans, may be the subject of additional requests for support from the Multilateral Fund.

Some 94% of the consumption is in applications that are now listed as process agents under decisions XV/6 and XV/7 taken at the Fifteenth Meeting of the Parties. In most cases, the process agent is used as a process solvent. This is particularly so for carbon tetrachloride (CTC) which constitutes all but 0.4% of the emissions.

With two exceptions, some form of recycle of the process solvent is carried out. The exceptions are the production of Ketotifen in the People's Republic of China and l-Ascorbic acid in the Democratic People's Republic of Korea. The effectiveness of recycling is variable and this is partly responsible for the wide variation in the use factors, which are also impacted by process technology considerations. Nevertheless, in any particular process, improved recycling would be as effective in reducing process agent emissions as the capture and destruction of the emissions.

About 91% of the reduction in process agent use is proposed to be accomplished by changes in technology (including change in the process agent to a substance that is not controlled) or by shutting down the plant. The other 9% is expected to be achieved by emission controls to minimise, capture and destroy controlled substances vented to atmosphere.

However, no Party provided evidence for current destruction of process agents and so all of the material lost must be emitted into the environment. All of the process agents under consideration will tend to migrate into the atmospheric compartment of the environment (as against water, soil or biota). The quantities that are lost are equal to the quantities used to replenish material in the process - the "make-up quantities".

References

[*1*] Report of the Process Agents Task Force, United Nations Environment Programme, April 1997, Ozone Secretariat, P.O. Box 30552, Nairobi, Kenya.

[*2*] Report of the Process Agents Task Force, United Nations Environment Programme, April 2001, Ozone Secretariat, P.O. Box 30552, Nairobi, Kenya.

[*3*] CTC Phase out Plan for the Consumption Sector for India, information to 40th Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of India, April 2003.

[*4*] Sector Plan for Phaseout Of ODS in the Process Agent Sector in China, information to 37th Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of the Peoples' Republic of China, April 22, 2002.

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[*9*] Process Agents Task Force, Case Study #1, Use of CTC in the elimination of NCl_3 in the production of chlorine and caustic soda, UNEP, Technology and Economic Assessment Panel, May 2001.

[*10*] Korea - Plan for Terminal Phase-out of CTC, information to 41st Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of the Democratic Peoples' Republic of Korea, Nov 2003.

[*11*] Process Agents Task Force, Case Study #3, Use of CTC in Chlorinated Rubber production, UNEP, Technology and Economic Assessment Panel, May 2001.

[*12*] Phaseout of use of Carbon tetrachloride as process agent in the production of Endosulphan by Excel Industries Limited, information to 28th Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of India, April 22, 1998.

[*13*] Process Agents Task Force, Case Study #4, Use of CTC in Endosulfan production, UNEP, Technology and Economic Assessment Panel, May 2001.

[*14*] Conversion of Carbon Tetrachloride (CTC) as Process Solvent to 1,2-Dichloroethane at Himont Chemicals Ltd., information to 35th Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of Pakistan, September 20, 2001.

[*15*] Conversion of Carbon tetrachloride (CTC) as process solvent to Ethylene dichloride at Doctors Organic Chemicals Ltd., information to 32nd Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of India, September 22, 2000.

[*16*] Conversion of Carbon tetrachloride (CTC) as process solvent to Ethylene dichloride at Satya Deeptha Pharmaceuticals Ltd., information to 32nd Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of India, April 11, 2000.

[*17*] Conversion of Carbon tetrachloride (CTC) as process solvent to Ethylene dichloride at Svis Labs Ltd., information to 32nd Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of India, April 14, 2000.

[*18*] Conversion of Carbon Tetrachloride (CTC) as process solvent to ethylene dichloride at Chiplun Fine Chemicals Ltd. (CFC) information to 29th Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of India, September 12, 1999.

[*19*] Process Agents Task Force, Case Study #5, Use and consumption of CTC in Ibuprofen production, UNEP, Technology and Economic Assessment Panel, May 2001.

[*20*] Process Agents Task Force, Case Study #6, Use of CTC in Dicofol production, UNEP, Technology and Economic Assessment Panel, May 2001.

[*21*] Process Agents Task Force, Case Study #7b, Use of CTC in Chlorosulphonated Polyolefin (CSM) production in an Article 5(1) country, UNEP, Technology and Economic Assessment Panel, May 2001.

[*22*] Process Agents Task Force, Case Study #9, Use of CFC 113 for manufacturing a family of fluoropolymer resins, UNEP, Technology and Economic Assessment Panel, May 2001.

[*23*] Process Agents Task Force, Case Study #12, Use of CTC in the manufacture of chlorinated paraffin, UNEP, Technology and Economic Assessment Panel, May 2001.

[*24*] Conversion of Carbon Tetrachloride (CTC) as Process Agent to Monochlorobenzene at M/S Benzo Chemical Industries, information to 34th Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of India, May 11, 2001.

[*25*] Conversion of Carbon Tetrachloride (CTC) as Process Agent to Monochlorobenzene (MCB) at Pradeep Shetye Ltd. information to 34th Meeting of the Executive Committee

of the Multilateral Fund of the Montreal Protocol, from the government of India, May 11, 2001.

[*26*] Conversion of Carbon Tetrachloride (CTC) as Process Agent to Monochlorobenzene at FDC Limited, information to 29th Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of India, May 13, 2001.

[*27*] Conversion of Carbon Tetrachloride (CTC) as Process Agent to Monochlorobenzene at GRD Chemicals Ltd., information to 34th Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of India, May 12, 2001.

[*28*] Process Agents Task Force, Case Study #19, Use of CTC 11 in manufacturing bromohexine hydrochloride, UNEP, Technology and Economic Assessment Panel, May 2001.

[*29*] Conversion of Carbon Tetrachloride (CTC) as Process Agent to Cyclohexane at Amoli Organics Limited, information to 35th Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of India, August 7, 2001.

[*30*] Process Agents Task Force, Case Study #20, Use of CTC in manufacture of diclofenac sodium, UNEP, Technology and Economic Assessment Panel, May 2001.

[*31*] Conversion of Carbon tetrachloride (CTC) as process solvent to trichloromethane at M/S Alpha Drugs India Ltd., information to 32nd Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of India, April 15, 2000.

[*32*] Process Agents Task Force, Case Study #22, Use of CTC in manufacture of phenyl glycine, UNEP, Technology and Economic Assessment Panel, May 2001.

[*33*] Information supplied by UNIDO to the Ozone Secretariat, August, 2001.

[*34*] Correspondence between Ambassador Raúl A. Estrada Oyuela, Argentina, and Marco González, Ozone Secretariat to the Montreal Protocol, September, 2003.

[*35*] Correspondence between Director Musa Demirbaş, Turkey, and Marco González, Ozone Secretariat to the Montreal Protocol, December 2004.

[*36*] Correspondence between Kim Yong U, National Ozone Coordinator, Democratic Peoples' Republic of Korea, and Ms. Megumi Seki, Ozone Secretariat to the Montreal Protocol, September 2004.

[*37*] Correspondence between Rodic Ella Morohoi, Ozone Unit Coordinator, Romania, and Marco González, Ozone Secretariat to the Montreal Protocol, September 2004.

[*38*] Plan for Phase-out of ODS in the Solvent Sector in the Republic of Argentina information to 41st Meeting of the Executive Committee of the Multilateral Fund of the Montreal Protocol, from the government of the Republic of Argentina, August, 2003.

[*39*] Brazil - Formulation of a Project Proposal for the Elimination of Ozone Depleting Substances (CFC-113, 1,1,1-Trichloroethane, CTC) Used in Chemical Production Processes Trikem S.A, May 8, 2002.

[*40*] Process Agents: Implementation of Decisions X/14 and XV/7 of the Meetings of the Parties, Executive Committee of the Multilateral Fund for the Implementation of the Montreal Prototol, Report No UNEP/OzL.Pro/ExCom/44/Inf.2, of 9 November 2004.

[*41*] Correspondence between Director Liu Yi, PR of China, and Marco González, Ozone Secretariat to the Montreal Protocol, September, 2001.

[*42*] Report of the Process Agents Task Force, United Nations Environment Programme, October 2004, Ozone Secretariat, P.O. Box 30552, Nairobi, Kenya

Appendix A - Definitions from the 1997 PATF Report

"**Feedstock**: A controlled substance that undergoes transformation in a process in which it is converted from its original composition except for insignificant trace emissions as allowed by Decision IV/12."

"**Process Agent**: A controlled substance that because of its unique chemical and/or physical properties facilitates an intended chemical reaction and/or inhibits an unintended (undesired) chemical reaction.
Controlled substances are typically used in chemical processes as process agents for at least two of the following unique chemical and/or physical properties:
1. Chemically inert during a chemical reaction
2. Physical properties, e.g.
- Boiling point
- Vapour pressure
- Specific solvency
3. To act as a chain transfer agent
4. To control the desired physical properties of a process, e.g.,
- Molecular weight
- Viscosity
5. To increase plant yield
6. Non-flammable/non explosive
7. To minimise undesirable by-product formation

Note 1: Refrigeration, solvent cleaning, sterilisation, aerosol propellants and firefighting are not process agents according to this definition
Note 2: Parties need not consider use of ODS for foam blowing, tobacco puffing, caffeine extraction, or fumigation because these uses are already covered in other Decisions and/or by Technical Option Committee Reports." [*1*]

APPENDIX B Summary of Process Agent Applications featured in this Report

Table B.1 Activities Approved under Decisions XV/6 and XV/7

Activity	ODS used	Details of use of process agent	Fate of Process agent		Source of information
			Internal Recycle	Emission	
Elimination of nitrogen trichloride in the production of chlorine	CTC	Solvent for nitrogen trichloride used in the destruction process	Yes, ratio variable	Make-up quantities are transferred into the atmosphere unless captured and destroyed.	*9*
Manufacture of chlorinated rubber	CTC	Solvent for the chlorination of rubber using chlorine. No process details	No process details.	Make-up quantities are transferred into the atmosphere unless destroyed. Usage 0.55t/t	*4*
Manufacture of Endosulphan insecticide	CTC	Solvent for reaction of HET diol with thionyl chloride.	Recovery by distillation.	Make-up quantities are transferred into the atmosphere unless destroyed.	*13*
Manufacture of isobutyl acetophenone (Ibuprofen analgesic)	CTC	Solvent for reaction of isobutyl benzene with acetyl chloride and aluminium chloride. Recovery by distillation.	Yes. No information on ratio	Make-up quantities are transferred into the atmosphere unless destroyed.	*18, 19*
Manufacture of 1,1-bis (4-chlorophenyl) 2,2,2-trichloroethanol (Dicofol insecticide)	CTC	Solvent in chlorination of technical DDE, whence it is recovered by distillation. And solvent to purify technical dicofol, whence it is removed by distillation.	Yes. No information on ratio	Make-up quantities are transferred into the atmosphere unless destroyed. Emission ratio = 5%	*20*

Table B.1 continued

Activity	ODS used	Details of use of process agent	Fate of Process agent: Internal Recycle	Fate of Process agent: Emission	Source of information
Manufacture of chlorosulphonated polyolefin (CSM)	CTC	Solvent for the reaction of polyethylene with chlorine and sulphur dioxide. The released CTC from the reactor and rear operations is recovered, purified and recycled by condensation and absorption.	Yes. No information on ratio	Make-up quantities are transferred into the atmosphere unless destroyed. Emission ratio = 3%	*21*
Manufacture of fluoropolymer resins	CFC-113	Resins, process agents (solvents), and other reactants are batch charged into reaction vessels followed by product isolation, product purification, and solvent recovery.	Vent collection and recovery systems capture and recycle 99% of CFC-113 in the primary vents.	Make-up quantities are transferred into the atmosphere unless destroyed separately by thermal oxidation. Emission ratio = 1%	*4, 22*
Manufacture of chlorinated paraffin	CTC	Solvent for reaction of paraffin wax with chlorine	During paraffin dissolution and chlorination, the evaporated CTC is recovered by condensation and recycled upstream for the process.	Make-up quantities are transferred into the atmosphere unless destroyed. Emission ratio = 3%	*23*
Manufacture of bromohexine hydrochloride	CTC	Solvent for bromination of o-nitrotoluene to o-nitrobenzylbromide, whence it passes through several other process steps and is recovered by distillation from the crude product	Yes	Make-up quantities are transferred into the atmosphere unless destroyed.	*27, 28*

Table B.1 continued

Activity	ODS used	Details of use of process agent	Fate of Process agent: Internal Recycle	Fate of Process agent: Emission	Source of information
Manufacture of Diclofenac sodium	CTC	Solvent for chlorination of phenol to 2,6-dichlorophenol	No data	Make-up quantities are transferred into the atmosphere unless destroyed.	*30*
Manufacture of phenyl glycine, intermediate in manufacture of Ampicillin and Cefaclor.	CTC	Solvent for hydrochlorination of D(-) alpha phenyl glycine and for product purification.	Separation by filtration, solvent recycled to reaction stage.	Make-up quantities are transferred into the atmosphere unless destroyed.	*32, 33*
Manufacture of chlorinated polypropylene	CTC	Solvent for direct chlorination of polypropylene but no process details	Tail gas treatment by active carbon adsorption	Make-up quantities are transferred into the atmosphere unless destroyed. Usage from 0.68t/t to 0.62t/t.	*4*
Manufacture of chlorinated EVA	CTC	Solvent for direct chlorination of ethyl vinyl acetate but no process details	Tail gas treatment by active carbon adsorption	Make-up quantities are transferred into the atmosphere unless destroyed. Usage from 0.68t/t to 0.62t/t.	*4*
Manufacture of methyl isocyanate derivatives	CTC	Used as a nonflammable and non-explosive diluent in producing methyl isocyanate intermediate (rather than the final products of MIC series pesticides).	Recycled by distillation	Make-up quantities are transferred into the atmosphere unless destroyed. Usage in range 0.2-0.3t/t (CTC/MIC)	*4*
Manufacture of 3-phenoxybenzaldehyde	CTC	No data		Usage 0.4t/t	*4*

Table B.1 continued

Activity	ODS used	Details of use of process agent	Fate of Process agent		Source of information
			Internal Recycle	Emission	
Manufacture of Imidacloprid	CTC	Solvent in the chlorination of 2-chloro-5-methyl-pyridine to 2-chloro-5-chloromethyl pyridine with chlorine.	Captured from the tail gas by condensation and then recycled.	Make-up quantities are transferred into the atmosphere unless destroyed. Usage from 1 to 2 t/t	*4*
Manufacture of Buprofenzin	CTC	Solvent for chlorination of N-methylaniline (to *chloridized N-methylaniline*) with chlorine.	Partial recycle	"CTC consumption ratio found to vary from 0.20t/t to 0.60t/t (CTC/intermediate), and 75% of the CTC consumed is emitted from the tail gas to atmosphere due to inefficient cooling capacity."	*4*
Manufacture of Oxadiazon	CTC	Diluent agent and inert solvent for the chlorination reaction but no details of the production process.	Sixty percent of the CTC consumption results from tail gas emissions, and 30% more is contained in wastewater.	"CTC consumption ratios are about 0.3t/t"	*4*
Manufacture of chloridized N-methylaniline	CTC	See *Manufacture of Buprofenzin* above			*4*
Manufacture of Mefenacet	CTC	Solvent in production of intermediate 1,3-dichloro-benzothiozole; process details not available.	Recycle with two-stage brine condensers.	Make-up quantities are transferred into the atmosphere unless destroyed. Consumption ratio of 0.4~0.7t/t (intermediate)	*4*
Manufacture of 1,3-dichlorobenzothiazole	CTC	See *Manufacture of Mefenacet* above			*4*
Manufacture of Losartan potassium	BCM	Reaction solvent for bromination of mBTT and for subsequent product purification.	Yes, by distillation	Make-up quantities are transferred into the atmosphere unless destroyed. Emission ratio 25%.	*2, 34*

Table B. 2 Activities not Approved under Decisions XV/6 and XV/7

Activity	ODS used	Details of use of process agent	Fate of Process agent		Source of information
			Internal Recycle	Emission	
Manufacture of Sultamicillin	BCM	BCM is a reagent and solvent for the chloromethylation of sulbactam into chloromethylpenicillinate-S,S-dioxide	Excess BCM recovered and recycled by distillation.	BCM appears to be a chemical feedstock in this process, not a process agent.	*35*
Manufacture of Ampicillin	CTC	See *Manufacture of*			*33*
Manufacture of ascorbic acid	CTC	Conversion of L-gulonic acid diketal to L-gulonic acid ethyl ester is performed with hydrogen chloride (HCl) in a mixture of ethanol and CTC		Total usage sent to drain (hence to atmosphere)	*2, 10*
Manufacture of betamethazone phosphate	CTC	Solvent in the production of pyrophosphoryl chloride	Solvent removed and recycled.	Make-up quantities are transferred into the atmosphere unless destroyed.	*33*
Manufacture of Cefaclor®	CTC	See *Manufacture of phenyl glycine* above			*33*
Manufacture of Ceftriaxone®	CTC	Solvent for production of 2-(2-chloroacetamido-4-thiazolyt)-2-	Solvent removed from oily product and recycled (no technical data)	Make-up quantities are transferred into the atmosphere unless destroyed.	*33*

Table B. 2 continued

Activity	ODS used	Details of use of process agent	Fate of Process agent: Internal Recycle	Fate of Process agent: Emission	Source of information
Manufacture of Chlorophenesin	CTC	Solvent for chlorination of phenol (intermediate)	Solvent removed and returned to chlorination step.	Make-up quantities are transferred into the atmosphere unless destroyed.	*33*
Manufacture of Ciprofloxacin	CTC	Solvent for the reaction of 1-chloro-4-nitrobenzene with chlorine in the presence of FeCl3 (Korea) or Solvent in the	Recovered, purified and recycled by distillation	In DPR Korea - the mother liquor, a solution of FeCl3 and some chloronitrobenzenes, 'is disposed of'. Otherwise, make-up quantities are transferred into the atmosphere unless destroyed.	*2, 10, 33*
Manufacture of Clotrimazole	CTC	Solvent in chlorination of 2-chlorotoluene	Solvent removed and returned to chlorination step.	Make-up quantities are transferred into the atmosphere unless destroyed.	*33*
Manufacture of Cloxacillin	CTC	Solvent in chlorination of 2-chlorobenzaldehyde oxime (intermediate)	Unspecified solvent recovery	Make-up quantities are transferred into the atmosphere unless destroyed.	*33*
Manufacture of dexamethazone phosphate	CTC	See *Manufacture of betamethazone phosphate* above			*33*
Manufacture of estramustine phosphate	CTC	See *Manufacture of betamethazone phosphate* above			*33*
Manufacture of the herbicide 2,4-D	CTC	Solvent in two chlorination stages and in product purification.	The CTC is recovered and recycled.	Emissions of CTC to the environment take place in each of the process stages.	*2, 37*
Manufacture of the "herbicide" DEHPC. *Actually, the product (diethylhexylperoxycarbonate) is an unstable polymerisation initiator used to make PVC.*	CTC	Solvent in two stages of the process.	Reaction product contains DEHPC dissolved in CTC and this solution is used directly to polymerise PVC. Also emissions of CTC during intermediate stages of the process.	The CTC remains unchanged in the polymer and is released into the environment through the plastic lifetime.	*2, 37*

Table B.2 continued

Activity	ODS used	Details of use of process agent	Fate of Process agent		Source of information
			Internal Recycle	Emission	
Manufacture of isosorbide mononitrate	CTC	Solvent (together with pyridine) in the condensation of	CTC recovered for recycle by distillation.	Make-up quantities are transferred into the atmosphere unless destroyed.	*33*
Manufacture of Ketotifen	CTC	Used as a process solvent in one step of the 18 stage process	No data	Usage between 13 and 21 tons of CTC per ton of ketotifen	*33*
Manufacture of Naproxen	CTC	Solvent in condensation of acetyl chloride with 2-methoxynaphthalene and in subsequent product	Recovered, purified and recycled by distillation	Make-up quantities are transferred into the atmosphere unless destroyed separately by thermal oxidation.	*33*
Manufacture of Norfloxacin	CTC	Solvent for the reaction of 1-chloro-4-nitrobenzene with chlorine in the presence of FeCl3 (Korea) or Solvent in the chlorination of benzoic acid to trichlorobenzoic acid intermediate (more generally used).	Recovered, purified and recycled by distillation	In DPR Korea - the mother liquor, a solution of FeCl3 and some chloronitrobenzenes, 'is disposed of'. Otherwise, make-up quantities are transferred into the atmosphere unless destroyed.	*2, 10, 33*
Manufacture of Omeprazol	CTC	Suspending agent in chlorination of 2-hydroxymethyl-3,5,dimethyl-4-methoxy pyridine (intermediate) using thionyl chloride.	Solid product is removed and solution of thionyl chloride is recycled	Solvent carried on solid product to next stage of process.	*33*
Manufacture of trityl chloride	CTC	Solvent (and reagent) in condensation of benzene with carbon tetrachloride	No data	Make-up quantities are transferred into the atmosphere unless destroyed.	*33*

Table B.2 continued

Activity	ODS used	Details of use of process agent	Fate of Process agent		Source of information
			Internal Recycle	Emission	
Production of the disinfectant sodium dichloroisocyanurate	CTC	Removal of NCl3 from product of chlorination of isocyanuric acid.	The aqueous layer containing the desired product and the CTC layer containing the NCl3 are separated, and the NCl3 is chemically destroyed by reaction with aqueous sodium thiosulfate. The now-clean CTC is returned to the process.	Make-up quantities are transferred into the atmosphere unless destroyed.	*2, 10*
Production of Vinyl Chloride Monomer	CTC	Carbon Tetrachloride (CTC) is added to EDC feed in order to increase the productivity of the cracking furnaces. CTC acts as a free radical chain initiator.	CTC is lost from the process in a light ends stream containing non saturated hydrocarbons	Part of the quantity used can be transferred into the atmosphere unless destroyed.	*39*
Catalyst conditioning and regeneration	CTC	Regeneration of petroleum reforming catalyst	No data	Part of the quantity used can be transferred into the atmosphere unless destroyed.	*38*
Adsorption quality testing of activated carbon	CTC	Measurement of the quantity of CTC adsorbed onto samples	No data	No data	*Response to Questionnaire by Sri Lanka*
Manufacture of carbimazole	CTC	No data	No data	No data	*3*
Manufacture of p-nitrobenzyl bromide	CTC	No data	No data	No data	*3*
Manufacture of benzophenone	CTC	No data	No data	No data	*3*
Manufacture of ethyl-4-chloroacetoacetate	CTC	No data	No data	No data	*3*

Appendix C - Example of Specific Questionnaire for a Country with a National Emissions Reduction Plan

QUESTIONNAIRE TO ASSIST THE FUND SECRETARIAT TO OBTAIN INFORMATION ON THE LEVEL OF EMISSION OF OZONE DEPLETING SUBSTANCES USED AS PROCESS AGENTS

Please return to: A. McCulloch, c/o Secretariat of the Multilateral Fund for the Implementation of the Montreal Protocol

FAX No +1 514 282 0068

by: **28 February 2005**

In the information supplied by **Country** to the Fund Secretariat for the year 2002, consumption of 100 ODP tonnes of CFC-113 and 1000 ODP tonnes of carbon tetrachloride (CTC) was reported as Process Agents.

There are two Tables. The first table covers applications for which process agent use in your country was reported in *Plan for Phaseout of ODS in Chemical Process Agent Applications in Country* . The second table contains other process agent applications adopted by Decisions XV/6 and XV/7 of the Fifteenth Meeting of Parties, plus additional applications not so far approved but known to exist in some countries. Table 2 also has space for reporting other applications.

1. Please update the information for applications reported in project documentation

Table 1

Application	ODS used	Number of approved enterprises in year 2000	Total Quantity of Process Agent		Numbers of enterprises in 2003			
			Consumed in 2003 ODP tonnes	Emitted in 2003 ODP tonnes	Using ODS	Using ODS with emission control technology	Manufacturing but not using ODS	Not manufacturing / closed
Chlorinated Rubber (CR)	CTC	7						
Chlorinated Paraffin (CP70)	CTC	9						
Chlorosulphonated polyolefin (CSM)	CTC	3						
PTFE	CFC-113	5						
Ketotifen	CTC	1						

2. Please indicate how much Process Agent, if any, was used in Country in the following activities:

Table 2

Activity	ODS used	Yes	No	No information	If YES, how much ODS was used in 2003? (ODP tonnes)	If YES, how much ODS was emitted in 2003? (ODP tonnes)
Applications approved by Parties (Decisions XV/6 & XV/7)						
Elimination of nitrogen trichloride in the production of chlorine	CTC					
Recovery of chlorine in tail gas from production of chlorine	CTC					
Manufacture of Endosulphan insecticide	CTC					
Manufacture of isobutyl acetophenone (Ibuprofen analgesic)	CTC					
Manufacture of 1,1-bis (4-chlorophenyl) 2,2,2-trichloroethanol (Dicofol insecticide)	CTC					
Manufacture of polyphenylene terephthalamide (PPTA)	CTC					
Manufacture of fine synthetic polyolefin fibre sheet	CFC-11					
Manufacture of styrene butadiene rubber (SBR)	CTC					
Photochemical synthesis of perfluoropolyether polyperoxide precursors of Z-perfluoropolyethers and difunctional derivatives	CFC-12					
Reduction of perfluoropolyether polyperoxide intermediate for production of perfluoropolyether diesters	CFC-113					
Preparation of perfluoropolyether diols with high functionality	CFC-113					
Manufacture of bromohexine hydrochloride	CTC					
Manufacture of Diclofenac sodium	CTC					
Manufacture of phenyl glycine	CTC					
Manufacture of Cyclodime	CTC					
Manufacture of chlorinated polypropylene	CTC					
Manufacture of chlorinated EVA	CTC					
Manufacture of methyl isocyanate derivatives	CTC					
Manufacture of 3-phenoxybenzaldehyde	CTC					
Manufacture of 2-chloro-5-methylpyridine	CTC					
Manufacture of Imidacloprid	CTC					
Manufacture of Buprofenzin	CTC					
Manufacture of Oxadiazon	CTC					
Manufacture of chloridized N-methylaniline	CTC					
Manufacture of Mefenacet	CTC					
Manufacture of 1,3-dichlorobenzothiazole	CTC					
Bromination of a styrenic polymer	BCM					
Manufacture of Losartan potassium	BCM					

3. Table 2 **Country** continued....

Activity	ODS used	Yes	No	No information	If YES, how much ODS was used in 2003? (ODP tonnes)	If YES, how much ODS was emitted? (ODP tonnes)
Other Process Agent uses (not approved by Parties)						
Manufacture of Sultamicillin	BCM					
Purification of aluminium	CFC-11					
Manufacture of Ampicillin	CTC					
Manufacture of Anticol	CTC					
Manufacture of ascorbic acid	CTC					
Manufacture of betamethazone phosphate	CTC					
Manufacture of Cefaclo®	CTC					
Manufacture of Ceftriaxone®	CTC					
Manufacture of Chlorophenesin	CTC					
Manufacture of Ciprofloxacin	CTC					
Manufacture of Clotrimazole	CTC					
Manufacture of Cloxacillin	CTC					
Manufacture of dexamethazone phosphate	CTC					
Manufacture of Disulfiram	CTC					
Manufacture of estramustine phosphate	CTC					
Manufacture of the herbicide 2,4-D	CTC					
Manufacture of the herbicide DHEPC	CTC					
Manufacture of isosorbide mononitrate	CTC					
Manufacture of Naproxen	CTC					
Manufacture of Norfloxacin	CTC					
Manufacture of Omeprazol	CTC					
Manufacture of Tralomethrine	CTC					
Manufacture of trityl chloride	CTC					
Production of the disinfectant sodium dichloroisocyanurate	CTC					
Other uses, please specify:						

Appendix D - Form of General Questionnaire

QUESTIONNAIRE TO ASSIST THE FUND SECRETARIAT TO OBTAIN INFORMATION ON THE LEVEL OF EMISSION OF OZONE DEPLETING SUBSTANCES USED AS PROCESS AGENTS

Please return to: A. McCulloch, c/o Secretariat of the Multilateral Fund for the Implementation of the Montreal Protocol
FAX No +1 514 282 0068
by: **28 February 2005**

In the information supplied by **Country** to the Multilateral Fund Secretariat and/or to the Ozone Secretariat for the year 2003, consumption of 1000 ODP tonnes of carbon tetrachloride (CTC) was reported in the solvent sector.

1. Please confirm that the above consumption of controlled substance was in the solvent sector and not as process agent.

	YES
	NO

2. Please confirm that NO additional amounts of controlled substances were used as process agents.

	YES, no additional amounts were used as process agents.
	NO, there are additional amounts used as process agents.

3. If your answers to questions 1 and 2 are both YES, you do not need to continue the questionnaire and you should return it now. Otherwise, please continue with questions 4 and 5 below.

4. If controlled substances were used in **Country** as process agents please indicate whether any of the following activities took place (List of uses of controlled substances as process agents adopted by Decisions XV/6 and XV/7 of the Fifteenth Meeting of Parties).

Table 1

Activity	ODS used	Yes	No	No information	If YES, how much ODS was used in 2003? (ODP tonnes)	If YES, how much ODS was emitted in 2003? (ODP tonnes)
Elimination of nitrogen trichloride in the production of chlorine	CTC					
Recovery of chlorine in tail gas from production of chlorine	CTC					
Manufacture of chlorinated rubber	CTC					
Manufacture of Endosulphan insecticide	CTC					
Manufacture of isobutyl acetophenone (Ibuprofen analgesic)	CTC					
Manufacture of 1,1-bis (4-chlorophenyl) 2,2,2-trichloroethanol (Dicofol insecticide)	CTC					
Manufacture of chlorosulphonated polyolefin (CSM)	CTC					
Manufacture of polyphenylene terephthalamide (PPTA)	CTC					
Manufacture of fluoropolymer resins	CFC-113					
Manufacture of fine synthetic polyolefin fibre sheet	CFC-11					
Manufacture of styrene butadiene rubber (SBR)	CTC					
Manufacture of chlorinated paraffin	CTC					
Photochemical synthesis of perfluoropolyether polyperoxide precursors of Z-perfluoropolyethers and difunctional derivatives	CFC-12					
Reduction of perfluoropolyether polyperoxide intermediate for production of perfluoropolyether diesters	CFC-113					
Preparation of perfluoropolyether diols with high functionality	CFC-113					
Manufacture of bromohexine hydrochloride	CTC					
Manufacture of Diclofenac sodium	CTC					
Manufacture of phenyl glycine	CTC					
Manufacture of Cyclodime	CTC					
Manufacture of chlorinated polypropylene	CTC					
Manufacture of chlorinated EVA	CTC					
Manufacture of methyl isocyanate derivatives	CTC					
Manufacture of 3-phenoxybenzaldehyde	CTC					
Manufacture of 2-chloro-5-methylpyridine	CTC					
Manufacture of Imidacloprid	CTC					
Manufacture of Buprofenzin	CTC					
Manufacture of Oxadiazon	CTC					
Manufacture of chloridized N-methylaniline	CTC					
Manufacture of Mefenacet	CTC					
Manufacture of 1,3-dichlorobenzothiazole	CTC					
Bromination of a styrenic polymer	BCM					
Manufacture of Losartan potassium	BCM					

5. Please indicate whether any other processes involving controlled substances as process agents not included in Table 1 above take place in **Country**.

Table 2

Activity	ODS used	Yes	No	No information	If YES, how much ODS was used in 2003? (ODP tonnes)	If YES, how much ODS was emitted? (ODP tonnes)
Manufacture of Sultamicillin	BCM					
Purification of aluminium	CFC-11					
Manufacture of Ampicillin	CTC					
Manufacture of Anticol	CTC					
Manufacture of ascorbic acid	CTC					
Manufacture of betamethazone phosphate	CTC					
Manufacture of Cefaclo®	CTC					
Manufacture of Ceftriaxone®	CTC					
Manufacture of Chlorophenesin	CTC					
Manufacture of Ciprofloxacin	CTC					
Manufacture of Clotrimazole	CTC					
Manufacture of Cloxacillin	CTC					
Manufacture of dexamethazone phosphate	CTC					
Manufacture of Disulfiram	CTC					
Manufacture of estramustine phosphate	CTC					
Manufacture of the herbicide 2,4-D	CTC					
Manufacture of the herbicide DHEPC	CTC					
Manufacture of isosorbide mononitrate	CTC					
Manufacture of Ketotifen	CTC					
Manufacture of Naproxen	CTC					
Manufacture of Norfloxacin	CTC					
Manufacture of Omeprazol	CTC					
Manufacture of Tralomethrine	CTC					
Manufacture of trityl chloride	CTC					
Production of the disinfectant sodium dichloroisocyanurate	CTC					
Other uses, please specify:						

Anexo II

PROYECTO DE INFORME DEL COMITÉ EJECUTIVO A LA 25ª REUNIÓN DEL GRUPO DE TRABAJO DE COMPOSICIÓN ABIERTA DE LAS PARTES EN EL PROTOCOLO DE MONTREAL

Introducción

1. En la Decisión X/14, las Partes solicitaron al Grupo de Evaluación Tecnológica y Económica (GETE) y al Comité Ejecutivo que informen a la Reunión de las Partes en 2001 sobre los progresos conseguidos en la reducción de emisiones de sustancias controladas procedentes de los usos como agentes de procesos, así como acerca de la aplicación y el desarrollo de técnicas de reducción de las emisiones y procesos alternativos que no utilicen sustancias destructoras del ozono y que examinen los Cuadros A y B de esta decisión y recomienden las modificaciones que estimen oportunas. En respuesta a este pedido, el Comité Ejecutivo preparó el documento UNEP/OzL.Pro.13/8, que presentó a la 13ª Reunión de las Partes en octubre de 2001.

2. La decisión X/14 también indicaba, entre otras cosas, que el Comité Ejecutivo puede considerar una variedad de opciones para los países que operan al amparo del Artículo 5 para reducir las emisiones de las sustancias controladas procedentes del uso como agentes de procesos a niveles acordados por el Comité Ejecutivo, que resulten rentables sin un abandono indebido de la infraestructura. Los costos adicionales de una variedad de medidas rentables, tales como, por ejemplo, conversiones de procesos, clausura de plantas, tecnologías de control de emisiones y racionalización industrial, para reducir las emisiones de las sustancias controladas a estos niveles deberían resultar admisibles para la financiación con arreglo a las normas y directrices del Comité Ejecutivo del Fondo Multilateral.

3. En la decisión XV/7, las Partes pidieron al Grupo de Evaluación Tecnológica y Económica y al Comité Ejecutivo que informen a la 25ª Reunión del Grupo de Trabajo de composición abierta sobre los progresos conseguidos en la reducción de las emisiones de sustancias controladas derivadas de su uso como agentes de procesos y sobre la aplicación y el desarrollo de técnicas de reducción de las emisiones y procesos alternativos que no utilicen sustancias agotadoras del ozono. El presente documento se ha preparado en respuesta a dicha solicitud en relación con las Partes que operan al amparo del Artículo 5.1 del Protocolo de Montreal.

Antecedentes

4. En la 44 Reunión, el Comité Ejecutivo autorizó a la Secretaría del Fondo a contratar a un experto consultor para elaborar una lista de usos de agentes de procesos en los países que operan al amparo del Artículo 5 y los correspondientes niveles de emisión, en el entendido de que no se analizarían las opciones para abordar la reducción de emisiones (decisión 44/65). Los resultados se indican en un estudio intitulado “A *study to catalogue process agent uses and emissions levels involving substances controlled under the Montreal Protocol in countries operating under Article 5.1 of the Protocol*” (Estudio para catalogar los usos como agentes de procesos y los niveles de emisiones de sustancias controladas conforme al Protocolo de Montreal en los países

que operan al amparo del Artículo 5.1 del Protocolo). El estudio se reproduce en forma completa en el documento UNEP/OzL.Pro…./Inf… (a ser notificado).

5. La metodología aplicada en el estudio incluyó una encuesta de usos de agentes de procesos en los países que operan al amparo del Artículo 5 realizada por medio de cuestionarios a los países con potencial consumo de agentes de procesos, un análisis de las respuestas a la encuesta, un análisis de la información proporcionada en todos los documentos de proyectos de agentes de procesos presentados al Comité Ejecutivo y un análisis de los datos de consumo notificados oficialmente a la Secretarías del Fondo y del Ozono. Las principales conclusiones se han incorporado en este informe.

6. La encuesta de los países se realizó por medio de un cuestionario distribuido a 26 países que operan al amparo del Artículo 5. Se seleccionó a esos 26 países porque habían informado explícitamente un consumo en el sector de agentes de procesos o bien habían informado un consumo mayor que 1 tonelada PAO de una o más de las tres SAO identificadas como agentes de procesos en informes anteriores (es decir, CTC, CFC-113 y bromoclorometano (BCM)). Se tomó esta medida a fin de asegurar que los usos como agentes de procesos no se pasaran por alto inadvertidamente, habiéndoselos informado anteriormente como usos como solventes. El estudio técnico incluye una descripción completa de la metodología, así como los cuestionarios.

Niveles de consumo de SAO como agentes de procesos en los países que operan al amparo del Artículo 5

7. Sobre la base de la información sobre los usos de agentes de procesos notificados en la encuesta realizada como parte del estudio y los detalles de consumo en el nivel de las empresas proporcionada en los documentos de procesos, la cantidad total de usos como agentes de procesos identificada en los países que operan al amparo del Artículo 5 es de 13 623 toneladas PAO. Los datos proporcionados en la encuesta correspondían a 2003. Los datos de los documentos del proyecto cubrían los años 2000 a 2002.

8. Del uso total identificado de alrededor de 13 600 toneladas PAO, alrededor de 13 500 toneladas PAO son de CTC. Entre las restantes, se identificaron 40 toneladas PAO de CFC-113 en un país que opera al amparo del Artículo 5, y 12 toneladas PAO de BCM en un único uso en otro país que opera al amparo del Artículo 5.

9. Noventa y siete por ciento del uso total identificado fue notificado por tres países: China (10 538 toneladas PAO), India (2 268 toneladas PAO) y República Popular Democrática de Corea (432 toneladas PAO).

10. Alrededor de 94% del uso identificado, que asciende a 12 800 toneladas PAO, se produce en aplicaciones que han sido aprobadas como agentes de procesos en las decisiones XV/6 y XV/7 de las Partes. El 6% restante, es decir 817 toneladas PAO, se usan en 18 aplicaciones que no están incluidas en estas decisiones. Seis de las 18 aplicaciones fueron recomendadas como agentes de procesos en el informe del GETE de 2004 sobre la base de los datos notificados por la República Popular Democrática de Corea (cuatro aplicaciones) y Rumanía (dos aplicaciones), pero la 16ª Reunión de las Partes no adoptó una decisión al respecto. Una de las 18 aplicaciones estaba incluida en la lista original de la decisión X/14 pero fue eliminada de

la lista por medio de la decisión XV/6. Las 11 aplicaciones restantes no parecen haber sido presentadas al GETE en esta etapa. En el estudio técnico se indica que una de estas 11 aplicaciones, es decir, el uso de bromoclorometano en Turquía, puede ser un uso como materia prima en lugar de una aplicación como agente de proceso.

11. Los países que operan al amparo del Artículo 5 también han proporcionado información acerca del consumo nacional en el sector de agentes de procesos en los informes anuales a la Secretaría del Fondo acerca de la marcha de la ejecución de los programas de país y a la Secretaría del Ozono tal como lo requiere el Artículo 7 del Protocolo. La suma del consumo más reciente de agentes de procesos notificado a la Secretaría del Fondo en los datos de los programas de país es de 21 185 toneladas PAO. Los detalles del consumo nacional de agentes de procesos notificado se pueden consultar en la tabla incluida en el Apéndice I de este informe.

12. Existe una importante discrepancia entre el uso total de agentes de procesos derivado de la información en el nivel de los proyectos y el consumo en el sector de agentes de procesos notificado a la Secretaría del Fondo en los programas de país. Asimismo, el consumo oficialmente notificado en sí mismo varía en gran medida de año en año. En la tabla del Apéndice I se presenta también una comparación entre el uso de agentes de procesos identificado y el consumo de agentes de procesos notificado oficialmente. Dichas discrepancias pueden deberse a uno o más de los siguientes factores:

- incertidumbre que surge del cálculo “de arriba hacia abajo" del consumo de agentes de proceso, comenzando por la producción anual, más importaciones, menos exportaciones, menos las cantidades usadas para materia prima y otros fines, sin tomar en cuenta los cambios anuales en las existencias;
- incertidumbre que surge de las diferentes interpretaciones de la definición de uso controlado, tomando en cuenta las aplicaciones como agentes de procesos aprobadas, otras posibles aplicaciones como agentes de procesos y uso como materia prima, e;
- incertidumbre en los cálculos “de abajo hacia arriba” que dependen de la identificación de todos los usos en el nivel de las empresas en un país.

13. Respecto del último punto, una Parte, Irán, comentó explícitamente en su respuesta a la encuesta que se requerían trabajos adicionales para identificar otros posibles usos como agentes de procesos en el país.

Actividades del Fondo Multilateral para eliminar el consumo de agentes de procesos

14. Como seguimiento a la decisión X/14, el Comité Ejecutivo adoptó, en la decisión 27/78 de la 27ª Reunión, un conjunto de directrices y principios básicos para un marco para considerar las propuestas relacionadas con los agentes de procesos. Se adjunta a este informe el texto de la decisión 27/78 (Anexo II). Estas directrices señalaron que, a medida que se fueran considerando y aprobando nuevos proyectos, se iría formando un conjunto de información respecto de la rentabilidad, los límites de emisiones y otros requisitos relativos a la admisibilidad y la determinación de los costos adicionales.

15. Tomando en cuenta estas directrices y dentro de las listas de usos como agentes de procesos aprobadas por medio de la decisión X/14 y, posteriormente, las decisiones XV/6 y

XV/7 de las Partes, el Comité Ejecutivo ha aprobado 13 proyectos individuales para eliminar el consumo de 1 214 toneladas PAO de CTC usado como agente de proceso, con un costo total de 5 192 304 $EUA (Apéndice III). Los proyectos individuales más recientes se aprobaron en diciembre de 2001. Todos han empleado conversión de procesos para eliminar completamente del uso de CTC, con lo que se hace innecesario el requisito de especificar niveles aceptables de emisiones residuales.

16. También se han aprobado en principio tres planes nacionales plurianuales de eliminación de CTC (China, República Popular Democrática de Corea e India), a un costo total de 122 684 044 $EUA (con inclusión de eliminación de la producción de CTC en China e India), que ya se han comenzado a financiar por medio de tramos anuales.

17. Los proyectos para China y República Popular Democrática de Corea incluyen disposiciones que estipulan que los países pueden solicitar asistencia adicional del Fondo Multilateral para eliminar completamente un nivel específico de consumo en aplicaciones como agentes de procesos potenciales que no se incluyeron en la decisión X/14 como usos aprobados como agentes de procesos en el momento en que el Comité Ejecutivo consideró dichos proyectos; sin embargo, no se solicitará más asistencia para eliminar CTC. Los usos adicionales de China se aprobaron posteriormente conforme a la decisión XV/6. La República Popular Democrática de Corea aún tiene cuatro aplicaciones que no están incluidas en la lista de aplicaciones aprobadas en la decisión XV/6. Las cuatro aplicaciones se encontraban entre aquellas recomendadas por el GETE en su informe de 2004. La India ha identificado ocho usos que no están incluidos en la decisión XV/6; sin embargo, la India ha acordado con el Comité Ejecutivo eliminar todo el consumo de CTC sin otra asistencia del Fondo y el acuerdo le otorga flexibilidad para reasignar la financiación de la manera que mejor facilite la eliminación.

18. Dado que los tres principales consumidores, que representan 97% del consumo total, tiene todos planes nacionales de eliminación vigentes o planes previstos para los nuevos usos, el consumo de usos como agentes de procesos, tal como se los define en el Protocolo de Montreal, cesará en estos países cuando se hayan completado los proyectos del Fondo Multilateral, independientemente de las discrepancias actuales en los datos.

19. Si se incluye a Pakistán, el único otro país con un proyecto para agentes de procesos financiado por el Fondo Multilateral, la financiación de alrededor de 98% del total de los usos de SAO como agentes de procesos identificado en los países que operan al amparo del Artículo 5 ya ha sido abordada o se ha cuantificado y ha sido reconocida por el Comité Ejecutivo como potencialmente admisible para solicitudes de financiación futuras. En el estudio técnico se señala que se ha cubierto el total de los usos actualmente identificados, salvo el 0,2%, en los documentos de proyecto.

Controles de emisiones en comparación con cambio de proceso

20. Las decisiones X/14 y XV/7 previeron que se formaría un conjunto de información a partir de la aplicación y el desarrollo de técnicas de reducción de emisiones, entre otras cosas. A este fin, y de conformidad con las directrices para los proyectos de agentes de procesos establecidas por el Comité Ejecutivo en la decisión 27/28, todos los proyectos individuales considerados por el Comité Ejecutivo contenían un examen de las repercusiones de los controles

de emisiones en comparación con la alternativa de eliminar el uso de la SAO cuestión (generalmente, CTC) por medio de la sustitución del proceso. En todos los casos, se determinó que los controles de emisiones resultaban sustancialmente más costosos o bien no resultaban viables desde el punto de vista tecnológico. Los tres planes nacionales de eliminación de CTC también incluyen propuestas para cambiar el proceso y eliminar el uso de la SAO en cuestión para todas las aplicaciones como agente de proceso si se hubiera identificado una alternativa tecnológica.

21. En términos generales, alrededor de 91% de la eliminación de SAO como agentes de procesos financiada o identificada en los proyectos individuales o en los planes nacionales de eliminación se logrará por medio de cambios de tecnología a una sustancia que no esté controlada o por medio del cierre de las plantas. Se espera lograr sólo 9% de la eliminación por medio de los controles de emisiones para reducir al mínimo, capturar y destruir las sustancias controladas que se liberan a la atmósfera, y este porcentaje podría disminuir si se identificaran alternativas tecnológicas para el cambio de proceso en las aplicaciones pertinentes antes de las fechas de ejecución previstas en los subproyectos que se ocupan de estas aplicaciones.

22. El 9% que se propone abordar por medio de controles de emisiones se relaciona con tres procesos en China para los cuales el organismo de ejecución no ha podido hallar aún un proceso alternativo. Sólo una de las tres aplicaciones, que conlleva el uso de CTC, representa el 8,6% de esta cantidad. También se debe abordar por medio de un cambio en el proceso para eliminar el uso de CTC en una aplicación similar, aunque no idéntica, en la República Popular Democrática de Corea. Esto indica que podría existir la posibilidad de que la aplicación de China también utilice este cambio de proceso. El Comité Ejecutivo ha invitado al organismo de ejecución pertinente a que investigue esta cuestión.

23. Todavía no se dispone de detalles acerca de las tecnologías propuestas para lograr las reducciones de emisiones en estas tres aplicaciones, ya que las actividades están programadas para años futuros del plan nacional para los que no hay detalles disponibles actualmente.

24. Cuando se adoptaron la decisión X/14 y las decisiones posteriores del Comité Ejecutivo, se presuponía que el control de las emisiones desempeñaría una importante función en la eliminación de SAO en los usos como agentes de procesos, pero ése no ha sido el caso. En el caso de que se continuaran proponiendo en el futuro técnicas de control de emisiones para una o más de las tres aplicaciones de China, o para otros usos no identificados aún, el Comité Ejecutivo considerará la identificación de niveles que se puedan alcanzarse razonablemente de manera rentable sin un abandono indebido de la infraestructura, como lo requiere la decisión X/14, caso por caso.

Niveles de emisiones en comparación con el consumo

25. La información contenida en los documentos de proyecto y en las respuestas a la encuesta no indican que ninguna de las Partes que operan al amparo del Artículo 5 esté recolectando y destruyendo las emisiones de SAO provenientes de las aplicaciones como agentes de procesos. Tomando en cuenta esta información, en todos los casos, la cantidad de "emisiones" que se liberan al medio ambiente es igual a la cantidad utilizada para reponer el material en el proceso, es decir, la "cantidad aportada" que se informa como consumo en el nivel de los proyectos. Esto

se puede comparar con el nivel de emisiones “insignificantes” indicado en el Cuadro B de la decisión X/14 que se aplica a las Partes que no operan al amparo del Artículo 5, en las que el nivel medio de emisiones es menos que 5% de la cantidad aportada.

Conclusiones

26. Según la encuesta y el análisis de la información de consumo contenida en los proyectos presentados al Comité Ejecutivo, el consumo de SAO como agentes de procesos total identificado por los países que operan al amparo del Artículo 5 es de alrededor de 13 600 toneladas PAO; prácticamente el total de esta cantidad es CTC.

27. Alrededor de 98% del total será eliminado por medio de la ejecución de proyectos individuales y tres planes nacionales de eliminación de CTC ya financiados o aprobados en principio por el Comité Ejecutivo, o bien se ha cuantificado en los planes nacionales pertinentes y ha sido reconocido por el Comité Ejecutivo como potencialmente admisible para solicitudes de financiación futuras.

28. Se propone lograr la eliminación de SAO, que comprende alrededor de 91% de la eliminación total prevista en los proyectos aprobados o planificados, por medio de un cambio de tecnología de proceso par permitir el uso de un agente de proceso que no sea una sustancia controlada o bien por medio del cierre de las plantas. El cambio de proceso con emisiones residuales nulas se ha convertido, por lo tanto, en la modalidad predominante para lograr la eliminación en el sector de agentes de procesos en los países que operan al amparo del Artículo 5.

29. No se ha recibido información que indique que ninguno de los países que operan al amparo del Artículo 5 esté recolectando y destruyendo actualmente las emisiones de las aplicaciones como agentes de procesos. Por lo tanto, las cantidades de SAO que se notifican como consumo en el nivel de los proyectos se liberan al medio ambiente.

Apéndice I

Tabla 1: Consumo en aplicaciones como agentes de procesos

	Total of individually denominated process agent uses (in ODP tonnes)		Process agent use reported to Fund Secretariat (in ODP tonnes)		
	Applications approved by the Parties	Other potential process agent applications	2001	2002	2003
CTC					
Brazil		68,4		35,2	68,4
China	10.485,0	13,0	3.434,8	2.744,4	20.014,4
Colombia	2,8		-	0,9	-
India	1.866,0	402,0	6.912,4	2.065,8	
Korea, DPR	202,0	229,9	753,5	753,5	731,5
Pakistan		88,0	88,0	88,0	88,0
Romania		173,0	71,9	196,9	157,3
Sri Lanka		16,7	21,5	29,1	16,7
Sudan			-	-	1,1
Total CTC	**12.555,8**	**990,9**	**11.282,1**	**5.913,9**	**21.077,3**
CFC-11					
Egypt			65,0	60,0	51,0
Total CFC-11			**65,0**	**60,0**	**51,0**
CFC-113					
China	40,0		-	95,5	21,4
India			-	29,5	
Mexico			-	57,0	33,0
Total CFC-13	**40,0**	**-**	**-**	**182,0**	**54,4**
BCM					
Argentina					2,4
Turkey		12,0	-	-	8,8
Total BCM	**-**	**12,0**	**-**	**-**	**11,2**
TOTAL ODS	**12.595,8**	**1.002,9**	**11.347,1**	**6.155,8**	**21.193,9**

Notes:

Argentina — In its reply to the questionnaires Argentina advised that BCM is not longer used for the production of losartan potassium.

Egypt — In a communication by the Ozone Officer of 23 February 2005, it is stated that the company has stopped using CFC-12 as a process agent.

Mexico — The reported consumption of CFC-113 was mis-assigned to the process agent sector instead of the solvent sector.

Apéndice II

Decisión 27/78: Agentes de proceso aplicación de la decisión X/14 (párrafos 3, 5 y 6) de la Décima Reunión de las Partes

Tras considerar los comentarios y las recomendaciones del Subcomité sobre Examen de Proyectos (UNEP/OzL.Pro/ExCom/27/13, párrafos 122-126), incluidos las Directrices y principios generales para los proyectos de agentes de procesos propuestos por el Subcomité al Comité Ejecutivo para su adopción (UNEP/OzL.Pro/ExCom/27/13, párr. 124), el Comité Ejecutivo decidió:

a) Que se debía proceder a la aplicación inicial de la decisión X/14 utilizando el enfoque paralelo descrito en el documento UNEP/OzL.Pro/ExCom/27/40;

b) Adoptar el proyecto de Directrices y principios generales para los proyectos de agentes de procesos propuesto por el Subcomité sobre Examen de Proyectos, como se indica en el Anexo III al presente informe; (*se reproduce a continuación*)

c) Que, sobre la base de los principios generales acordados, los organismos de ejecución deberían presentar una cantidad limitada de proyectos, que se adecuen a los principios generales acordados, para la consideración de la Vigésimo octava Reunión;

d) Tomar nota de que, a medida que se fueran considerando y aprobando nuevos proyectos, se iría formando un conjunto de información respecto de la rentabilidad, los límites de emisiones y otros requisitos relativos a la admisibilidad y la determinación de los costos adicionales. Esta información constituiría la base para que el Comité Ejecutivo informe a las Partes acerca de los límites de emisiones (a los efectos de la aplicación de la Decisión X/14) y para el posible desarrollo, en una etapa posterior, de directrices más detalladas para cada una de las aplicaciones de agentes de procesos enumeradas en la decisión."

Directrices y principios generales para los proyectos de agentes de proceso

Principios generales

1. Los países deben proporcionar, en forma conjunta con su primer proyecto, una exhaustiva descripción general que detalle todas las empresas, indicando todas las cifras de consumo y emisiones y para qué empresas el país planea solicitar compensación al Fondo Multilateral. El país debe indicar si la información sobre consumo pertinente se ha presentado como parte de sus informes de consumo conforme al Artículo 7 y, en caso contrario, sus intenciones y progresos en este aspecto.

2. A los fines de las presentaciones de proyectos, el consumo en el nivel de la empresa es la cantidad de agente de proceso, en toneladas PAO, que la empresa utiliza anualmente para

‘fabricar’ el proceso pertinente. La información sobre la cantidad de SAO contenida en los equipos utilizados en el proyecto se debe incluir en la presentación del proyecto.

3. A fin de permitir la adecuada consideración de la opción de racionalización industrial, las propuestas de proyectos deberían cubrir todas las instalaciones de producción del país para la aplicación específica sometida a consideración.

4. Las propuestas de proyectos se deben preparar con arreglo a todas las políticas y directrices del Comité Ejecutivo existentes. Específicamente, el reemplazo de plantas antiguas por nuevas plantas y la actualización tecnológica se deben considerar de conformidad con las decisiones 18/25 y 26/37.

5. Los proyectos iniciales se considerarán para las aplicaciones enumeradas en el Cuadro A de la decisión X/14 a fin de proporcionar información sobre reducciones de emisiones razonablemente alcanzables y los costos relacionados.

6. Los proyectos deberían indicar qué medidas aplicables se proponen para controlar las emisiones (ej. tecnologías de control de emisiones, conversión de proceso, racionalización o clausura de plantas), la relación de costo a eficacia y las reducciones de emisiones que se pueden lograr.

7. Tanto si se proponen controles de emisiones como cambios en el proceso, la presentación de proyectos debe incluir una evaluación de los costos adicionales necesarios para alcanzar niveles significativos de reducciones de emisiones por medio de cada técnica.

8. La relación de costo a eficacia de los proyectos de agentes de procesos se considerará inicialmente sobre la base de cada caso a fin de formar un conjunto de información que pueda constituir la base para el establecimiento de umbrales de relación de costo a eficacia apropiados con el correr del tiempo.

Apéndice III

Proyectos de agentes de procesos aprobados por el Comité Ejecutivo

País	Organismo	Título del proyecto	PAO por eliminar	Fecha de aprobación	Total de fondos aprobados
Proyectos individuales					
India	BIRF	Eliminación del uso de tetracloruro de carbono como agente de proceso en la producción de endosulfano en Excel Industries Limited	375,0	Jul-99	366 000
India	ONUDI	Conversión de tetracloruro de carbono a dicloruro de etileno como disolvente de proceso en Satya Deeptha Pharmaceuticals Ltd., Humnabad	27,9	Dic-00	260 133
India	ONUDI	Conversión de tetracloruro de carbono a triclorometano como disolvente de proceso en M/S Alpha Drugs India Ltd., Patiala	69,7	Dic-00	145 505
India	ONUDI	Conversión de tetracloruro de carbono a dicloruro de etileno como disolvente de proceso en Svis Labs Ltd., Ranipet	54,2	Dic-00	249 463
India	ONUDI	Conversión de tetracloruro de carbono a dicloruro de etileno como disolvente de proceso en Doctors Organic Chemicals Ltd., Tanuku	94,6	Dic-00	288 180
India	ONUDI	Conversión del tetracloruro de carbono como agente de proceso al monoclorobenceno en M/S Benzo Chemical Industries, Tarapore	23,0	Jul-01	136 786
India	ONUDI	Conversión de tetracloruro de carbono como agente de proceso a monoclorobenceno en Pradeep Shetye Ltd., Alibagh	133,9	Jul-01	279 001
India	ONUDI	Conversión de tetracloruro de carbono a dicloruro de etileno como agente de proceso en Chiplun Fine Chemicals Ltd., Ratnagir	16,7	Jul-01	155 830
India	ONUDI	Conversión de tetracloruro de carbono como agente de proceso a monoclorobenceno en FDC Limited, Roha	34,1	Jul-01	238 371
India	ONUDI	Conversión de tetracloruro de carbono como agente de proceso a monoclorobenceno en GRD Chemicals Ltd., Indore, M.P.	17,9	Jul-01	127 667
India	BIRF	Conversión de fabricación de caucho clorado de tetracloruro de carbono a proceso sin SAO en Rishiroop Organics Pvt. Ltd.	248,8	Jul-01	2 074 300
India	ONUDI	Conversión de tetracloruro de carbono como agente de proceso a ciclohexano en Amoli Organics Limited, Mumbai	38,5	Dic-01	385 367
Pakistán	ONUDI	Conversión de tetracloruro de carbono a 1,2 dicloruro de etano como disolvente de proceso en Himont Chemicals Ltd.	80,0	Dic-01	485 701

País	Organismo	Título del proyecto	PAO por eliminar	Fecha de aprobación	Total de fondos aprobados
Planes sectoriales					
China	BIRF	Eliminación de la producción y consumo de CTC para agente de proceso y otras utilizaciones no identificadas (fase I)		Nov-02	65 000 000
India	BRIF/Francia/Alemania/Japón	Plan de eliminación de CTC en los sectores de consumo y producción		Jul-03	52 000 000
R.P. D. de Corea	ONUDI	Plan para la eliminación definitiva del CTC		Dic-03	5 684 844

Annex III

Decision X/14: Process Agents

Noting with appreciation the report of the Technology and Economic Assessment Panel and the Process Agent Task Force in response to decision VII/10,

Noting the findings of the Technology and Economic Assessment Panel that emissions from the use of ozone-depleting substances as process agents in non-Article 5 Parties are comparable in quantity to the insignificant emissions of controlled substances from feedstock uses, and that yet further reductions in use and emissions are expected by 2000,

Noting also the Technology and Economic Assessment Panel's findings that emissions from the use of controlled substances as process agents in countries operating under Article 5, paragraph 1, are already significant and will continue to grow if no action is taken,

Recognizing the usefulness of having the controlled substances produced and used as process agents clearly delineated within the Montreal Protocol,

1. That, for the purposes of this decision, the term "process agents" should be understood to mean the use of controlled substances for the applications listed in table A below;

2. For non-Article 5 Parties, to treat process agents in a manner similar to feedstock for 1998 and until 31 December 2001;

3. That quantities of controlled substances produced or imported for the purpose of being used as process agents in plants and installations in operation before 1 January 1999, should not be taken into account in the calculation of production and consumption from 1 January 2002 onwards, provided that:

(a) In the case of non-Article 5 Parties, the emissions of controlled substances from these processes have been reduced to insignificant levels as defined for the purposes of this decision in table B below;

(b) In the case of Article 5 Parties, the emissions of controlled substances from process-agent use have been reduced to levels agreed by the Executive Committee to be reasonably achievable in a cost-effective manner without undue abandonment of infrastructure. In so deciding, the Executive Committee may consider a range of options as set out in paragraph 5 below;

4. That all Parties should:

(a) Report to the Secretariat by 30 September 2000 and each year thereafter on their use of controlled substances as process agents, the levels of emissions from those uses and the containment technologies used by them to minimize emissions of controlled substances. Those non-Article 5 Parties which have still not reported data for inclusion in tables A and B are urged to do so as soon as possible and in any case before the nineteenth meeting of the Open Ended Working Group;

(b) In reporting annual data to the Secretariat for 2000 and each year thereafter, provide information on the quantities of controlled substances produced or imported by them for process-agent applications;

5. That the incremental costs of a range of cost-effective measures, including, for example, process conversions, plant closures, emissions control technologies and industrial rationalization, to reduce emissions of controlled substances from process-agent uses in Article 5 Parties to the levels referred to in paragraph 3 (b) above should be eligible for funding in accordance with the rules and guidelines of the Executive Committee of the Multilateral Fund;

6. That the Executive Committee of the Multilateral Fund should, as a matter of priority, strive to develop funding guidelines and begin to consider initial project proposals during 1999;

7. That Parties should not install or commission new plant using controlled substances as process agents after 30 June 1999, unless the Meeting of the Parties has decided that the use in question meets the criteria for essential uses under decision IV/25;

8. To request the Technology and Economic Assessment Panel and the Executive Committee to report to the Meeting of the Parties in 2001 on the progress made in reducing emissions of controlled substances from process-agent uses and on the implementation and development of emissions-reduction techniques and alternative processes not using ozone-depleting substances and to review tables A and B of the present decision and make recommendations for any necessary changes.

(Tables A and B not reproduced)

Annex IV

Decision XV/6. List of uses of controlled substances as process agents

The Parties to the Montreal Protocol decided: to adopt the following uses of controlled substances as a revised table A for decision X/14:

Table: List of uses of controlled substances as process agents

No.	Process agent application	Substance
1.	Elimination of NCl_3 in the production of chlorine and caustic	CTC
2.	Recovery of chlorine in tail gas from production of chlorine	CTC
3.	Manufacture of chlorinated rubber	CTC
4.	Manufacture of endosulphan (insecticide)	CTC
5.	Manufacture of isobutyl acetophenone (ibuprofen – analgesic)	CTC
6.	Manufacture of 1-1, bis (4-chlorophenyl) 2,2,2- trichloroethanol (dicofol insecticide)	CTC
7.	Manufacture of chlorosulphonated polyolefin (CSM)	CTC
8.	Manufacture of poly-phenylene-terephtal-amide	CTC
9.	Manufacture of fluoropolymer resins	CFC-113
10.	Manufacture of fine synthetic polyolefin fibre sheet	CFC-11
11.	Manufacture of styrene butadiene rubber	CTC
12.	Manufacture of chlorinated paraffin	CTC
13.	Photochemical synthesis of perfluoropolyetherpolyperoxide precursors of Z-perfluoropolyethers and difunctional derivatives	CFC-12
14.	Reduction of perfluoropolyetherpolyperoxide intermediate for production of perfluoropolyether diesters	CFC-113
15.	Preparation of perfluoropolyether diols with high functionality	CFC-113
16.	Bromohexine hydrochloride	CTC
17.	Diclofenac sodium	CTC
18.	Phenyl glycine	CTC
19.	Production of Cyclodime	CTC
20.	Production of chlorinated polypropene	CTC
21.	Production of chlorinated EVA	CTC
22.	Production of methyl isocyanate derivatives	CTC
23.	Production of 3-phenoxy benzaldehyde	CTC
24.	Production of 2-chloro-5-metyhlpyridine	CTC
25.	Production of Imidacloprid	CTC
26.	Production of Buprofenzin	CTC
27.	Production of Oxadiazon	CTC
28.	Production of chloradized N-methylaniline	CTC
29.	Production of Mefenacet	CTC
30.	Production of 1,3- dichlorobenzothiazole	CTC
31.	Bromination of a styrenic polymer	BCM (bromochloro-methane)

Decision XV/7. Process agents

The Parties to the Montreal Protocol decided:

1. To note that decision X/14 called on the Technology and Economic Assessment Panel and the Executive Committee to review the list of process agent uses in table A of that decision, and to make appropriate recommendations for changes to the table;

2. To note that several Parties are submitting requests to have certain uses reviewed by the Technology and Economic Assessment Panel for inclusion in table A of decision X/14 as process-agent uses;

3. To request the Technology and Economic Assessment Panel to review requests for consideration of specific uses against decision X/14 criteria for process agents, and make recommendations to the Parties annually on uses that could be added to or removed from table A of decision X/14;

4. To remind Article 5 Parties and non-Article 5 Parties with process-agent applications listed in table A to decision X/14, as revised, that they shall report in accordance with paragraph 4 of decision X/14 on the use of controlled substances as process agents, the levels of emissions from those uses, and the containment technologies used by them to minimize emissions. In addition, Article 5 Parties with listed uses in table A, as revised, shall report to the Executive Committee on progress in reducing emissions of controlled substances from process-agent uses and on the implementation and development of emissions-reduction techniques and alternative processes not using ozone-depleting substances;

5. To request the Technology and Economic Assessment Panel and the Executive Committee to report to the Open-ended Working Group at its twenty-fifth session, and every other year thereafter unless the Parties decide otherwise, on the progress made in reducing emissions of controlled substances from process-agent uses and on the implementation and development of emissions-reduction techniques and alternative processes not using ozone-depleting substances;

6. To note that, because the 2002 report of the Technology and Economic Assessment Panel lists the process-agent applications in the table below as having non-negligible emissions, those applications are to be considered process-agent uses of controlled substances in accordance with the provisions of decision X/14 for 2004 and 2005, and are to be reconsidered at the Seventeenth Meeting of the Parties based on information reported in accordance with paragraph 4 of the present decision and paragraph 4 of decision X/14;

7. To note that, because the two uses of controlled substances at the end of the table below were submitted to the Technology and Economic Assessment Panel but not formally reviewed, those applications are to be considered process-agent uses of controlled substances in accordance with the provisions of decision X/14 for 2004 and 2005, and are to be reconsidered at the Seventeenth Meeting of the Parties based on information reported in accordance with paragraph 4 of the present decision and paragraph 4 of decision X/14.
