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اللجنة التنفيذية للصندوق المتعدد الأطراف
لتنفيذ بروتوكول مونتريال
الاجتماع السادس و الثلاثون
مونتريال ، 20-22 مارس 2002

مقترحات المشروع: الهند

تتكون هذه الوثيقة من تعليقات وتوصيات أمانة الصندوق حول المشاريع التالية:

الإنتاج

□ الإزالة التدريجية لقطاع إنتاج CFC: برنامج عمل سنة 2002 البنك الدولي

التبريد

● تمويل إضافي لتغيير الملكية وتكاليف المعدات لـ Godrej-GE البنك الدولي
Appliance Limited

ورقة تقييم المشروع
الهند

القطاع : إنتاج استعمال ODS في القطاع (199) غير متوفر

عناوين المشروعات: عنبات كفاءة التكلفة في القطاع الفرعي: غير متوفر

(أ) الإزالة التدريجية لقطاع إنتاج CFC: برنامج عمل سنة 2002

بيانات المشروع	ميثيل بروميد
استهلاك المنشأ (طن ODP) وقع المشروع (طن ODP) مدة المشروع (شهور) المبلغ المطلوب أصلاً (دولار أمريكي) التكلفة النهائية للمشروع (دولار أمريكي) تكلفة رأسمالية إضافية (أ) تكلفة طوارئ (ب) تكلفة التشغيل الإضافية (ج) مجموع تكاليف المشروع (أ + ب + ج) الملكية المحلية % عنصر الصادرات % المبلغ المطلوب (دولار أمريكي) جدوى التكاليف (دولار أمريكي/كغ) هل تأيد تمويل الجهة النظيرة الوكالة الوطنية المنسقة الوكالة المنفذة	1,883.00 12 6,000,000 %100 %0 6,000,000 وزارة البيئة والغابات البنك الدولي
توصيات الأمانة	
المبلغ الموصى به (دولار أمريكي) وقع المشروع (طن ODP) جدوى التكاليف (دولار أمريكي/كغ) تكاليف مساعدة الوكالة المنفذة (دولار أمريكي)	
مجموع التكلفة للصندوق المتعدد الأطراف (دولار أمريكي)	

وصف المشروع

1. في اجتماعها التاسع والعشرين في نوفمبر/تشرين الثاني 1999، صادقت اللجنة التنفيذية من حيث المبدأ على ما مجموعه 82 مليون دولار أمريكي لتمويل التخفيض المرحلي وإيقاف مجمل إنتاج CFC في الهند. والتنفيذ سيغطي فترة 10 سنوات بإنفاق سنوي مؤسس مقابل أهداف تخفيض ODS. والبنك الدولي، وهو الوكالة المنفذة التي اختيرت لتنفيذ الخطة القطاعية، كان المطلوب منه تقديم خطة عمل سنوية لأول اجتماع في كل سنة خلال فترة التنفيذ.

2. بناء عليه، قدم البنك الدولي إلى الاجتماع السادس والثلاثين للمصادقة برنامج عمل 2002 (أنظر الملحق I) لتنفيذ برنامج الإزالة التدريجية لقطاع إنتاج CFC في الهند. ومع برنامج العمل السنوي 2002

قدم البنك الدولي أيضا تقرير التحقق حول تنفيذ برنامج العمل السنوي 2001 (أنظر الملحق II) لأن الاتفاقية التي تمت المصادقة عليها في الاجتماع التاسع والعشرين تنص على أن "عمليات الدفع مشروطة باستكمال التخفيضات المتفق عليها في الإنتاج بعد التحقق منها بشكل مستقل والمحافظة عليها".

برنامج عمل 2002

3. برنامج عمل 2002 يبدأ بمراجعة تنفيذ برنامج عمل 2001. تقارير المراجعة حول تحقيق هدف تخفيض CFC سنة 2001 : إنتاج CFC المسموح به في البلاد لسنة 2001 تم تحديده عند 18,824 طن متري في الاتفاقية (تخفيض 1,882 طن متري من مستوى إنتاج قدره 20,706 طن متري في سنة 2000) و الإنتاج الفعلي عن السنة هو 18,693 طن متري، تحت الهدف. ومن مبلغ 11 مليون دولار أمريكي أنفقت من الصندوق على برنامج عمل سنة 2001، دفع مبلغ 10.73 مليون دولار أمريكي لـ 4 شركات على دفعات وفقا للتقدم في إكمال هدف التخفيض الموضوع لكل منها، و 0.27 مليون دولار احتفظ به لأنشطة المساعدة الفنية. وتنفيذ التخفيضات في الإنتاج يدار بواسطة نظام ترخيص بالإنتاج، مدعوم بأمر حصص الإنتاج الصادر من الحكومة في نوفمبر/تشرين الثاني 1999.

4. الجزء الثاني من التقديم يصف هدف وأنشطة برنامج عمل 2002. حدود إنتاج CFC لسنة 2002 التي وضعت في الاتفاقية هي 16,941 طن متري، وهي تتطلب تخفيض إنتاج إضافي قدره 1,752 طن متري من مستوى 18,693 طن متري في 2001. وسيتم تحقيق الهدف من خلال الاستمرار مع نظام الحصص. وستكون هناك أيضا جهود لتطوير مسودة لإرشادات التصدير/الاستيراد، وإعداد خطة عمل بشكل مشترك مع الصناعة والحكومة للسيطرة على التجارة غير القانونية، والقيام بتسجيل/إعادة تسجيل منتجي ODS والتجار والمستعملين. وبالإضافة إلى ذلك، يدرج برنامج العمل أنشطة المساعدة الفنية المراد القيام بها في سنة 2002 والتي تشمل التدريب، وتشغيل نظام المعلومات الإدارية، وأنشطة الوعي العام. التمويل المطلوب لبرنامج عمل 2002 هو 6 مليون دولار أمريكي منها 5.85 مليون من المخطط أن تدفع إلى 4 شركات لكي تقوم بالمزيد من التخفيض من إنتاجها، و 0.15 مليون دولار أمريكي يحتفظ بها لبرنامج المساعدة الفنية. بالإضافة إلى ذلك، يطلب البنك الدولي تكلفة الدعم ذات العلاقة لبرنامج عمل 2002.

تقرير التحقق من إنتاج 2001

5. تم القيام بالتحقق في يناير/كانون الثاني 2002 من طرف كيميائي قانوني من المملكة المتحدة ومحاسب قانوني من الهند، وهو نفس الفريق الذي قام بالتحقق من إنتاج 1999 و 2000. والتقرير يحتوي على ملخص يشمل وصفا لعملية الإنتاج المستخدمة من 4 شركات، والتفاعل مع فريق المراجعة الوطني، ووصفا مختصرا لمنهجية المراجعة، والاستنتاجات. ثم يقدم التقرير لكل خطة عرضا مختصرا لنسق الإنتاج، والمنتجات، ومصادر خامات التغذية، وبيانات الإنتاج، وأخذ العينات من المنتجات النهائية التي تم تحليلها للتحديد الهوية، والقضايا التي تم التعرف عليها، والتقييد بالحصص. وأخيرا يستخدم التقرير النموذج المصادق عليه للتحقق من إزالة إنتاج ODS ويقدم بيانات حول عدد أيام التشغيل، واستهلاك المواد الخام و الأطنان المنتجة من CFC.

6. من بين استنتاجاته، لاحظ الفريق أنه للسنة الثانية يتقلص سوق CFC الهندي المحلي بمعدل أسرع من معدل إزالتها للإنتاج وكنتيجة لذلك كان هناك تأكيد أكبر من طرف المنتجين على أعمال التصدير. ويختتم التقرير بالقول إن طنية الإنتاج المعلن عنها من كل شركة صحيحة و تحت الحصص المخصصة لكل منها.

تعليقات وتوصيات الأمانة

التعليقات

برنامج 2002 السنوي

7. يعرض التقديم هدفا واضحا لإنتاج CFC الذي يتسق مع الهدف الموضوع في الاتفاقية، وآليات السياسة التي تساعد على تحقيقه. وهناك جهد من جانب الحكومة لتطوير خطة عمل للسيطرة على التجارة غير القانونية المتنامية التي تواجهها الحكومة. وهناك برنامج متنام للمساعدة الفنية في 2002، غير أنه ليس هناك تقارير تمويل حول البرنامج والتحقق لا يغطي هذا الجزء من البرنامج. هناك حاجة إلى معرفة الإشراف الذي يمارسه البنك الدولي، وبالتحديد مدى تكرار تقارير التمويل والمؤسسات التي تقوم بالمراجعة. وإجمالي التخصيص لبرنامج المساعدة الفنية هو 2 مليون دولار أمريكي من الـ 82 مليون دولار أمريكي المصادق عليها من حيث المبدأ.

تقرير التحقق من إنتاج 2001

اتساق إرشادات التحقق من إزالة إنتاج ODS

8. يقر أن المقرر 47/33 قد طلب من البنك الدولي أن يدرج في تقرير التحقق لسنة 2001 مناقشة حول كفاية المنهجية المستخدمة على ضوء الخطوات المحددة المشمولة في الإرشادات المصادق عليها؛ وأن يعرض في تقرير التحقق لسنة 2001 بيانات منفصلة عن الإنتاج، و معدل استهلاك CTC و AHF و الكمية بالنسبة لمواد CFC-11 و CFC-12.

9. هناك جهد لوصف المنهجية المستخدمة في المراجعة، غير أن هذا الوصف شديد الاختصار بحيث لا يوفر المعلومات حول النظام المحدد الذي يستخدمه كل من المصانع الأربعة في حفظ الملفات، ومدى كفاية هذه النظم في كل حالة، ونوع طريقة الاعتماد المستخدمة في كل حالة، والقضايا التي تواجه وطرق حلها.

10. ليس هناك وصف لطريقة اعتماد CFC من استهلاك خام التغذية. وقد وجد هذا غير كاف خاصة في البيانات حول مخزون HF في Navin ، و CTC و HF في SRF ، لأنه في كل حالة كان الاستهلاك المحسوب من حركة المخزون أعلى من استهلاك خام التغذية المحسوب من إنتاج CFC. قد تكون هناك استعمالات أخرى أو مبيعات أو منهج استعمال لاعتماد وموازنة استخدام خام التغذية. في مثل هذه الحالات لا يمكن استعمال البيانات حول التغير في المخزون لاعتماد إنتاج CFC كما هو المقصود. وفيما يلي عينة من البيانات ذات العلاقة من هذين المصنعين:

Navin

الفرق (MT)	الاستهلاك محسوب من حركة المخزون (MT)	الاستهلاك محسوب من إنتاج CFC (MT)	الشهر	خام التغذية
242	382	140.7	يناير/كانون الثاني	HF
330	428	98.4	فبراير/شباط	

SRF

الفرق (MT)	الاستهلاك محسوب من حركة المخزون (MT)	الاستهلاك محسوب من إنتاج CFC (MT)	الشهر	خام التغذية
208	735	527	يناير/كانون الثاني	CTC
357	696	339	فبراير/شباط	
167	286	119.5	يناير/كانون الثاني	HF
289.3	374	84.7	فبراير/شباط	

مراجعة الرسوم الإدارية للبنك الدولي

11. تقول الاتفاقية "وافق البنك الدولي على أن يكون الوكالة المنفذة لهذا المشروع في السنوات الثلاث الأولى مقابل رسم قدره 8% في السنة من تكاليف المشروع المنفقة خلال تلك الفترة من الزمن". 2002 ستكون السنة الرابعة من البرنامج وينبغي أن تتم مراجعة الرسوم الإدارية للبنك الدولي من أجل تحديد (أ) معدل الرسم و (ب) المدة التي يجب أن يغطيها الرسم الذي سيتم تحديده.

التوصية

12. تسعى الأمانة إلى الحصول على توضيح من البنك الدولي حول التباين في بيانات استهلاك خام التغذية، والمنهج المستخدم في اعتماد استهلاك خام التغذية وإنتاج CFC. وسترسل التوصيات إلى اللجنة التنفيذية حالما يتم استلام المعلومات.

الهند

تمويل إضافي لتغيير الملكية لشركة Godrej- GE Appliance Limited (GGEAL)

وصف المشروع

13. مشروع GGEAL تمت المصادقة عليه في مرحلتين. المرحلة I- تحويل جزء الرغوى إلى تكنولوجيا سايكلوبنتان صادقت عليه اللجنة التنفيذية في اجتماعها العشرين في أكتوبر/تشرين الثاني 1996 بتكلفة قدرها 2,691,570 دولار أمريكي لإزالة 568 طن ODP. المرحلة I من المشروع تم تنفيذها وتم تقديم تقرير إكمال المشروع إلى الأمانة.

14. المرحلة الثانية من المشروع تمت المصادقة عليها في الاجتماع الثلاثين في مارس/آذار سنة 2000 بتكلفة قدرها 2,050,000 دولار أمريكي لتحويل جزء غاز التبريد إلى تكنولوجيا إزوبوتان لإزالة 71.7 طن ODP. وقد تم حساب مستوى المنحة المؤهلة مع الأخذ في الاعتبار للملكية المحلية، التي كانت عند 60% آنذاك. ومنذ ذلك الوقت تغيرت الملكية وأصبحت الشركة الآن مملوكة محليا 100%. وتم تغيير اسم الشركة من GGEAL إلى GAL.

15. قدم البنك الدولي طلبا بتخصيص مبلغ إضافي قدره 1,366,667 دولار أمريكي، يمثل الزيادة في ملكية بلدان المادة 5 بـ 40%.

16. تكلفة التحويل تحت المرحلة II تبلغ 3,416,667 دولار أمريكي والتكلفة الإجمالية لتحويل GGEAL ستكون 6,108,237 دولار أمريكي مع كفاءة تكاليف عامة قدرها 9.55 دولار أمريكي، محسوبة على أساس المقرر 9/19 المتعلق بالمشاريع المنفذة على مراحل.

تعليقات الأمانة

17. المقرر 38/19 يحدد الظروف التي ينبغي بموجبها تغيير المصادقة على تمويل مشروع ما بسبب التغيير في الملكية. غير أن هذا المقرر محصور في الحالة التي يتم فيها تخفيض ملكية بلدان المادة 5. ومسألة سياسة تغيير الملكية في الظروف التي تزداد فيها حصة البلد المنتمي للمادة 5 تناقشها ورقة النظرة العامة (UNEP/OzL.Pro/ExCom/36/17).

18. تاريخ التوقيع ومحتوي اتفاقية المنحة نفسها، والوضع المحدد لتنفيذ المشروع، بما في ذلك الحصول على المعدات الرئيسية كلها ضرورية لتحديد مدى انطباق المقرر 38/19 أو أي قرار جديد محتمل بشأن الحالة القائمة. وقد طلبت الأمانة معلومات إضافية من البنك الدولي بخصوص اتفاقية المنحة مع GGEAL. ولم يتم توفير المعلومات المطلوبة حتى الآن. وسيتم إعلام اللجنة الفرعية الخاصة بمراجعة المشروع بذلك.

توصية الأمانة

19. أحيل المشروع إلى الدراسة الفردية.

INDIA

CFC Production Sector Gradual Phaseout Project
(ODS III)

2002 Annual Work Program

February 2002

New Delhi Office
South Asia Environment and Social Unit
World Bank

INDIA

CFC PRODUCTION SECTOR GRADUAL PHASEOUT PROJECT (ODS III)

CY2002 ANNUAL PROGRAM

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ANNEX II – Annual Production Phaseout Targets and Annual Grant Tranches

ANNEX II - MoEF Letter Confirming ODS Production Levels

INDIA

CFC PRODUCTION SECTOR GRADUAL PHASEOUT PROJECT (ODS III)

CY2002 ANNUAL PROGRAM

A. INTRODUCTION

Through the implementation of the CY2001 Annual Program of the *CFC Production Sector Gradual Phaseout Project*, India has met its 2001 CFC production quota level of 18,824 metric tons(MT).

In accordance with Decision 29/65 of the Executive Committee of the Multilateral Fund, the World Bank, as the implementing agency, is submitting an Annual Program for the period "1 January - 31 December 2002", for consideration at the March 2002 meeting of the Executive Committee. This Annual Program has been prepared in cooperation with the Ministry of Environment and Forests (MoEF), Government of India, United Nations Environment Programme (UNEP) and the Project Management Unit (PMU).

This document verifies the successful implementation of the CY2001 Annual Program by India and details the planned program and activities for 2002, and is being submitted for approval and release of the fourth tranche of funds, amounting to US\$ 6.00 million, for the implementation of the CY2002 Annual Program.

Year	Agreed Schedule		Actual		Annual Funding Level (US\$ million)
	CFC Production not exceeding (MT)	Phaseout Amount (MT)	Verified CFC Production (MT)	Phaseout Amount (MT)*	
1999	22,588	-	22,411	-	12.0
2000	20,706	1,882	20,407	2,004	11.0
2001	18,824	1,882	18,693	1,714	11.0
2002	16,941	1,883			6.0
2003	15,058	1,883			6.0
2004	13,176	1,882			6.0
2005	11,294	1,882			6.0
2006	7,342	3,952			6.0
2007	3,389	3,953			6.0
2008	2,259	1,130			6.0
2009	1,130	1,129			6.0
2010	0	1,130			6.0
Total Funding					82.0

* Difference between the previous year and the actual year.

B. CY2001 ANNUAL PROGRAM ACHIEVEMENTS

B.1 ODS Phase-out and Disbursement

CFC production in CY2001 amounted to 18,693MT, reflecting a reduction of 8.4% (1,714MT) from the previous year. Disbursements to CFC producers amounted to US\$9.66 million, reflecting 90% of the CY2001 tranche of US\$11 million, allocated for enterprise compensation. The first disbursement of US\$ 379,398, (of which US\$ 270,000 came from the 2001 AP), was made to UNEP for the TA component.

Year	Production Phase-out		Grant Tranches (US\$ m)	
	Target (MT)	Achieved	Allocation (US\$ million)	Status of Disbursements
2001	18,824	<p>The independent Audit Teams appointed by MoEF and WB separately verified CFC production in CY2001.</p> <p>Total production of CFCs was ascertained by both teams as 18,693MT.</p>	11.0	<ul style="list-style-type: none"> ▪ US\$9.66 million (in two installments of 60% and 30%) disbursed to the four CFC producers. ▪ <i>US\$1.07 million (remaining 10%) to be disbursed when the 2001 production has been confirmed by the independent audit to being within the limits given by the agreement</i> ▪ US\$0.27 million of the 2001 AP was disbursed to UNEP DTIE

B.2 Enterprise-Level CFC Production Phaseout targets (MT)

At the enterprise level, the performance with regard to meeting the quota allocations for CY2001, is summarized in the following table.

Name of company	2001 (Metric Tons)	
	Quota	Actual verified production
SRF Limited	5,536	5,518
Gujarat Fluorochemicals	6,722	6,615
Navin Fluorine (Mafatlal)	4,960	4,959
Chemplast Sanmar Limited	1,606	1,601
TOTAL	18,824	18,693

* No quota trading was done between the enterprises in 2001

The following table reflects the quota achievements by the 4 beneficiary enterprises between 1999 and 2001:

Name of company	1999 (Metric Tons)			2000 (Metric Tons)			2001 (Metric Tons)	
	Quota (Q)	Quota adjusted for trades (QA)	Achieved (A)	Quota (Q)	Quota adjusted for trades (QA)	Achieved (A)	Quota (Q)	Achieved (A)
SRF Limited	6,644	6,271	6,267	6,090	6,146	6,053	5,536	5,518
Gujarat Fluorochemical	8,067	7,482	7,415	7,395	7,482	7,352	6,722	6,615
Navin Fluorine (Mafatlal)	5,951	7,335	7,244	5,455	5,249	5,179	4,960	4,959
Chemplast Sanmar Limited	1,926	1,500	1,485	1,766	1,829	1,823	1,606	1,601
TOTAL	22,588	22,588	22,411 (99%)	20,706	20,706	20,407 (98.5%)	18,824	18,693 (99%)

B.3 Policy Measures

As detailed in CY2001 Annual Program, number of policy measures were adopted and implemented during the course of the year as summarized below.

Legislation	Related Activity	Date
Production Quota Regulation	Production Quota licenses issued for 2001 Receipt of quarterly reports on CFC production by beneficiary enterprises Receipt of annual trading of quotas from enterprises	Jan 2001 Information on quarterly basis is received from enterprises No annual quota trading reported.
ODS Rules (Regulations)	Re-registration of CFC producers Review of import –export licenses Registration of CFC stockists and dealers	Applicable December 2001 Done by July 2001
	Extension of registration dates for ODS consuming industries	Notified as on Dec 2001

B.4 Technical Assistance Activities

To ensure the timely establishment of the PMU's business operations and procedures and to initiate technical assistance activities, the PMU was staffed by professional staff contracted from a management consulting firm since February 2001. As of December 2001, recruitment of staff members has been completed. Recruitment of a regular PMU Coordinator is under active consideration by MoEF.

Activities undertaken by the PMU in 2001 are detailed in table below:

Activity	Key Actions	Date
Training	<ul style="list-style-type: none"> ▪ Stakeholder workshop conducted successfully and issues resolved through subsequent discussions with industry ▪ Training of PMU officials ▪ Assistance in formulation of training strategy for enforcement officers (UNEP) 	<p>March 2001</p> <p>Nov 2001 April 2001 onwards</p>
MIS Development	<ul style="list-style-type: none"> ▪ Consultant recruited to develop MIS ▪ Modules developed and MIS ready for implementation ▪ Data inputting started 	<p>June 2001 Dec 2001 Dec 2001</p>
Public Awareness	<ul style="list-style-type: none"> ▪ Public Awareness and Technical Assistance Strategy finalized ▪ State level awareness workshops in 18 states conducted ▪ Formalities regarding identification/recruitment of Public Awareness Agency underway 	<p>Aug 2001</p> <p>March 2001 onwards Nov-Dec</p>
Other Activities	<ul style="list-style-type: none"> ▪ Assistance in formulation of Service sector strategy (GOI/GTZ) ▪ Cooperation with UNEP in organizing training of international trainers and customs officials 	<p>Feb – May 2001 Nov 2001</p>
Establishment of PMU and related activities	<ul style="list-style-type: none"> ▪ Internal project document between UNEP and MoEF to initiate activities ▪ Project document between UNEP and MoEF signed ▪ First tranche of funds to PMU disbursed from UNEP ▪ Appointment of Audit coordinator ▪ Appointment of MIS coordinator and support staff ▪ Procurement, installation and registration of PMU equipment ▪ Registration of PMU as a separate legal entity ▪ Formulation of PMU Procedures Manual ▪ Technical study on Market based instruments initiated 	<p>Feb 2001</p> <p>July 2001</p> <p>Sept 2001</p> <p>Sept 2001 Dec 2001 Dec. 2001 June 2001 In process</p>

B.5 Monitoring and Reporting Activities

The reporting mechanism is detailed below:

Report	Submitted by	Target Date	Comments
Progress report	UNEP	July 2001 Jan 2002	Detailed reports received and reviewed during supervisions in Aug 2001 and Jan 2002;
Financial Audit	UNEP	June 2002	-
Disbursement Report	IDBI	July 2001 Jan 2002	Satisfactory report received July 2001
Financial Audit	IDBI	Sept 2001	Satisfactory report received Oct 2001
Performance Audit	Auditor/ MoEF	Jan 2002	Preliminary note received
Technical Audit	Auditor/ MoEF	July 2001 Jan 2002	The audit team conducted audits and submitted their reports on time
Technical Audit	Auditor/ WB	Jan 2002	Report received and audit team verified that total CFC production was within the specified quota for 2001.
Supervision report	WB	Jan 2001 Aug 2001 Jan 2002	Project implementation rated as satisfactory. Overall implementation and performance targets and objectives as agreed in CY2001 Annual Program were satisfactorily met.

C. CY2002 ANNUAL PROGRAM: OBJECTIVES AND ACTIVITIES

C.1 ODS Phase-out Objectives and Disbursement Allocation

The objective of the CY2002 Annual Program is to ensure that CFC production does not exceed **16,941MT**. The Bank, on behalf of India, is requesting the release of the fourth installment of **US\$6.00 million**, to achieve this objective. These funds will be disbursed to the four CFC producers for reducing production in accordance with the annual production quotas established for CY2002. No additional funds are being requested for CY2002 for the TA component from the Multilateral Fund, as there are sufficient funds available to cover planned activities in CY2002.

C.2 Enterprise-Level CFC production phase-out targets (MT)

In accordance with the Production Quota Order, the four CFC producers have submitted applications for the CY2002 quota. Given that CFC production is well within the CY2001 quotas, quotas will be issued to each enterprise by January 31, 2002, as follows:

Name of company	2002 Quota (MT) (before trades)
SRF Limited	4982
Gujarat Fluorochemicals	6050
Navin Fluorine (Mafatlal)	4464
Chemplast Sanmar Limited	1445
Total	16,941

C.3 Policy Measures

Activity	Key Actions	Target Dates
Production Quota licence	Applications for a CY2002 Production Quota license received from all four CFC producers will be examined by MoEF for issuance of licenses.	No later than January 31, 2002.
Trade policy guidelines	Prepare draft export-import policy guidelines for discussion with industry	March 2002
Monitoring of illegal trade	1. Review of actions taken by industry and GOI with regard to steps taken to control illegal trade 2. Prepare plan of action based on the discussions and inputs	Feb 2002 March 2002
Registration of producers	Applications submitted for re-registration of each CFC producer, as required by the Ozone Rules, will be examined by MoEF and processed for renewal of registration.	Between Oct 2001 and April 2002.
Implementation of other provisions of ODS Rules.	1. Applications for registrations from sellers, stockists, dealers and buyers of CFC will be examined and submitted to Ozone Cell, MOEF. 2. Applications for import and export of CFCs will be examined by PMU. Based on PMU's recommendation, the Ozone Cell will submit recommendations for issuance of bulk licenses for export by CFC producers and licenses for import to Directorate General of Foreign Trade (DGFT). DGFT will track use of bulk licenses through quarterly reports from producers.	July 2001 - June 2002 Throughout the year for import and export license, as and when received

C.4 Technical Assistance Activities

Proposed technical assistance activities to be undertaken during 2002 are summarized in the following table. These activities have been decided based on the priorities of the Government of India with regard to national ODS phaseout:

Activity	Key Actions	Target Dates	Funds (US\$ '000)
Awareness of ODS phaseout	<ul style="list-style-type: none"> ▪ Monitor and review implementation of national level public awareness activities being undertaken by Communications Agency ▪ Organize and implement public awareness workshops in remaining 10 states ▪ Develop regional action plans based on feedback at state level workshops ▪ Organize national follow-up workshop with state focal points on progress of implementation of regulations and other ODS phaseout activities ▪ Develop a concept note on establishment of national networking system at zonal level ▪ Develop and disseminate technical information on CFC and ODS phaseout 	2002 April 2002 June 2002 July 2002 Feb 2002	171
MIS Implementation	<ul style="list-style-type: none"> ▪ Database maintenance and reporting ▪ Develop and implement online quarterly data reporting by CFC producing enterprises ▪ Development and implementation of Internet based data retrieval and reporting system ▪ Implementation of information system for facilitating chiller sector phaseout strategy (<i>to be linked with WB chiller study database</i>) ▪ MIS module replication for CFC production phaseout projects in other A5 countries 	2002 July 2002 June 2002 June 2002 August 2002	20
Training/ Workshops	<ul style="list-style-type: none"> ▪ Training of PMU staff members on CY2002 Annual Program and MIS implementation. ▪ Workshop for CFC producers on implementation issues faced in CY2001 ▪ Training of enforcement officers (at trainer level) ▪ Capacity building of state level nodal officers on Montreal Protocol implementation activities. ▪ Interactive sessions with small and medium scale enterprises institutes (SISIs) and their beneficiaries on ODS phaseout. ▪ Workshop on cleaner production practices for CFC producers ▪ Preliminary discussions with CFC producers on Environment management Plan (EMP) and closure procedures 	Mar 2002 Mar 2002 June - Oct 2002 May – Nov 2002 May – Nov 2002 April 2002 Oct 2002	23
Operations of PMU	<ul style="list-style-type: none"> ▪ Implement ongoing PMU operations ▪ Half-yearly technical audits of CFC producing enterprises 	2002 June 2002 & Jan 2003	175

Activity	Key Actions	Target Dates	Funds (US\$ '000)
Studies	2-3 studies to be chosen and initiated in 2002: <ul style="list-style-type: none"> ▪ Study on overall economic and social impact of production sector phaseout ▪ Market study on preparedness of refrigeration food processing industry ▪ Study on implementation effectiveness of ODS phaseout projects ▪ Evaluation of effectiveness of public awareness strategy 	To be determined	80
Other activities	<ul style="list-style-type: none"> ▪ Ongoing monitoring of implementation of CFC production phaseout project. ▪ Preparation of draft CFC import-export policy 	2002 Mar 2002	-
Total			469*

* Of which US\$ 0.15 is available from the 2002 annual program

C.5 Monitoring And Reporting Activities

The monitoring and reporting schedule for CY2002 will be undertaken in accordance with the reporting mechanism specified in Section B.5 above.

MoEF Letter Confirming ODS Production Levels

PP 28:52AM WORLD BANK N, DELHI

Dr.S.Sathapathy
Joint Director (OC)



0064/2
भारत सरकार
पर्यावरण एवं वन मन्त्रालय
ओज़ोन सेल
Government of India
Ministry of Environment and Forests
Ozone Cell

P.No.35/5/2001-OC
17 January, 2002

Dear Mr. Rahill,

An independent audit team visited the CFC producing enterprises, M/s.SRF Ltd., M/s Gujarat Fluorochemicals Ltd., M/s. Navin fluorine Industries and M/s. Chemplast Sanmar Ltd. and verified the CFC production level in C.Y.2001. As per the audit report, it is confirmed that all the four CFC producing enterprises have met their production target and as a whole the production target at country level has been achieved.

The CFC Production Sector Gradual phase-out Project: CY 2002 Annual Programme is also enclosed for submission to the 36th Executive Committee meeting, in March 2002.

With regards,

Yours sincerely

(Dr.S.Sathapathy)

Mr. Bill H Rahill
Sr. Environmental Specialist
World Bank New Delhi Office, Room 337,
Phone: 461-7241 Extn.337
Fax: 4619393

0111/v

INDIA

CFC Production Phase-out Project

Report on 2001 Production Verification Mission
7 - 17 January 2002

Audit Team :-

Mukund M Chitale
Chartered Accountant

Mukund M Chitale & Partners
Vile Parle (E)
Mumbai - 400 057
India

Brian D Joyner
Chartered Chemist

Regulatory & Technical Resources
Easton in Gordano
Bristol BS20 0JD
U K

India CFC Production Phase-out Project

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India CFC Production Phase-out Project

Verification Mission 7 – 17 January 2002

0111/4

Executive Summary

The audit team of Mr M M Chitale, Chartered Accountant, and Mr B D Joyner, Chartered Chemist, visited the four CFC-producing enterprises during the period 8-14 January 2002 to perform a technical and financial audit of the third stage of the India CFC – Phaseout Project. The complete schedule for phase-out by 2010 is appended as Annex A.

The team was able to verify the CFC production figures provided by the enterprises by means of the audit methodology detailed later in this report. Having assessed the comprehensive data given to us, and carried out spot-checks on a random basis, we are satisfied that the production figures provided by the enterprises are correct according to the information and explanations made available to us by the enterprises.

Summary of CFC Production 1/1/2001 to 31/12/2001

(All figures rounded to nearest tonne)

CFCs	CSL 11,12	GFL 11,12	NFI 11,12,113,113a	SRF 11,12	INDIA Total
Quota	1606	6722	4960	5536	18824
Open Stock	24	57	234	337	652
Gross Prod	1805	6700	5032	5602**	18939
Losses	4	85	71	84**	244
Net Prod.	1601	6614	4958	5518	18691
Acq. Stock	Nil	34	53	54	141
Sales	1577	6664	5052	5749	19042
Close Stk.	48	41	193	161	443
% of Quota	99.69	98.41	99.98	99.67	99.30

** Gross Production and Losses are calculated assuming 1.5% on the Net Production measurement

The net aggregated total of CFC production for the calendar year 2001 was 18,693 tonnes, satisfying the country quota requirement by a margin of 131 tonnes.

M M Chitale**B D Joyner**

mmc / bdj / 01 / 2002

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India CFC Production Phase-out Project

0111/5

AUDIT REPORT**Introduction**

The manufacture and use of chlorofluorocarbons (CFCs) – in refrigeration / air conditioning, in insulation foams, as specialized solvents, as aerosol propellants, etc. – is controlled under the provisions of the Montreal Protocol, the international treaty designed for the protection of the earth's ozone layer. In Article 5 countries, production is scheduled to be totally phased out by 2010. India is a signatory to the Protocol, and CFC production is under the control of the Ozone Cell of the Ministry of Environment and Forests (MoEF).

The four CFC producers in India, through their trade organization REGMA, have an agreement with MoEF under which each enterprise is allocated an annual CFC production quota. " Production " is defined as net saleable production, this definition having been agreed between MoEF, REGMA and The World Bank in January 2001. Compliance with production quota targets is monitored on behalf of MoEF on a six-monthly basis by an audit team from the National Chemical Laboratory, Pune, and annually on behalf of The World Bank by an external audit team. This is the annual report of the World Bank team for 2001.

Production Process

All four producers operate the same type of chemical reaction process, in which carbon tetrachloride (CTC) is reacted with anhydrous hydrogen fluoride (AHF) using an antimony chlorofluoride catalyst. This results in a mixture of CFC 11 and CFC 12 (CFCs are commonly referred to by a numbering system for simplicity). The crude reaction product mixture is purified by chemical treatment and separated into the two components by distillation. There are minor differences in plant engineering and layout but this brief process description is applicable to all four production sites.

HCFC 22 is produced by a similar process, in which chloroform (CFM) is used instead of CTC, and all plants in India are designed to be able to produce HCFC 22 in addition to CFCs 11 and 12. Changing production from CFCs 11/12 to HCFC 22, or reverting from 22 to 11/12, is generally referred to as "swing" operation. Controls on CFC production have resulted in market price increases to the extent that HCFC 22, which is normally more expensive than CFC 12, is now less valuable, and a key feature of the audit process is to ensure that the production periods and quantities of CFC production are transparent and verifiable.

Liaison with NCL

Following the enterprise visits a correlation discussion was held between the NCL and World Bank teams, with representatives of UNEP and the PMU present. The outcome of both audits were found to be in agreement. It was also agreed that Customs seizures of CFCs should be notified to MoEF , and material disposed of only to producers within India, never to traders. A mechanism needs to be defined for this.

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India CFC Production Phase-out Project

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Audit Methodology

The following data are examined :-

- Raw materials purchases and receipt records;
- Production and stock records, transfers to packaging/dispatch;
- Packaging and despatch records;
- Sales records , domestic and export;
- Production plant daily log books;
- Process and Quality Control analytical records;
- Monthly records prepared for Customs & Excise.

From the operational pattern of the plant, dates are selected at random during both CFC and HCFC production periods. The production log books and laboratory analytical records are cross-correlated for these sample days. Samples are requested to be taken from selected storage tanks and packaged product cylinders, and the analysis of these samples witnessed in the laboratory. The analytical results (chromatograph traces) are added to the enterprise data presentations contained in the Annexes to the full report report held in the World Bank, New Delhi Office.

Market Factors

All enterprises commented that the more valuable domestic market for CFCs is shrinking faster than the scheduled phasedown in production as a result of Government initiatives to reduce consumption, forcing them to look increasingly to export markets where they face strong competition, in particular from material produced in China. In addition, there is a world-wide surplus of HCFC 22 such that market prices barely cover production costs and utilizing spare production capacity to produce additional HCFC 22 is unattractive.

It seems very likely that the first step in production rationalization will occur within the next couple of years.

Environmental Issues

Apart from leakage or spillage of chemicals, the main potential for environmental contamination arises from the occasional need to dispose of highly corrosive antimony halide catalyst when it has reached the end of useful working life. With local variations in details, the general procedure is to slurry the catalyst in an alkaline solution for neutralization, then add sufficient lime to complete neutralization and form a semi-solid material. This is allowed to dry out in a settling pond, then bagged or bulked up and taken to dumping sites licensed by the local State Pollution Control Boards. This is a fully acceptable procedure.

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India CFC Production Phase-out Project

0111/7

Technical and Financial Audit Report

Enterprise Chemplast Sanmar Limited

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Fax. No. (044)

Plant Address Plant No. 1, Mettur Dam R.S
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CSL Personnel R Somayaji Asst. General Manager
K Kumar Senior Sales Manager
S Vasudevan Senior Chemist

Audit Team M M Chitale
B D Joyner

Date 9 January 2002

Plant Details

CFC and AHF production was established in 1988 on an existing CSL site at Mettur Dam (there are now four CSL sites altogether at Mettur Dam). CTC and CFM come from a neighbouring site although material is also imported for import/export duty reasons. AMF is purchased domestically.

The plant has duplicate reaction systems, one reserved for CFCs and the other for HCFC 22. These are connected to a single purification / distillation system, and a swing from CFC to HCFC is achieved by stopping feeds of raw materials to one reaction system, purging the purification / distillation train of previous product , then starting feeds of raw materials to the other reaction system.

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India CFC Production Phase-out Project

0111/8

Production Details

Production Quota for 2001	MT	1606
Production Quota Traded	MT	Nil
Plant Operation Days	CFCs 11/12	241
	HCFC 22	79
	Not operating	45
Production of CFCs	MT	1601
Percentage of Quota Used		99.69 %

	1999	2000	2001	Industry Norm.
Ratio CFC 11 : CFC 12	23:77	27:73	25:75	40 to 80 % CFC 12
Use of CTC in CFC 11/12 T/T	1.40	1.36	1.41	1.31
Use of AHF in CFC 11/12 T/T	0.33	0.32	0.34	0.31

Calculated use in CFC		11	12
CTC	T/T	1.26	1.46
AHF	T/T	0.18	0.39

Samples Analysis

During their audit on 6 Jan. 2002 the NCL team had requested the analysis of samples from two storage tanks and one cylinder, all of HCFC 22. Copies of the results were given to us. We chose to have one storage tank of CFC 11 and one cylinder of CFC 12 analysed in order that the complete set of chromatograms would demonstrate the usefulness of gas chromatographic analysis for identifying CFCs 11 and 12, and HCFC 22.

Future Strategy

Facing quota limits of 1445 MT in 2002, and 1284 MT in 2003, with the probability that the HCFC 22 market will not improve, Chemplast intend to review their situation mid-2003 and decide whether or not it is worth continuing.

Compliance

With production of 1601 tonnes against their quota of 1606 tonnes, Chemplast Sanmar were in compliance with their quota limit.

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India CFC Production Phase-out Project

0111/10

Production Details

Production Quota for 2001		MT	6722
Production Quota Traded		MT	Nil
Plant Operation Days		CFCs 11/12	149
		HCFC 22	177
		Not operating	39
Production of CFCs		MT	6615
Percentage of Quota Used			98.41 %
Ratio of CFC 11:CFC 12		1999 2000 2001	Industry Norm
		23:77 27:73 13:87*	40-80% CFC12
Use of CTC in CFCs 11/12	T/T	1.30 1.27 1.30	1.32
Use of AHF in CFCs 11/12	T/T	0.32 0.32 0.35	0.33
* Such a high proportion of CFC 12 is achieved by recycling CFC 11.			
Calculated use in CFC		11 12	
CTC	T/T	1.18	1.34
AHF	T/T	0.17	0.38

Environmental

During the first part of the year a CTC storage tank at Kandla port was found to be leaking. When all the contents were removed to permit inspection / repair a shortage of 42 tonnes was found. This matter was verified by independent surveyors and a copy of their report had been given to the NCL team in July. They had recommended that any future such event should be reported to the MoEF and to the local PCB. This recommendation is endorsed.

Processing Illegal Imports

During the year GFL took possession of a total of 269 export-type cylinders of refrigerant that had been seized by Customs as an illegal import. 37 of these cylinders were found to contain water instead of refrigerant. The remaining 232 cylinders (13.6 kg) were discharged into the purification / distillation system for reprocessing, yielding 3 tonnes of CFC 12 which is shown as a purchase.

Samples - A single cylinder of HCFC 22 was chosen to complement the five samples analysed for the NCL team.

Compliance

With production of 6615 tonnes , GFL were comfortably within their quota limit of 6722 tonnes.

011/11

Technical and Financial Audit Report

Enterprise Navin Fluorine Industries
Chemicals Division of Mafatal Industries Limited

Office Address Mafatal Chambers – A, 2nd Floor
N.M. Joshi Marg, Lower Parel (E), Mumbai-400 013
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Plant Address Bhestan – 395023, Surat, Gujarat
Tel. No. 890325 – 329
Fax. No. 0261 – 890288

Contact Person P Roychowdhury General Manager – Accounts
Tel. No. (22) 527 – 4003
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Navin Personnel P Roychowdhury General Manager – Accounts
V K Mathur Sen. General Manager – Works
Ketan Sablok Dep. Manager – Accounts
S Srinivas Plants Manager

Audit Team M M Chitale
B D Joyner

Date 11 January 2002

Plant Details

Navin have two completely separate production units, both capable of swing operation between CFCs 11/12 and HCFC 22 production, although for the past two years they have been able to dedicate one unit for each of CFCs and HCFC. The first unit dates from 1967, the second from 1995, and they are referred to as Line 1 and Line 2 respectively.

Also, a single refrigeration unit provides cooling for both sets of distillation equipment so the best economy of power usage is achieved by operating both lines at the same time. Apart from minor variations, this was achieved for most of the year, but high stocks of HCFC 22, combined with low demand for the product, has meant that this unit has not operated since mid-November.

AHF is produced on site for CFC / HCFC production and for sale. CTC and CFM are purchased from both domestic and import sources.

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India CFC Production Phase-out Project

0111/9

Technical and Financial Audit Report

Enterprise Gujarat Fluorochemicals Limited

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Vadodara 390 007, Gujarat
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Fax. No. (91) 265 310-312

Plant Address 16/3, 26 & 27, Village Ranjitnagar,
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Contact Person Deepak Asher Vice President, Corporate Finance
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GFL Personnel Deepak Asher Vice President, Corporate Finance
D K Sachdeva Vice President, Operations
Joseph Titus Chief General Manager
R J Gujar Senior Manager, Accounts

Audit Team M M Chitale
B D Joyner

Date 10 January 2002

Plant Details

Production of AHF, CFCs 11 / 12 and HCFC 22 was established in 1989 on a "greenfield" site as part of a State initiative to bring industry to rural areas. All of the AHF produced is for on-site consumption. CTC and CFM are purchased from domestic producers, and by import for duty reasons. The CFC / HCFC plant has a single reactor feeding into a single purification / distillation system. The reactor is connected to two catalyst holding tanks, one for CFC catalyst and one for HCFC catalyst. The procedure for changing from CFC to HCFC production is to stop feeds of CTC and AHF to the reactor, discharge the CFC catalyst to its holding tank, purge the purification/ distillation system of previous products, transfer the HCFC catalyst from its holding tank into the reactor and start feeds of CFM and AHF.

Nameplate Capacity	TPA	19000
Verified Capacity (1998)	TPA	18975

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India CFC Production Phase-out Project

0111/13

		1999	2000	2001	Industry Norm
Ratio CFC 11:CFC 12		29:71	28:72	24:76	40-80% CFC 12
Use of CTC in CFC 11/12	T/T	1.29	1.31	1.30	1.31
Use of AHF in CFC 11/12	T/T	0.31	0.31	0.32	0.31
Calculated use in CFC			11	12	
CTC	T/T		1.18	1.34	
AHF	T/T		0.17	0.37	

Production of CFC 113a for Chemical Intermediate Use

An activity unique to NFI out of the four producers is that they have a process for converting CFC 113 into CFC 113a, a version that is much more useful for onward chemical conversion into other materials (chemical intermediate use). Both CFC 113 and CFC 113a are listed as ODP substances, but the Montreal Protocol permits continuing production of CFCs for chemical intermediate use, since in the conversion process the CFCs are "destroyed" and no longer have an ODP.

On this basis, that quantity of CFC 113 which is converted via CFC 113a into some other non-ODP material is not counted as part of a quota.

Because of uncertainty of how to regard CFC 113a in 2000, the holding of CFC 113a was not counted as part of the CFCs stock at the end of 2000, but is now shown as part of the opening stock at the start of 2001.

NFI described an experimental programme in which CFC 113a is fluorinated to CFC 114a, which is then used as a feedstock for production of HFC 134a.

This is showing promise, and a quantity of 5.5 tonnes of CFC 113a has been consumed so far. Chemically, this is certainly a possible route to make HFC 134a but it is essential in future that laboratory records are made available to verify the consumption. On the basis of the discussion the consumption of the quantity of 5.5 tonnes was accepted.

It was emphasised to NFI that rigorous evidence of use as a chemical intermediate would be needed to justify the appropriate quantity of CFC as outside the quota. At the end of any accounting period stocks of CFC 113 and CFC 113a should be counted as part of quota until subsequent conversion to a chemical intermediate resulted in a credit against quota.

Environmental

During the first part of the year a quantity of 22.88 tonnes of CTC was lost in an accident to a road tanker, and is verifiable in the records. The NCL team had noted in July that this incident had not been reported to a local or State PCB, and felt that this action should have been taken. This comment is endorsed.

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India CFC Production Phase-out Project

01/11/14

Samples Analysis

During their audit on 9 Jan. the NCL team had selected an export cylinder of HCFC 22 for identification analysis, a copy of which was given us. We selected samples from a storage tank of CFC 12 and a cylinder of HCFC 22. All results showed compliance with the stated identities.

Compliance

Accepting the doubt about the 5.5 tonnes of CFC 113a consumed, Navin are marginally inside their quota , having production of 4958 tonnes against a quota of 4960 tonnes.

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India CFC Production Phase-out Project

0111/12

There is also a small (660 tpa) CFC 113 plant. This had not been operated for some time when the Validation Mission took place in December 1998 , so this capacity was not verified. Records seem to show that the maximum quantity that has ever been produced in a single year is 214 tonnes, so this can be regarded as the Verified Capacity.

The pattern of operation on this unit is to produce a quantity of crude (impure and unsaleable) CFC 113 , then to purify it to useable quality in batches as needed. Because of its impure state the crude CFC 113 can be regarded as material " in process " and only the purified material counted as Production for audit purposes. On a technical and quality basis this is a reasonable procedure provided that accurate and transparent records are maintained. This requirement has been emphasised to Navin.

However, a much simpler system would be to count Production of crude CFC 113 as part of the CFC Production Quota, since then subsequent production of CFC 113a, or CFC 114a, need not be counted. The only requirement would be for Navin to provide documentary evidence of sale of CFC 113a as a chemical intermediate, or of in-house use as a chemical intermediate. In both cases, such use would count as a credit against the Quota. This suggestion is to be recommended to NFI by NCL, the Ozone Cell and the PMU.

Nameplate capacity CFCs 11 / 12	TPA	19800
Verified capacity (1998)	TPA	13448
Nameplate capacity CFC 113	TPA	660
" Verified " capacity	TPA	214

Production Details

Production Quota for 2001		MT	4960
Production Quota Traded		MT	Nil
Plant Operation days	Line 1	CFCs 11/12	198
		Not operating	167
	Line 2	HCFC 22	195
		Not operating	166
	113 unit	CFC 113	10
Production of CFCs 11 / 12		MT	4944
Production of CFC 113		MT	15
Percentage of Quota used			99.98 %

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India CFC Production Phase-out Project

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Technical and Financial Audit Report

Enterprise SRF Limited (Fluorochemicals Division)

Office Address A-16, Aruna Asaf Ali Marg, Qutab Industrial Area
New Delhi 110 067
Tel. No. (91) 11 685 7141

Plant Address Village Jhiwana, Tehsil Tizara, Alwar District,
Rajasthan 301 019
Tel. No. 01493 - 20288
Fax. No. 01493 - 21125

Contact Person Rajdeep Anand Sen. Vice President

SRF Personnel V K Trehan Dep. General Manager - Works
R Kaul Chief Manager-International Trade
K Chalam Sen. Manager (CMS & Filling Plant)
S K Sharma Manager - Technical Accounts
L Sharma Chief Manager (Ref. Gases & HF)

Audit Team M M Chitale
B D Joyner

Date 14 January 2002

Plant Details

Established in 1989, the site produces both AHF and chloromethanes, both for use on site and for sale. CTC and CFM are also imported for duty reasons. The refrigerant gases plant has twin reactors, one for CFCs and the other for HCFC 22, both feeding into a single purification / distillation system. Thus only CFCs or HCFC 22 can be produced at any one time. Changeover is achieved by shutting down one reactor, purging the rectification system and starting up the other reactor.

SRF do not currently measure Gross Production, but work back from the filling / packaging weights to arrive at a total of product produced. They have experimented with mass Flow Meters in line to their product storage tanks but found them very unreliable because of cavitation problems, a common problem with liquefied gases. Discussion revealed that they have a number of small daily product make tanks, mounted on load cells, and it was suggested that they look into the feasibility of totaling up the daily production quantities to arrive at a figure for Gross Production.

mraa / bdj / 01 / 2002

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India CFC Production Phase-out Project

Measurements of actual losses during cylinder filling show losses ranging from 0.8 to 0.9 % , so it was agreed that an overall loss figure of 1.5 % should be used.

Nameplate Capacity	TPA	25000
Verified Capacity (1998)	TPA	26771

Production Details

Production Quota for 2001	MT	5536
Production Quota Traded	MT	Nil
Plant Operation days	CFCs 11/12	97
	HCFC 22	137
	Not operating	131
Production of CFCs	MT	5518
Percentage of Quota Used		99.67

	1999	2000	2001	Industry Norm
Ratio CFC 11:CFC 12	29:71	35:65	38:62	40-60% CFC 12
Use of CTC in CFC 11/12 T/T	1.29	1.27	1.26	1.30
Use of AHF in CFC 11/12 T/T	0.31	0.29	0.29	0.29
Calculated use in CFC		11	12	
CTC T/T		1.18	1.34	
AHF T/T		0.16	0.37	

Processing Illegal Imports

During the year SRF accepted a total of 1100 x 13.6 kg export-type cylinders (light gauge, non-refillable) of CFC 12 seized by Customs as an illegal import. In purchasing this material, SRF were required to give two assurances :-

- that the material would be re-exported
- that the cylinders, which are not allowed for domestic use, would be destroyed

We were shown correspondence between SRF and the Controller of Explosives verifying destruction of the cylinders. The reclaimed material (14.96 tonnes) in a separate storage tank and it is shown in the records as a purchase. It is hard to understand why the material has not already been re-exported given that over 70 % of SRF's production of CFC 12 is exported.

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India CFC Production Phase-out Project

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Samples Analysis

Export-type cylinders of CFC 12 and HCFC 22 selected for analysis, the NCL team having chosen an HCFC 22 cylinder but no copy of the analysis was available. The respective materials were correctly identified and the chromatograms added to the data pack provided by SRF.

Compliance

With a total production of 5518 tonnes, SRF are comfortably below their Quota Target of 5536 tonnes.

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CFC Production Phase-out Schedule

YEAR	Reduction from Baseline %	Quota Target MT	Actual Production MT	Quota %
1999	Freeze	22589	22411	99.21
2000	8.33	20706	20407	98.56
2001	16.66	18624	18693	99.30
2002	25.00	16941		
2003	33.33	15058		
2004	41.66	13176		
2005	50.00	11294		
2006	67.50	7382		
2007	85.00	3389		
2008	90.00	2259		
2009	95.00	1130		
2010	100.00	0		

Note : The total production capacity verified in 1998 was 61253 MT

Annex I

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Questionnaire for
ODS Production Phase Out Verification (Including Gradual Closure)

A. Plant Identification

Name of Enterprise : CHEMPLAST SANMAR LIMITED
 Plant Ref. Number* :
 Sector Plan #* :
 SRI # * :
 Address of the Plant : PLANT NO.1, METTUR DAM R.S.
 636 402, TAMILNADU, INDIA
 Contact person(s) and Functional Title : MR V RAMACHANDRAN
 EXE VICE PRESIDENT
 Telephone Number : 91-4298-30382 TO 85
 Fax Number : 91-4298-30394
 E-mail Address : VRCN@SANMARGROUP.COM

B. Verification

BRIAN. D. JOYNER, MUKUND. M. CHITALE

Team Composition :
 Leader :
 Name : Brian D. Joyner.
 Functional Title : Chartered chemist.
 Member(s) :
 Name : Mukund M. Chitale.
 Functional Title : Chartered accountant
 Date of Plant Visit : 9.1.2002
 Duration of Visit : 9AM to 5Pm

*As applicable, e.g. SRI# for China's CFC plants.

M.R. Somayaji
M. R. SOMAYAJI
 ASST. GENERAL MANAGER
 CHEMPLAST SANMAR LTD PLANT-I.
 METTUR DAM - 636 402.
 SALEM (Dt) INDIA.

0111/20²

C. PLANT HISTORY

Date of construction						
ODS Products	No. of Lines	Capacity in Baseline Year*	Production **			
			Baseline Year*	Year 1 1999	Year 2 2000	Year 3 2001
CFC-11				1484.974	1823.072	1600.976
CFC-12						
CFC-13						
CFC-113						
CFC-114/115						
Raw materials Production ***						
HF						
CTC						

- * The year from which data is used for approving the ODS production phase out project.
- ** Till the year prior to verification.
- *** This applies to plants where production of either HF or CTC or both is integrated.

D. PLANT ACTIVITY IN THE YEAR VERIFIED

- I. Plant for complete closure : Not applicable
- No of CFC 11/12 lines closed :
- Date of CFC production ceased :
- Date of dismantling completed :
- Verification of destruction of key components by : [Name of certifying body]
- Reactor tank(s) dismantled and destroyed : Yes/No
- Control and monitoring equipment dismantled and destroyed : Yes/No
- Pipes dismantled and destroyed : Yes/No
- Utilities dismantled and destroyed : Yes/No

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Evidence of destruction (photos or videos)

Chance of resuming production : Yes/No

Assessment by the verification team to be included in the verification report

II. PLANT FOR GRADUAL CLOSURE

Annual CFC-11/12 quotas, production, sales and stocks since the baseline year* (Please use one table for each CFC product)

in MT

CFC Products (CFC-11)	Baseline Year*	Year 1 1999**	Year 2 2000**	Year 3 2001**
Quota				
Opening Stock at beginning of year		83.672	9.253	1.672
Production		334.609	484.522	405.354
Purchases***				Nil
Stocks returned				Nil
Sales###		408.892	475.480	364.235
Closing Stock at end of year*		9.253	1.672	42.831

* The year from which data is used to approve the ODS production phase out project.

** Till the year of the verification

*** Any external purchases including seized CFCs from customs, are to be included in this.

For the years 1999 and 2000, Sales figures do not include Metrosol and Samples.

Annual HF/CFC and CTC/CFC ratios

in MT

Ratio	Baseline year	Year 1 1999*	Year 2 2000*	Year 3 2001*	Year 4 2002	Year 5 2003
CFC-11						
HF/CFC-11 ratio		0.189	0.168	0.176		
CTC/CFC-11		1.251	1.221	1.263		
CFC-12						
HF/CFC-12		0.381	0.379	0.395		
CTC/CFC-12		1.454	1.417	1.464		

* Till the year of the verification.

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Operational days per year

Type of production	Baseline Year	Year 1 1999	Year 2 2000	Year 3 2001*	Year 4	Year 5	Year 6
CFC 11		171	231	241			
CFC 12		171	231	241			

* Till the year of the verification

Monthly CFC-11 Production and Raw Material consumption*

Month	CFC-11	No. of operating days	CFC-11 Production MT	CTC/ CFC-11 Ratio MT	CTC Opening Stock MT	CTC procured or added to stock MT	CTC Closing Stock MT
Jan-01		31	59.069	1.274	290.958	231.670	22.097
Feb-01		28	66.425	1.246	222.097	270.870	186.788
Mar-01		26	58.955	1.214	186.788	193.960	70.702
Apr-01		17	35.026	1.253	70.702	150.270	40.883
May-01		28	47.482	1.236	40.883	270.720	40.701
Jun-01		14	26.524	1.280	40.701	120.470	31.400
Jul-01		21	30.289	1.255	31.400	180.020	32.167
Aug-01		22	33.397	1.242	32.167	191.980	39.506
Sep-01		6	0.000	0.000	39.506	55.440	72.824
Oct-01		27	36.774	1.420	72.824	218.230	102.700
Nov-01		21	11.473	1.231	102.700	146.580	59.489
Dec-01		0	0.000	0.000	59.489	0.000	59.489

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CFC Production and HF consumption

Month	CFC-11	No. of operating days	CFC-11	HF/	HF	HF	HF
			Production	CFC-11	Opening	procured	Closing
			MT	Ratio	Stock	or added	Stock
				MT	MT	to stock	MT
						MT	MT
Jan-01		31	59.069	0.171	30.201	75.000	35.770
Feb-01		28	66.425	0.175	35.770	75.600	38.360
Mar-01		26	58.955	0.168	38.360	75.600	27.050
Apr-01		17	35.026	0.169	27.050	79.200	32.630
May-01		28	47.462	0.174	32.630	68.400	34.440
Jun-01		14	26.524	0.173	34.440	57.600	33.769
Jul-01		21	30.289	0.189	33.769	97.200	61.865
Aug-01		22	33.397	0.171	61.865	3.600	6.508
Sep-01		6	0.000	0.000	6.508	75.600	47.044
Oct-01		27	36.774	0.218	47.044	43.200	43.898
Nov-01		21	11.473	0.166	43.898	81.200	41.266
Dec-01		0	0.000	0.000	41.266	46.800	57.624

Similar tables should be provided for CFC-12 and CFC-113

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Evidence of destruction (photos or videos)

Chance of resuming production : Yes/No

Assessment by the verification team to be included in the verification report

II. PLANT FOR GRADUAL CLOSURE

Annual CFC-11/12 quotas, production, sales and stocks since the baseline year* (Please use one table for each CFC product)

in MT

CFC Products (CFC-12)	Baseline Year*	Year 1 1999**	Year 2 2000**	Year 3 2001**
Quota				
Opening Stock at beginning of year		96.996	3.584	21.903
Production		1150.365	1338.547	1195.582
Purchases***				Nil
Stocks returned				Nil
Sales ###		1243.468	1291.883	1212.665
Closing Stock at end of year*		3.584	21.903	4.820

* The year from which data is used to approve the ODS production phase out project.

** Till the year of the verification

*** Any external purchases including seized CFCs from customs, are to be included in this.

For the years 1999 and 2000, Sales figures do not include Metrosol and Samples.

Annual HF/CFC and CTC/CFC ratios

in MT

Ratio	Baseline year	Year 1 1999*	Year 2 2000*	Year 3 2001*	Year 4 2002	Year 5 2003
CFC-11						
HF/CFC-11 ratio		0.169	0.166	0.176		
CTC/CFC-11		1.251	1.221	1.263		
CFC-12						
HF/CFC-12		0.381	0.379	0.385		
CTC/CFC-12		1.454	1.417	1.464		

* Till the year of the verification.

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Operational days per year

Type of production	Baseline Year	Year 1 1999	Year 2 2000	Year 3 2001*	Year 4	Year 5	Year 6
CFC 11		171	231	241			
CFC 12		171	231	241			

* Till the year of the verification

Monthly CFC-12 Production and Raw Material consumption*

Month	CFC-12	No. of operating days	CFC-12 Production MT	CTC/ CFC-12 Ratio MT	CTC Opening Stock MT	CTC procured or added to stock MT	CTC Closing Stock MT
Jan-01		31	152.272	1.479	290.958	231.870	222.097
Feb-01		28	154.476	1.447	222.097	270.970	186.788
Mar-01		26	169.324	1.409	186.788	193.960	70.702
Apr-01		17	93.858	1.454	70.702	150.270	40.883
May-01		28	148.045	1.434	40.883	270.720	40.701
Jun-01		14	64.496	1.486	40.701	120.470	31.400
Jul-01		21	96.914	1.457	31.400	180.020	32.167
Aug-01		22	99.302	1.442	32.167	191.980	39.506
Sep-01		6	6.114	3.618	39.506	55.440	72.824
Oct-01		27	89.867	1.515	72.824	218.230	102.700
Nov-01		21	121.114	1.450	102.700	146.580	59.489
Dec-01		0	0.000	0.000	59.489	0.000	59.489

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09-01-02

Annexure I

Questionnaire for ODS Production Phase Out Verification (including Gradual Closure)

A Plant Identification

Name of enterprise Gujarat Fluorochemicals Limited
 Plant Ref Number
 Sector Plan
 SRI
 Address of the plant 163, 26 & 27, Village Ranjithnagar, Taluka Choptahama, District Panchmahals, Gujarat, India 368360
 Contact person(s) Deepak Ashar
 and functional title Vice President (Corporate Finance)
 Telephone Number + 91 (265) 351-207 / 330-057
 Fax Number + 91 (265) 310-312
 Email address deepak.ashar@fluorochem.net.in

B Verification

Team composition
 Leader Mr. Brian Jyner
 Name
 Functional Title
 Member(s) Mr. Mukund Chitale
 Name
 Functional Title
 Date of visit 10th January, 2002.
 Duration of visit One day

C Plant history

Date of construction 01st October, 1983 (commencement of commercial production)

ODS products	No of lines	Capacity in baseline year	Production		
			Baseline year (1999)	Year 2 (2000)	Year 3 (2001)
CFC11	one	18000	8067	1608	1588
CFC12	one	18000	5607	5793	5769

Raw Material Production	No of lines	Capacity in baseline year	Production		
			Baseline year (1999)	Year 2 (2000)	Year 3 (2001)
HFC	one	4600	4360	5467	5204

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D Plant activity in the year verified

I Plant for complete closure

Not applicable

II Plant for gradual closure

Annual CFC11/12 quotas, production, sales & stocks since baseline year (please use one table for each CFC product)

CFC products (CFC11)	Baseline Year (1999)	Year 1 (2000)	Year 2 (2001)	Year 3 (2002)
Quota	8067	7482	7482	6722
Opening stock	250	4	22	22
Production	1808	1538	647	647
Purchases	0	0	0	0
Stock returned	4	20	3	3
Sales	-2068	-1562	-966	-966
Closing stock	4	22	6	6

CFC products (CFC12)	Baseline Year (1999)	Year 1 (2000)	Year 2 (2001)	Year 3 (2002)
Quota	as given alongside			
Opening stock	508	65	35	35
Production	5807	5783	5768	5768
Purchases	0	0	0	0
Stock returned	3	17	28	28
Sales	-6053	-5840	-5798	-5798
Closing stock	65	35	36	36

Annual HF/CFC and CTC/CFC ratios

Ratio (CFC11)	Baseline Year (1999)	Year 1 (2000)	Year 2 (2001)	Year 3 (2002)
HF / CFC11	0.164	0.165	0.165	0.165
CTC / CFC11	1.175	1.174	1.179	1.179

Ratio (CFC12)	Baseline Year (1999)	Year 1 (2000)	Year 2 (2001)	Year 3 (2002)
HF / CFC12	0.372	0.375	0.375	0.375
CTC / CFC12	1.335	1.334	1.340	1.340

HF and CTC consumption is segregated between CFC11 and CFC12 based on industry accepted norms.

Operational days per year

Type of Production	Baseline Year (1999)	Year 1 (2000)	Year 2 (2001)	Year 3 (2002)
CFC11 / CFC12	166	153	149	149
HCFC22	177	164	177	177
Charges/heat down	22	29	39	39
Total	365	346	365	365

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technical audit 2001 format(1)

Monthly CFC11/12 production and raw material consumption

CFC production and CTC consumption

Month	No. of operating days	CFC11 production	CFC12 production	CFC11 ratio	CFC12 ratio	CFC opening stock	CFC procured/ added	CFC consumed	CFC closing stock
Jan	30	295	1027	1.288	1.373	1794	189	-1765	218
Feb	0	2	-1	0.000	0.000	218	0	0	218
Mar	29	180	1047	1.168	1.327	218	1621	-1601	438
Apr	7	0	321	1.162	1.320	438	1050	-424	1064
May	26	112	1135	1.172	1.331	1064	1401	-1642	823
Jun	4	37	145	1.217	1.352	823	961	-245	1568
July	20	58	829	1.171	1.331	1568	0	-1171	397
August	7	66	250	1.195	1.368	397	1080	-443	1044
September	15	2	662	1.142	1.297	1044	1016	-848	1242
October	8	75	234	1.170	1.330	1242	0	-398	844
November	3	0	128	1.171	1.331	844	0	-171	673
December	0	0	0	0.000	0.000	673	1050	-16	1707

CFC production and AHF consumption

Month	No. of operating days	CFC11 production	CFC12 production	CFC11 ratio	CFC12 ratio	HF opening stock	HF procured/ added	HF consumed	HF closing stock
Jan				0.164	0.374	79	432	-433	78
Feb				0.000	0.000	78	463	-473	58
Mar				0.166	0.378	58	476	-426	108
Apr				0.166	0.378	108	317	-352	103
May				0.166	0.377	103	436	-447	94
Jun				0.167	0.380	94	385	-430	29
July		as above		0.162	0.370	29	521	-454	96
August				0.168	0.382	96	466	-455	107
September				0.163	0.370	107	419	-442	84
October				0.166	0.376	84	333	-360	27
November				0.167	0.379	27	538	-479	86
December				0.000	0.000	86	446	-441	91



NAVIN FLUORINE INDUSTRIES
 (CHEMICAL DIVISION OF MAFATLAL INDUSTRIES LTD
 FACTORY : BHESTAN, SURAT - 395 023,
 GUJARAT, INDIA.
 TEL : 690325-329, 691033-035, 690250, 690595
 FAX : 0261-690288, TELEX: 0188-210 NFI IN
 GRAM : "NAVINCHEM" SURAT.

Annex-I
 Page 1

Annexure - I

Questionnaire for
 ODS Production Phase Out Verification (Including Gradual Closure)

A. Plant Identification

Name of Enterprise : NAVIN FLUORINE INDUSTRIES
 Chemicals Division Of Mafatal Industries Limited
 Plant Ref. Number * :
 Sector Plan # * :
 SRI # * :
 Address of the Plant : Bhestan - 395023 , Surat , India
 Contact person(s) and Functional Title : Mr Partha Roychowdhury
 General Manager - Accounts
 Telephone Number : +91(22) 5274003
 Fax Number : +91(22) 5240421
 E-mail Address : p_roychowdhury@mafnav.com

B. Verification

Team Composition

Leader

Name :

Functional Title :

Members(s)

Name :

Functional Title :

Name :

Functional Title :

Date of Plant Visit : 11 th January , 2002

Duration of Visit : 9:00 a m - 5.00 p m

* As applicable eg. SRI # for China's CFC plants.



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 (CHEMICAL DIVISION OF MAFATLAL INDUSTRIES LTD
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 GRAM : "NAVINCHEM" SURAT.

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C. Plant History

Date of construction :							
ODS Products	No. of Lines	Capacity in		Production **			
		Baseline Year *	Baseline Year *	Year 1 1999	Year 2 2000	Year 3 2001	
CFC-11				2077.066	1432.230	1175.340	
CFC-12				5124.272	3741.500	3768.730	
CFC - 11 / 12 (Combined)	2	13488.000	5952.000	7201.338	5173.730	4944.070	
CFC-13							
CFC-113	1	660.000			5.485	14.841	
CFC-113a						0.301	
CFC-114/115							
Raw Materials							
Production ***							
HF (for CFC)				2235.800	1611.900	1591.100	
CTC							

Note : CFC - 11 / 12 Capacity in baseline year is Verified Capacity .
 CFC - 113 Capacity in baseline year is Nominal Capacity .
 Line 2 is operating only HCFC 22 .

- * The year from which data is used for approving the ODS production phase out project.
- ** Till the year prior to the verification
- *** This applies to plants where production of either HF or CTC or both is integrated.

D. Plant Activity in the Year Verified

I. Plans for complete Closure : (Not Applicable)

- No. of CFC-11/12 lines closed :
- Date of CFC production ceased :
- Date of dismantling completed :
- Verification of destruction of key components by [Name of certifying body] :
- Reactor tank(s) dismantled and destroyed : Yes/No
- Control and monitoring equipment dismantled and destroyed : Yes/No
- Pipes dismantled and destroyed : Yes/No
- Utilities dismantled and destroyed : Yes/No
- Chance of resuming production : Yes/No
- Assessment by the verification team to be included in the verification report :



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 GRAM : 'NAVINCHEM' SURAT.

II. Plant for gradual closure

Annual CFC-11/12, CFC-113 quotas, production, sales and stocks since the baseline year *
 (Please use one table for each CFC product)

CFC Products (CFC-11, CFC-12, CFC-113, CFC-113a)	Baseline Year *	Year 1 # 1999	Year 2 # 2000	Year 3 ** 2001
Quota	5952.000	7335.000	5249.000	4960.000
Opening Stock at beginning of year		763.966	280.468	233.514
Net Saleable Production		7201.338	5179.215	4959.212
Purchases ***				
Sales		7684.030	5252.190	5000.143
Closing stock at end of year		280.468	207.493	192.581

Note : Quota in Year 1, Year 2 & Year 3 is after trading of quota with other producers.

- * The year from which date is used to approve the ODS production phase out project.
- ** Till the year of the verification.
- *** Any external purchases including seized CFCs from customs, are to be included in this.
- # Opening and Closing Stocks do not include CFC-113a stocks.

Annual HF / CFC and CTC / CFC ratios

Ratio	Baseline Year	Year 1 1999	Year 2 2000	Year 3 2001	Year 4	Year 5	Year
CFC-11		2077.066	1432.230	1175.340			
HF / CFC-11		0.163	0.163	0.166			
CTC / CFC-11		1.174	1.181	1.184			
CFC-12		5124.272	3741.500	3768.730			
HF / CFC-12		0.370	0.365	0.367			
CTC / CFC-12		1.346	1.349	1.342			

* Till the year of the verification



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Annual HF / CFC and PCB / CFC ratios

Ratio	Baseline Year	Year 1 1999	Year 2 2000	Year 3 2001	Year 4	Year 5	Year 6*
CFC-113 - (Ratio) (As Proposed)				17.587			
HF/CFC-113				0.436			
PCB/CFC-113				1.110			
CFC-110							
HF/CFC-110				N/A			
PCB/CFC-110				N/A			
CFC-112a							
HF/CFC-112a				N/A			
PCB/CFC-112a				N/A			

* To be year of the verification

Operational days per year

Type of Production	Baseline Year	Year 1 1999	Year 2 2000	Year 3 2001	Year 4	Year 5	Year 6*
CFC-11 / 12		275.34	180.46	197.74			
CFC-113			6.80	10.00			
CFC-113a				2.00			

* To be year of the verification

Monthly CFC-11/12 production and HCFC material consumption

CFC-11 Production and CTC consumption

Month	CFC-11	No. of Operating days	CFC-11 Production	Filling/ Handling Loss	Net Saleable Production	CTC/ CFC-11 Ratio	CTC Opening Stock	CTC Produced or added	CTC Closing Stock	CTC Consumption in CFC-11
January	31.003		164,000	1,900	162,100	1.166	790,378	289,790	403,069	189,000
February	12,858		77,000	3,140	73,860	1.591	403,069	1,046,263	1,036,120	88,070
March	20,250		119,000	2,200	116,800	1.173	1,036,120	132,140	446,170	137,020
April	15,000		76,000	2,000	74,000	1.173	446,170	1,403,400	1,263,060	26,810
May	29,000		162,700	3,040	159,740	1.179	1,263,060	120,250	487,420	187,170
June	20,000		108,300	3,800	104,700	1.193	487,420	1,226,215	1,089,735	124,930
July	26,050		92,500	3,000	89,500	1.190	1,089,735	124,880	484,515	106,510
August	11,600		73,500	2,550	70,950	1.192	484,515	1,067,635	1,162,380	44,520
September	15,497		122,000	3,250	118,750	1.183	1,162,380	1,264,647	1,809,699	140,220
October	8,210		45,000	2,980	42,720	1.215	1,809,699	97,040	1,999,133	51,200
November	17,792		161,000	4,180	156,820	1.186	1,999,133	1,160,772	2,107,910	185,690
December	6,500		8,000	1,620	6,380	1.444	2,107,910	29,460	2,042,970	9,710
Year	197,764		1,289,300	33,950	1,255,350	1.186	7,950,182			1,291,980

CFC-11 Production and HF consumption

Month	CFC-11	No. of operating days	CFC-11 Production	Filling/ Handling Loss	Net Saleable Production	HF/ CFC-11 Ratio	HF Opening Stock	HF Produced or added	HF Closing Stock	HF Consumption in CFC-11
January	31.003		164,000	1,900	162,100	0.164	143,907	332,000	83,957	26,546
February	12,858		77,000	3,140	73,860	0.168	83,957	454,000	119,348	12,430
March	20,250		119,000	2,200	116,800	0.166	119,348	504,000	88,792	19,400
April	15,000		76,000	2,000	74,000	0.166	88,792	800,000	179,306	12,780
May	29,000		162,700	3,260	159,740	0.164	179,306	798,000	77,574	26,000
June	20,000		108,300	3,800	104,700	0.166	77,574	716,000	70,682	17,430
July	26,050		92,500	3,000	89,500	0.174	70,682	708,000	93,111	15,540
August	11,600		73,500	2,550	70,950	0.166	93,111	714,000	121,092	11,780
September	15,497		122,000	3,250	118,750	0.167	121,092	700,000	30,309	19,610
October	8,210		45,000	2,980	42,720	0.168	30,309	713,000	88,946	7,170
November	17,792		161,000	4,180	156,820	0.164	88,946	657,000	73,624	25,790
December	6,500		8,000	1,620	6,380	0.205	73,624	49,000	30,140	1,310
Year	197,764		1,289,300	33,950	1,255,350	0.166	760,000			2,250,330



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GRAM : "NAVINCHEM" SURAT.

CFC - 113 Crude (In Process) Production and PCE consumption

Month	CFC-113 Crude In Process	Production	PCE/ CFC-113 Ratio	PCE Opening Stock	PCE Procured or added	PCE Closing Stock	PCE Consump. in CFC-113
January				21,946		21,946	
February				21,946		21,946	
March				21,946		21,946	
April		17,387	1.110	21,946		2,415	19,530
May				2,416		2,416	
June				2,416		2,416	
July				2,416		2,416	
August				2,416		2,416	
September				2,416		2,416	
October				2,416		2,416	
November				2,416		2,416	
December				2,416		2,416	
Total		17,387			0,000		19,530

CFC - 113 Crude (In Process) Production and HF consumption

Month	CFC-113 Crude In Process	Production	HF/ CFC-113 Ratio	HF/ Opening Stock	HF/ Procured or added	HF/ Closing Stock	HF/ Consump. in CFC-113
January				143,907	332,000	93,957	
February				93,957	454,000	119,244	
March				119,244	504,000	88,752	
April		17,587	0.426	88,752	900,000	129,530	7,491
May				129,536	738,000	77,574	
June				77,574	716,000	70,682	
July				70,682	708,000	93,111	
August				93,111	714,000	121,092	
September				121,092	720,000	96,599	
October				96,599	713,000	58,240	
November				58,946	657,000	73,624	
December				73,624	482,000	40,140	
Total		17,587			7638,000		7,491



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GRAM : "NAVINCHEM" SURAT.

CFC - 113 Pure Production and PCE consumption

Month	CFC-113 Pure	No. of Operating days	CFC-113 Production	PCE/ CFC-113 Ratio	PCE Opening Stock	PCE Procured or added	PCE Closing Stock
January		0.10	0.090				
February		0.50	0.525				
March		5.00	5.446				
April		0.05	0.035				
May		2.00	5.420				
June		0.25	0.385				
July		0.10	0.070				
August		0.50	0.665				
September		0.00	0.000				
October		0.50	0.525				
November		0.00	0.000				
December		1.00	1.680				
Total		10.000	14.841	0.000	0.000	0.000	0.000

Not Applicable
Does not Consume PCE

CFC - 113 Pure Production and HF consumption

Month	CFC-113 Pure	No. of Operating days	CFC-113 Production	HF/ CFC-113 Ratio	HF Opening Stock	HF Procured or added	HF Closing Stock
January		0.100	0.090				
February		0.500	0.525				
March		5.000	5.446				
April		0.050	0.035				
May		2.000	5.420				
June		0.250	0.385				
July		0.100	0.070				
August		0.500	0.665				
September		0.000	0.000				
October		0.500	0.525				
November		0.000	0.000				
December		1.000	1.680				
Total		10.000	14.841	0.000	0.000	0.000	0.000

Not Applicable
Does not Consume HF



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CFC - 113a Production and PCE consumption

Month	CFC-113a	No. of Operating days	CFC-113 Production	Filling / Hand Loss / Other Issues	Net Saleable Production	PCE/ CFC-113 Ratio	PCE Opening Stock	PCE Procured or added	PCE Closing Stock
January					0.000				
February					0.000				
March		2.00	1.171	0.870	0.301				
April					0.000				
May					0.000				
June					0.000				
July					0.000				
August					0.000				
September					0.000				
October					0.000				
November					0.000				
December					0.000				
Total		2.000	1.171	0.870	0.301	0.000	0.000	0.000	0.00

Not Applicable

Does not Consume PCE

CFC - 113a Production and HF consumption

Month	CFC-113 Pure	No. of Operating days	CFC-113 Production	Filling / Hand Loss / Other Issues	Net Saleable Production	HF/ CFC-113 Ratio	HF Opening Stock	HF Procured or added	HF Closing Stock
January					0.000				
February					0.000				
March		2.000	1.171	0.870	0.301				
April					0.000				
May					0.000				
June					0.000				
July					0.000				
August					0.000				
September					0.000				
October					0.000				
November					0.000				
December					0.000				
Total		2.000	1.171	0.870	0.301	0.000	0.000	0.000	0.000

Not Applicable

Does not Consume HF



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Pre-Project
19-11/2002

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01/11/2002

Questionnaire for
ODS Production Phase Out verification (including gradual closure)

A. Plant Identification

Name of Enterprise : SRF Limited

Plant Ref. No. :

Sector Plan No. :

SRI No. :

Address of the plant : Village Jhivana, Tehsil Tizara, Distt. Alwar
Rajasthan, India

Contact person(s) and Functional Title : Rajdeep Anand, Sr. Vice President

Telephone No. : 91-11-685 7141/6857231

Fax No. : 91-11-685 7139/685 4260

e-mail No. : rajdeepanand@ srf-limited.com

B. Verification

Team composition : Mr. Brian Joyner

Leader

Name : Mr. Brian Joyner

Functional title :

Member(s)

Name : Mr. Mukund Chitale

Functional title :

Date of plant visit : January 14, 2002

Duration of plant visit : 10:00 AM - 5:00 PM

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C. Plant History

Date of construction :

ODS Products	No. of lines	Capacity in Baseline year*	Production**			
			Baseline year*	Year 1 1999	Year 2 2000	Year 3 2001
CFC-11	One line common to CFC and HCFC.	25000 TPA				
CFC-12				6267.792	6053.045	5818.113
CFC-13	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
CFC-113	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
CFC-114/115	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
Raw materials production***						
HF	One				4838	4489
CTC	One				7038	4635

Quota figures given are after trading

* The year from which data is used for approving the ODS production phase out project

** Till the year prior to the verification

*** This applies to plants where production of either HF or CTC or both is integrated

D. Plant activity in the verified

- I. Plant for complete closure : Not applicable
- No. of CFC-11/12 lines closed :
- Date of CFC production ceased :
- Date of dismantling completed :
- Verification of destruction of key components by : (Name of certifying body)
- Reactor tanks(s) dismantled or destroyed : Yes/No
- Control and monitoring equipment dismantled and destroyed : Yes/No
- Pipes dismantled and destroyed : Yes/No
- Utilities dismantled and destroyed : Yes/No

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Page 3

Evidence of destruction (Photos or videos) :

Chance of resuming production : Yes/No

Assessment by the verification team to be included in the verification report :

II. Plant for gradual closure

Annual CFC-11/12 Quotas, production, sales and stocks since the baseline year*
(Please use one table for each CFC product)

CFC-11	Baseline year*	Year 1 1999	Year 2* 2000	Year 3 2001
Quota#	6643	6271	6146	5536
Opening stock at beginning of year			218	126
Production			2157	2086
Sales			2256	2197
Purchases			0	0
Sales return			6.766	5.81
Closing stock at the end of the year			126	21

CFC-12	Baseline year*	Year 1 1999	Year 2* 2000	Year 3 2001
Quota#				
Opening stock at beginning of year			299	211
Production			3896	3432
Sales			3986	3551
Sales return			0.657	33
Purchases			0	14.96
Closing stock at the end of the year			211	140

Quota figure is combined for CFC-11 and CFC-12

For 1999, combined figure for CFCs is provided

* The year from which date is used to approve the ODS production phase out project

** Till the year of verification

Annual HF/CFC and CTC/CFC ratios

CFC-11	Baseline year*	Year 1 1999	Year 2* 2000	Year 3 2001
HF/CFC-11 ratio		0.161	0.161	0.163
CTC/CFC-11 ratio		1.18	1.173	1.181
CFC-12				
HF/CFC-12 ratio		0.366	0.362	0.367
CTC/CFC-12 ratio		1.336	1.332	1.341

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Operational days per year

Type of production	Baseline year	Year 1 1999	Year 2 2000	Year 3 2001			
CFC-11		115	104	97			
CFC-12		115	104	97			

Monthly CFC-11 production and raw material consumption* (CY 2001)

Month	CFC-11	No. of operating days	CFC-11 production	CTC/CFC-11 ratio	CTC opg stock	CTC* procured or added to stock	CTC clg stock
January		7.16	149.159	1.151	826.813	839.066	930.276
February		4.84	59.725	1.163	930.276	874.879	1108.29
March		8.39	99.46	1.157	1108.28	743.914	933.516
April		4.75	118.87	1.204	933.516	938.99	1116.933
May		11.39	236.75	1.184	1116.933	922.22	814.704
June		11.82	360.52	1.493	814.704	1066.48	613.142
July		11.51	282.058	1.179	613.142	1047.589	535.922
August		8.63	98.581	1.208	535.922	1109.982	770.614
September		9.48	178.744	1.178	770.614	1182.393	1038.019
October		12.27	316.616	1.172	1038.019	561.882	650.625
November		5.31	142.967	1.179	650.625	812.297	972.878
December		1.92	42.209	1.335	972.678	639.793	1151.916

* Includes CTC production and procurement

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CFC production and HF consumption

Month	CFC-11	No. of operating days	CFC-11 production	HF/CFC-11 ratio	HF opg stock	HF procured or added to stock	HF cig stock
January		7.16	149.159	0.159	48.98	246.028	8.28
February		4.84	59.726	0.164	8.26	373.404	7.32
March		8.39	99.48	0.163	7.32	495.962	18.88
April		4.75	118.87	0.185	19.86	503.392	29.11
May		11.39	236.75	0.163	29.11	490.697	41.48
June		11.82	360.52	0.184	41.48	536.571	60.68
July		11.51	282.058	0.161	60.68	447.341	47.6
August		8.63	98.561	0.165	47.6	264.491	18.38
September		9.48	178.744	0.16	18.38	343.393	47.89
October		12.27	316.618	0.162	47.89	350.091	39.44
November		5.31	142.967	0.163	39.44	353.813	39.967
December		1.92	42.209	0.187	39.967	63.242	20.92

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CFC production and HF consumption

Month	CFC-12	No. of operating days	CFC-12 production	CTC/CFC-12 ratio	CTC opg stock	CTC procured or added to stock*	CTC clg stock
January		7.16	274.393	1.306	826.813	839.066	930.278
February		4.84	208.821	1.321	930.278	874.879	1108.29
March		8.39	399.352	1.313	1108.29	743.914	933.516
April		4.75	197.99	1.367	933.516	938.99	1116.933
May		11.39	499.593	1.344	1116.933	922.22	814.704
June		11.82	420.233	1.355	814.704	1066.46	613.142
July		11.51	364.879	1.339	613.142	1047.589	535.922
August		8.63	342.274	1.37	535.922	1109.982	770.614
September		9.48	340.465	1.335	770.614	1182.399	1038.019
October		12.27	261.571	1.331	1038.019	561.882	650.625
November		5.31	97.888	1.338	650.625	812.297	972.678
December		1.92	24.014	1.516	972.678	639.793	1151.916

* Includes CTC production and procurement.

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CFC production and HF consumption

Month	CFC-12	No. of operating days	CFC-12 production	HF/CFC-12 ratio	HF opg stock	HF procured or added to stock	HF ckg stock
January		7.16	274.383	0.350	48.98	246.026	8.28
February		4.84	209.821	0.369	8.28	373.404	7.32
March		8.39	399.352	0.367	7.32	495.962	19.86
April		4.75	197.99	0.371	19.86	503.392	29.11
May		11.39	499.593	0.369	29.11	490.697	41.48
June		11.82	420.233	0.369	41.48	536.571	60.68
July		11.51	364.879	0.363	60.68	447.341	47.6
August		8.63	342.274	0.372	47.6	264.491	18.38
September		9.48	340.465	0.362	18.38	343.393	47.89
October		12.27	281.571	0.366	47.89	350.091	39.44
November		5.31	97.888	0.369	39.44	353.913	39.967
December		1.92	24.014	0.444	39.967	83.242	20.92