



联合国  
环境规划署



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执行蒙特利尔议定书  
多边基金执行委员会  
第六十四次会议  
2011年7月25日至29日，蒙特利尔

截至2010年12月31日的综合进度报告

1. 本综合进度报告总结了各执行机构和双边机构<sup>1</sup>以叙述形式和数据库形式报告的进展情况和财务信息。秘书处在编写本报告时综合了所有机构的数据库中的数据。本报告由三个部分和两个附件组成。第一部分总结了国家一级的执行进度情况，第二部分总结了截至 2010 年底的活动，第三部分是基金秘书处发表的评论和提出的意见。附件一按国家开列了项目执行数据，附件二分析了进度报告中的数据。

## 第一部分：关于国家一级执行进度的总结

2. 本部分载有关于国家一级执行进度和各项多年期协定的总结。

### 关于 2010 年期间国家一级进展情况的总结

3. 秘书处审查了每个国家的执行情况。在审查时考虑到了按照 2009 年上报的计划完成日期衡量的执行中的拖延、这些拖延可能对淘汰工作造成的影响及计划支付率。根据 2009 年进度报告所报告的计划完成日期，以及 2010 年进度报告所报告的结果，各机构完成了计划在 2010 年完成项目的 38%，完成了计划的淘汰工作的 32%。

### 多年期协定

4. 就遵守一项或多项《蒙特利尔议定书》的控制措施的问题，执行委员会核准了 223 项多年期协定（包括在第六十三次会议上核准的协定）。多年期协定是为了使一个国家履行《蒙特利尔议定书》对一类或多类受控物质做出的规定。

5. 本进度报告包含的多年期协定细分见表 1 和表 2。

表 1

多年期协定细分

协定（全面协定）	缔约方
国家消耗臭氧层物质或氟氯化碳淘汰计划（116）	阿富汗、阿尔巴尼亚、阿尔及利亚、安提瓜和巴布达、阿根廷、巴哈马、巴林、孟加拉国、伯利兹、贝宁、玻利维亚多民族国、波斯尼亚和黑塞哥维那、博茨瓦纳、巴西、布基纳法索、布隆迪、柬埔寨、喀麦隆、佛得角、中非共和国、乍得、智利、哥伦比亚、科摩罗、刚果、哥斯达黎加、科特迪瓦、克罗地亚、古巴、刚果民主共和国、朝鲜民主主义人民共和国、吉布提、多米尼克、多米尼加共和国、厄瓜多尔、埃及、萨尔瓦多、赤道几内亚、厄立特里亚、加蓬、冈比亚、格鲁吉亚、加纳、格林纳达、危地马拉、几内亚、几内亚比绍、圭亚那、海地、洪都拉斯、印度、印度尼西亚、伊朗伊斯兰共和国、伊拉克、肯尼亚、科威特、吉尔吉斯斯坦、老挝人民民主共和国、黎巴嫩、莱索托、利比里亚、阿拉伯利比亚民众国、马达加斯加、马拉维、马来西亚、马尔代夫、马里、毛里塔尼亚、毛里求斯、墨西哥、蒙古、黑山、莫桑比克、纳米比亚、尼泊尔、尼加拉瓜、尼日尔、尼日利亚、阿曼、巴拿马、巴布亚新几内亚、巴拉圭、秘鲁、菲律宾、卡塔尔、摩尔多瓦共和国、罗马尼亚、卢旺达、圣基茨和尼维斯、圣卢西亚、圣文森特和格林纳丁斯、萨摩亚、圣多美和普林西比、沙特阿拉伯、塞内加尔、塞尔维亚、塞舌尔、塞拉利昂、苏丹、苏里南、斯威士兰、阿拉伯叙利亚共和国、泰国、前南斯拉夫的马其顿共和国、多哥、特立尼达和多巴哥、突尼斯、土耳其、乌干达、坦桑尼亚联合共和国、乌拉圭、委内瑞拉玻利瓦尔共和国、越南、也门、赞比亚、津巴布

<sup>1</sup> 无法得到芬兰、以色列和瑞士的进度数据，以供列入本报告。因此列入了这些国家的 2009 年进度报告中的数据 and 2010 年核定项目的核准信息。

协定（全面协定）	缔约方
	韦
氟氯烃淘汰管理计划 (61)	阿富汗、亚美尼亚、伯利兹、贝宁、不丹、布基纳法索、柬埔寨、乍得、智利、哥伦比亚、刚果、库克群岛、克罗地亚、多米尼克、刚果民主共和国、加蓬、格鲁吉亚、加纳、格林纳达、圭亚那、洪都拉斯、伊朗伊斯兰共和国、基里巴斯、吉尔吉斯斯坦、老挝人民民主共和国、利比里亚、马达加斯加、马拉维、马尔代夫、马里、马绍尔群岛、毛里求斯、密克罗尼西亚联邦、蒙古、黑山、纳米比亚、瑙鲁、尼日利亚、纽埃、巴基斯坦、帕劳、巴布亚新几内亚、巴拉圭、太平洋岛屿国家地区、摩尔多瓦共和国、萨摩亚、圣多美和普林西比、塞尔维亚、塞舌尔、所罗门群岛、斯里兰卡、斯威士兰、前南斯拉夫的马其顿共和国、东帝汶、多哥、汤加、土库曼斯坦、图瓦卢、瓦努阿图、委内瑞拉玻利瓦尔共和国、越南
氟氯化碳生产淘汰协定(5)	阿根廷、中国、印度、墨西哥、委内瑞拉玻利瓦尔共和国
哈龙生产淘汰计划 (1)	中国
消耗臭氧层物质生产 (2)	朝鲜民主主义人民共和国、罗马尼亚
甲基溴生产 (1)	中国
三氯乙烷生产 (1)	中国
四氯化碳淘汰计划行业协定（消费或生产）(5)	中国 (2)、印度、朝鲜民主主义人民共和国、巴基斯坦
氟氯化碳、四氯化碳和哈龙加快淘汰计划(1)	中国
加快生产氟氯化碳 (1)	印度

6. 表 2 开列的消费行业淘汰协定表示（原则上同意）支付给多边基金的总费用 2.891 亿美元，包括机构费用。其中，已经移交给各机构 2.825 亿美元。

表 2  
消费行业淘汰协定

行业（全面协定）	缔约方
泡沫塑料 (1)	中国
哈龙 (1)	中国（中国哈龙行业计划的消费比例）
甲基溴 (19)	阿根廷、智利、中国、哥斯达黎加、危地马拉、洪都拉斯、肯尼亚、黎巴嫩、阿拉伯利比亚民众国、马拉维、墨西哥、摩洛哥 (2)、阿拉伯叙利亚共和国、泰国、土耳其、越南、也门、津巴布韦
溶剂 (2)	中国、尼日利亚
制冷剂管理计划 (2)	阿尔及利亚、巴基斯坦
制冷维修 (1)	中国
制冷家电 (2)	中国、朝鲜民主主义人民共和国
烟草 (1)	中国

7. 关于大多数行业淘汰方案的进度报告由执行机构在为年度工作方案申请经费时提供。执行委员会撤销了一项多年期协定，该协定的目的是在智利淘汰土壤病虫害控制方面剩余的所有甲基溴用途。该协定应智利政府的请求撤销。

8. 表 3 开列了目前正在执行的多年期协定和个别项目的数目。

表 3

## 执行中的多年期协定和个别项目数目

		开发计划署	环境规划署	工发组织	世界银行	双边机构	共计
多年期项目		57	91	80	24	34	286
个别项目（投资/培训/技术援助/示范项目）	淘汰	31	5	30	6	10	82
	无淘汰	7	18	6	5	15	51
	小计	38	23	36	11	25	133
共计		95	114	116	35	59	419

9. 当前正在执行的多年期协定付款共有 286 个（包括某些需要多期付款的项目）。个别投资/培训/技术援助/示范项目有 133 个，其中 82 个项目实现了淘汰部分消耗臭氧层物质。当前执行个别项目最多的是开发计划署（38），然后依次为工发组织（36）、双边机构（25）和环境规划署（23）。世界银行当前执行的个别项目只有 11 个。当前执行多年期协定最多的机构是环境规划署（91），然后依次为工发组织（80），开发计划署（57），双边机构（加拿大、法国、德国、意大利、日本、西班牙、瑞典和瑞士）（34）和世界银行（24）。

## 第二部分：截至及包括 2010 年的进展情况总结

10. 附件二详细分析了各项进度报告中的数据。下面总结了截至 2010 年 12 月 31 日多边基金资助的项目和活动的进展情况：

- (a) **淘汰：**截至 2010 年底，核准项目（不包括撤销和移交的项目）的预期淘汰总量 454,321 ODP 吨中，已有 447,336 ODP 吨被淘汰。仅在 2010 年就淘汰了 7,375 ODP 吨（包括生产），核准淘汰 3,210 ODP 吨。
- (b) **支付/核准情况：**截至 2010 年底，核准支付的经费总额为 23.1 亿美元（不包括机构支助费用），其中已发放 21 亿美元。发放率达到 91%。2010 年发放了 8,920 万美元，并且还核准发放 8,870 万美元。
- (c) **成本效益：**投资项目的平均成本效益：已完成项目为 3.98 美元/公斤，执行中项目为 7.21 美元/公斤。
- (d) **已完成项目数：**截至 2010 年底，多边基金秘书处总共为 6,327 个项目（不包括已关闭或移交的项目）提供了经费，其中 5,498 个项目已经完成。因此，完成率为 87%。
- (e) **执行速度—投资项目：**投资项目平均在执行委员会核准之后 34 个月内完成。这些项目的第一笔经费平均在核准 15 个月之后支付。每个机构的情况是：开发计划署：已完成项目的第一笔经费在核准 13 个月之后支付；工发组织：8 个月之后；世界银行：21 个月之后。
- (f) **执行速度—非投资项目：**非投资项目平均在执行委员会核准之后 36 个月内完成。这些项目的第一笔经费平均在核准 11 个月之后支付。每个机构的情

况是：开发计划署：已完成非投资项目的第一笔经费在核准 12 个月后支付；环境规划署：9 个月后；工发组织：9 个月后；世界银行：16 个月后。

- (g) **项目编制情况：**截至 2010 年底，核准的项目编制活动共计 1,457 项，其中 1,215 项已经完成。各执行机构从 2010 年结转了 1,330 万美元（包括已完成项目编制活动的近 914,269 美元），可用于新的项目编制活动。
- (h) **执行中的拖延：**执行中投资项目的平均拖延时间为 22 个月。

### 第三部分：基金秘书处的评论和意见

#### 评论

11. 在本报告中，秘书处针对在审查各机构的进度报告期间发现的问题发表评论。大多数问题在个别的进度报告中都有详细讨论。

#### 最终淘汰管理计划、国家淘汰计划和氟氯化碳淘汰制冷剂管理计划的多年期协定的完成情况

12. 有 91 个国家仍在执行氟氯化碳或消耗臭氧层物质淘汰最终淘汰管理计划或国家淘汰计划。

13. 下列国家在 2012 年之前无法完成氟氯化碳和消耗臭氧层物质淘汰活动：巴林、巴西、智利、古巴、多米尼克、多米尼加共和国、萨尔瓦多、海地、伊拉克、秘鲁、圣基茨和尼维斯、沙特阿拉伯、塞拉利昂、斯威士兰、泰国和也门。

14. 巴巴多斯、文莱达鲁萨兰国、几内亚比绍、海地、科威特、马尔代夫、缅甸、尼加拉瓜、秘鲁、斯里兰卡、苏里南和也门的制冷剂管理计划由开发计划署和环境规划署实施。最近几年已经核准了部分活动，许多活动正在开展回收和再循环，以满足维修现有的氟氯化碳设备的剩余家用需求。许多国家已经根据第 60/11(b)号决定，正在将最终淘汰管理计划并入氟氯烃淘汰管理计划。谨建议执行委员会敦促打算在 2012 年之前完成氟氯化碳淘汰制冷剂管理计划、最终淘汰管理计划、国家淘汰计划的国家，竭尽全力将其活动并入氟氯烃淘汰管理计划。

#### 截至 2010 年核准的氟氯烃淘汰管理计划的氟氯烃淘汰管理计划和氟氯烃淘汰项目编制和启动情况

15. 截至目前，执行委员会批准了 133 个国家的 292 项氟氯烃淘汰管理计划和氟氯烃淘汰项目编制活动，61 个国家经核准的氟氯烃淘汰管理计划活动费用为 6,760 万美元，其中已经核准 3,340 万美元用于第一批年度付款活动。这些核准项目履行 2015 年、2020 年和 2040 年完全淘汰目标的情况如下：

- 氟氯烃淘汰管理计划第一阶段，2011 年至 2015 年，13 个国家；
- 氟氯烃淘汰管理计划第一阶段，2011 年至 2020 年，28 个国家及 12 个太平洋岛屿国家；
- 8 个低消费量国家的氟氯烃淘汰管理计划实现在 2040 年完全淘汰氟氯烃（不丹、柬埔寨、克罗地亚、马尔代夫、毛里求斯、纳米比亚、巴布亚新几内亚和塞舌尔）。

16. 委员会还核准了下列国家的氟氯烃行业项目、个别项目和示范项目：阿尔及利亚、阿根廷、孟加拉国、巴西、中国、哥伦比亚、多米尼加共和国、埃及、印度、印度尼西亚、伊朗伊斯兰共和国、约旦、黎巴嫩、马来西亚、墨西哥、摩洛哥、菲律宾、沙特阿拉伯、斯里兰卡、苏丹、阿拉伯叙利亚共和国、土耳其和委内瑞拉玻利瓦尔共和国。其中四个国家还获得了氟氯烃淘汰管理计划的供资。

#### 氟氯烃淘汰管理计划编制情况

17. 在第六十一次会议上审议截至 2009 年 12 月 31 日的进度报告时，执行委员会关切地指出，氟氯烃淘汰管理计划编制活动的时间连续第二年整体上预计将比最初计划的要长，敦促双边机构和执行机构与国家臭氧机构密切协商，尽快完成氟氯烃淘汰管理计划的编制活动（第 61/11(c)号决定）。

18. 84 个国家尚未获得氟氯烃淘汰管理计划供资，但是已经获得氟氯烃淘汰管理计划项目编制资金（26 个国家已向第六十四次会议提交氟氯烃淘汰管理计划）。氟氯烃淘汰管理计划编制完成工作继续出现拖延。秘书处试图对氟氯烃淘汰管理计划编制的整体情况进行评估，同时铭记，大多数项目的执行需要三年时间，而氟氯烃淘汰管理计划将于 2013 年开始冻结，这就突显了最晚在 2011 年提交氟氯烃淘汰管理计划的重要性。

19. 秘书处对各牵头执行机构所有活动的氟氯烃淘汰管理计划编制情况进行了分类，以向执行委员会介绍各国计划编制的整体情况，这些国家尚未向委员会提交氟氯烃淘汰管理计划供其审阅。表 4 开列了秘书处对完成氟氯烃淘汰管理计划最初阶段的评估结果。

表 4

第六十四次会议之后将要提交的氟氯烃淘汰管理计划编制阶段

编制阶段	德国	开发计划署	环境规划署	工发组织	世界银行	共计
正在结束氟氯烃淘汰管理计划，完成调查。		2	7			9
正在编制氟氯烃淘汰管理计划，完成调查。	2	2	5	3		12
正在编制氟氯烃淘汰管理计划，开展调查。		1	3	4	1	9
氟氯烃淘汰管理计划草案可用或已完成，完成调查。	1		2	3		6
完成调查。		3		5		8
开展调查。		1	3	3		7
最初阶段。		1	5		1	7
<b>共计</b>	<b>3</b>	<b>10</b>	<b>25</b>	<b>18</b>	<b>2</b>	<b>58</b>

20. 表 4 显示 58 个国家将在第六十四次会议之后提交氟氯烃淘汰管理计划，其中 7 个国家处于最初阶段（巴巴多斯、文莱达鲁萨兰国、厄立特里亚、海地、毛里塔尼亚、秘鲁和菲律宾）。氟氯烃淘汰管理计划完成情况各不相同，35 个国家已经完成调查，还有 23 个国家尚未照做。

21. 秘书处要求各执行机构解释拖延的原因。秘书处对各机构进度报告的评论中详细阐述了原因。

22. 所有机构都将一部分拖延归咎于在执行委员会第六十次会议之前缺乏与氟氯烃淘汰有关的政策和指南。开发计划署、环境规划署和工发组织引述有关确定地方机构和/或专家征聘的问题，侧重于氟氯化碳完成活动及尚未完成的氟氯烃调查。各机构提出的其他原因包括政府和政府内部改革，政局不稳和/或安全状况，重点在于氟氯烃淘汰管理计划之前的投资项目、国家规模、数据收集，各方作用不明确，以及执行机构在该国为支持实现 2013 年和 2015 年淘汰要求的职责。

23. 谨建议执行委员会敦促氟氯烃淘汰管理计划似乎处于编制初始阶段的国家加快努力，尽快提交氟氯烃淘汰管理计划，使各项活动得以开展，以便履行氟氯烃淘汰 2013 年冻结措施和 2015 年控制措施。

### 氟氯烃示范项目

24. 执行委员会已经核准了 12 项氟氯烃示范项目，其中 8 个属于泡沫塑料行业，4 个属于制冷行业。至今，已经完成了两项泡沫塑料示范项目。其他 10 个尚在执行之中。其中 8 个和 2 个项目将分别于 2011 年和 2013 年完成。

### 氟氯烃单个项目

25. 执行委员会已经核准了 40 个单个氟氯烃项目，包括 25 个投资项目，14 个技术援助项目及 1 项培训活动。在 2007 年或 2008 年完成了 13 个项目。剩余 27 个项目尚在执行之中。其中有 4 个、9 个和 14 个项目将分别于 2011 年、2012 年和 2013 年完成。

### 氟氯烃淘汰管理计划

26. 执行委员会迄今核准的 61 个氟氯烃淘汰管理计划中，据指出其中 26 个项目文件/协定尚未签署。秘书处对各机构进度报告的评论突显了第一个里程碑的重要性。谨建议执行委员会敦促双边机构和执行机构加快必要的项目文件/协定签署工作，使已核准的氟氯烃淘汰管理计划得以开展，并且注意到 61 个已核准的氟氯烃淘汰管理计划中的 26 个尚未报告项目文件/协定签署。

### 执行延期以及当前氟氯化碳淘汰的投资项目

27. 本报告附件列出了 33 个执行延期项目的清单，并将就这些延期项目向第六十五次会议提交报告。目前共有 18 个单独投资项目，涉及 13 个国家的氟氯化碳淘汰问题。其中 10 个项目计划于 2011 年完成，2012 年和 2013 年各完成 3 个项目，2014 年和 2015 年各完成 1 个项目。计划于 2011 年后完成的这些项目包括：阿根廷、孟加拉国、哥伦比亚、中国、印度（2 个）和巴基斯坦的计量吸入器项目；中国的一个药用气雾剂行业项目。谨建议执行委员会敦促相关国家加快完成这些打算 2011 年后完成的氟氯化碳淘汰项目。

### 体制建设

28. 135 个国家有正在进行的体制建设项目，其核准资金为 1,770 万美元。但是，21 个国家具有的体制建设项目虽已经得到核准，但项目文件/协议的签署尚未完成。各国必须签署这些协议，从而接受供资。另外八个国家并未提供必要的进度报告和财务报告，故而

未能发放供资。执行机构报告述及遇到这些困难的项目，要求就这些情况向第六十五次会议提交补充状态报告。谨建议执行委员会注意，具有已获核准体制建设项目的 21 个国家并未签署启动项目执行必需的项目文件/协议，八个国家未提交进度报告和财务报告，故而未能发放供资。

### 消耗臭氧层物质处置示范活动和项目编制活动

29. 执行委员会核准了 18 个消耗臭氧层物质处置项目，包括 14 个国家和 2 个地区（全球和亚太地区）的一个示范项目、两个技术援助项目和 15 个项目编制活动。到目前为止，两个项目已经完成，包括一个技术援助项目和一个项目编制。其余 16 个项目正在进行，包括以下尚未提交项目的国家的 12 个筹备活动：阿尔及利亚、巴西、中国、哥伦比亚、印度、印度尼西亚、黎巴嫩、墨西哥（世界银行项目）、尼日利亚、菲律宾和土耳其。

30. 尽早实施消耗臭氧层物质处置活动将产生更大影响，因为这些是示范项目在证明活动成效时尤其是这样。尽管执行机构表示，除三个项目编制活动外，所有活动将促使成 2011 年提交项目，若干筹备活动延迟，处于初步执行阶段。此外，执行机构和第 5 条国家表示在提交示范项目申请前优先完成氟氯烃淘汰管理计划第一阶段。谨建议执行委员会敦促消耗臭氧层物质处置示范项目筹备活动未到位的国家加快提交申请。

### 冷风机项目

31. 执行委员会已核准 20 个冷风机项目，总额为 1,720 万美元，包括十一个示范项目，八个投资项目和一个技术援助项目。十个冷风机项目已经完成（3 个示范项目和 7 个投资项目），另外十个项目正在实施（8 个示范项目、一个投资项目和一个技术援助项目）。

32. 在第四十七次会议上，执行委员会核准了若干冷风机项目，并规定项目节能，并且大部分国家已经收到了淘汰氟氯化碳的全面供资协定（第 47/26 号决定），则可获得多边基金以外的财政资源。所有项目已经获得共同供资，虽然所有这些示范项目的付款数额一直非常少。谨建议执行委员会注意冷风机示范项目已经获得共同供资。

### 计量吸入器项目

33. 执行委员会核准了 23 个计量吸入器项目，总额为 4,910 万美元，包括 12 个投资项目和 11 个技术援助项目。8 个项目已经完成，其余 15 个项目正在实施（包括 10 个投资项目和 5 个技术援助项目）。

34. 执行计量吸入器项目的问题同可能需要必要用途申请有关。如上所示，8 个计量吸入器和气溶胶投资项目计划将于 2011 年后完成。尽管除了一个项目，这些项目都出现了延期<sup>2</sup>，已经超出初始阶段，正在测试、注册和商业生产。替代物正成功实施，2 个项目计划于 2011 年完成。谨建议执行委员会注意计量吸入器氟氯化碳淘汰项目正取得进展，但大部分将于 2011 年后完成。

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<sup>2</sup> 机构的进度报告中论及延期报告。

## 报告的不完整

35. 秘书处就项目的最新状况（项目活动预计将于 2011 年第一季度完成），以及关于启动 2010 年最后一次会议上核准的大部分氟氯烃淘汰管理计划和体制建设活动是否签署了项目文件提出了问题，工发组织对这些问题做了答复。工发组织表示，将在 2011 年进度报告中给出 2011 年 1 月 1 日至 12 月 31 日的活动详情。环境规划署还请秘书处就第六十二次会议上所核准项目提出的问题做出解释。秘书处通知工发组织和环境规划署，业务准则允许在进度报告数据库备注栏中给出最新状况资料，尽管经济数据必须截止到 2010 年 12 月 31 日。秘书处请提供项目文件/赠款协议签字状态的资料，将其作为启动本年第二次或第三次会议上所核准项目的指标，从而避免在备注栏中得到“新核准的”等答复，等待约 1.5 年收到关于是否有项目文件/协议签字以便启动这些项目的第一次报告。这对于本年最后一次会议上核准的氟氯烃淘汰管理计划尤为重要，因为如果没有作为截止 2011 年 12 月 31 日的 2011 年进度报告一部分的 2012 年启动项目的资料，在截至 2012 年 12 月 31 日的 2012 年进度报告的背景下，在执行 2013 年第一次控制措施之前，委员会不会得悉启动活动可能存在的问题。在各机构的进度报告的背景下，秘书处应提供补充状态报告，以便从执行机构处获得所请求的资料。应指出，未回应是不及时的报告，因此影响对执行机构绩效的评价。此外，进度报告是行政核心单位费用的一个组成部分。谨建议执行委员会请基金秘书处在根据第 62/25(c)号决定审查行政成本的背景下，审查由于未报告执行机构支持的项目和可比较金融机制而受到处罚的情况，从而根据不履约惩罚情况激励给出及时反应报告。

## 计划竣工期以反映已知的项目竣工

36. 秘书处还询问若干机构在 2011 年 5 月要求提交日期前计划完成的活动实际是否已经完成。对大多数机构而言，计划完成期意味着实际完成期，因为各机构有项目的最新情况资料，使其能够给出实际完成期。不过，工发组织表示报告期结束时设定 2011 年计划完成期而非 5 月，因为 2012 年 5 月前不会报告实际完成期。尽管进度报告提供了 12 月 31 日前的资料，但提交年度进度报告前报告并未反映完成项目实际完成情况的计划完成期不合逻辑。并且，如果所有机构提供的资料一致，机构的问题应该会减少，委员会在其年度进度报告中也将更明确了解最新进展情况。因此，谨建议执行委员会请双边和执行机构在提交反映实际项目竣工的进度报告之前给出完成活动的计划完成日期。

## 行政成本

37. 在核准用于项目执行的 2,305,540,135 美元的净资金中，已支付行政成本 260,997,310 美元，导致自 1990 年多边基金开始运作以来 11.32% 的行政成本总比率。2010 年，在核准的 88,714,462 美元中，已支付行政成本 12,561,547 美元，导致 14.16% 的行政成本总比率，这一数字反映了年核准资金额度较低，并且负责氟氯烃淘汰的执行机构的情况不断变化。秘书处在关于机构的进度报告的评论中提供了机构行政成本的资料。四个执行机构项目组合中活动的规模和数量不断变化。可视需要精简报告要求并使其自动化，以减少行政负担。可能要就项目和行政成本项目做出说明，确保执行机构的报告保持一致。如上所述，预计将根据第 62/25(c)号决定审查行政成本。谨建议执行委员会，在四个执行机构的角色不断变化的情况下，考虑是否应就行政成本的审查进一步提供任何指南。

## 建议

38. 谨建议执行委员会：

(a) 注意：

(一) UNEP/OzL.Pro/ExCom/64/9 号文件中载有多边基金的《综合进度报告》；

(二) 注意：

a) 61 个已核准氟氯烃淘汰管理计划中的 26 个计划并未报告项目文件/协议签字；

b) 具有已核准体制建设的 21 个国家未签署启动项目执行所需要的项目文件/协议，8 个国家由于机构原因未提供进度报告和财务报告，故而未能拨付供资；

c) 冷风机项目已获得共同供资；

d) 计量吸入器氟氯化碳淘汰项目正取得进展但大部分将于 2011 年后完成；

(b) 敦促：

(一) 以下计划 2012 年前完成其制冷剂管理计划、最终淘汰管理计划以及国家淘汰计划以淘汰氟氯化碳的国家尽一切努力，视需要将这些活动纳入氟氯烃淘汰管理计划：巴巴多斯、文莱达鲁萨兰国、几内亚比绍、海地、科威特、马尔代夫、缅甸、尼加拉瓜、秘鲁、斯里兰卡、苏里南和也门；

(二) 双边和执行机构必须加快签署必要的项目文件/协议，以便能够启动核准的氟氯烃淘汰管理计划；

(三) 敦促以下氟氯烃淘汰管理计划处于编制启动阶段的国家加快努力，尽快提交氟氯烃淘汰管理计划，以便能够启动活动，推动履行 2013 年冻结措施和 2015 年控制措施以便淘汰氟氯烃：巴巴多斯、文莱达鲁萨兰国、厄立特里亚、海地、毛里塔尼亚、秘鲁和菲律宾；

(四) 敦促以下国家加快完成打算 2011 年后完成的单个氟氯化碳淘汰投资项目：

a) 阿根廷、孟加拉国、哥伦比亚、中国、印度（2 个）和巴基斯坦的计量吸入器项目；以及

b) 中国的药用气雾剂行业项目；

(五) 敦促以下消耗臭氧层物质处置示范项目筹备活动未到位的国家加快提交其申请：阿尔及利亚、巴西、中国、哥伦比亚、印度、印度尼西亚、黎巴嫩、墨西哥（世界银行项目）、尼日利亚、菲律宾和土耳其；

- (c) 请：
- (一) 基金秘书处在根据第 62/25(c)号决定审查行政成本的背景下，审查由于未报告执行机构支持的项目和可比较金融机制而受到处罚的情况，从而根据不履约惩罚情况激励给出及时反应报告；以及
  - (二) 双边机构和执行机构在提交反映实际竣工项目的年度进度报告和财务报告之前给出完成活动的计划完成日期；以及
- (d) 在 4 个执行机构的角色不断变化的情况下，考虑是否应就行政成本的审查进一步提供任何指南。

## Annex I

## PROJECT IMPLEMENTATION BY COUNTRY

Country	Phased Out in 2010	Percentage of Planned Phase-out Achieved in 2010	Estimated Funds Disbursed in 2010 (US\$)	Funds Disbursed in 2010 (US\$)	Percentage of Funds Disbursed over Estimation in 2010	Percentage of Planned Projects Completed in 2010
Afghanistan	0.0		87,482	111,250	127%	67%
Albania	4.4	100%	159,036	142,567	90%	0%
Algeria	80.0	50%	870,551	711,011	82%	43%
Angola	0.0		97,200	48,664	50%	33%
Antigua and Barbuda	0.0		137,000	9,842	7%	0%
Argentina	208.0	0%	2,733,089	1,704,132	62%	20%
Armenia	0.0		122,241	141,641	116%	100%
Bahamas (the)	0.0		85,000	12,964	15%	50%
Bahrain	0.0		437,630	114,793	26%	20%
Bangladesh	21.9		1,130,438	2,361,128	209%	33%
Barbados	0.0	0%	169,913	40,146	24%	20%
Belize	0.0		103,441	106,799	103%	60%
Benin	0.0	0%	216,321	96,254	44%	25%
Bhutan	0.0		50,344	19,615	39%	75%
Bolivia (Plurinational State of)	0.0		202,712	120,147	59%	0%
Bosnia and Herzegovina	4.1	0%	55,002	86,804	158%	0%
Botswana	0.0		151,366	147,020	97%	0%
Brazil	0.0		2,512,322	3,256,134	130%	50%
Brunei Darussalam	9.6	100%	216,237	0	0%	50%
Burkina Faso	2.0		63,474	48,709	77%	100%
Burundi	0.0		295,871	174,313	59%	50%
Cambodia	0.3	100%	82,514	172,013	208%	80%
Cameroon	24.0	100%	284,766	175,383	62%	0%
Cape Verde	0.0	0%	150,344	69,461	46%	33%
Central African Republic (the)	0.0	0%	200,417	8,578	4%	0%
Chad	0.0		100,780	67,200	67%	75%
Chile	32.9		431,684	536,594	124%	71%
China	2,183.0	43%	25,981,339	25,284,526	97%	27%
Colombia	0.0		723,576	1,511,608	209%	20%
Comoros (the)	0.0		104,526	72,295	69%	50%
Congo (the)	1.8		141,097	101,720	72%	33%
Cook Islands (the)	0.5	100%	64,777	26,500	41%	33%
Costa Rica	23.0		500,053	390,408	78%	50%
Cote d'Ivoire	0.0	0%	299,889	238,150	79%	40%
Croatia	0.0		168,636	109,046	65%	50%
Cuba	0.0		610,729	816,089	134%	57%
Democratic Republic of the Congo (the)	58.2	100%	303,949	97,966	32%	0%
Democratic People's Republic of Korea (the)	114.8	100%	432,369	234,092	54%	33%
Djibouti	0.0	0%	166,216	37,300	22%	0%
Dominica	0.7		200,204	120,373	60%	25%
Dominican Republic (the)	0.0		417,474	251,559	60%	50%
Ecuador	0.0		245,767	65,790	27%	0%
Egypt	14.0	0%	2,386,188	2,938,356	123%	25%

Country	Phased Out in 2010	Percentage of Planned Phase-out Achieved in 2010	Estimated Funds Disbursed in 2010 (US\$)	Funds Disbursed in 2010 (US\$)	Percentage of Funds Disbursed over Estimation in 2010	Percentage of Planned Projects Completed in 2010
El Salvador	0.0		300,352	262,604	87%	0%
Equatorial Guinea	0.0	0%	221,383	12,819	6%	33%
Eritrea	0.0		220,417	80,447	36%	0%
Ethiopia	0.0	0%	131,597	10,978	8%	40%
Fiji	0.0		118,276	50,555	43%	67%
Gabon	0.0		66,794	19,376	29%	25%
Gambia (the)	0.0		88,334	101,207	115%	50%
Georgia	7.2	100%	146,340	187,998	128%	100%
Ghana	0.0		68,028	120,405	177%	67%
Global	0.0		11,510,362	9,020,824	78%	75%
Grenada	0.0		132,720	79,744	60%	75%
Guatemala	0.0		614,403	110,840	18%	20%
Guinea	2.9	100%	37,023	211,578	571%	50%
Guinea-Bissau	0.0		164,517	222,024	135%	0%
Guyana	0.0		199,448	98,084	49%	33%
Haiti	13.3		345,381	52,476	15%	25%
Honduras	39.7	100%	481,682	395,608	82%	38%
India	2,249.8	67%	12,380,670	8,740,036	71%	17%
Indonesia	16.3	100%	794,880	876,905	110%	64%
Iran (Islamic Republic of)	96.4	0%	2,124,822	1,665,554	78%	21%
Iraq	0.0		1,935,769	1,574,089	81%	33%
Jamaica	0.0	0%	94,975	28,527	30%	33%
Jordan	0.0		338,228	370,482	110%	33%
Kenya	32.0	0%	494,080	359,813	73%	63%
Kiribati	0.0		51,727	66,227	128%	50%
Kuwait	15.0	100%	519,318	79,847	15%	17%
Kyrgyzstan	5.0		143,860	163,039	113%	75%
Lao People's Democratic Republic (the)	0.0	0%	243,131	143,427	59%	50%
Lebanon	27.9	0%	721,018	608,276	84%	29%
Lesotho	0.0		118,000	93,581	79%	0%
Liberia	0.0		123,500	117,064	95%	50%
Libyan Arab Jamahiriya (the)	15.0	0%	494,911	396,876	80%	0%
Madagascar	0.0	0%	97,353	64,459	66%	25%
Malawi	0.0		123,079	162,635	132%	50%
Malaysia	0.0		1,421,950	987,136	69%	50%
Maldives	0.0		74,921	122,796	164%	100%
Mali	0.0		152,904	252,860	165%	67%
Marshall Islands (the)	0.0		62,500	77,000	123%	50%
Mauritania	0.0		339,706	134,798	40%	0%
Mauritius	0.0		98,000	38,000	39%	67%
Mexico	77.0		3,544,915	3,238,620	91%	25%
Micronesia (Federated States of)	0.0	0%	44,028	83,267	189%	50%
Republic of Moldova (the)	0.0		243,098	255,428	105%	75%
Mongolia	3.3	100%	41,931	41,629	99%	75%
Montenegro	2.2	100%	70,500	58,705	83%	50%
Morocco	86.4	80%	916,396	558,829	61%	17%
Mozambique	0.0		181,860	108,871	60%	0%
Myanmar	0.0	0%	137,866	14,532	11%	0%

Country	Phased Out in 2010	Percentage of Planned Phase-out Achieved in 2010	Estimated Funds Disbursed in 2010 (US\$)	Funds Disbursed in 2010 (US\$)	Percentage of Funds Disbursed over Estimation in 2010	Percentage of Planned Projects Completed in 2010
Namibia	0.0		82,310	62,999	77%	75%
Nauru	0.0		23,877	53,377	224%	100%
Nepal	0.0		253,271	184,868	73%	75%
Nicaragua	0.0	0%	220,776	332,610	151%	0%
Niger (the)	4.8	100%	188,660	174,724	93%	57%
Nigeria	45.9	100%	609,285	995,060	163%	75%
Niue	0.0		45,400	44,000	97%	0%
Oman	6.9	31%	310,000	188,967	61%	40%
Pakistan	0.0		363,181	449,046	124%	80%
Palau	0.0		68,766	72,266	105%	0%
Panama	0.0		620,395	588,063	95%	20%
Papua New Guinea	0.0		61,000	61,000	100%	100%
Paraguay	31.8		440,986	344,420	78%	20%
Peru	20.1	39%	567,282	18,523	3%	20%
Philippines (the)	368.3		2,927,047	585,750	20%	63%
Qatar	0.0	0%	238,000	52,669	22%	0%
Region: AFR	27.0	62%	764,110	395,051	52%	14%
Region: ASP	0.0		138,565	254,932	184%	0%
Region: EUR	0.0		185,869	97,614	53%	
Region: LAC	0.0		263,872	21,298	8%	33%
Romania	59.4	100%	381,352	394,706	104%	75%
Rwanda	0.0		264,806	126,481	48%	33%
Saint Kitts and Nevis	2.0		225,351	92,439	41%	25%
Saint Lucia	4.2		130,496	81,437	62%	67%
Saint Vincent and the Grenadines	0.0		168,834	76,945	46%	33%
Samoa	0.0		34,000	49,947	147%	75%
Sao Tome and Principe	0.0	0%	160,269	83,836	52%	50%
Saudi Arabia	0.0	0%	977,544	345,876	35%	0%
Senegal	0.0	0%	202,887	115,642	57%	20%
Serbia	2.6	100%	428,055	257,015	60%	17%
Seychelles	0.0	0%	91,600	76,600	84%	100%
Sierra Leone	0.4	100%	302,396	304,439	101%	71%
Solomon Islands	0.0		63,099	77,599	123%	50%
Somalia	0.0		54,585	82	0%	67%
South Africa	0.0		55,000	95,151	173%	0%
Sri Lanka	0.0	0%	505,666	297,985	59%	38%
Sudan (the)	60.0	100%	273,392	166,613	61%	33%
Suriname	0.0		238,136	66,687	28%	17%
Swaziland	0.0		217,699	58,184	27%	33%
Syrian Arab Republic	491.4	100%	1,294,215	451,951	35%	67%
Thailand	501.6	0%	3,849,475	1,791,672	47%	44%
The former Yugoslav Republic of Macedonia	5.0	100%	70,700	107,892	153%	75%
Timor-Leste	0.0		54,000	64,000	119%	33%
Togo	0.0		174,995	195,718	112%	60%
Tonga	0.0	0%	61,883	41,383	67%	67%
Trinidad and Tobago	0.0		253,308	102,419	40%	0%
Tunisia	172.5		973,765	172,935	18%	0%

Country	Phased Out in 2010	Percentage of Planned Phase-out Achieved in 2010	Estimated Funds Disbursed in 2010 (US\$)	Funds Disbursed in 2010 (US\$)	Percentage of Funds Disbursed over Estimation in 2010	Percentage of Planned Projects Completed in 2010
Turkey	58.0		392,000	433,698	111%	0%
Turkmenistan	0.0		157,576	159,278	101%	100%
Tuvalu	0.0	0%	47,158	20,903	44%	50%
Uganda	0.0	0%	159,881	95,619	60%	25%
United Republic of Tanzania (the)	0.0		273,832	158,110	58%	20%
Uruguay	29.9		328,518	258,452	79%	50%
Vanuatu	0.0		53,546	22,547	42%	33%
Venezuela (Bolivarian Republic of)	0.0	0%	764,790	777,208	102%	25%
Viet Nam	0.0		543,394	593,339	109%	50%
Yemen	10.2	3%	1,164,831	403,464	35%	25%
Zambia	0.0	0%	267,757	124,957	47%	0%
Zimbabwe	0.0	0%	343,608	224,964	65%	25%
<b>Total</b>	<b>7,374.6</b>	<b>32%</b>	<b>111,247,977</b>	<b>89,245,228</b>	<b>80%</b>	<b>38%</b>

**Annex II**

**ANALYSIS OF PROGRESS REPORT DATA**

1. In the databases submitted to the Fund Secretariat implementing and bilateral agencies provided detailed information on their respective shares of the 6,611 projects (including closed and transferred projects) approved by the Executive Committee through to 31 December 2010. In 2010, 231 new projects and activities were approved. The Executive Committee requested that detailed information on a project-by-project basis be made available to Committee members, with a printout available upon request (decision 19/23). The database for the Consolidated Progress Report is provided on the Executive Committee's intranet in the spreadsheet programme, Microsoft Excel 2002.

**OVERVIEW OF APPROVED FUNDING**

2. The Executive Committee approved around US \$2.31 billion for the implementation of investment and non-investment projects through to the end of 2010. In addition, about US \$261 million has been approved for agency fees and administrative support. This level of funding is expected to result in the phase-out of 454,321 ODP tonnes of ODS consumption and production. Table 1 summarises the level of approved funding, by sector and by agency, and indicates that most approvals of the Executive Committee have been in the refrigeration (US \$550.9 million) and foam (US \$399.5 million) sectors.

Table 1

**APPROVED FUNDING BY SECTOR AND AGENCY AS AT 31 DECEMBER 2010**

<b>Item</b>	<b>UNDP (US\$)</b>	<b>UNEP (US\$)</b>	<b>UNIDO (US\$)</b>	<b>World Bank (US\$)</b>	<b>Bilaterals (US\$)</b>	<b>Grand Total (US\$)</b>
<b>Sector</b>						
Aerosol	26,923,688	932,000	33,860,167	23,058,919	2,111,400	86,886,174
Destruction	755,200	157,200	425,000	400,000	30,000	1,767,400
Foam	170,438,344	0	86,211,858	134,920,254	7,928,002	399,498,457
Halon	5,150,546	780,640	1,421,626	70,766,852	6,544,861	84,664,525
Fumigants	20,205,468	2,648,004	71,704,015	6,915,142	17,759,519	119,232,147
Multiple Sectors	40,000	0	139,978	2,341,168	0	2,521,146
Other	0	0	11,317,246	5,059,360	0	16,376,606
Phase-out Plan	66,034,768	21,580,779	71,855,183	91,026,676	31,490,882	281,988,288
Process Agent	1,293,034	0	6,350,222	114,342,497	0	121,985,753
Production	0	0	46,775,103	264,435,120	10,000,000	321,210,223
Refrigeration	136,250,037	13,647,329	176,753,422	184,233,391	40,055,849	550,940,029
Severall	40,846,610	137,515,415	8,685,306	26,659,391	4,477,034	218,183,757
Solvents	63,280,531	198,860	23,271,277	10,147,394	2,308,714	99,206,775
Sterilant	417,628	0	0	661,227	0	1,078,855
<b>Sub-Total</b>	<b>531,635,853</b>	<b>177,460,227</b>	<b>538,770,403</b>	<b>934,967,391</b>	<b>122,706,261</b>	<b>2,305,540,135</b>
Agency fees/administrative costs	73,487,820	16,043,495	69,812,465	90,839,400	10,814,131	260,997,310
<b>GRAND TOTAL</b>	<b>605,123,673</b>	<b>193,503,722</b>	<b>608,582,868</b>	<b>1,025,806,791</b>	<b>133,520,392</b>	<b>2,566,537,445</b>

**ANNUAL SUMMARIES**

3. Table 2 presents an overview of status, by year. The data is presented according to the year when a project was approved by the Executive Committee. It treats all approvals (investment and non-investment projects) equally (i.e., an investment project or annual funding tranche of a multi-year

agreement of US \$1 million is considered one project as is a country programme preparation of US \$30,000).

4. Key indicators from the annual summary in Table 2 are: the percentage of projects completed, ODP phased out, and percentage of funds disbursed. In reviewing the data on funds disbursed, it should be noted that there are four types of disbursements: during implementation, after implementation, for retroactively-financed projects, and for time-sensitive projects.

Table 2

**ANNUAL SUMMARY**

Year approved	Number of approvals*	Number completed	Per cent completed	Consumption ODP to be phased out*	Consumption ODP phased out*	Per cent of consumption ODP phased out	Production ODP to be phased out*	Production ODP phased out*	Per cent of production ODP phased out	Approved funding plus adjustment (US\$)	Funds disbursed (US\$)	Per cent of funds disbursed	Balance (US\$)	Estimated disbursement in current year (US\$)
1991	68	68	100%	536	600	112%	0	0	0%	7,950,771	7,950,771	100%	0	0
1992	176	176	100%	18,861	18,696	99%	0	0	0%	41,261,377	41,261,376	100%	0	0
1993	217	217	100%	4,483	5,056	113%	0	0	0%	73,198,586	73,198,586	100%	0	0
1994	379	378	100%	18,599	19,194	103%	1,200	1,200	100%	128,665,030	128,630,290	100%	34,740	34,741
1995	355	354	100%	13,746	13,477	98%	0	0	0%	111,232,255	111,188,309	100%	43,946	0
1996	257	257	100%	10,508	10,180	97%	0	0	0%	83,946,939	83,797,866	100%	149,073	119,259
1997	532	531	100%	29,877	29,705	99%	11,739	11,739	100%	154,314,938	153,976,735	100%	338,203	338,000
1998	422	422	100%	15,966	15,895	100%	5,826	5,826	100%	99,881,146	99,630,264	100%	250,882	117,103
1999	547	544	99%	17,355	17,046	98%	5,970	5,970	100%	153,527,603	152,005,685	99%	1,521,918	455,357
2000	426	424	100%	11,813	11,972	101%	8,793	8,793	100%	109,877,349	109,343,420	100%	533,929	115,201
2001	423	421	100%	16,004	15,642	98%	8,384	8,384	100%	130,396,753	130,113,549	100%	283,204	220,199
2002	360	353	98%	16,054	16,081	100%	7,943	7,943	100%	162,899,264	161,085,987	99%	1,813,277	1,424,413
2003	247	243	98%	10,221	11,015	108%	7,421	7,421	100%	157,411,489	156,044,189	99%	1,367,300	996,648
2004	271	251	93%	10,656	10,649	100%	17,315	17,315	100%	162,747,706	159,808,275	98%	2,939,431	1,973,532
2005	255	227	89%	30,145	32,637	108%	58,864	59,444	101%	190,445,993	170,161,752	89%	20,284,240	6,839,868
2006	261	234	90%	9,017	8,559	95%	21,321	20,974	98%	122,979,162	112,691,517	92%	10,287,646	5,152,330
2007	214	166	78%	9,312	7,327	79%	17,285	17,285	100%	121,384,265	110,681,820	91%	10,702,445	5,153,654
2008	358	149	42%	8,991	7,708	86%	19,677	19,644	100%	125,208,707	94,516,177	75%	30,692,530	14,956,619
2009	328	77	23%	6,539	2,424	37%	690	690	100%	79,496,339	39,485,265	50%	40,011,074	22,145,306
2010	231	6	3%	3,210	846	26%	0	0	0%	88,714,462	2,764,760	3%	85,949,702	28,262,534
<b>Total</b>	<b>6,327</b>	<b>5,498</b>	<b>87%</b>	<b>261,893</b>	<b>254,708</b>	<b>97%</b>	<b>192,428</b>	<b>192,628</b>	<b>100%</b>	<b>2,305,540,135</b>	<b>2,098,336,594</b>	<b>91%</b>	<b>207,203,540</b>	<b>88,304,764</b>

\*Excludes Closed and Transferred Projects

5. Of the US \$2.31 billion in approved funding (including adjustments), the agencies (including bilateral agencies) have disbursed around US \$2.1 billion. Projects approved by the Executive Committee have thus far resulted in the permanent annual phase-out of 447,336 ODP tonnes of consumption and production of which 426,850 ODP tonnes is from completed projects and 20,486 ODP tonnes is from ongoing projects. Table 2 indicates that the percentage phased out in 1991, 1993, 1994, 2000, 2003 and 2005 exceeds 100 per cent. This is due to the phase-out of larger amounts of consumption than those originally indicated in some of the project proposals.

6. All projects and activities approved between 1991 and the end of 1998 have now been completed, with the exception of an institutional strengthening project in Uganda (UNEP implementation), a refrigeration project in Argentina (World Bank implementation) and a recovery and recycling technical assistance projects in Morocco (France implementation).

## SUMMARY DATA BY PROJECT TYPE

7. The Executive Committee approves several different types of projects including: country programme preparation, demonstration projects, institutional strengthening, investment projects (including annual tranches of MYAs), training projects and technical assistance. Technical assistance includes UNEP's clearing house and networking activities as well as publications, refrigerant management plans, and recovery and recycling projects. A summary of the status of projects, by category, is presented in Table 3.

Table 3

### SUMMARY DATA BY PROJECT TYPE

Type	Number of approvals *	Number completed	Per cent completed	Approved funding (US\$)	Adjustment (US \$)	Funds disbursed (US \$)	Per cent of funds disbursed	Balance (US\$)	Estimated disbursement in current year (US \$)
Country Programme Preparation	165	165	100%	8,529,659	-1,246,579	7,266,559	100%	16,521	14,463
Demonstration Projects	98	77	79%	49,668,261	-1,012,571	22,888,607	47%	25,767,084	7,169,523
Institutional Strengthening Projects	757	589	78%	80,843,420	1,995,977	69,178,176	84%	13,661,222	9,308,579
Investment Projects	2,481	2,240	90%	1,914,885,769	-41,691,556	1,741,110,037	93%	132,084,174	41,808,034
Project Preparation	1,457	1,215	83%	89,509,564	-13,597,419	62,582,673	82%	13,329,472	10,530,292
Technical Assistance Projects	1,047	895	85%	202,458,582	-10,794,593	169,928,990	89%	21,735,000	18,914,681
Training Projects	322	317	98%	26,355,758	-364,137	25,381,553	98%	610,068	559,192
<b>GRAND TOTAL</b>	<b>6,327</b>	<b>5,498</b>	<b>87%</b>	<b>2,372,251,013</b>	<b>-66,710,877</b>	<b>2,098,336,594</b>	<b>91%</b>	<b>207,203,540</b>	<b>88,304,764</b>

\*Excludes Closed and Transferred Projects.

8. Of the 6,327 projects approved by the Executive Committee, 2,481 (about 39 per cent) are classified as investment projects. Investment projects represent around US \$1.9 billion of the US \$2.31 billion approved for activities under the Multilateral Fund (81 per cent of the total funds approved). The second largest number of projects, in terms of approved funding, is classified as technical assistance, for which the Committee has approved a total of over US \$191.7 million.

9. By the end of 2010, 100 per cent of country programme preparation projects had been completed and 82 per cent of the funds allocated for project preparation activities had been disbursed.

10. By the end of 2010, 90 per cent of investment projects had been completed, 79 per cent of demonstration projects had been completed, and 84 per cent of approved funds had been disbursed for institutional strengthening projects.

### COMPLETED PROJECTS

11. Completed projects/activities are defined in decisions 17/22 and 19/23 as projects that have been commissioned and where the ODS addressed has been phased out. A further decision (decision 28/2) extends the definition to cover situations where no further use of CFCs in the sectors covered is in evidence, where an alternative product is being produced (and/or production has begun), and where the equipment using CFCs has been destroyed/dismantled/rendered unusable with respect to ODSs. Work

programme activities without an ODS phase-out are considered completed when the activity is finished (e.g., for a workshop, when it has been conducted).

12. Overall, 99.6 per cent of the funds approved for completed investment projects have been disbursed. The reason that 100 per cent of funds have not been disbursed for completed projects is that it may take from six months to one year to finalise accounting records.

### Completed Investment Projects

13. Table 4 presents information on investment projects that have been completed since 1991. The table presents the data in total, followed by data according to region, sector, implementation characteristics, and disbursement method.

Table 4

### CUMULATIVE COMPLETED INVESTMENT PROJECTS

Item	Number of projects	Approved funds plus adjustment (US \$)	Per cent of funds disbursed	Consumption ODP phased out**	Production ODP phased out**	Average number of months from approval to first disbursement	Average number of months from approval to completion	Overall cost-effectiveness to the fund (US\$/kg.)
<b>GRAND TOTAL</b>	<b>2,240</b>	<b>1,645,588,564</b>	<b>99.6%</b>	<b>222,152</b>	<b>191,246</b>	<b>15</b>	<b>34</b>	<b>\$3.98</b>
<b>Region</b>								
Africa	323	116,666,044	99%	13,817	0	12	35	\$8.44
Asia & Pacific	1,310	1,207,162,397	100%	177,038	171,570	16	35	\$3.46
Europe	98	55,697,989	99%	7,097	175	10	27	\$7.66
Latin America and Caribbean	509	266,062,135	100%	24,199	19,501	14	34	\$6.09
Global	n/a	0	0%	0	0	n/a	n/a	n/a
<b>Sector</b>								
Aerosol	115	32,449,047	98%	25,274	0	16	38	\$1.28
Destruction	0	0	0%	0	0	n/a	n/a	n/a
Foam	980	347,220,187	100%	65,340	0	15	33	\$5.31
Fumigants	78	68,621,365	99%	5,121	0	9	46	\$13.40
Halon	38	71,440,706	99%	42,111	41,958	17	30	\$0.85
Multiple Sectors*	4	2,287,376	100%	419	0	28	78	\$5.46
Other	8	16,059,360	100%	1,574	0	12	20	\$10.20
Phase-out Plan	215	168,067,390	99%	29,350	10,988	12	29	\$4.17
Process Agent	28	119,157,121	100%	6,088	49,344	10	25	\$2.15
Production	52	309,023,357	100%	0	88,956	9	14	\$3.47
Refrigeration	596	418,664,757	100%	39,735	0	16	39	\$10.54
Solvents	123	91,524,043	100%	7,079	0	20	31	\$12.93
Sterilant	3	1,073,855	100%	60	0	15	34	\$17.77
<b>Implementation Characteristics</b>								
Agency Implementation	1,441	609,106,094	100%	79,340	17,041	11	32	\$6.32
National Implementation	799	1,036,482,470	100%	142,812	174,205	22	38	\$3.27
<b>Time or Objective-sensitive Accounts</b>								
Time-Sensitive	0	0	0%	0	0	n/a	n/a	n/a
Objective-Sensitive	2,240	1,645,588,564	100%	222,152	191,246	15	34	\$3.98

Item	Number of projects	Approved funds plus adjustment (US \$)	Per cent of funds disbursed	Consumption ODP phased out**	Production ODP phased out**	Average number of months from approval to first disbursement	Average number of months from approval to completion	Overall cost-effectiveness to the fund (US\$/kg.)
<b>Disbursement Method</b>								
During Implementation	2,156	1,614,346,356	100%	217,542	190,746	15	35	\$3.95
After Implementation	48	17,103,621	100%	2,509	0	21	24	\$6.82
Retroactive Funding	36	14,138,587	100%	2,100	500	15	8	\$5.44
* No funds are listed for the multiple sector investment project, but are recorded in appropriate sector.								
** Total phased out for the Fund is 447,336 includes 13,452 from completed non-investment projects and 20,486 from ongoing projects.								
Note: The sum of each section (Region, Sector, etc.) equals the Grand Total.								

14. As of 31 December 2010, investment projects representing around US \$1.65 billion have been completed, resulting in the permanent phase-out of 413,398 ODP tonnes<sup>3</sup> with an overall cost-effectiveness of US \$3.98/kg ODP phased out.

15. The average time from approval to first disbursement was 15 months and the average project duration was 34 months. Project duration is only slightly impacted by retroactive projects (i.e., projects funded after phase-out) since they represent only around two per cent of the total number of completed investment projects.

16. On a regional basis, 1,310 investment projects were completed in the Asia and Pacific region, 509 investment projects in Latin America and the Caribbean, 323 in Africa, and 98 in Europe.

#### Completed Non-Investment Projects

17. Table 5 presents data on cumulative completed non-investment projects. Since 1991, 2,043 non-investment projects have been completed, representing funding support from the Multilateral Fund of around US \$284.1 million. Ninety-eight (98) per cent of the funds for completed non-investment projects have been disbursed. Fifty-three (53) per cent of completed non-investment funding is in the sector identified as “several”, which means those projects that have an impact on more than one sector (such as UNEP’s clearinghouse and networking activities).

Table 5

#### CUMULATIVE COMPLETED NON-INVESTMENT PROJECTS

Item	Number of projects	Approved funds plus adjustment (US \$)	Per cent of funds disbursed	Average number of months from approval to first disbursement	Average number of months from approval to completion
<b>GRAND TOTAL</b>	<b>2,043</b>	<b>284,097,252</b>	<b>98%</b>	<b>11</b>	<b>36</b>
<b>Region</b>					
Africa	569	50,669,560	99%	11	37
Asia & Pacific	589	73,132,819	99%	13	38
Europe	126	11,688,441	98%	10	34
Latin America and Caribbean	502	61,304,955	97%	12	40
Global	257	87,301,477	98%	6	22

<sup>3</sup> Total phased out for the Fund includes 413,398 ODP tonnes from completed investment projects, 13,452 ODP tonnes from completed non-investment projects and 20,486 from ongoing projects.

Item	Number of projects	Approved funds plus adjustment (US \$)	Per cent of funds disbursed	Average number of months from approval to first disbursement	Average number of months from approval to completion
<b>Sector</b>					
Aerosol	25	2,110,635	96%	11	36
Destruction	1	250,000	100%	17	22
Foam	21	3,879,359	93%	17	31
Fumigants	119	18,495,001	99%	8	35
Halon	78	11,117,843	97%	16	43
Multiple Sectors	1	53,792	100%	56	58
Other	1	76,499	100%	7	38
Phase-out Plan	107	11,638,771	96%	10	33
Process Agent	0	0	0%	n/a	n/a
Production	0	0	0%	n/a	n/a
Refrigeration	584	65,353,801	97%	15	48
Several	1,075	168,299,207	99%	9	30
Solvents	31	2,822,344	99%	11	25
Sterilant	0	0	0%	n/a	n/a
<b>Implementation Characteristics</b>					
Agency Implementation	1,677	217,883,067	99%	10	35
National Implementation	366	66,214,184	97%	15	43
<b>Time or Objective-sensitive Accounts</b>					
Time-Sensitive	609	63,186,567	99%	10	35
Objective-Sensitive	1,434	220,910,685	98%	12	37
<b>Disbursement Method</b>					
During Implementation	2,018	281,804,137	98%	11	36
After Implementation	25	2,293,115	100%	14	21
Retroactive Funding	0	0	0%	n/a	n/a

18. The average time from approval to first disbursement for non-investment projects is much shorter than for investment projects (11 versus 15 months). Non-investment projects have been completed, on average, 36 months after they were approved by the Executive Committee. This figure is influenced by the large number of projects (1,075 of 2,043) classified in the sector called “several”, which had an average time from approval to completion of 30 months. Non-investment projects in the other sectors (e.g., *inter alia*, solvent and multiple sectors) were completed between 22 and 58 months after they had been approved.

19. Almost all projects (1,677 of 2,043) were implemented by the agency concerned rather than through national implementation. Agency implementation of non-investment projects normally does not require legal agreements, which may explain the shorter period of time (35 months) compared with nationally-implemented non-investment projects (43 months).

20. Non-investment projects include work programme activities, excluding project preparation.

## ONGOING PROJECTS

21. Ongoing projects are all projects that have been approved by the Executive Committee and were under implementation as of 31 December 2010. Key indicators in the database of progress towards implementing ongoing projects include:

- (a) Percentage of funds disbursed and percentage of projects that have begun disbursing funds;

- (b) The level of funding expected to be disbursed by the end of the year (funds disbursed plus estimated disbursements in 2010) as a percentage of the approved funding;
- (c) The average length of projected delay in implementation (project completion per proposal and currently planned date of completion); and
- (d) Information provided by the implementing agencies in the remarks column of the project-by-project listing in the database.

### Ongoing Investment Projects

22. Table 6 presents information on the status of investment projects under implementation by region, sector, and implementation characteristics.

Table 6

### CUMULATIVE ONGOING INVESTMENT PROJECTS

Item	Number of projects	Approved funds plus adjustment (US\$)	Per cent of funds disbursed	Number of projects disbursing	Per cent of projects disbursing	Average number of months from approval to first disbursement	Average number of months from approval to planned completion	Average length of delay in project planned completion	Overall cost-effectiveness to the Fund (US\$/kg.)*
<b>GRAND TOTAL</b>	<b>241</b>	<b>215,849,071</b>	<b>42%</b>	<b>171</b>	<b>71%</b>	<b>12</b>	<b>41</b>	<b>22</b>	<b>\$7.21</b>
<b>Region</b>									
Africa	73	28,556,586	33%	57	78%	10	32	16	\$7.12
Asia & Pacific	79	106,310,639	45%	52	66%	13	46	25	\$6.58
Europe	21	12,530,354	11%	18	86%	12	37	22	\$16.21
Latin America and Caribbean	68	68,451,492	45%	44	65%	12	47	23	\$7.63
Global	0	0	0%	0	0%	0	n/a	n/a	n/a
<b>Sector</b>									
Aerosol	11	50,514,777	67%	9	82%	14	65	20	\$23.35
Destruction	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Foam	27	31,930,015	2%	16	59%	9	26	9	\$50.67
Fumigants	31	26,805,328	47%	26	84%	11	54	13	\$12.50
Halon	1	300,000	50%	1	100%	13	49	24	#DIV/0!
Multiple Sectors	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Other	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Phaseout Plan	144	68,469,831	45%	98	68%	12	38	23	\$3.56
Process Agent	2	1,114,480	48%	2	100%	21	51	35	\$557.24
Production	3	11,113,000	34%	2	67%	8	27	6	\$12.29
Refrigeration	17	22,749,794	26%	13	76%	10	51	31	\$4.91
Solvents	5	2,851,846	56%	4	80%	6	52	27	\$14.74
Sterilant	0	0	0%	0	0%	n/a	n/a	n/a	n/a
<b>Implementation Characteristics</b>									
Agency Implementation	150	131,210,296	38%	127	85%	9	38	17	\$8.33
National Implementation	91	84,638,775	47%	44	48%	18	47	28	\$5.98
<b>Time or Objective-sensitive Accounts</b>									
Time-Sensitive	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Objective-Sensitive	241	215,849,071	42%	171	71%	12	41	22	\$7.21
<b>Disbursement Method</b>									
During Implementation	239	215,751,771	42%	171	72%	12	41	22	\$7.21

Item	Number of projects	Approved funds plus adjustment (US\$)	Per cent of funds disbursed	Number of projects disbursing	Per cent of projects disbursing	Average number of months from approval to first disbursement	Average number of months from approval to planned completion	Average length of delay in project planned completion	Overall cost-effectiveness to the Fund (US\$/kg.)*
After Implementation	2	97,300	0%	0	0%	n/a	51	60	\$48.65
Retroactive Funding	0	0	0%	0	0%	n/a	n/a	n/a	n/a

\*Based on the ODS to be phased out according to the proposal.

23. There are currently 241 investment projects under implementation. These projects represent approved funding (plus adjustments) of over US \$215.8 million. Around 42 per cent of the funds have already been disbursed. The overall average cost-effectiveness of ongoing investment projects is US \$7.21/kg ODP to be phased out, as approved.

24. Of the ongoing investment projects 33 per cent (79 out of 241) are for countries in the Asia and Pacific region, representing almost 49 per cent (US \$106.3 million) of the funds approved for ongoing investment projects. The Latin American and the Caribbean region has 68 investment projects under implementation, valued at US \$68.5 million, Africa has 73 projects (US \$28.6 million) and Europe has 21 projects (US \$12.5 million).

25. About 32 per cent of the funds approved for ongoing investment projects, representing around US \$68.5 million, are in the phase-out plan sector. The second largest funding allocation for ongoing investment projects is for the aerosol sector (US \$50.5 million) followed by the foam sector (US \$31.9 million). The remaining sectors have the following number of investment projects under implementation: fumigants (31), halon (1), process agent (2), production (3), refrigeration (17) and solvents (5).

26. Of the 241 ongoing investment projects, 71 per cent (171) have begun disbursing funds.

27. Most ongoing investment projects are under agency implementation (150 projects) but there are 91 projects under national implementation. Of agency-implemented ongoing investment projects, 85 per cent have begun disbursement compared with 48 per cent of nationally-implemented ongoing investment projects.

28. The average number of months from approval to first disbursement is 12 months. The average duration of an ongoing investment project is 41 months. Typically, ongoing investment projects are completed 22 months later than originally planned, which could be considered the average delay.

### **Ongoing Non-Investment Projects**

29. Table 7 presents information on the status of non-investment projects under implementation by region, sector, and implementation characteristic.

Table 7

**CUMULATIVE ONGOING NON-INVESTMENT PROJECTS**

Item	Number of projects	Approved funds plus adjustment (US\$)	Per cent of funds disbursed	Number of projects disbursing	Per cent of projects disbursing	Average number of months from approval to first disbursement	Average number of months from approval to planned completion	Average length of delay in project planned completion
<b>GRAND TOTAL</b>	<b>346</b>	<b>71,551,569</b>	<b>21%</b>	<b>220</b>	<b>64%</b>	<b>13</b>	<b>39</b>	<b>15</b>
<b>Region</b>								
Africa	112	12,671,557	33%	66	59%	10	37	14
Asia & Pacific	108	27,836,414	22%	77	71%	13	41	15
Europe	24	2,962,322	46%	17	71%	9	29	7
Latin America and Caribbean	92	11,616,714	23%	55	60%	17	41	18
Global	10	16,464,562	5%	5	50%	31	41	11
<b>Sector</b>								
Aerosol	6	430,000	73%	4	67%	9	35	2
Destruction	2	682,400	1%	1	50%	4	28	n/a
Foam	6	3,544,904	43%	5	83%	7	24	9
Fumigants	12	2,208,942	54%	10	83%	13	69	32
Halon	5	931,175	40%	4	80%	21	82	47
Multiple Sectors	0	0	0%	0	0%	n/a	n/a	n/a
Other	0	0	0%	0	0%	n/a	n/a	n/a
Phase-out Plan	99	9,817,747	32%	56	57%	10	34	13
Process Agent	0	0	0%	0	0%	n/a	n/a	n/a
Production	0	0	0%	0	0%	n/a	n/a	n/a
Refrigeration	32	26,214,212	12%	27	84%	29	79	46
Several	183	27,682,189	19%	112	61%	11	32	9
Solvents	1	40,000	0%	1	100%	20	32	20
Sterilant	0	0	0%	0	0%	n/a	n/a	n/a
<b>Implementation Characteristics</b>								
Agency Implementation	280	43,802,075	24%	177	63%	12	38	15
National Implementation	66	27,749,494	16%	43	65%	19	42	15
<b>Time or Objective-sensitive Accounts</b>								
Time-Sensitive	166	17,247,928	26%	101	61%	11	32	9
Objective-Sensitive	180	54,303,641	20%	119	66%	15	45	21
<b>Disbursement Method</b>								
During Implementation	345	71,450,369	21%	220	64%	13	39	15
After Implementation	1	101,200	0%	0	0%	n/a	n/a	n/a
Retroactive Funding	0	0	0%	0	0%	n/a	n/a	n/a

30. There are currently 346 non-investment projects under implementation, representing approved funding (plus adjustments) of around US \$71.6 million. Roughly 21 per cent of the funds for ongoing non-investment projects have been disbursed.

31. The largest amount of funding for non-investment projects, by region, is US \$27.8 million for the Asia and the Pacific region. Funding for ongoing non-investment projects in other regions is as follows: Latin America and the Caribbean, US \$11.6 million; Africa, US \$12.7 million; and, Europe, US \$2.96 million. Ongoing global activities are valued at a total of US \$16.5 million.

32. On a sectoral basis, most non-investment funding is applied to the “several” sector category (US \$27.7 million for 183 projects). There are 32 ongoing non-investment projects in the refrigeration

sector (US \$26.2 million), 99 in the phase-out plan sector (US \$9.8 million), 6 in the foam sector (US \$3.5 million), 12 in the fumigant sector (US \$2.2 million), 6 in the aerosol sector (US \$430,000) and 8 projects for all other sectors (US \$1.7 million).

33. Of the 346 ongoing non-investment projects, 64 per cent (220 projects) have begun disbursing funds.

34. The average number of months from approval to first disbursement is 13 months. On average, ongoing non-investment projects are completed 39 months from the date of approval, which represents a delay of 15 months.

## PROJECT PREPARATION

35. Project preparation requests are included in annual work programmes and amendments to work programmes. Project preparation leads to investment projects in general and, in some cases, demonstration projects (in particular for methyl bromide) and the implementation of projects included in refrigerant management plans.

36. Table 8 presents data on both completed and ongoing project preparation activities. The implementing agencies have completed 1,215 project preparation activities for which there remains a balance of US \$13.3 million that has not yet been disbursed. On average, it has taken 87 months to complete project preparation.

Table 8

## PROJECT PREPARATION

Item	COMPLETED PROJECT PREPARATION					ONGOING PROJECT PREPARATION							
	Number of projects	Approved funds plus adjustment (US\$)	Per cent of funds disbursed	Average number of months from approval to first disbursement	Average number of months from approval to completion	Number of projects	Approved funds plus adjustment (US\$)	Funds disbursed (US\$)	Per cent of funds disbursed	Average number of months from approval to first disbursement	Average number of months from approval to planned completion	Balance (US\$)	Estimated disbursement in current year (US\$)
<b>GRAND TOTAL</b>	<b>1,215</b>	<b>52,825,350</b>	<b>98%</b>	<b>5</b>	<b>18</b>	<b>242</b>	<b>22,882,289</b>	<b>10,467,086</b>	<b>46%</b>	<b>9</b>	<b>32</b>	<b>12,415,203</b>	<b>9,757,798</b>
<b>Agency</b>													
UNDP	403	13,275,783	97%	4	16	69	6,414,500	2,097,737	33%	10	32	4,316,763	4,202,773
UNEP	104	2,847,597	93%	9	23	69	5,840,100	3,003,230	51%	10	34	2,836,870	2,836,870
UNIDO	356	10,949,687	97%	6	22	72	6,728,750	3,302,492	49%	6	32	3,426,258	1,200,231
WORLD BANK	256	22,055,815	100%	5	13	17	2,619,200	1,140,820	44%	16	29	1,478,380	1,161,640
Bilaterals	96	3,696,468	n/a	n/a	n/a	15	1,279,739	922,807	72%	n/a	n/a	356,932	356,284
<b>Region</b>													
Africa	305	7,907,729	95%	6	18	61	4,868,000	2,648,490	54%	7	35	2,219,510	1,328,082
Asia & Pacific	449	25,849,915	99%	5	18	103	11,068,789	5,010,305	45%	9	30	6,058,484	5,030,624
Europe	90	3,487,645	98%	6	19	10	1,150,000	531,883	46%	8	31	618,117	154,005
Latin Amer./Car.	354	14,165,609	98%	5	17	68	5,795,500	2,276,408	39%	9	32	3,519,092	3,245,087
Global	17	1,414,453	99%	6	16	0	0	0	0%	n/a	n/a	0	0
<b>Sector</b>													
Aerosol	44	1,227,385	99%	6	17	0	0	0	0%	n/a	n/a	0	0
Destruction	1	40,000	97%	4	12	14	795,000	190,412	24%	7	28	604,588	383,130
Foam	188	5,998,506	94%	4	16	30	2,080,000	644,885	31%	6	25	1,435,115	1,028,202
Fumigants	110	2,809,803	100%	5	15	4	125,000	28,037	22%	8	80	96,963	30,002
Halon	27	844,636	99%	5	19	0	0	0	0%	n/a	n/a	0	0
Multiple Sectors	1	39,978	100%	1	12	2	140,000	53,104	38%	4	26	86,896	65,000
Other	2	240,747	100%	11	35	0	0	0	0%	n/a	n/a	0	0

Item	COMPLETED PROJECT PREPARATION					ONGOING PROJECT PREPARATION							
	Number of projects	Approved funds plus adjustment (US\$)	Per cent of funds disbursed	Average number of months from approval to first disbursement	Average number of months from approval to completion	Number of projects	Approved funds plus adjustment (US\$)	Funds disbursed (US\$)	Per cent of funds disbursed	Average number of months from approval to first disbursement	Average number of months from approval to planned completion	Balance (US\$)	Estimated disbursement in current year (US\$)
Phase-out Plan	167	6,280,730	94%	8	21	156	17,489,289	9,201,218	53%	9	34	8,288,071	6,871,313
Process Agent	9	694,384	100%	8	19	0	0	0	0%	n/a	n/a	0	0
Production	9	1,073,866	100%	8	13	0	0	0	0%	n/a	n/a	0	0
Refrigeration	321	9,724,076	99%	6	19	33	2,165,000	339,212	16%	5	24	1,825,788	1,302,369
Severals	283	21,984,445	100%	4	15	0	0	0	0%	n/a	n/a	0	0
Solvents	52	1,861,794	99%	6	25	3	88,000	10,218	12%	4	26	77,782	77,782
Sterilant	1	5,000	100%	1	9	0	0	0	0%	n/a	n/a	0	0
<b>Implementation Type</b>													
Agency	1,135	47,305,874	99%	5	17	174	16,482,789	8,369,349	51%	8	32	8,113,440	5,555,025
National	80	5,519,476	94%	8	20	68	6,399,500	2,097,737	33%	10	32	4,301,763	4,202,773
<b>Time/Objective Accounts</b>													
Time	115	10,501,101	100%	2	9	0	0	0	0%	n/a	n/a	0	0
Objective	1,100	42,324,249	98%	6	19	242	22,882,289	10,467,086	46%	9	32	12,415,203	9,757,798
<b>Disbursement</b>													
During Imp.	1,209	51,925,598	98%	5	18	242	22,882,289	10,467,086	46%	9	32	12,415,203	9,757,798
After Imp.	6	899,752	100%	7	21	0	0	0	0%	n/a	n/a	0	0
Retroactive	0	0	0%	n/a	n/a	0	0	0	0%	n/a	n/a	0	0

37. Bilateral and implementing agencies have a balance of US \$13.3 million carried over for project preparation activities in 2010. Ongoing project preparation activities are expected to take 32 months to complete. This is 14 months longer than for completed project preparation.

38. On a regional basis, most of the ongoing project development activities (43 per cent) are in Asia and the Pacific. Most of the ongoing project preparation is occurring in the phase-out plan sector (US \$17.5 million).

## PROJECT IMPLEMENTATION DELAYS

39. The Executive Committee has defined projects with implementation delays as projects approved over 18 months with disbursement less than one per cent, or projects that are expected to be completed 12 months later than forecast in the last progress report (decision 22/61).

40. In 2010, the Secretariat identified 33 projects with implementation delays, 13 of which had been classified with implementation delays in 2009. The total number of projects classified with implementation delays decreased in 2010 compared to 2009 (34 projects). However, it should be noted that pursuant to decision 36/14, the following types of projects are no longer so classified: institutional strengthening, halon banking, customs training, recovery and recycling, and demonstration projects. Decision 36/14 does, however, request that the Secretariat continue to monitor these types of projects, as appropriate. A list of the projects with implementation delays is attached as an appendix to this Annex. The Executive Committee maintains a "watching brief" on these projects as per decision 23/4, and the bilateral and implementing agencies will be requested to report on these projects to the 65<sup>th</sup> meeting of the Executive Committee.

41. A separate document entitled "Status on implementation of delayed projects and compliance" (UNEP/OzL.Pro/ExCom/64/6) addresses follow-up information on project implementation delays that had been identified in the 2010 Progress Report.

## CLOSED AND TRANSFERRED PROJECTS

42. Table 9 presents a summary of closed and transferred projects. Closed projects are cancelled projects. Of the 243 cancelled projects, 95 were project preparation activities. The World Bank has the highest number of closed projects (93). Remaining balances for closed projects are the result of possible unpaid commitments against these projects; however, projects should be classified as closed only after the balances have been returned. Bilateral and implementing agencies provide a report on any balances from cancelled projects in the context of the Report on Balances and Availability of Resources (UNEP/OzL.Pro/ExCom/64/4). Four projects were cancelled and closed in 2010.

43. Transferred projects are projects that have been transferred from one agency to another. There are 41 such transferred projects.

Table 9

### CLOSED AND TRANSFERRED PROJECTS SUMMARY

Agency	Number of Projects	Approved Funding (US\$)	Adjustments (US\$)	Approved Funding Plus Adjustment (US\$)	Funds Disbursed (US\$)	Balances (US\$)	Percent of Funds Disbursed	Consumption ODP to Be Phased Out	Consumption ODP Phased Out
<b>Closed Projects</b>									
UNDP	72	16,012,226	-9,850,725	6,161,501	6,161,501	0	100%	600	547
UNEP	5	680,000	-659,000	21,000	21,000	0	100%	0	0
UNIDO	56	10,491,761	-5,990,081	4,501,680	4,501,680	0	100%	1,112	338
World Bank	93	30,739,304	-29,377,224	1,362,080	1,362,080	0	100%	657	581
Bilaterals	17	1,244,413	-904,011	340,402	340,402	0	16%	231	6
<b>Total</b>	<b>243</b>	<b>59,167,704</b>	<b>-46,781,041</b>	<b>12,386,663</b>	<b>12,386,663</b>	<b>0</b>	<b>100%</b>	<b>2,599</b>	<b>1,472</b>
<b>Transferred Projects</b>									
UNDP	14	1,360,609	-1,180,607	180,002	180,002	0	100%	0	0
UNEP	4	150,667	-150,667	0	0	0	0%	0	0
UNIDO	0	0	0	0	0	0	0%	0	0
World Bank	10	1,633,130	-1,633,130	0	0	0	0%	0	0
Bilaterals	13	2,984,774	-2,805,399	179,375	179,375	0	3%	0	0
<b>Total</b>	<b>41</b>	<b>6,129,180</b>	<b>-5,769,803</b>	<b>359,377</b>	<b>359,377</b>	<b>0</b>	<b>100%</b>	<b>0</b>	<b>0</b>

Appendix

PROJECTS WITH IMPLEMENTATION DELAYS

Agency	Code	Project Title	Category of Delays
Australia	ASP/SEV/50/TAS/52	Additional support for the regional strategy for 11 Article 5 countries in the Pacific (PIC strategy)	12 months delays
Canada	JAM/FUM/47/TAS/22	Technical assistance to phase-out the use of methyl bromide	12 months delays
France	AFR/SEV/53/TAS/39	African customs enforcement networks for preventing illegal trade of ODS in the African sub-regional trade organizations (CEMAC, COMESA, SACU and UEMOA)	12 and 18 months delays
IBRD	ARG/REF/18/INV/39	Elimination of CFC in the manufacturing plant of domestic refrigerators of Neba, S.A.	12 months delays
IBRD	ARG/ARS/56/INV/159	Phase-out of CFC consumption in the manufacture of aerosol MDIs	12 months delays
IBRD	CPR/FOA/59/INV/493	Conversion from HCFC-141b-based to HFC-245fa-based spray polyurethane foam at Harbin Tianshuo Building Materials Co. Ltd.	12 months delays
IBRD	CPR/ARS/51/INV/447	Phase-out of CFC consumption in the pharmaceutical aerosol sector (2007-2008 biennial programme)	12 months delays
Italy	DRC/SOL/51/INV/25	Umbrella project for terminal phase-out of ODS in the solvent sector (first phase)	12 months delays
Italy	DRC/SOL/56/INV/28	Umbrella project for terminal phase-out of ODS in the solvent sector (second phase)	18 months delays
Italy	IND/ARS/56/INV/424	Plan for phase-out of CFCs in the manufacture of pharmaceutical MDIs	18 months delays
Japan	SRL/PHA/43/TAS/26	National compliance action plan: incentive programme for commercial and industrial refrigeration end-users	12 months delays
Japan	SRL/PHA/43/TAS/29	National compliance action plan: monitoring the activities proposed in the plan	12 months delays
Portugal	GLO/SEV/47/TAS/269	Communication and cooperation support to Portuguese speaking countries (Angola, Cape Verde, East Timor, Guinea Bissau, Mozambique and Sao Tome and Principe)	12 months delays
Spain	LAC/FUM/54/TAS/40	Technical assistance to introduce chemical alternatives in countries which have rescheduled methyl bromide phase out plan (Argentina and Uruguay)	12 months delays
UNDP	AFR/FUM/38/TAS/32	Technical assistance for methyl bromide reductions and formulation of regional phase-out strategies for low-volume consuming countries	12 months delays
UNDP	BGD/ARS/52/INV/26	Phase-out of CFC consumption in the manufacture of aerosol MDIs (Beximco, Square Pharmaceutical and Acme Pharmaceutical)	12 months delays
UNDP	CHI/REF/48/INV/160	Terminal umbrella project for phase-out of the use of CFC-11, CFC-12 and R-502 (CFC-115) in the manufacture of refrigeration equipment	12 months delays

Agency	Code	Project Title	Category of Delays
UNDP	COL/PAG/48/INV/66	Phase-out of CTC as process agent in the elimination of nitrogen trichloride during chlorine production at Prodesal S.A.	12 months delays
UNDP	FIJ/FUM/47/TAS/17	Technical assistance project to install alternatives, achieve compliance and phase-out methyl bromide	12 months delays
UNDP	MAL/FUM/43/TAS/151	Technical assistance programme to install alternatives and phase-out all remaining non-QPS uses of methyl bromide	12 months delays
UNDP	PAK/ARS/56/INV/71	Plan for phase-out of CFCs in the manufacture of pharmaceutical MDIs	18 months delays
UNEP	AFR/SEV/45/TAS/33	Sub-regional project on harmonisation of legislative and regulatory mechanisms to improve monitoring and control of ODS consumption in English-speaking Africa	12 months delays
UNEP	GLO/REF/48/TAS/275	Global technical assistance programme in the chiller sector	12 months delays
UNEP	KUW/PHA/57/TAS/15	TPMP verification	12 and 18 months delays
UNEP	LAC/SEV/51/TAS/39	Latin American Customs Enforcement Network: Preventing illegal trade of ODS	12 months delays
UNEP	ZAM/PHA/57/TAS/25	TPMP verification	12 months delays
UNIDO	ALG/REF/44/INV/62	Conversion of CFC-11 to HCFC-141b and CFC-12 to HFC-134a technology in the last group of commercial refrigerator manufactures (refrigeration sector terminal project)	12 months delays
UNIDO	ARG/SOL/41/INV/137	Plan for phase-out of ODS in the solvent sector	12 months delays
UNIDO	EGY/ARS/50/INV/92	Phase-out of CFC consumption in the manufacture of aerosol metered dose inhalers (MDIs)	12 months delays
UNIDO	IRQ/FOA/57/INV/06	Conversion from CFC-11 to methylene chloride in the production of flexible slabstock foam at Al Hadi Co.	18 months delays
UNIDO	KEN/SOL/57/TAS/47	Technical assistance for the total phase-out of CTC and TCA in the solvent sector	12 and 18 months delays
UNIDO	MEX/ARS/53/INV/135	Phase-out of CFC consumption in the manufacture of aerosol MDIs	12 months delays
UNIDO	SYR/FUM/49/TAS/95	Methyl bromide national phase-out plan (soil fumigation)	12 months delays

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