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EXECUTIVE COMMITTEE OF  
THE MULTILATERAL FUND FOR THE  
IMPLEMENTATION OF THE MONTREAL PROTOCOL  
Eighty-eighth Meeting  
Montreal, 15-19 November 2021<sup>1</sup>

**PROGRESS REPORT OF UNEP AS AT 31 DECEMBER 2020**

1. This document presents the progress report of UNEP as at 31 December 2020.<sup>2</sup>

**Introduction**

2. The progress report of UNEP includes the status of implementation of projects, including 79 HFC-related projects that have been funded under the additional voluntary contributions by 17 non-Article 5 Parties to provide fast-start support for implementation of the Kigali Amendment.

3. The Secretariat reviewed the status of implementation of each ongoing project on a country-by-country basis, taking into account implementation delays that have occurred with respect to planned completion dates that had been reported in 2020, the potential impact of these delays on the phase-out of controlled substances and the rate of planned disbursements. The analysis contained in the present document is based on ODP tonnes for all controlled substances except for HFCs which are measured in mt CO<sub>2</sub>-eq.<sup>3</sup>

Scope of the document

4. This document consists of the following parts:

Part I: Projects approved under the regular contributions to the Multilateral Fund. It presents a summary of progress in implementation of projects for 2020 and cumulative since 1991 addressing all controlled substances under the Montreal Protocol, including Annex F substances (HFCs); it contains a review on the status

<sup>1</sup> Online meetings and an intersessional approval process will be held in November and December 2021 due to coronavirus disease (COVID-19)

<sup>2</sup> The progress report is attached to the present document. The data has been included in the Consolidated Progress Report database that is available upon request.

<sup>3</sup> In line with decision 84/12(a)(iv), the measurement for HFCs in mt CO<sub>2</sub>-eq. is included in the progress reports submitted to the 88<sup>th</sup> meeting.

of implementation of each ongoing<sup>4</sup> project at the country level; and it identifies projects with implementation delays and the potential impact on the phase-out of controlled substances, and projects with outstanding issues for consideration by the Executive Committee.

Part II: Projects approved under the additional voluntary contributions for fast-start support for HFC phase-down. It provides a summary on the status of implementation of HFC phase-down projects funded under the voluntary contributions.<sup>5</sup>

Recommendation.

5. The document also contains the following annexes:

Annex I: A summary status and a recommendation for each ongoing project with outstanding issues for consideration by the Executive Committee.

Annex II: An analysis of the progress report.

## **PART I: PROJECTS APPROVED UNDER THE REGULAR CONTRIBUTIONS TO THE MULTILATERAL FUND**

### **Summary of progress in implementation of projects for 2020 and cumulative**

6. Implementation of projects and activities by UNEP for 2020 and cumulative since 1991 up to 31 December 2020, is summarized as follows:

- (a) **Phase-out:**<sup>6</sup> In 2020, zero ODP tonnes of consumption of controlled substances were phased out and 12 ODP tonnes of consumption of controlled substances were approved for phase-out. Since 1991, 1,575 ODP tonnes of consumption of controlled substances had been phased out, of an expected total of 2,223 ODP tonnes from projects approved (excluding cancelled and transferred projects);
- (b) **Disbursements/approvals:** In 2020, US \$15.43 million was disbursed and US \$20.56 million was planned for disbursement based on the 2019 progress report, representing a rate of disbursement of 75 per cent of that planned. Cumulatively, US \$301.35 million had been disbursed out of the total US \$347.42 million approved for disbursement (excluding agency support costs), representing a rate of disbursement of 87 per cent. In 2020, US \$19.74 million was approved for implementation;
- (c) **Number of projects completed:** In 2020, 105 projects were completed. Since 1991, 2,124 projects of the 2,493 projects approved (excluding closed or transferred projects) were completed, representing a completion rate of 85 per cent;

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<sup>4</sup> Ongoing projects are all projects that were under implementation as at 31 December 2020. Key indicators of progress include: percentage of funds disbursed and percentage of projects that have begun disbursing funds; funding expected to be disbursed by the end of the year as a percentage of the approved funding; the average length of projected delay in implementation; and information provided in the remarks column in the progress report database.

<sup>5</sup> In line with decision 84/12(b), a detailed progress report providing an overview of the objectives, status of implementation, key findings and lessons learned, the amounts of HFC phased out where applicable, the level of funds approved and disbursed and potential challenges in completing the projects and activities, is presented in the consolidated progress report (UNEP/OzL.Pro/ExCom/88/12).

<sup>6</sup> All the controlled substances under these projects were ozone-depleting substances.

- (d) **Speed of delivery – non-investment projects:** Projects that were completed in 2020 were completed on average 40 months after their approval. Since 1991, the average time for completion of non-investment projects has been 37 months after their approval. First disbursements under these projects occurred, on average, 11 months after they had been approved;
- (e) **Project preparation:** Of the 251 project preparation activities approved by the end of 2020, 206 have been completed, leaving 45 ongoing activities. In 2020, 19 project preparation activities have been completed;
- (f) **Implementation delays:** A total of 369 projects were under implementation at the end of 2020, experiencing, on average, a delay of 10 months. Forty-two of these projects are classified as “projects with implementation delays”<sup>7</sup> that are subject to the procedures of project cancellation, as project preparation and IS are not subject to those procedures; and
- (g) **Multi-year agreements (MYAs):** In 2020, 132 MYAs for HCFC phase-out management plans (HPMPs) were under implementation. Since 1991, 222 MYAs have been approved and 90 MYAs have been completed, representing a completion rate of 41 per cent.

### Project implementation progress in 2020

7. Further to the review process, a number of issues were discussed and satisfactorily addressed, except for issues on 42 projects classified as projects with implementation delays (including 39 projects related to components of MYAs that are subject to procedures for project cancellation, in line with decision 84/45(c); and three technical assistance projects. Annex I to the present document presents those projects classified with implementation delays, and the Secretariat’s recommendation requesting the submission of a report to the 90<sup>th</sup> meeting.

8. In addition, a number of issues were identified regarding projects or tranches of MYAs for the phase-out of HCFCs; renewal of IS projects; and enabling activities for HFC phase-down. These issues are also presented in Annex I to the present document. For each of these projects, a brief description on the status of implementation and the outstanding issues are presented and a recommendation is proposed for consideration by the Executive Committee.

9. Details of progress in implementation of projects associated with the HPMPs for the Bahamas,<sup>8</sup> Bahrain,<sup>9</sup> Cabo Verde,<sup>10</sup> Chile,<sup>11</sup> China (refrigeration servicing sector plan and enabling components),<sup>12</sup> Colombia,<sup>13</sup> Democratic Republic of Congo,<sup>14</sup> Djibouti,<sup>15</sup> Egypt,<sup>16</sup> Ethiopia,<sup>17</sup> Fiji,<sup>18</sup> Kuwait,<sup>19</sup> Niger (the),<sup>20</sup>

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<sup>7</sup> Projects approved over 18 months with disbursement less than 1 per cent, or projects that had not been completed 12 months after the proposed completion date in the progress report (decision 22/61) (as demonstration projects, project preparation, and institutional strengthening (IS) are not subject to those procedures).

<sup>8</sup> UNEP/OzL.Pro/ExCom/88/37

<sup>9</sup> UNEP/OzL.Pro/ExCom/88/38

<sup>10</sup> UNEP/OzL.Pro/ExCom/88/40

<sup>11</sup> UNEP/OzL.Pro/ExCom/88/42

<sup>12</sup> UNEP/OzL.Pro/ExCom/88/43

<sup>13</sup> UNEP/OzL.Pro/ExCom/88/44

<sup>14</sup> UNEP/OzL.Pro/ExCom/88/45

<sup>15</sup> UNEP/OzL.Pro/ExCom/88/46

<sup>16</sup> UNEP/OzL.Pro/ExCom/88/47

<sup>17</sup> UNEP/OzL.Pro/ExCom/88/48

<sup>18</sup> UNEP/OzL.Pro/ExCom/88/49

<sup>19</sup> UNEP/OzL.Pro/ExCom/88/52

<sup>20</sup> UNEP/OzL.Pro/ExCom/88/55

Oman,<sup>21</sup> Pakistan,<sup>22</sup> Republic of Moldova,<sup>23</sup> and Senegal<sup>24</sup> have been submitted to the 88<sup>th</sup> meeting. Recommendations for outstanding issues for these projects, including approval of extension requests, if any, are addressed in the relevant sections of those documents. The issues relating to the HPMPs for Barbados, Burundi, the Congo, Côte d'Ivoire, Dominica, Equatorial Guinea, Grenada, Guyana, Haiti, Mali, Saint Kitts and Nevis, South Sudan and Suriname that were due at the 88<sup>th</sup> meeting but were not submitted, and for Mexico and Mozambique that were submitted to the 88<sup>th</sup> meeting but subsequently withdrawn during the project review process, are addressed in the document on tranche submission delays.<sup>25</sup> Outstanding issues for the remaining ongoing projects not included in Annex I to the present document, and requests for extension of date of completion, are addressed below.

10. Of the 213 ongoing projects, excluding IS and project preparation, 66 projects have revised planned dates of completion since the 2019 progress report.

11. In line with decision 82/11(c)(ii), the Secretariat noted that renewal of IS projects for the Article 5 countries listed in Table 1 had not been submitted for the last two years; these projects have large balances due to slow progress in implementation for various reasons including those related to the COVID-19 pandemic. UNEP informed that it has been providing assistance to these countries and is planning to submit those renewal requests by the year indicated in Table 1.

**Table 1: List of IS projects with no renewal requests submitted for the last two years**

Country	Plan for next renewal request
Algeria	2022
Antigua and Barbuda	2022
Barbados	2022
Central African Republic	2022
Côte D'Ivoire	2022
Djibouti	2022
Dominica	2023
Ecuador	2022
Haiti	2022
Honduras	2022
Mali	2022
Morocco	2022
Nauru	2022
Palau	2023
Peru	2022
Saint Kitts and Nevis	2022
Saudi Arabia	2022
South Sudan	2022
Suriname	2022
United Republic of Tanzania (the)	2022
Yemen	2022

12. In line with decision 82/11(b),<sup>26</sup> UNEP has requested the extension of the duration of a number of HPMPs to beyond 31 December 2022; those that have not been submitted as part of the tranche requests

<sup>21</sup> UNEP/OzL.Pro/ExCom/88/58

<sup>22</sup> UNEP/OzL.Pro/ExCom/88/59

<sup>23</sup> UNEP/OzL.Pro/ExCom/88/61

<sup>24</sup> UNEP/OzL.Pro/ExCom/88/62

<sup>25</sup> UNEP/OzL.Pro/ExCom/88/21

<sup>26</sup> Any request for an extension would have to be submitted for approval by the Executive Committee in advance of the project completion date, noting that no new commitments were to be made before the extension had been approved.

are addressed in the reports on projects with specific reporting requirements.<sup>27</sup> In addition, UNEP has requested the extension of the duration of the HPMPs for two countries as shown in Table 2.

**Table 2. Projects for which an extension of the completion dates has been requested**

Country/Project code	Project title	Disbursement (%)	Status/issues	Original date of completion	Date of completion requested
El Salvador ELS/PHA/86/TAS/40	HCFC phase-out management plan (stage I, fourth tranche)	0	Delay in the adoption of a common Central American custom codes, and on the implementation of training of customs and enforcement officers due to administrative delays in transfer of funds to the country	Dec-21	Sep-22
Honduras HON/PHA/86/TAS/51	HCFC phase-out management plan (stage I, fifth tranche)	0	Delay in signature of small-scale funding agreement (SSFA) for the fifth tranche due to changes in administrative structure in the Government, resulting in additional time needed for implementing activities in the servicing sector and customs enforcement under the tranche	Dec-21	Sep-22

## **PART II: PROJECTS APPROVED UNDER THE ADDITIONAL VOLUNTARY CONTRIBUTIONS FOR FAST-START SUPPORT FOR HFC PHASE-DOWN**

13. As of 31 December 2020, the Executive Committee had approved 79 HFC-related projects under the additional voluntary contributions amounting to US \$8,351,000 (excluding agency support costs). A summary of the status of these projects is presented in Table 3.

**Table 3. Status of approved HFC-related projects as of the end of 2020**

Type	Number of projects			Funding (US \$)*			
	Approved	Completed	% completed	Approved	Disbursed	Balance	% disbursed
Technical assistance - Enabling activities	79	8	10%	8,351,000	4,931,725	3,419,275	59

\*Excludes agency support costs.

14. As of the end of 2020, of the 79 enabling activities approved, eight had been completed. Extension of the completion dates of 71 ongoing enabling activities was approved; these activities are at various stages of implementation. Further to the review process, 13 projects for enabling activities are classified as projects with implementation delays and are included in Annex I to the present document containing the Secretariat's recommendation requesting the submission of a report to the 90<sup>th</sup> meeting.

15. Of the total cumulative funding approved of US \$8,351,000, US \$4,931,725 had been disbursed, representing a disbursement rate of 59 per cent.

<sup>27</sup> UNEP/OzL.Pro/ExCom/88/18

## **Recommendation**

16. The Executive Committee may wish:

- (a) To note the progress report of UNEP as at 31 December 2020 contained in document UNEP/OzL.Pro/ExCom/88/15;
- (b) To approve the extension of the completion dates of the projects listed below to allow for completion of the remaining ongoing activities as reflected in Table 2 of document UNEP/OzL.Pro/ExCom/88/15:
  - (i) HCFC phase-out management plan (HPMP) for El Salvador (stage I, fourth tranche) (ELS/PHA/86/TAS/40) to 30 September 2022; and
  - (ii) HPMP for Honduras (stage I, fifth tranche) (HON/PHA/86/TAS/51) to 30 September 2022; and
- (c) To approve the recommendations related to ongoing projects with specific issues contained in Annex I to the present document.

Annex I

ONGOING PROJECTS WITH OUTSTANDING ISSUES IN THE PROGRESS REPORT FOR UNEP

Country/project code*	Project title	Disbursement (%)	Status/Issues	Recommendation
Afghanistan AFG/PHA/79/TAS/21	HCFC phase-out management plan (stage I, third tranche)	91	1) 12 months delays 2) Political and security situation affecting National Ozone Unit (NOU) operations	1) To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays 2) To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the NOU operations and implementation progress
Afghanistan AFG/PHA/85/TAS/27	HCFC phase-out management plan (stage I, fourth tranche)	0	Political and security situation affecting NOU operations	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the NOU operations and implementation progress
Afghanistan AFG/PHA/85/TAS/29	HCFC phase-out management plan (stage II, first tranche)	0	Political and security situation affecting NOU operations	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the NOU operations and implementation progress
Afghanistan AFG/SEV/83/INS/26	Extension of institutional strengthening project (phase IX: 1/2020-12/2021)	42	Political and security situation affecting NOU operations	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the NOU operations and implementation progress
Bangladesh BGD/PHA/81/TAS/50	HCFC phase-out management plan (stage II, first tranche) (refrigeration servicing sector)	0	18 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Barbados BAR/PHA/84/TAS/29	HCFC phase-out management plan (stage I, third tranche)	0	Delay in implementation due to COVID-19 restrictions	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress
Bhutan BHU/SEV/80/TAS/01+	Enabling activities for HFC phase-down	66	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Botswana BOT/PHA/86/TAS/25	HCFC phase-out management plan (stage I, third tranche)	0	Delay in implementation due to COVID-19 restrictions	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress
Botswana BOT/SEV/76/INS/19	Extension of institutional strengthening project (phase V: 6/2016-7/2018)	0	Slow project progress and disbursement is nil	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level
Central African Republic CAF/SEV/68/INS/23	Extension of the institutional strengthening project (phase VI: 1/2013-12/2014)	0	Delay due to security situation in the country; difficulty in continuing implementation	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress

Country/project code*	Project title	Disbursement (%)	Status/Issues	Recommendation
Congo (the) PRC/PHA/76/TAS/30	HCFC phase-out management plan (stage I, third tranche)	0	1) 12 and 18 months delays 2) Slow project progress due to administrative delays in NOU and disbursement is nil	1) To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays 2) To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level
Cook Islands CKI/PHA/74/TAS/11	HCFC phase-out management plan for PIC countries through regional approach (stage I, second tranche, Cook Islands)	61	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Côte D'Ivoire IVC/PHA/84/TAS/44	HCFC phase-out management plan (stage I, third and fourth tranches)	0	Due to COVID-19 restrictions, delay in implementation and verification report preparation, and disbursement is nil	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress, preparation of verification report and on disbursement level
China CPR/SEV/80/TAS/01+	Enabling activities for HFC phase-down	57	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Djibouti DJI/PHA/83/TAS/26	HCFC phase-out management plan (stage I, third tranche)	0	18 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Dominica DMI/PHA/62/TAS/19	HCFC phase-out management plan (stage I, first tranche)	12	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Dominica DMI/PHA/84/TAS/25	HCFC phase-out management plan (stage I, second tranche)	0	No disbursement as the small-scale funding agreement (SSFA) has not been signed	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the signature of SSFA and disbursement level
Dominica DMI/PHA/86/TAS/26	Verification report on the implementation of stage I of the HCFC phase-out management plan	0	Delay in verification due to delay in implementation of HPMP stage I	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress
Dominican Republic (the) DOM/PHA/77/TAS/61	HCFC phase-out management plan (stage II, first tranche)	74	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
El Salvador ELS/PHA/77/TAS/35	HCFC phase-out management plan (stage I, third tranche)	0	Delay in implementing the training programme for customs officers and the Central American customs codes	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress



Country/project code*	Project title	Disbursement (%)	Status/Issues	Recommendation
Eswatini SWA/PHA/83/TAS/25	HCFC phase-out management plan (stage I, fourth tranche)	0	18 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Grenada GRN/PHA/82/TAS/26	Verification report on the implementation of the HCFC phase-out management plan	0	18 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Guatemala GUA/PHA/75/TAS/50	HCFC phase-out management plan (stage I, third tranche)	49	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Guatemala GUA/PHA/81/TAS/52	HCFC phase-out management plan (stage I, fourth tranche)	43	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Guatemala GUA/SEV/80/TAS/01+	Enabling activities for HFC phase-down	85	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Guyana GUY/PHA/83/TAS/31	HCFC phase-out management plan (stage II, second tranche)	0	18 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Haiti HAI/PHA/76/TAS/21	HCFC phase-out management plan (stage I, second tranche)	15	1) 12 months delays 2) Delays in implementation of activities due to COVID-19 restrictions, natural disasters and political instability and low level of disbursement	1) To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays 2) To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level
Haiti HAI/SEV/75/INS/20	Extension of the institutional strengthening project (phase IV: 11/2015-10/2017)	20	Delays in implementation of activities due to COVID-19 restrictions, natural disasters and political instability, low level of disbursement and lack of financial reporting	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level
Honduras HON/PHA/81/TAS/45	HCFC phase-out management plan (stage I, fourth tranche)	53	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Honduras HON/PHA/86/TAS/51	HCFC phase-out management plan (stage I, fifth tranche)	0	Delays in signing SSFA due to changes in administrative structure of NOU	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the signature of SSFA and disbursement level
India IND/PHA/77/TAS/470	HCFC phase-out management plan (stage II, first tranche) (refrigeration servicing sector plan)	15	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays

Country/project code*	Project title	Disbursement (%)	Status/Issues	Recommendation
India IND/PHA/82/TAS/476	HCFC phase-out management plan (stage II, second tranche) (refrigeration servicing sector plan)	0	18 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Iran (Islamic Republic of) IRA/PHA/77/TAS/227	HCFC phase-out management plan (stage II, first tranche) (refrigeration servicing sector)	58	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Jamaica JAM/PHA/85/TAS/42	HCFC phase-out management plan (stage I, fourth tranche)	0	Slow project progress due to COVID-19 restrictions and administrative difficulties in funds transfer; disbursement is nil	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level
Kiribati KIR/PHA/74/TAS/11	HCFC phase-out management plan for PIC countries through regional approach (stage I, second tranche, Kiribati)	65	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Marshall Islands MAS/PHA/74/TAS/11	HCFC phase-out management plan for PIC countries through regional approach (stage I, second tranche, Marshall Islands)	68	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Mali MLI/PHA/83/TAS/40	HCFC phase-out management plan (stage I, fourth tranche)	0	1) 18 months delays 2) Delay in project implementation due to political and security situation; disbursement is nil	1) To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays 2) To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level
Micronesia (Federated States of) FSM/PHA/74/TAS/10	HCFC phase-out management plan for PIC countries through regional approach (stage I, second tranche, Federated States of Micronesia)	70	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Micronesia (Federated States of) FSM/SEV/81/TAS/01+	Enabling activities for HFC phase-down	72	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Myanmar MYA/PHA/68/TAS/14	HCFC phase-out management plan (stage I, first tranche)	68	1) 12 months delays 2) Delay in project implementation due to political unrest and suspension of UN activities with the Government	1) To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays 2) To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation

Country/project code*	Project title	Disbursement (%)	Status/Issues	Recommendation
				progress with an update on resumption of activities
Myanmar MYA/PHA/80/TAS/18	HCFC phase-out management plan (stage I, second tranche)	10	1) 12 months delays 2) Delay in project implementation due to political unrest and suspension of UN activities with the Government	1) To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays 2) To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress with an update on resumption of activities
Myanmar MYA/PHA/83/PRP/21	Preparation of a HCFC phase-out management plan (stage II)	25	Delay in project implementation due to political unrest and suspension of UN activities with the Government	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress
Myanmar MYA/PHA/86/TAS/23	HCFC phase-out management plan (stage I, third tranche)	0	Delay in project implementation due to political unrest and suspension of UN activities with the Government	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress
Myanmar MYA/PHA/86/TAS/24	Verification report on the implementation of the HCFC phase-out management plan	0	Delay in project implementation due to political unrest and suspension of UN activities with the Government	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress
Myanmar MYA/SEV/84/INS/22	Extension of institutional strengthening project (phase V: 7/2020-6/2022)	0	Delay in project implementation due to political unrest and suspension of UN activities with the Government	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress
Namibia NAM/SEV/80/TAS/01+	Enabling activities for HFC phase-down	95	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Nauru NAU/PHA/74/TAS/10	HCFC phase-out management plan for PIC countries through regional approach (stage I, second tranche, Nauru)	20	1) 12 months delays 2) Project progress slow due to constraints resulting from COVID-19 and changes in NOU staff; low disbursement	1) To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays 2) To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level
Nauru NAU/PHA/85/TAS/13	HCFC phase-out management plan for PIC countries through regional approach (stage I, third tranche)	0	Project progress slow due to constraints resulting from	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level

Country/project code*	Project title	Disbursement (%)	Status/Issues	Recommendation
			COVID-19 and changes in NOU staff; disbursement is nil	
Nauru NAU/SEV/81/TAS/01+	Enabling activities for HFC phase-down	40	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Nepal NEP/PHA/75/TAS/34	HCFC phase-out management plan (stage I, second tranche)	71	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Nicaragua NIC/PHA/81/TAS/36	HCFC phase-out management plan (stage I, third tranche)	29	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Nigeria NIR/SEV/80/TAS/01+	Enabling activities for HFC phase-down	98	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Niue NIU/PHA/74/TAS/10	HCFC phase-out management plan for PIC countries through regional approach (stage I, second tranche, Niue)	58	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Niue NIU/SEV/81/TAS/01+	Enabling activities for HFC phase-down	35	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Paraguay PAR/PHA/74/TAS/33	HCFC phase-out management plan (stage I, second tranche)	67	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Rwanda RWA/PHA/82/TAS/31	HCFC phase-out management plan (stage I, fourth tranche)	0	18 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Rwanda RWA/SEV/80/TAS/02+	Enabling activities for HFC phase-down	93	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Samoa SAM/SEV/81/TAS/01+	Enabling activities for HFC phase-down	66	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Solomon Islands SOI/PHA/74/TAS/11	HCFC phase-out management plan for PIC countries through regional approach (stage I, second tranche, Solomon Islands)	58	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
South Sudan SSD/PHA/77/TAS/04	HCFC phase-out management plan (stage I, first tranche)	0	1) 12 and 18 months delays 2) No disbursement as the SSFA has not been signed; delay due to security situation in the country	1) To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays 2) To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level

Country/project code*	Project title	Disbursement (%)	Status/Issues	Recommendation
South Sudan SSD/PHA/84/TAS/05	Verification report on the implementation of the HCFC phase-out management plan	0	Slow progress in stage I of HPMP resulting in delay in organising and preparing a verification report	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on preparation of a verification report
South Sudan SSD/SEV/76/INS/03	Institutional strengthening project (phase I: 5/2016-4/2018)	0	No disbursement as the SSFA has not been signed; delay due to security situation in the country	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level
Saint Kitts and Nevis STK/PHA/74/TAS/20	HCFC phase-out management plan (stage I, second tranche)	0	1) 12 and 18 months delays 2) Slow progress in stage I of HPMP and delay in submission of financial reports; additional delays due to change in NOU; disbursement is nil	1) To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays 2) To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level
Saint Kitts and Nevis STK/SEV/81/INS/21	Extension of institutional strengthening project (phase VII: 6/2018-5/2020)	0	Slow progress in project and delay in submission of financial reports; additional delays due to change in NOU; disbursement is nil	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level
Saint Kitts and Nevis STK/PHA/82/TAS/22	Verification report on the implementation of the HCFC phase-out management plan	0	18 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Saint Lucia STL/PHA/82/TAS/29	HCFC phase-out management plan (stage I, fourth tranche)	0	18 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Saint Lucia STL/PHA/82/TAS/30	Verification report on the implementation of the HCFC phase-out management plan	0	18 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Sao Tome and Principe STP/PHA/81/TAS/27	HCFC phase-out management plan (stage I, fourth tranche)	47	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Saint Vincent and the Grenadines STV/PHA/75/TAS/23	HCFC phase-out management plan (stage I, second tranche)	52	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Suriname SUR/PHA/81/TAS/26	HCFC phase-out management plan (stage I, third tranche)	0	1) 12 and 18 months delays 2) Slow progress in stage I of HPMP due to restrictions as a	1) To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays

Country/project code*	Project title	Disbursement (%)	Status/Issues	Recommendation
			result of COVID-19; disbursement is nil	2) To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level
Turkmenistan TKM/SEV/80/TAS/01+	Enabling activities for HFC phase-down	78	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Tonga TON/PHA/74/TAS/10	HCFC phase-out management plan for PIC countries through regional approach (stage I, second tranche, Tonga)	47	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Tuvalu TUV/PHA/74/TAS/11	HCFC phase-out management plan for PIC countries through regional approach (stage I, second tranche, Tuvalu)	58	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Tuvalu TUV/SEV/81/TAS/01+	Enabling activities for HFC phase-down	0	12 and 18 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
United Republic of Tanzania (the) URT/PHA/67/TAS/31	HCFC phase-out management plan (stage I, first tranche)	64	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Vanuatu VAN/PHA/74/TAS/12	HCFC phase-out management plan for PIC countries through regional approach (stage I, second tranche, Vanuatu)	94	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Vanuatu VAN/SEV/81/TAS/01+	Enabling activities for HFC phase-down	91	12 months delays	To request UNEP to report to the 90 <sup>th</sup> meeting on this project with implementation delays
Yemen YEM/SEV/73/INS/43	Extension of the institutional strengthening project (phase VIII: 1/2015-12/2016)	0	Delay due to security situation in the country; difficulty in continuing implementation; disbursement is nil	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level
Zambia ZAM/PHA/85/TAS/39	HCFC phase-out management plan (stage I, fifth tranche)	0	Slow progress in stage I of HPMP due to restrictions as a result of COVID-19; disbursement is nil	To request UNEP to provide a status report to the 90 <sup>th</sup> meeting on the implementation progress and on disbursement level

\*Codes ending with “+” are from the additional contributions.

## Annex II

### ANALYSIS OF THE PROGRESS REPORT OF UNEP AS AT 31 DECEMBER 2020

1. This Annex consists of the following two parts:

Part I: Projects approved under the regular contributions to the Multilateral Fund.

Part II: Projects approved under the additional voluntary contributions for fast-start support for HFC phase-down.

#### **PART I: PROJECTS APPROVED UNDER THE REGULAR CONTRIBUTIONS TO THE MULTILATERAL FUND**

2. As of 31 December 2020, the Executive Committee had approved US \$376.22 million, consisting of US \$347.42 million for the implementation of investment and non-investment projects and US \$28.8 million for agency support costs, as shown in Table 1. In 2020, 134 new projects and activities were approved. This level of funding is expected to result in the phase-out of 2,223 ODP tonnes of consumption of controlled substances.

**Table 1: Approved funding by sector for UNEP as at 31 December 2020**

Sector	Funding (US \$)
Aerosol	882,689
Destruction	190,040
Halon	767,640
Fumigants	2,614,366
Phase-out plan	64,903,487
Refrigeration	13,872,913
Several	263,992,288
Solvents	198,860
<b>Sub-total</b>	<b>347,422,283</b>
Agency support costs	28,796,104
<b>Total</b>	<b>376,218,388</b>

3. A summary of the status of projects implemented by category is presented in Table 2.

**Table 2: Status of project implementation by category**

Type	Number of projects*			Funding (US \$)**			
	Approved	Completed	% completed	Approved	Disbursed	Balance	% disbursed
Country programme	94	94	100	2,946,574	2,946,574	0	100
Demonstration	3	3	100	559,198	526,689	32,509	94
Institutional strengthening (IS)	887	776	87	71,671,675	61,539,307	10,132,368	86
Investment	3	1	33	490,500	135,000	355,500	28
Project preparation	251	206	82	10,403,980	8,827,405	1,576,576	85
Technical assistance	1,059	848	80	247,057,798	213,079,354	33,978,445	86
Training	196	196	100	14,292,557	14,292,557	0	100
<b>Total</b>	<b>2,493</b>	<b>2,124</b>	<b>85</b>	<b>347,422,283</b>	<b>301,346,887</b>	<b>46,075,397</b>	<b>87</b>

\* Excludes closed and transferred projects.

\*\* Excludes agency support costs.

4. Table 3 presents an overview of the status of project implementation by year.<sup>28</sup> All projects and activities approved between 1991 and 2009, and 2011 and 2013 have now been completed.

**Table 3: Status of project implementation by year**

Year	Number of projects*			Funding (US \$)**			
	Approved	Completed	% completed	Approved	Disbursed	Balance	% disbursed
1991	11	11	100	1,372,335	1,372,335	0	100
1992	15	15	100	1,711,000	1,711,000	0	100
1993	49	49	100	4,194,343	4,194,343	0	100
1994	58	58	100	4,231,169	4,231,169	0	100
1995	50	50	100	4,047,683	4,047,683	0	100
1996	47	47	100	3,366,039	3,366,039	0	100
1997	87	87	100	7,962,291	7,962,291	0	100
1998	64	64	100	4,669,124	4,669,124	0	100
1999	87	87	100	6,368,983	6,368,983	0	100
2000	85	85	100	6,300,398	6,300,398	0	100
2001	59	59	100	7,411,159	7,411,159	0	100
2002	72	72	100	9,469,401	9,469,401	0	100
2003	78	78	100	11,165,394	11,165,394	0	100
2004	99	99	100	12,362,652	12,362,652	0	100
2005	72	72	100	12,339,483	12,339,483	0	100
2006	108	108	100	12,731,484	12,731,484	0	100
2007	79	79	100	14,671,931	14,611,111	60,820	100
2008	132	132	100	17,875,070	17,875,070	0	100
2009	115	115	100	17,250,876	17,250,876	0	100
2010	79	78	99	14,361,124	14,288,900	72,224	99
2011	100	100	100	16,808,001	16,748,757	59,244	100
2012	80	74	93	16,257,534	15,544,516	713,018	96
2013	90	90	100	14,185,866	14,152,112	33,754	100
2014	74	68	92	12,375,445	11,839,576	535,869	96
2015	180	162	90	21,430,311	20,495,579	934,732	96
2016	96	79	82	19,853,643	17,233,847	2,619,795	87
2017	57	43	75	12,777,993	11,600,799	1,177,194	91
2018	126	57	45	21,950,573	12,874,917	9,075,656	59
2019	110	6	5	18,183,367	7,062,844	11,120,523	39
2020	134	0	0	19,737,613	65,045	19,672,568	0
<b>Total</b>	<b>2,493</b>	<b>2,124</b>	<b>85</b>	<b>347,422,283</b>	<b>301,346,887</b>	<b>46,075,397</b>	<b>87</b>

\* Excludes closed and transferred projects.

\*\* Excludes agency support costs.

5. Table 4 presents project implementation by country for 2020.

**Table 4. Summary of project implementation by UNEP for 2020**

Country	Estimated funds disbursed in 2020(US\$)	Funds disbursed in 2020 (US\$)	Percentage of funds disbursed over estimation in 2020	Percentage of planned projects completed in 2020
Afghanistan	103,693	138,004	133	67

<sup>28</sup> The data is presented according to the year when a project was approved by the Executive Committee. It treats all approvals (investment and non-investment projects) equally (i.e., an investment project or a funding tranche of an MYA of US \$1 million is considered one project, same as a country programme preparation of US \$30,000). Key indicators from the annual summary are: the percentage of projects completed, ODP phased out, and percentage of funds disbursed. There are three types of disbursements: during implementation, after implementation and for retroactively-financed projects.



Country	Estimated funds disbursed in 2020(US\$)	Funds disbursed in 2020 (US\$)	Percentage of funds disbursed over estimation in 2020	Percentage of planned projects completed in 2020
Albania	72,703	51,252	70	100
Algeria	77,220	0	0	0
Angola	125,508	0	0	0
Antigua and Barbuda	37,812	188	0	0
Armenia	23,060	11,500	50	
Bahamas (the)	124,603	79,722	64	50
Bahrain	58,619	111,702	191	100
Barbados	31,805	62,185	196	100
Belize	0	47,850	Over	100
Benin	65,642	107,992	165	100
Bhutan	124,958	66,784	53	
Bolivia (Plurinational State of)	53,215	17,019	32	100
Botswana	40,018	50,000	125	0
Brunei Darussalam	3,593	107,575	2994	50
Burkina Faso	54,574	74,290	136	
Burundi	0	103,816	Over	50
Cambodia	105,186	100,566	96	
Cameroon	44,650	0	0	
Cape Verde	0	58,808	Over	67
Central African Republic (the)	18,000	0	0	0
Chad	21,250	20,000	94	50
Chile	17,582	20,990	119	0
China	1,133,148	2,638,445	233	
Colombia	66,494	12,450	19	50
Comoros (the)	72,952	96,709	133	100
Congo (the)	73,250	67,000	91	0
Cook Islands	51,038	79,492	156	50
Côte D'Ivoire	134,446	0	0	
Democratic Republic of the Congo (the)	66,343	39,900	60	50
Djibouti	50,846	0	0	0
Dominica	96,875	24,546	25	33
Dominican Republic (the)	154,961	187,570	121	33
Ecuador	122,742	18,737	15	100
Egypt	96,125	138	0	
El Salvador	185,354	36,151	20	100
Equatorial Guinea	49,655	95,000	191	50
Eritrea	26,250	0	0	100
Eswatini	54,000	45,000	83	
Ethiopia	46,545	50,000	107	0
Fiji	39,505	66,715	169	100
Gabon	43,004	67,912	158	100
Gambia (the)	45,241	21,000	46	100
Global	9,125,952	6,436,711	71	100
Grenada	46,640	0	0	33
Guatemala	65,547	85,090	130	33
Guinea	33,698	94,930	282	100
Guinea-Bissau	62,982	40,000	64	50
Guyana	86,700	0	0	100
Haiti	60,068	4,887	8	0
Honduras	163,099	57,003	35	75

Country	Estimated funds disbursed in 2020(US\$)	Funds disbursed in 2020 (US\$)	Percentage of funds disbursed over estimation in 2020	Percentage of planned projects completed in 2020
India	233,455	24,240	10	
Iran (Islamic Republic of)	125,990	56,012	44	
Iraq	400,933	203,400	51	20
Jamaica	99,672	46,878	47	100
Kenya	145,601	89,554	62	0
Kiribati	67,519	22,429	33	0
Kuwait	464,091	60,000	13	0
Kyrgyzstan	112,571	105,564	94	50
Lao People's Democratic Republic (the)	127,928	101,411	79	100
Lesotho	22,500	45,000	200	100
Liberia	48,629	66,280	136	
Madagascar	44,038	47,000	107	100
Malawi	35,950	24,806	69	100
Maldives	62,136	24,967	40	100
Mali	49,755	110	0	0
Marshall Islands (the)	92,885	42,120	45	50
Mauritania	155,000	135,000	87	50
Mauritius	93,939	135,000	144	
Mexico	38,306	0	0	100
Micronesia (Federated States of)	69,223	61,745	89	50
Mongolia	120,341	91,909	76	100
Mozambique	86,182	70,500	82	0
Myanmar	185,140	44,032	24	33
Namibia	59,344	10,792	18	100
Nauru	25,122	17,185	68	0
Nepal	107,720	119,247	111	100
Nicaragua	69,094	64,607	94	67
Niger (the)	62,500	0	0	0
Niue	61,600	31,035	50	0
Oman	96,969	48,539	50	0
Pakistan	321,196	52,297	16	0
Palau	80,086	5,299	7	100
Panama	3,880	0	0	
Paraguay	130,112	95,168	73	
Peru	182,061	19,189	11	
Philippines (the)	224,920	213,298	95	
Qatar	59,814	70,100	117	50
Region: ASP	325,844	95,624	29	100
Republic of Moldova (the)	72,406	30,075	42	
Rwanda	66,250	30,000	45	67
Saint Kitts and Nevis	72,260	0	0	0
Saint Lucia	96,758	52,075	54	25
Saint Vincent and the Grenadines	114,750	130,676	114	33
Samoa	94,535	65,951	70	100
Sao Tome and Principe	72,462	54,076	75	25
Saudi Arabia	403,508	77,070	19	
Senegal	48,672	97,000	199	
Serbia	10,721	3,970	37	
Seychelles	42,500	39,986	94	0
Sierra Leone	74,312	127,400	171	50
Solomon Islands	81,189	39,676	49	50

Country	Estimated funds disbursed in 2020(US\$)	Funds disbursed in 2020 (US\$)	Percentage of funds disbursed over estimation in 2020	Percentage of planned projects completed in 2020
Somalia	34,000	39,650	117	
South Sudan	39,000	0	0	0
Sri Lanka	14,010	51,047	364	100
Sudan (the)	69,990	44	0	0
Suriname	62,210	75,433	121	33
Syrian Arab Republic	12,000	12,625	105	
Timor-Leste	151,991	60,942	40	100
Togo	74,500	105,000	141	100
Tonga	54,076	56,493	104	50
Tunisia	39,250	55,000	140	100
Tuvalu	67,598	2,590	4	0
Uganda	56,696	50,000	88	75
United Republic of Tanzania (the)	76,743	0	0	33
Vanuatu	132,345	54,267	41	50
Viet Nam	67,429	93,370	138	
Yemen	51,000	0	0	0
Zambia	69,405	88,280	127	100
Zimbabwe	89,900	97,750	109	
<b>Grand Total</b>	<b>20,561,663</b>	<b>15,426,413</b>	<b>75</b>	<b>53</b>

6. Table 5 presents a summary of HFC-related projects approved under regular contributions.

**Table 5. HFC-related projects approved under regular contributions**

Type	Number of projects			Funding (US \$)*			
	Approved	Completed	% completed	Approved	Disbursed	Balance	% disbursed
Technical assistance	13	0	0%	2,006,000	508,792	1,497,208	25
Enabling activities							

\* Excludes agency support costs.

7. There are currently 13 enabling activities approved under regular contributions at a total value of US \$2,006,000 (excluding agency support costs) with a disbursement of US \$508,792. Extension of the completion dates of the 13 enabling activities was approved; these activities are at various stages of implementation and are expected to be completed in 2021 or 2022.

## **PART II: PROJECTS APPROVED UNDER THE ADDITIONAL VOLUNTARY CONTRIBUTIONS FOR FAST START SUPPORT FOR HFC PHASE-DOWN**

8. As of 31 December 2020, the Executive Committee had approved 79 HFC-related projects under the additional voluntary contributions amounting to US \$8,351,000 (excluding agency support costs). A summary of the status of these projects is presented in Table 6.

**Table 6. Status of approved HFC-related projects as of the end of 2020**

Type	Number of projects			Funding (US \$)*			
	Approved	Completed	% completed	Approved	Disbursed	Balance	% disbursed
Technical assistance -	79	8	10%	8,351,000	4,931,725	3,419,275	59
Enabling activities							

\* Excludes agency support costs.

9. As of the end of 2020, of the 79 enabling activities approved, eight had been completed. Extension of the completion dates of 71 ongoing enabling activities was approved; these activities are at various stages

of implementation.

10. Of the total cumulative funding approved of US \$8,351,000, US \$4,931,725 had been disbursed, representing a disbursement rate of 59 per cent.

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**UNEP**  
**ANNUAL PROGRESS AND FINANCIAL REPORT**  
**1 JANUARY – 31 DECEMBER 2020**

PRESENTED TO THE  
88TH MEETING OF THE EXECUTIVE COMMITTEE  
OF THE MULTILATERAL FUND FOR THE IMPLEMENTATION  
OF THE MONTREAL PROTOCOL

**9 AUGUST 2021**

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## INTRODUCTION

1. The UN Environment Programme (UNEP) is submitting this Annual Financial and Progress Report (APR) covering the period 1 January to 31 December 2020 for the consideration of the 88<sup>th</sup> meeting of the Executive Committee of the Multilateral Fund.

2. UNEP followed the Multilateral Fund's *Revised Operational Guidelines for Progress and Financial Reporting*,<sup>1</sup> as well as guidance received from the Multilateral Fund Secretariat when developing this APR. This report details the project implementation assistance provided by UNEP to developing countries operating under Article 5 of the Montreal Protocol ("Article 5 countries") in accordance with UNEP's 2020-2022 Business Plan, which was endorsed by the 84<sup>th</sup> meeting of the Executive Committee.<sup>2</sup>

3. The focus and orientation of UNEP's work continues to be defined by the evolving needs of Article 5 countries as they progress in their implementation of the Montreal Protocol, and as they meet and sustain compliance with specific obligations. UNEP continues assisting the countries with implementing their hydrochlorofluorocarbon (HCFC) phase-out responsibilities including preparing for the 2025 target and subsequent total phase out, strengthening the government institutions in Article 5 countries responsible for implementing and reporting on their national strategies to comply with the Montreal Protocol, and sustaining Article 5 countries' compliance with ozone depleting substance (ODS) phase out targets already met. UNEP continues providing the assistance to Article 5 countries to ratify the Kigali Amendment as soon as possible and support activities that the countries need to meet their first obligations under the Amendment once they ratify.

4. This 2020 APR was developed during the unprecedented COVID-19 pandemic, which began in early 2020 and is continuing to present. All members of the Montreal Protocol family, including the National Ozone Units (NOUs) and the Implementing Agencies, face continuing challenges associated with the virus. Health regulations, travel restrictions, social distancing requirements, teleworking, and macroeconomic impacts have affected every aspect of this collective work, including inter alia data collection, project implementation, project submissions, physical meetings, and missions. Despite these daunting challenges, all Article 5 countries continue to demonstrate high-level commitment to implement the Montreal Protocol and a considerable number of projects and activities have progressed.

5. UNEP will apply the lessons it learned during its experience in 2020 assisting Article 5 countries under COVID-19 conditions to the future projects. This includes provision of more online operations (e.g. virtual meetings, webinars, distance training, e-learning and digital platforms), increased communication with Ozone Officers, additional information products and services, backloading of physical meetings to the latter part of the implementation period, and collaborating with the Multilateral Secretariat and sister Implementing Agencies to identify alternative approaches to deliver projects and activities during COVID-19 conditions. UNEP will mitigate the impacts of COVID-19 on its work through a dynamic response that includes contingency planning, redesign of activities, and appropriate scheduling of work at the international, regional and national levels.

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<sup>1</sup> Multilateral Fund Secretariat, *Revised Operational Guidelines for Progress and Financial Reporting, Seventh Revision*, 9 March 2021.

<sup>2</sup> UNEP/OzL.Pro/ExCom/84/29.

6. In 2020, UNEP in cooperation with the other Implementing and Bilateral Agencies provided significant advisory and project implementation support to Article 5 countries that resulted in an overall high note of compliance with the Montreal Protocol and its Amendments by those countries.

7. UNEP has previously presented to the 86<sup>th</sup> meeting of the Executive Committee a partial CAP Progress Report for the period October 2019 - July 2020 as part of its 2021 Compliance Assistance Programme (CAP) budget request.<sup>3</sup> UNEP will present the details of the balance support provided by CAP in 2020 (i.e., August-December) to the 88<sup>th</sup> Meeting of the Executive Committee as part of UNEP's 2022 CAP Work Programme and Budget request.

## I. PROJECT APPROVALS AND DISBURSEMENTS

### A. Annual Summary Data

8. From June 1991 until the end of December 2020, the Executive Committee approved 2,597 projects for implementation by UNEP. The total funding approved for UNEP was US\$ 385,153,954 including programme support costs (PSC), which represents about 8.8% of the total funding allocation to date approved by the Multilateral Fund for all Implementing Agencies and bilateral agencies. Of these projects, 891 were time-sensitive Institutional Strengthening (IS) projects and 1,706 were objective-sensitive projects.

9. During 2020, the Executive Committee approved 136 new projects for UNEP to implement, including the 2020 CAP budget. The total value of those approvals was US\$ 19,737,613 plus PSC of US\$ 1,708,365.

Type	Code	Number approved 1991-2019	Number approved 2020	Total
Country Programmes	CPG	95	0	95
Demonstration	DEM	4	0	4
Institutional Strengthening	INS	866	25	891
Investment project	INV	3	0	3
Project preparation	PRP	249	8	257
Technical assistance	TAS	1,048	103	1,151
Training	TRA	196	0	196
<b>Total</b>		<b>2,461</b>	<b>136</b>	<b>2,597</b>

Annex 1: Annual Summary provides details.

### B. Interest

10. The interest accrued from 1991-2020 was US\$ 11,127,992 as follows:

Year	Interest (US\$)
1991-2019	11,271,125
2020	(143,133)
<b>Total</b>	<b>11,127,992</b>

<sup>3</sup> UNEP/OzL.Pro/ExCom/86/36.



### C. Summary Data by Type (CPG, DEM, INS, INV, PRP, TAS, TRA)

11. The 136 projects that the Executive Committee approved in 2020 for UNEP implementation at the level of US\$ 19,737,613 plus PSC of US\$ 1,708,365. Of those, 25 were time-sensitive IS projects and 111 were objective-sensitive activities comprising:

Type	Code	Number
Institutional Strengthening	INS	25
Investment project	INV	-
Project preparation	PRP	8
Technical assistance	TAS	103
Total		136

See Annex 1: Summary Data by Projects Type.

### D. Multi-year Agreements

12. From June 1991 until the end of December 2020, the Executive Committee has approved a cumulative 548 MYAs (PHA) for implementation by UNEP. The total funding approved for UNEP for MYAs during this period was US\$ 53,880,926 plus adjustments excluding PSC.

### E. Sector Phase-Out by Country

Not applicable.

## II. PROJECT COMPLETIONS SINCE LAST REPORT

### A. ODP Phased Out Since Last Report

13. UNEP only implements non-investment projects (i.e. capacity building and technical assistance). Due to the nature of these projects, the directly associated ozone depletion potential (ODP) phase out is either zero or small according to the approved projects. The cumulative ODP phase-out achieved as a result of all completed UNEP projects to date is 1,603 ODP tonnes.

Type	Amount phased out 1991-2019 (ODP tonnes)	Amount phased out 2020 (ODP tonnes)	Total
INS	144.1	0	144.1
TAS	690.8	19.8	710.6
TRA	747.8	0	747.8
INV	0.5	0	0.5
	1,583.2	19.8	1,603.0

### B. Non-Investment Project Completions Since Last Report

14. During 2020, UNEP completed 107 projects as follows for a total value of US\$ 14,938,604 excluding PSC:

Type	Code	Number
Institutional Strengthening	INS	32
Technical assistance	TAS	56
Training	TRA	0

Country Programmes	CPG	0
Investment	INV	0
Project preparation	PRP	19
Demonstration	DEM	0
Total		107

The completion of projects by region was as follows:

Region	Number
Africa	41
Asia and the Pacific	30
Europe and Central Asia	2
Latin America and the Caribbean	28
West Asia	5
Global	1
Total	107

See Annex II, Completed Non-Investment Projects for details.

### III. GLOBAL AND REGIONAL PROJECT HIGHLIGHTS

#### (a) Global Projects

15. During this APR reporting period, UNEP implemented two global projects:

- Compliance Assistance Programme: 2019 (GLO/SEV/84/TAS/350)
- Compliance Assistance Programme: 2020 (GLO/SEV/86/TAS/356)

#### (b) Regional Projects

16. During this APR reporting period, UNEP implemented three regional projects:

- HCFC phase-out management plan for PIC countries through regional approach (stage I, third tranche)
- HCFC phase-out management plan for PIC countries through regional approach (stage II, first tranche)
- Promoting alternative refrigerants in air-conditioning for high ambient countries in West Asia (PRAHA-II)

### IV. Performance Indicators

#### (a) Agency Business Plan Performance Goals

17. Through decision 84/49, the Executive Committee adopted the following performance indicators for UNEP for 2020:<sup>4</sup>

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<sup>4</sup> UNEP/OzL.Pro/ExCom/84/75, Annex XI.

Type of indicator	Short title	Calculation	2020 target	UNEP assessment of 2020 results achieved
Planning--Approval	Tranches approved	Number of tranches approved vs. those planned*	133	90
Planning--Approval	Projects/activities approved	Number of projects/activities approved vs. those planned (including project preparation activities)**	100	33
Implementation	Funds disbursed	Based on estimated disbursement in progress report	US \$20,994,167	US 17,564,473
Implementation	ODS phase-out	ODS phase-out for the tranche when the next tranche is approved vs. those planned per business plans	73.83 ODP tonnes***	19.8
Implementation	Project completion for activities	Project completion vs. planned in progress reports for all activities (excluding project preparation)	130	86
Administrative	Speed of financial completion	The extent to which projects are financially completed 12 months after project completion	14 months	9 months
Administrative	Timely submission of project completion reports	Timely submission of project completion reports vs. those agreed	13	13
Administrative	Timely submission of progress reports	Timely submission of progress reports and business plans and responses unless otherwise agreed	On time	On time

\* The target of an agency would be reduced if it could not submit a tranche owing to another cooperating or lead agency, if agreed by that agency.

\*\* Project preparation should not be assessed if the Executive Committee has not taken a decision on its funding.

\*\*\* Excluding phase-out for stage II of the HCFC phase-out management plan for China in the refrigeration servicing sector, for which the revised Agreement will be submitted to the 86th meeting. This target will be adjusted at the time of the evaluation in 2021.

## (b) Cumulative Completed Investment Projects

Not applicable.

## (c) Cumulated Completed Non-Investment Projects

18. UNEP has completed a total of 1,926 non-investment projects excluding project preparation assistance. Of the total amount of US\$ 291,393,883 approved plus adjustment (excluding PSC), 97.87% has been disbursed. It took an average 11 months from approval for the first disbursement and 54 months from approval to completion. The breakdown and details are provided in the Annexes.

**(d) Cumulative Ongoing Investment Projects**

Not applicable.

**(e) Cumulative Ongoing Non-Investment Projects**

19. UNEP has 395 ongoing non-investment projects excluding project preparation assistance. Of the total amount of US\$ 53,684,042 approved plus adjustment (excluding PSC), 22.79% has been disbursed. It took an average of 12 months from approval for the first disbursement (i.e. when expenditure report is submitted by the partner country and recorded in Umoja). The average number of months from approval to planned completion is 39 months (as per agreements the duration of tranches). The breakdown and details are provided in the Annexes.

**V. STATUS OF AGREEMENTS AND PROJECT PREPARATION (WHERE APPLICABLE), BY COUNTRY**

**(a) Agreements to be signed/executed/finalized and when they will be ready for disbursing**

20. In 2020, UNEP assisted the following 25 countries with the preparation and submission of their IS renewal requests, and then with the establishment of agreements for the project implementation:

ExCom-85

Bahrain	Guinea	Lao PDR	Lesotho	Malawi	Maldives
Mozambique	Nepal	Qatar	Seychelles	Sierra Leone	Uganda

ExCom-86

Brunei Darussalam	Cabo Verde	Dominican Republic	Ethiopia	Fiji	Kenya
Kyrgyzstan	Mauritania	Nauru	Nicaragua	Saint Lucia	Suriname
Viet Nam					

These renewals represent 24% of all of the IS projects in UNEP's project portfolio:

Region	Total number of countries assisted by UNEP for IS	Number of IS renewals approved in 2019
Africa	48	11
Asia Pacific	26	7
West Asia	6	2
Europe & Central Asia	3	1
Latin America & Caribbean	22*	4
Total	105	25

\* The IS project for El Salvador is incorporated into the HPMP until 2020.

**(b) Project Preparation by country, approved amount, and amount disbursed**

21. UNEP has completed 206 project preparation activities totaling US\$ 9,142,123, of which 95.87% has been disbursed. It took an average 31 months from approval to planned completion. As of 31 December 2020, UNEP also has 45 ongoing project preparation activities totaling US\$ 1,261,857 of which 5% has been disbursed.

## **VI. ADMINISTRATIVE ISSUES (OPERATIONAL, POLICY, FINANCIAL, AND OTHER ISSUES)**

### **(a) Meetings Attended**

22. UNEP uses online services such as video conferencing, telephones, and emails to deliver global activities. No face-to-face meetings were organized in 2020.

### **(b) Implementing Agency and Other Co-operation**

23. UNEP's work under the Multilateral Fund is performed in close cooperation and coordination with the Multilateral Fund Secretariat, Ozone Secretariat, the other Implementing Agencies (UNDP, UNIDO, World Bank), bilateral agencies, and other partners at the international, regional and national levels. Examples include:

- Regional Network meetings. Due to the COVID-19 pandemic, the planned Regional Network/thematic and other meetings could not be organized face to face as planned; virtual meetings were held in their place. CAP organized virtual meetings and webinars on various issues during this period. These encompassed CAP organizing and delivering meetings virtually, as well as supporting and participating in a number of virtual national events. These virtual meetings and webinars included: National Ozone Officer network meetings and thematic meetings on specific issues, national and regional stakeholder consultations, capacity building for NOOs, trainings for Customs officers and refrigeration & air conditioning technicians, country-to-country consultations, Kigali and HFC control webinars, high ambient temperature international webinars, as well as events focusing on: the informal prior informed consent mechanism (iPIC), gender mainstreaming, HS codes, the cold chain, the Refrigerant Driving License, etc.
- HPMP implementation. UNEP implements many of its projects on the ground in countries jointly with other Implementing Agencies, where each agency co-implements complementary parts of a single project. For example, in 2020 UNEP was the Lead Agency for the HPMP implementation for 73 Article 5 countries, and the Cooperating Agency for the HPMPs of 30 countries. UNEP cooperated with UNIDO 52 countries, UNDP 30 countries, Germany 1 country, and Japan 1 country for HPMP implementation.
- Enabling Activities (EA) projects. In 2020, UNEP implemented 92 EA projects approved at ExCom-80 (31 projects), ExCom-81 (49 projects), ExCom-82 (9 projects), ExCom-83 (1 project) and ExCom-85 (2 projects). Out of 92 EA projects, UNEP is a sole lead agency for 82 projects and collaborating with the Government of Italy (3) and UNIDO (1) in four projects. Also, UNEP implements EA projects as a cooperating agency with UNDP (4) and UNIDO (2) in six projects.

### **Other Issues**

No issues.

## **Annex I: Country Developments**

### **A. Highlights of projects and activities (other than IS)**

24. Included in the PR tables under column Remarks 2020 and Remarks (Latest information up to June) 2021.

## **B. Institutional strengthening**

25. UNEP assisted the following 105 countries with the implementation of their IS projects in 2020:

Afghanistan	Fiji	Niger
Albania	Gabon	Niue
Algeria	Gambia	Palau
Angola	Grenada	Paraguay
Antigua and Barbuda	Guatemala	Peru
Bahamas	Guinea	Philippines
Bahrain	Guinea-Bissau	Qatar
Barbados	Guyana	Republic of Moldova
Belize	Haiti	Rwanda
Benin	Honduras	Saint Kitts and Nevis
Bhutan	Iraq	Saint Lucia
Bolivia	Jamaica	Saint Vincent and the Grenadines
Botswana	Kenya	Samoa
Brunei Darussalam	Kiribati	Sao Tome and Principe
Burkina Faso	Kuwait	Saudi Arabia
Burundi	Kyrgyzstan	Senegal
Cambodia	Lao People's Democratic Republic	Seychelles
Cameroon	Lesotho	Sierra Leone
Cape Verde	Liberia	Solomon Islands
Central African Republic	Madagascar	Somalia
Chad	Malawi	South Sudan
Comoros	Maldives	Sudan
Congo	Mali	Suriname
Cook Islands	Marshall Islands	Swaziland
Cote d'Ivoire	Mauritania	Tanzania
DPR Korea	Mauritius	Timor Leste
DR Congo	Micronesia FS	Togo
Djibouti	Mongolia	Tonga
Dominica	Morocco	Tuvalu
Dominican Republic	Mozambique	Uganda
Ecuador	Myanmar	Vanuatu
El Salvador*	Namibia	Vietnam
Equatorial Guinea	Nauru	Yemen
Eritrea	Nepal	Zambia
Ethiopia	Nicaragua	Zimbabwe

\*Included in HPMP stage 1 up to 2020

26. There has been turnover of Ozone Officers in some countries and some political instability in other countries which impacted the progress of implementation.

## **C. Activities that were provided by UNEP from its administrative costs**

Not applicable.

**D. Activities conducted by UNEP to assist other agencies**

27. Implementing joint activities with partner implementing agencies proved to be cost effective and strategic in meeting country and regional needs. Several examples are highlighted below:

- HPMP Lead Agency. In its role as Lead Agency for HPMPs, UNEP coordinated with and supported the activities of the Cooperating Agencies for project preparation, reporting and liaison with the National Ozone Unit and Multilateral Fund Secretariat.
- Non-investment support in Article 5 countries where UNEP is not the Lead Agency: Upon request of the NOU or Implementing Agency, UNEP provided substantive non-investment services in several countries even though it does not have a project or Lead Agency role in that country.
- UNEP provides project support to the bilateral agencies upon request.