



**Programa de las
Naciones Unidas
para el Medio Ambiente**

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COMITÉ EJECUTIVO DEL FONDO MULTILATERAL
PARA LA APLICACIÓN DEL
PROTOCOLO DE MONTREAL
Octogésima segunda Reunión
Montreal, 3 – 7 de diciembre de 2018

**PLAN ADMINISTRATIVO DE LA ONUDI
PARA EL PERÍODO 2019–2021**

1. El presente documento expone el plan administrativo de la ONUDI para 2019-2021¹. Incluye las actividades de eliminación de sustancias controladas con arreglo al Protocolo de Montreal (sustancias controladas) previstas para el período 2019–2021, indicadores de desempeño del plan administrativo, y una recomendación para consideración del Comité Ejecutivo. El texto del plan administrativo de la ONUDI para 2019-2021 se adjunta al presente documento.

Actividades planificadas para el período 2019–2021

2. El Cuadro 1 muestra para cada año el valor de las actividades consignadas en el plan administrativo de la ONUDI.

¹ El borrador del plan administrativo de la ONUDI para el período 2019-2021 se discutió en la reunión de coordinación interinstitucional efectuada en Montreal del 4 al 6 de septiembre de 2018. El plan administrativo expuesto en el presente documento da respuesta a las inquietudes planteadas en dicha reunión.

Cuadro 1: Plan administrativo ONUDI 2019-2021, asignación de recursos según solicitud original (miles de \$EUA)*

	2019	2020	2021	Total (2019–2021)	Total después de 2021
Requisito					
Planes de gestión aprobados de la eliminación de HCFC (PGEH)	42 300	36 758	40 825	119 883	74 190
Etapa I del PGEH	0	354	0	354	500
Etapa I del PGEH – financiamiento adicional	0	459	0	459	0
Etapa II - Preparación del proyecto del PGEH	712	417	86	1 215	0
Etapa II del PGEH	193	8 944	2 273	11 409	52 842
Etapa III - Preparación del proyecto del PGEH	96	0	161	257	0
Etapa III del PGEH	0	5 062	0	5 062	221 565
Subtotal requisitos	43 301	51 994	43 344	138 639	349 096
Actividades relativas a los HFC					
HFC - inversión	13 012	0	0	13 012	0
HFC - inversión – preparación del proyecto	150	0	0	150	0
Subtotal de actividades relativas a los HFC	13 162	0	0	13 162	0
Actividades corrientes					
Fortalecimiento institucional	1 406	1 464	1 406	4 277	0
Unidad central	2 098	2 113	2 128	6 340	0
Subtotal de actividades corrientes	3 505	3 578	3 534	10 616	0
Total general	59 968	55 572	46 878	162 418	349 096

* Incluye gastos de apoyo del organismo, donde proceda.

Observaciones de la Secretaría sobre las actividades requeridas para el cumplimiento

Etapa I de los PGEH

3. El plan administrativo de la ONUDI destina a la República Árabe Siria² un total de 853 978 \$EUA, de los cuales 353 978 \$EUA corresponden al período 2019-2021. Sin embargo, la etapa I del PGEH de dicho país no ha sido aprobada ni tampoco presentada a la 82ª reunión.

4. El plan administrativo de la ONUDI para 2019-2021 incluye un proyecto adicional a la etapa I del PGEH para Bahrein por un total de 459 364 \$EUA. Esta solicitud se funda en sendas decisiones del Comité Ejecutivo que facultan a dichos países a presentar proyectos adicionales durante la ejecución de la etapa I.

² Para el sector refrigeración y climatización sirio se aprobó financiar la eliminación de 12,9 toneladas PAO de HCFC. Se trata de un proyecto autónomo adicional al plan de gestión y que equivale al 9,6% de la cifra base.

Etapa II de los PGEH

5. El financiamiento total necesario para reducir el consumo base de HCFC en países de bajo consumo durante la etapa II del PGEH 2019-2021 asciende a 63 996 \$EUA para una reducción del 35% y a 6,79 millones \$EUA para una reducción del 67,5% (incluye 2,61 millones para el período 2019-2021).

6. El Cuadro 2 muestra la distribución del financiamiento para la etapa II del PGEH en países de alto consumo, por sector.

Cuadro 2: Distribución del financiamiento, etapa II del PGEH en países de alto consumo, por sector (miles de \$EUA)

Sector	2019–2021	Después de 2021	Total	Porcentaje del total (%)
Espumas de poliuretano rígido	1 092	514	1 606	2,8
Equipos de refrigeración y climatización	853	0	853	1,5
Ensamblaje de equipos de refrigeración	178	467	645	1,1
Fabricación de equipos de refrigeración	1 303	30 413	31 716	55,3
Servicio y mantenimiento de equipos de refrigeración	5 310	17 265	22 575	39,3
Total	8 736	48 659	57 395	100,0

Actividades relativas a los HFC

7. Las actividades relativas a los HFC incluyen actividades de inversión por un total de 13,01 millones \$EUA en 2019 para cuatro países (Argentina, Brasil, China y la República Islámica del Irán) y proyectos de preparación para dos países (la República Popular Democrática de Corea y México) por un total de 149 800 \$EUA en 2019. Todas estas actividades, con la excepción de la República Popular Democrática de Corea, se presentaron con la carta necesaria del gobierno interesado, en la que se señala su intención de hacer lo posible por ratificar la Enmienda de Kigali a la brevedad, si no lo ha hecho, de conformidad con la decisión 79/46 d) i). Sin embargo, los proyectos relacionados con la República Popular Democrática de Corea se han suprimido del plan administrativo para 2019-2021 de conformidad con la decisión 80/34 c) iii)b).

Costos de la unidad central

8. Se espera que los gastos unitarios básicos³ aumenten a la tasa anual del 0,7% convenida.

Ajustes propuestos por la Secretaría

9. En la reunión de coordinación interinstitucional se acordó ajustar los planes administrativos de organismos bilaterales y de ejecución de conformidad con las decisiones del Comité Ejecutivo. Al revisar el texto modificado del plan administrativo de la ONUDI para 2019-2021, la Secretaría tomó nota de la omisión de los siguientes ajustes:

³ La solicitud de gastos unitarios básicos para el año 2019 efectuada por la ONUDI fue presentada a la 82ª reunión (UNEP/OzL.Pro/ExCom/82/38).

Cuadro 3: Ajustes al plan administrativo de la ONUDI para 2019-2021 (miles de \$EUA)

Ajuste	2019-2021	Después de 2021
Valores de planes de gestión según montos reales aprobados por acuerdo, incluyendo tramos no presentados a la 82ª reunión	687	210
Fortalecimiento institucional conforme a la decisión 74/51 c)	3	0
Preparación de proyectos para la etapa II del PGEH, conforme a lo dispuesto en la decisión 71/42	(268)	0
Etapa III del PGEH y preparación del proyecto de PGEH conforme a la decisión 80/34 c) iii)a.	(5 319)	(221 565)
Actividades relativas a la República Popular Democrática de Corea conforme a la decisión 80/34 c) iii)b. (etapa I del PGEH, etapa II del PGEH y preparación del proyecto, inversión en HFC y fortalecimiento institucional)	(193)	(1 751)
Preparación de proyecto de inversión en HFC	(43)	0

10. El Cuadro 4 muestra el resultado de los ajustes propuestos por la Secretaría al plan administrativo de la ONUDI para el período 2019-2021, los que se incluyen además en el plan administrativo consolidado del Fondo Multilateral para igual período⁴.

Cuadro 4: Asignación de recursos - Plan administrativo ajustado, 2019-2021 (miles de \$EUA)*

	2019	2020	2021	Total (2019-2021)	Total después de 2021
Requisitos					
PGEH aprobados	43 929	36 621	39 977	120 528	74 400
Etapa I del PGEH	0	354	0	354	500
Etapa I del PGEH – financiamiento adicional	0	459	0	459	
Preparación de proyectos de producción de HCFC, etapa II	444	342	86	872	
Etapa II del PGEH	193	8 944	2 273	11 409	51 091
Preparación de proyectos de producción de HCFC, etapa III	0	0	0	0	
Etapa III del PGEH	0	0	0	0	
Subtotal requisitos	44 566	46 721	42 335	133 622	125 990
Actividades relativas a los HFC					
HFC – inversión	13 012	0	0	13 012	0
HFC - Inversión - Preparación de proyectos de producción	32	0	0	32	0
Subtotal de actividades relativas a los HFC	13 045	0	0	13 045	0
Actividades corrientes					
Fortalecimiento institucional	1 406	1 467	1 406	4 280	0
Unidad central	2 098	2 113	2 128	6 340	0
Subtotal de actividades corrientes	3 505	3 580	3 534	10 619	0
Total general	61 115	50 301	45 870	157 286	125 990

* Incluye gastos de apoyo, cuando corresponde.

Indicadores de desempeño

11. La ONUDI presentó los indicadores de desempeño conforme a la decisión 71/28 en el texto del plan administrativo. La Secretaría informó a la ONUDI las metas que figuran en el Cuadro 5.

⁴ UNEP/OzL.Pro/ExCom/82/25.

Cuadro 5: Indicadores de desempeño para la ONUDI correspondientes a 2019

Indicador	Descripción	Criterio	Meta para 2019
Planificación-aprobación	Tramos aprobados	Número de tramos aprobados vs. los previstos*	23
Planificación-aprobación	Proyectos/actividades aprobadas	Número de proyectos/actividades aprobadas vs. las previstas (incluye preparación de proyectos)**	28
Ejecución	Fondos desembolsados	Sobre la base de desembolsos estimados en el informe de avance	23 573 657 \$EUA
Ejecución	Eliminación de SAO	Eliminación de SAO para el tramo al momento de aprobarse el siguiente vs. lo previsto en los planes administrativos	651,7 toneladas PAO
Ejecución	Cierre de las actividades proyectadas	Avance de los proyectos vs. lo previsto en los informes de avance para todas las actividades (salvo preparación de proyectos)	48
Administrativo	Diligencia en el cierre financiero	Grado de cierre financiero de los proyectos doce meses después de su término	Doce meses después de cierre operativo
Administrativo	Entrega oportuna de informes de cierre de proyecto	Plazo de entrega de informes de cierre de proyecto vs. lo estipulado	32
Administrativo	Entrega oportuna de informes de avance	Entrega oportuna de informes de avance y de respuestas y planes administrativos, salvo acuerdo en contrario	En fecha

* Las metas de un determinado organismo se podrán reducir cuando éste se vea imposibilitado de presentar un tramo por motivos imputables a otro organismo principal o de cooperación, siempre que dicho organismo esté de acuerdo.

** No se evaluará la preparación de proyectos sin una decisión del Comité Ejecutivo en cuanto a su financiamiento.

RECOMENDACIÓN

12. El Comité Ejecutivo podrá estimar pertinente:

- a) Tomar nota del plan administrativo de la ONUDI para el período 2019-2021 contenido en el presente documento UNEP/OzL.Pro/ExCom/82/29; y
- b) Aprobar los indicadores de desempeño para la ONUDI expuestos en el Cuadro 5 del presente documento UNEP/OzL.Pro/ExCom/82/29.



UNITED NATIONS INDUSTRIAL DEVELOPMENT ORGANIZATION

**UNIDO
BUSINESS PLAN 2019**

EXECUTIVE SUMMARY

The 2019 Business Plan of UNIDO together with the forecast for 2020 and 2021 represents the Rolling Business Plan of Montreal Protocol activities of the Organization. Funding estimates up to 2021 and beyond have also been provided. This provides useful information for Executive Committee members on the funding needed to reach the 2020 control measures for HCFCs.

UNIDO's Business Plan was prepared based on the previous rolling business plan, taking into consideration the approvals and experience of previous years, the requests received from Article 5 countries, priorities established and the decisions taken by the Executive Committee, in particular Decision 81/31, 81/53, 79/45, 78/3(g), 77/27(c), 75/3(b)(i), 74/50, 74/18(b), 72/40, 74/21, 74/50(c), 71/18, 71/42 and 57/6(c). It also reflects the discussions held in Montreal during the Inter-Agency Coordination Meeting from 4 to 6 September 2018. It is also largely inspired from the historical decision of the 19th Meeting of the Parties agreeing on the acceleration of the phase-out of HCFCs, and the relevant ExCom decisions on HPMPs, HCFC investment, demonstration projects and activities to facilitate the early ratification of the Kigali Amendment. The countries' needs have been calculated based on approved HPMPs and based on reported HCFC Baseline consumption.

In 2019, UNIDO will submit for approval by the Executive Committee forward commitments amounting to USD 42.3 million. New activities are focusing mainly on preparation for HCFC phase-out plans, the renewal of institutional strengthening projects that amount to USD 4.5 million. In addition in 2019 UNIDO is planning to submit new activities for HFC Phase-down amounting to USD 13 million. As listed in the business plan, about 72 % (USD 43.3 million) of UNIDO's 2019 Business Plan is focusing on the phase out of HCFCs without accounting for the institutional strengthening projects.

Decision 79/41 has maintained the administrative cost regime adopted by Decisions 67/15, namely, for new projects with a value over USD 250,000 as well as for institutional strengthening and project preparation activities are subject to 7% agency fee. Support cost for Agreements made before the 67th Meeting of the Executive Committee remained valid, except for the second and subsequent tranches of HPMPs approved at the 66th Meeting of the Executive Committee, where the 7,5% agency fee has been reduced to 7 % in line with Decision 72/20. For new projects with a value at or below USD 250,000, the agency fee of 9 % was maintained. Furthermore, for Core Unit Funding an annual increase of maximum 0.7% is allowed for the current triennium. For this reason and in line with the Fund Secretariat's recommendation, Core Unit Costs for the years 2019-2021 have been budgeted based on a maximum 0.7 % increase. Thus, USD 2,098,457 has been allocated in the 2019 Business Plan for the Core Unit for the year 2020.

The total amount foreseen in UNIDO's 2019 Business Plan, including forward commitments, new investment, non-investment activities, project preparation and funding of

core unit is USD 46,805,927 including support costs and with an impact of 706 ODP tonnes for HCFC Phase-out activities plus USD 13,162,284 for HFC Phase-down activities with an estimated impact of 525 MT.

USD 55,571,910 worth of projects are earmarked for 2020 with an impact of 975 ODP tonnes, while for 2021 USD 46,877,804 with an impact of 713 ODP tonnes are forecasted for HCFC Phase-out activities.

1. CONTEXT

UNIDO prepared its business plan for 2019 to 2021 based on ExCom Decisions 57/6(c), 71/18, 71/42, 72/40, 74/50, 74/18(b), 74/21, 74/50(c), 75/3(b)(i), 77/27(c), 78/3(g), 79/45, 81/31, 81/53 as well as the Government requests received from Article 5 countries. An inter-agency coordination meeting was held between 4 and 6 September 2018 in Montreal, Canada. Considering the draft business plans submitted by all implementing and bilateral agencies and the compliance-oriented model, the Secretariat identified the countries that are in need of assistance in order to comply with the various phase-out schedules, for which no activities were included in the business plans of implementing agencies and pointed out cases where a duplication of activities occurred among the various implementing agencies. The countries' needs have been calculated for most countries based on the actual HCFC baseline data as well as based on approved HPMP Agreements.

The Business Plan is also largely inspired from the historical decision of the 19th Meeting of the Parties agreeing on the acceleration of the phase-out of HCFCs, and the Decision 74/50 on the draft criteria for funding HCFC phase-out in the consumption sector for Stage II of HCFC Phase-Out Management Plans and other relevant ExCom decisions on HPMPs and HCFC investment and demonstration projects. In addition, the Kigali Amendment of the Montreal Protocol and related ExCom decisions also had an important impact on UNIDO's planning.

As agreed with the Secretariat, activities which were part of UNIDO's 2018 business plan, but could not be submitted either to the 81st or to the 82nd Meeting of the Executive Committee, are reflected in the present business plan. Furthermore, any projects submitted to, but not approved at the 82nd Meeting should be added afterwards to the 2019 Business Plan.

2. RESOURCE ALLOCATION

In 2019, UNIDO is planning to submit USD 57 million worth of projects, the majority of which is focused on phase-out of HCFCs, plus core unit funding in the value of about USD 2.098 million.

HCFC phase-out activities form large part of UNIDO's 2019 Business Plan. Most HPMPs for non-LVCs include investment projects for the conversion of manufacturing enterprises to HCFC-free alternatives taking into consideration new technological developments to ensure sustainable conversion of HCFC-based manufacturing enterprises.

In line with earlier discussions, funding requests for the preparation of Stage II HPMPs should be submitted 2 years before the last tranche of Stage I. However, there are exceptions, in particular in non-LVC countries with extended commitments, whereby no funding is scheduled between 2016 and 2019. In such cases, project preparation is required 1 or 2 years before the penultimate tranche of Stage I. UNIDO made careful consideration of each country to ensure smooth implementation, without interruptions between Stage I and Stage II activities.

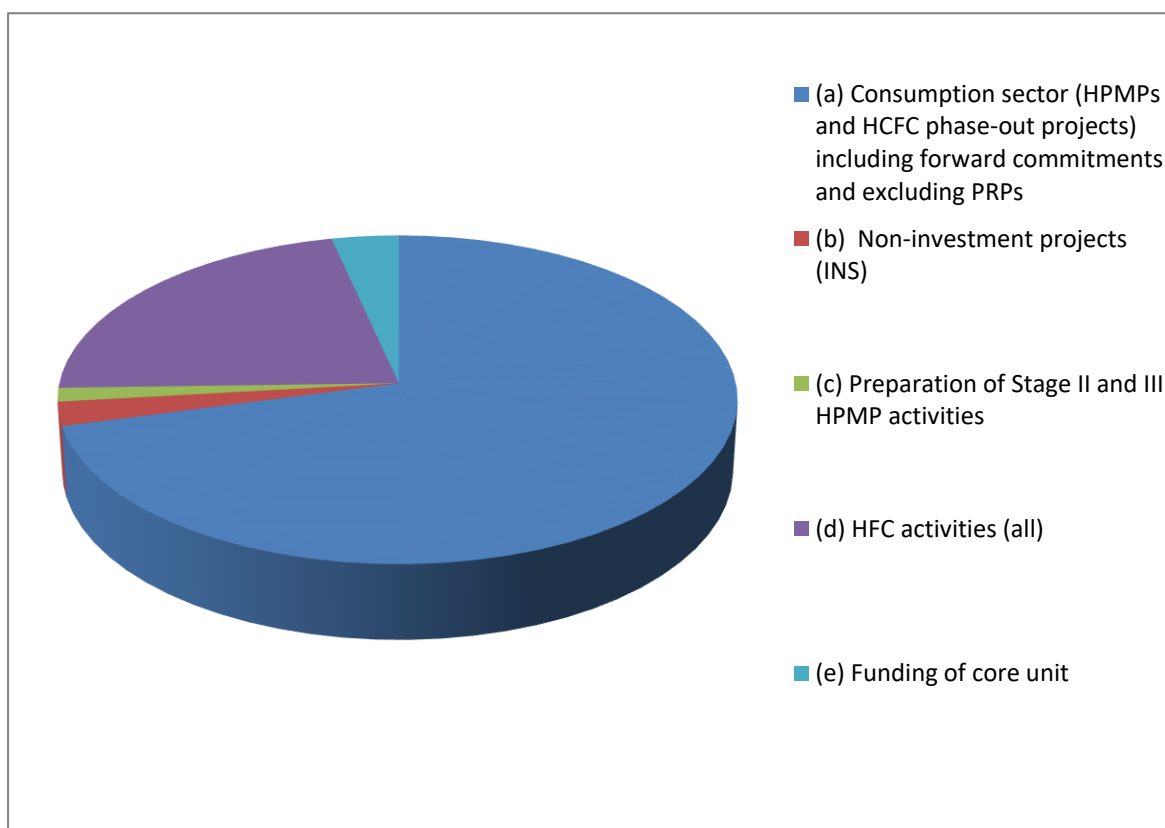
Furthermore, renewal of institutional strengthening projects also form part of UNIDO's 2019-2021 Business Plan. Estimates for funding needs for institutional strengthening projects have provided in 2020 for those countries (Macedonia, Montenegro and Turkmenistan), which have institutional strengthening projects incorporated in their ongoing HPMP.

In addition in 2019 UNIDO is planning to submit new activities for HFC Phase-down to facilitate early ratification of Kigali amendment and initial actions towards the phase down of HFC.

The total budget for 2019 for the above activities is USD 59,968,211 including USD 2,098,457 core unit funding for UNIDO. Table 1 below summarizes the resource allocation of UNIDO's 2019 Business Plan.

Table 1: Resource allocation

Pos.	Type/sector	Value (\$)	Share of Business Plan allocation (%)
		Incl. support costs	
(a)	Consumption sector (HPMPs and HCFC phase-out projects) including forward commitments and excluding PRPs	42,492,617	71%
(b)	Non-investment projects (INS)	1,406,203	2%
(c)	Preparation of Stage II and III HPMP activities	808,650	1%
(d)	HFC activities (all)	13,162,284	22%
(e)	Funding of core unit	2,098,457	4%
	Total	59,968,211	100

Resource Allocation as per Table 1

The details of the 2019-2021 rolling Business Plan are spelled out in the Business Plan Database.

3. GENERAL OVERVIEW ON ASSISTANCE TO COUNTRIES IN NON-COMPLIANCE

In UNIDO's portfolio, there is currently no country being in non-compliance with the HCFC phase-out schedule. UNIDO has been providing continuous assistance to countries that had been in non-compliance in previous years.

All activities presented in UNIDO's business plan aim at providing assistance to A5 countries to comply with their obligations towards the Montreal Protocol. Should there be any countries in non-compliance under UNIDO's responsibility, UNIDO will work closely with the countries concerned to bring them back to compliance and will assist them to report the required data to the Ozone Secretariat.

4. PROGRAMME EXPANSION

In the years 2019 to 2021 UNIDO aims to enhance its assistance to Article 5 countries by strengthening its project portfolio through the implementation of HCFC phase-out management plans and HCFC phase-out investment projects.

UNIDO continues providing support with Stage II HPMPs to all the countries assisted during Stage I.

The main objective of this Business Plan is to assist Article 5 countries in meeting their obligations under the Montreal Protocol, in particular the 35% reduction target in 2020 for HCFCs. However, in some cases UNIDO, in agreement with the beneficiary countries, has set more ambitious objectives and targets 67.5% reduction.

The analysis of the activities of UNIDO's Business Plan reveals that the major share of UNIDO's MP project portfolio consists of HPMPs including HCFC investment activities in different sub-sectors.

In 2019, UNIDO will continue to cover all regions (Latin America and the Caribbean, Africa, Asia and Pacific, Europe) with planned activities in various sectors and countries (including project preparation and non-investment activities) including new activities for HFC phase-down.

Africa

In Africa, funding requests for seventeen countries, with a total value of USD 3,127,249 will be submitted in 2019. The main concentration will be in HPMPs, as well as preparatory assistance projects for HPMP Stage II. UNIDO is cooperating with UNEP on several HPMPs in African countries.

Asia and the Pacific

In Asia requests for funding for eight countries with a value of USD 39,098,319 will be submitted in 2019. This represents about 68 % of the total Business Plan for 2019 excluding Core Unit funding. The main concentration will be in HPMPs, HCFC investment projects, as well as preparatory assistance projects for HPMP Stage II. The plan includes two investment project and one preparatory assistance for HFC related activities.

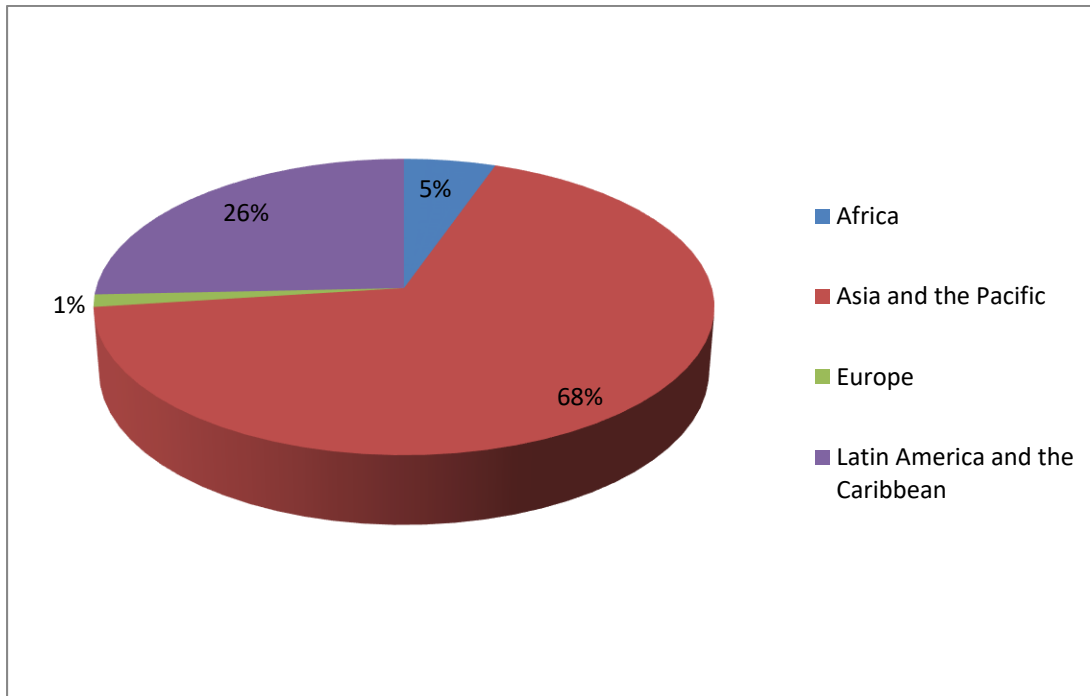
Europe

In Europe, requests for five countries with a value of USD 779,367 will be submitted in 2019, targeting the phase-out of HCFCs and institutional strengthening.

Latin America and the Caribbean

In Latin America and the Caribbean Region the planned requests to be submitted in 2019 amount to USD 14,864,820. This includes three HPMPs stage II, four preparatory assistance for HPMPs Stage II and III activities, as well as two projects and one preparatory assistance for HFC related activities in Argentina, Brazil and Mexico respectively.

UNIDO's 2019 Business Plan by Region



5. PERFORMANCE INDICATORS

The 71st Meeting of the Executive Committee has reviewed the performance indicators. The new weightings, based on Decision 71/28, are indicated in the below table.

Type of Indicator	Short title	Calculation	Weighting	Target 2019
Planning--Approval	Tranches approved	Number of tranches approved vs. those planned	10	21
Planning--Approval	Projects/activities Approved	Number of projects/activities approved vs. those planned (including project preparation activities)	10	22
	Sub-total		20	
Implementation	Funds disbursed	Based on estimated disbursement in progress report	15	USD 23.57 million
Implementation	ODS phase-out	ODS phase-out for the tranche when the next tranche is approved vs. those planned per business plans	25	702 ODPt
Implementation	Project completion for Activities	Project completion vs. planned in progress reports for all activities (excluding project preparation)	20	48
	Sub-total		60	
Administrative	Speed of financial completion	The extent to which projects are financially completed 12 months after project completion	10	12 months after operational completion

Type of Indicator	Short title	Calculation	Weighting	Target 2019
Administrative	Timely submission of project completion reports	Timely submission of project completion reports vs. those agreed	5	On time
Administrative	Timely submission of progress reports	Timely submission of progress reports and business plans and responses unless otherwise agreed	5	On time
	Sub-total		20	
	Total		100	

Based on Decision 71/28, the performance indicator on milestone activities for MYAs was changed as listed now in the above table.

6. INITIATIVES TO ENSURE COMPLIANCE

Successful and timely implementation of ongoing activities is essential for the current compliance period.

Special attention is provided to countries that may previously have been in non-compliance and that have decisions outlining plans of actions with time-specific benchmarks for return to compliance.

UNIDO has continued to provide supportive initiatives in order to ensure timely project completion of projects approved so far, and to facilitate compliance of the recipient countries with their MP obligations, which supported successful project implementation:

- Regular follow up of the implementation process is being done by the staff of the ozone office together with UNIDO's national and international consultants and project managers. This ensures that effective actions on critical issues such as resolving bottlenecks in site preparation, customs clearance, installation, commissioning and safety certification, monitoring of CFC-related equipment are taken.
- UNIDO is frequently attending Regional Network Meetings and respective workshops providing additional support to our counterpart countries.

- Communication and interaction between regional and country offices about the implementation process has ensured the smooth flow of project plans. As in previous years, directors of UNIDO regional and country offices are regularly briefed at UNIDO HQs on ongoing and possible future activities. They are involved in the implementation process and are following up the progress of the programmes. In turn, the representatives brief headquarter staff working in a specific country on the regular activities in the field and problems faced, if any.
- UNIDO also provides, when requested, support such as policy assistance, putting in place relevant legislation etc. Additionally, UNIDO project managers are visiting the project site, if definitely required.
- Based on recommendation of the Implementation Committee, additional assistance will be offered to the countries with delays in reporting of its ozone-depleting substance data