



**Programme des
Nations Unies pour
l'environnement**



Distr.
GENERAL

UNEP/OzL.Pro/ExCom/80/20
10 octobre 2017

FRANÇAIS
ORIGINAL: ANGLAIS

COMITE EXECUTIF
DU FONDS MULTILATERAL AUX FINS
D'APPLICATION DU PROTOCOLE DE MONTREAL
Quatre-vingtième réunion
Montréal, 13 – 17 novembre 2017

PLAN D'ACTIVITÉS DE L'ONUDI POUR LA PERIODE 2018-2020

1. Le présent document, qui contient le plan d'activités de l'ONUDI pour la période 2018-2020¹, décrit: les activités prévues pour l'élimination des substances appauvrissant la couche d'ozone (SAO) durant la période 2018-2020; les indicateurs de performance du plan d'activités; ainsi que les recommandations soumises à l'examen du Comité exécutif. Le descriptif d'accompagnement du plan d'activités de l'ONUDI pour 2018-2020 est joint au présent document.

Activités prévues pour 2018-2020

2. Le Tableau 1 indique, pour chacune des années, le coût des activités prévues dans le plan d'activités de l'ONUDI.

¹ Un projet de plan d'activités de l'ONUDI pour 2018-2020 a été examiné à la réunion de coordination interinstitutions (IACM) tenue à Montréal du 5 au 7 septembre 2017. Le plan d'activités figurant dans le présent document couvre les points soulevés à cette réunion.

Tableau 1: Affectation des ressources dans le plan d'activités de l'ONUDI soumis pour 2018-2020 (000 \$US) *

	2018	2019	2020	Total (2018-2020)	Total après 2020
Requis aux fins de conformité					
Plans de gestion de l'élimination de HCFC (PGEH) approuvés	48 475	32 757	34 686	115 918	92 469
Préparation de projet (PRP) pour la production de HCFC - phase I	0	107	0	107	0
Production de HCFC - phase I	0	0	533	533	1 065
PGEH phase I	0	693	0	693	500
PGEH phase I – Financement supplémentaire	389	899	0	1 288	0
PRP de PGEH - phase II	1 294	620	91	2 005	86
PGEH phase II	366	10 844	15 769	26 978	55 092
PGEH phase III	0	0	0	0	196,130
Sous-total	50 523	45 919	51 079	147 522	345 342
Activités relatives au HFC					
HFC – activités d'appui	954	0	0	954	0
HFC - investissement	3 776	1 819	0	5 595	0
HFC - investissement - PRP	32	0	0	32	0
Sous-total	4 762	1 819	0	6 581	0
Activités standard					
Renforcement des institutions (IS)	1 002	1 838	663	3 504	0
Unité centrale	2 084	2 098	2 113	6 295	0
Sous-total	3 086	3 937	2 777	9 799	0
Total général	58 371	51 675	53 856	163 902	345 342

* Incluant, s'il y a lieu, les frais d'appui d'agence.

3. Les paragraphes qui suivent contiennent de plus amples renseignements sur les activités proposées.

Production de HCFC – phase I

4. La phase I du Plan de gestion de l'élimination de la production de HCFC (PGEH) pour la République populaire démocratique de Corée prévoit un total de 1,7 millions \$US (dont 107 000 \$US pour des activités de préparation de projet en 2018).

PGEH - Phase I

5. Le Plan d'activités de l'ONUDI pour la République arabe syrienne² prévoit un total de 1,2 millions \$US, dont 692 600 \$US pour la période 2018-2020. Toutefois, la phase I du PGEH n'a pas encore été approuvée et n'a pas été soumise à la 80^e réunion.

6. Outre la phase I de PGEH pour deux pays (Bahreïn et Équateur), le Plan d'activités de l'ONUDI comprend d'autres projets, d'une valeur de 1,29 millions \$US pour la période 2018-2020. Ces demandes relèvent de différentes décisions du Comité exécutif autorisant ces pays à soumettre des projets supplémentaires durant la mise en œuvre de la phase I.

² Le financement a été approuvé pour l'élimination de 12,9 tonnes de HCFC, soit 9,6 % de la valeur de référence, dans le secteur de la réfrigération et de la climatisation du pays, à titre de projet autonome extérieur au PGEH.

PGEH - Phase II

7. Le montant total du financement de la phase II de PGEH dans les pays à faible volume de consommation (PFV) s'élève à 63 996 \$US pour la période 2018 à 2020, en vue d'une réduction de 35 % de la consommation de référence de HCFC, et à 6,37 millions \$US (dont 2,3 millions \$US pour la période de 2018 à 2020) en vue d'une réduction de 67,5 %.

8. L'affectation sectorielle des fonds de la phase II des PGEH pour les pays non-PFV est indiquée dans le Tableau 2.

Tableau 2: Affectation par secteur des fonds de la phase II des PGEH dans les pays non-PFV (000 \$US)

Secteur	2018-2020	Après 2020	Total	Pourcentage du total (%)
Mousse rigide	3 328	229	3 557	4,7
Réfrigération - climatisation	2 831	396	3 227	4,3
Réfrigération - assemblage	467	467	934	1,2
Réfrigération – fabrication	5 513	31 678	37 191	49,2
Réfrigération - entretien	12 453	18 275	30 728	40,6
Total	24 593	51 044	75 637	100,0

Activités liées au HFC

9. Les activités liées au HFC comprennent des activités d'appui (953 750 \$US en 2018 pour l'Argentine, Grenade, la Libye, le Niger, l'Ouganda et la République démocratique du Congo); et des activités d'investissement (5,6 millions \$US (dont 32 100 \$US pour la préparation de projets) en 2018 pour la Chine, l'Équateur, le Liban, le Maroc, le Mexique et le Viet Nam).

10. Une des conditions préalables à l'inclusion d'une activité liée au HFC dans un plan d'activités est une lettre d'intention/d'autorisation du gouvernement intéressé. L'ONUDI a soumis toutes les lettres requises pour ces activités.

Coûts de l'unité centrale

11. Les coûts³ de l'unité centrale devraient augmenter selon un taux annuel de 0,7 %, comme il est convenu jusqu'ici.

Modifications proposées par le Secrétariat

12. Durant l'IACM, des modifications aux plans d'activités des agences bilatérales et d'exécution ont été approuvées sur la base de décisions pertinentes du Comité exécutif. En examinant le Plan d'activités révisé de l'ONUDI pour 2018-2020, le Secrétariat a constaté que les modifications ci-après n'ont pas été incluses :

³ La demande de l'ONUDI pour les coûts de l'unité centrale pour 2018 a été soumise à la 80^e réunion (UNEP/OzL.Pro/ExCom/80/29).

Tableau 3: Modifications au Plan d'activités de l'ONUDI pour 2018-2020 (000 \$US)

Modifications	2018-2020	Après 2020
Valeurs du PGEH pour tenir compte des montants réels approuvés au titre des accords, incluant les tranches des PGEH qui n'ont pas été soumises à la 80 ^e réunion	-1 465	-465
Valeurs du renforcement des institutions pour tenir compte de la date réelle de soumission	94	0
Préparation de projet pour la phase II des PGEH conformément à la décision 71/42	-0,1	0
Activités d'appui relatives au HFC conformément à la décision 79/46(c)	-9	0

13. Le Tableau 4 présente les résultats des modifications proposées par le Secrétariat au Plan d'activités de l'ONUDI pour 2018-2020, qui ont également été abordées dans le cadre du plan d'activités global du Fonds multilatéral pour 2018-2020.⁴

Tableau 4: Affectation des ressources dans le plan d'activités modifié de l'ONUDI pour 2018-2020 (000 \$US) *

	2018	2019	2020	Total (2018-2020)	Total après 2020
Requis aux fins de conformité					
PGEH approuvés	48,796	32,694	32,963	114,453	92,004
PRP pour la production de HCFC - phase I	0	107	0	107	0
Production de HCFC - phase I	0	0	533	533	1 065
PGEH - phase I	0	693	0	693	500
PGEH - phase I – financement supplémentaire	389	899	0	1 288	0
PRP du PGEH - phase II	1 294	620	91	2 005	86
PGEH - phase II	366	10 844	15 769	26 978	55 092
PGEH - phase III	0	0	0	0	196 130
Sous-total	50 844	45 857	49 356	146 057	344 876
Activités liées au HFC					
HFC – activités d'appui	945	0	0	945	0
HFC - investissement	3 776	1 819	0	5 595	0
HFC - investissement - PRP	32	0	0	32	0
Sous-total	4 753	1 819	0	6 572	0
Activités standard					
IS	1 096	1 406	1 096	3 598	0
Unité centrale	2 084	2 098	2 113	6 295	0
Sous-total	3 180	3 504	3 209	9 893	0
Total général	58 776	51 180	52 565	162 521	344 876

* Incluant s'il y a lieu les coûts d'appui d'agences.

⁴ UNEP/OzL.Pro/ExCom/80/16.

Indicateurs de performance

14. Conformément à la décision 71/28, l'ONUDI a soumis des indicateurs de performance dans le descriptif d'accompagnement de son plan d'activités. À l'issue de plusieurs entretiens, l'ONUDI et le Secrétariat sont convenus des valeurs cibles indiquées dans le Tableau 5.

Tableau 5: Indicateurs de performance pour l'ONUDI

Type d'indicateur	Titre abrégé	Calculs	Cible pour 2018
Planification -- Approbation	Tranches approuvées	Nombre de tranches approuvées par rapport au nombre de tranches prévues *	40
Planification -- Approbation	Projets/activités approuvés	Nombre de projets/activités approuvés par rapport au nombre de projets/activités prévus (incluant les activités de préparation de projet) **	52
Mise en œuvre	Fonds décaissés	Par rapport aux estimations de décaissements indiquées dans le rapport d'avancement	25 351 715 \$US
Mise en œuvre	SAO éliminées	SAO éliminées pour la tranche à l'approbation de la tranche suivante, par rapport aux quantités prévues dans les plans d'activités	870,0 tonnes PAO
Mise en œuvre	Achèvement des activités du projet	Nombre d'activités du projet achevées, par rapport aux activités prévues dans les rapports d'avancement, pour toutes les activités (à l'exclusion de la préparation du projet)	57
Administration	Rapidité de l'achèvement financier	Période dans laquelle les projets sont achevés financièrement, 12 mois après l'achèvement du projet	12 mois après l'achèvement opérationnel
Administration	Soumission rapide des rapports d'achèvement du projet	Soumission rapide des rapports d'achèvement du projet par rapport aux dates convenues	À temps
Administration	Soumission rapide des rapports d'avancement	Soumission rapide des rapports d'avancement et des plans d'activités et des réponses, à moins de décision contraire	À temps

* La valeur cible d'une agence sera réduite si elle n'est pas en mesure de soumettre une tranche en raison d'une autre agence de coopération ou de l'agence principale, si celle-ci en convient.

** La préparation du projet ne devrait pas être prise en compte si le Comité exécutif n'a pas pris de décision concernant le financement.

RECOMMANDATIONS

15. Le Comité exécutif est invité :

- (a) À prendre note du Plan d'activités de l'ONUDI pour 2018-2020 figurant dans le document UNEP/OzL.Pro/ExCom/80/20; et
- (b) À approuver pour l'ONUDI les indicateurs de performance présentés au Tableau 5 du document UNEP/OzL.Pro/ExCom/80/20.

UNIDO
BUSINESS PLAN 2018



UNITED NATIONS INDUSTRIAL DEVELOPMENT ORGANIZATION

Pre-session documents of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol are without prejudice to any decision that the Executive Committee might take following issuance of the document.

EXECUTIVE SUMMARY

The 2018 Business Plan of UNIDO together with the forecast for 2019 and 2020 represents the Rolling Business Plan of Montreal Protocol activities of the Organization. Funding estimates up to 2020 and beyond have also been provided. This provides useful information for Executive Committee members on the funding needed to reach the 2020 control measures for HCFCs.

UNIDO's Business Plan was prepared based on the previous rolling business plan, taking into consideration the approvals and experience of previous years, the requests received from Article 5 countries, priorities established and the decisions taken by the Executive Committee, in particular Decision 79/46, 78/3(g), 77/27(c), 75/3(b)(i), 74/50, 74/18(b), 72/40, 74/21, 74/50(c), 71/18, 71/42 and 57/6(c). It also reflects the discussions held in Montreal during the Inter-Agency Coordination Meeting from 5 to 7 September 2017. It is also largely inspired from the historical decision of the 19th Meeting of the Parties agreeing on the acceleration of the phase-out of HCFCs, and the relevant ExCom decisions on HPMPs, HCFC investment, demonstration projects and enabling activities to facilitate the early ratification of the Kigali Amendment. The countries' needs have been calculated based on approved HPMPs and based on reported HCFC Baseline consumption.

In 2018, UNIDO will submit for approval by the Executive Committee forward commitments amounting to USD 48.5 million. New activities are focusing mainly on HCFC phase-out, demonstration projects, enabling activities and the renewal of institutional strengthening projects with a total value of USD almost 8.6 million (including Core Unit Funding). In addition, USD 1,293,900 is earmarked for the preparation of Stage II HPMPs in 2018. As listed in the business plan, about 86 % (USD 50.5 million) of UNIDO's 2018 Business Plan is focusing on the phase out of HCFCs.

Decision 79/41 has maintained the administrative cost regime adopted by Decisions 67/15, namely for new projects with a value over USD 250,000 as well as for institutional strengthening and project preparation activities approved at the 67th Meeting of the Executive Committee and after are subject to 7% agency fee. Support cost for Agreements made before the 67th Meeting of the Executive Committee remained valid, except for the second and subsequent tranches of HPMPs approved at the 66th Meeting of the Executive Committee, where the 7,5% agency fee has been reduced to 7 % in line with Decision 72/20. For new projects with a value at or below USD 250,000, an agency fee of 9 % is provided. Furthermore, for Core Unit Funding an annual increase of maximum 0.7% is allowed for the current triennium. For this reason and in line with the Fund Secretariat's recommendation, Core Unit Costs for the years 2018-2020 have been budgeted based on a maximum 0.7 % increase. Thus, USD 2,083,870 has been allocated in the 2018 Business Plan for the Core Unit for the year 2018.

The total amount foreseen in UNIDO's 2018 Business Plan, including forward commitments, new investment, non-investment activities, project preparation and funding of core unit is USD 58,371,062 including support costs and with an impact of 881 ODP tonnes.

USD 61,674,868 worth of projects are earmarked for 2019 with an impact of 724 ODP tonnes, while for 2020 USD 53,855,635 with an impact of 801 ODP tonnes are forecasted.

A. MULTILATERAL FUND TARGETS

1. CONTEXT

UNIDO prepared its business plan for 2018 to 2020 based on ExCom Decisions 79/46, 78/3(g), 77/27(c), 75/3(b)(i), 74/50, 74/18(b), 72/40, 74/21, 74/50(c), 71/18, 71/42 and 57/6(c). as well as the Government requests received from Article 5 countries. An inter-agency coordination meeting was held between 5 and 7 September 2017 in Montreal, Canada. Considering the draft business plans submitted by all implementing and bilateral agencies and the compliance-oriented model, the Secretariat identified the countries that are in need of assistance in order to comply with the various phase-out schedules, for which no activities were included in the business plans of implementing agencies and pointed out cases where a duplication of activities occurred among the various implementing agencies. The countries' needs have been calculated for most countries based on the actual HCFC baseline data as well as based on approved HPMP Agreements.

The Business Plan is also largely inspired from the historical decision of the 19th Meeting of the Parties agreeing on the acceleration of the phase-out of HCFCs, and the Decision 74/50 on the draft criteria for funding HCFC phase-out in the consumption sector for Stage II of HCFC Phase-Out Management Plans and other relevant ExCom decisions on HPMPs and HCFC investment and demonstration projects. In addition, the Kigali Amendment of the Montreal Protocol and related ExCom decisions also had an important impact on UNIDO's planning.

As agreed with the Secretariat, activities which were part of UNIDO's 2017 business plan, but could not be submitted either to the 79th or to the 80th Meeting of the Executive Committee, are reflected in the present business plan. Furthermore, any projects submitted to, but not approved at the 80th Meeting should be added afterwards to the 2018 Business Plan.

During the 2018-2020 business plan cycle, UNIDO also foresees the implementation of trust fund projects (e.g. Argentina, China, Libya, Mexico) and continued strong cooperation with donor countries, particularly with Italy and Spain.

2. RESOURCE ALLOCATION

In 2018, UNIDO is planning to submit USD 56.3 million worth of projects, the majority of which is focused on phase-out of HCFCs, plus core unit funding in the value of about USD 2.08 million.

HCFC phase-out activities form large part of UNIDO's 2018 Business Plan. Most HPMPs for non-LVCs include investment projects for the conversion of manufacturing enterprises to HCFC-free alternatives taking into consideration new technological developments to ensure sustainable conversion of HCFC-based manufacturing enterprises.

In line with earlier discussions, funding requests for the preparation of Stage II HPMPs should be submitted 2 years before the last tranche of Stage I. However, there are exceptions, in particular in non-LVC countries with extended commitments, whereby no funding is scheduled between 2015 and 2018. In such cases, project preparation is required 1 or 2 years before the penultimate tranche of Stage I. UNIDO made careful consideration of each country to ensure smooth implementation, without interruptions between Stage I and Stage II activities.

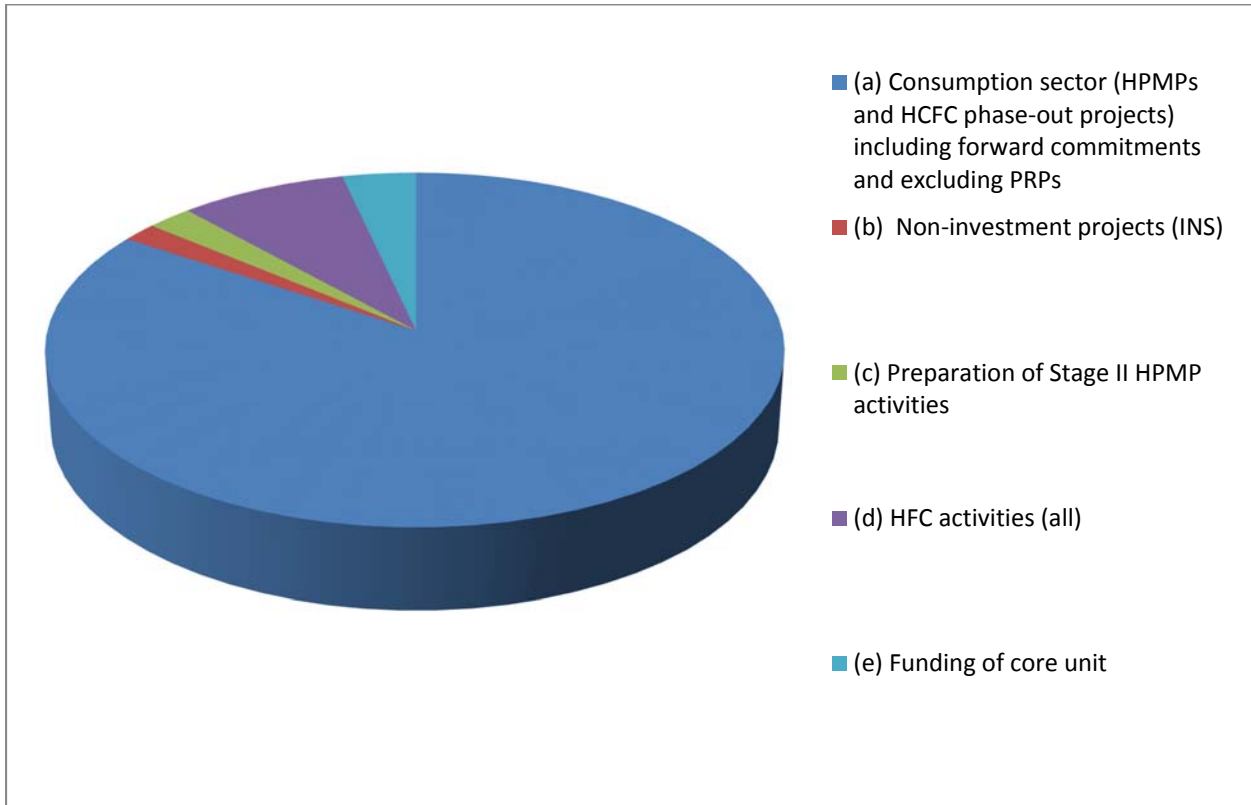
Furthermore, renewal of institutional strengthening projects also form part of UNIDO's 2018-2020 Business Plan.

The total budget for 2018 for the above activities is USD 56,287,192 plus USD 2,083,870 core unit funding for UNIDO. Table 1 below summarizes the resource allocation of UNIDO's 2018 Business Plan.

Table 1: Resource allocation

Pos.	Type/sector	Value (\$) Incl. support costs	Share of Business Plan allocation (%)
(a)	Consumption sector (HPMPs and HCFC phase-out projects) including forward commitments and excluding PRPs	49,229,599	84.3
(b)	Non-investment projects (INS)	1,002,080	1.7
(c)	Preparation of Stage II HPMP activities	1,293,900	2.2
(d)	HFC activities (all)	4,761,613	8.2
(e)	Funding of core unit	2,083,870	3.6
	Total	58,371,062	100

Resource Allocation as per Table 1



The details of the 2018-2020 rolling Business Plan are spelled out in the Business Plan Database.

3. GENERAL OVERVIEW ON ASSISTANCE TO COUNTRIES IN NON-COMPLIANCE

In UNIDO's portfolio, there is currently no country being in non-compliance with the HCFC phase-out schedule. UNIDO has been providing continuous assistance to countries that had been in non-compliance in previous years (Democratic People's Republic of Korea and Libya).

All activities presented in UNIDO's business plan aim at providing assistance to A5 countries to comply with their obligations towards the Montreal Protocol. Should there be any countries in non-compliance under UNIDO's responsibility, UNIDO will work closely with the countries concerned to bring them back to compliance and will assist them to report the required data to the Ozone Secretariat.

4. PROGRAMME EXPANSION

In the years 2018 to 2020 UNIDO aims to enhance its assistance to Article 5 countries by strengthening its project portfolio through the implementation of HCFC phase-out management plans and HCFC phase-out investment projects.

UNIDO continues providing support with Stage II HPMPs to all the countries assisted during Stage I.

The main objective of this Business Plan is to assist Article 5 countries in meeting their obligations under the Montreal Protocol, in particular the 35% reduction target in 2020 for HCFCs. However, in some cases UNIDO, in agreement with the beneficiary countries, has set more ambitious objectives and targets 67.5% reduction.

The analysis of the activities of UNIDO's Business Plan reveals that the major share of UNIDO's MP project portfolio consists of HPMPs including HCFC investment activities in different sub-sectors.

In 2018, UNIDO will continue to cover all regions (Latin America and the Caribbean, Africa, Asia and Pacific, Europe) with planned activities in various sectors and countries (including project preparation and non-investment activities).

The following section summarizes the activities contained in UNIDO's 2018 Business Plan sorted by region.

Africa

In Africa, funding requests for nine countries, with a total value of USD 5,006,836 will be submitted in 2018. The main concentration will be in HPMPs, as well as preparatory assistance projects for HPMP Stage II. UNIDO is cooperating with UNEP on several HPMPs in African countries. Furthermore, enabling activities and institutional strengthening requests will also be submitted

Asia

In Asia requests for funding for nine countries with a value of USD 35,285,585 will be submitted in 2018. This represents about 60.6 % of the total Business Plan for 2018. The main concentration will be in HPMPs, HCFC investment projects, demonstration projects, as well as preparatory assistance projects for HPMP Stage II.

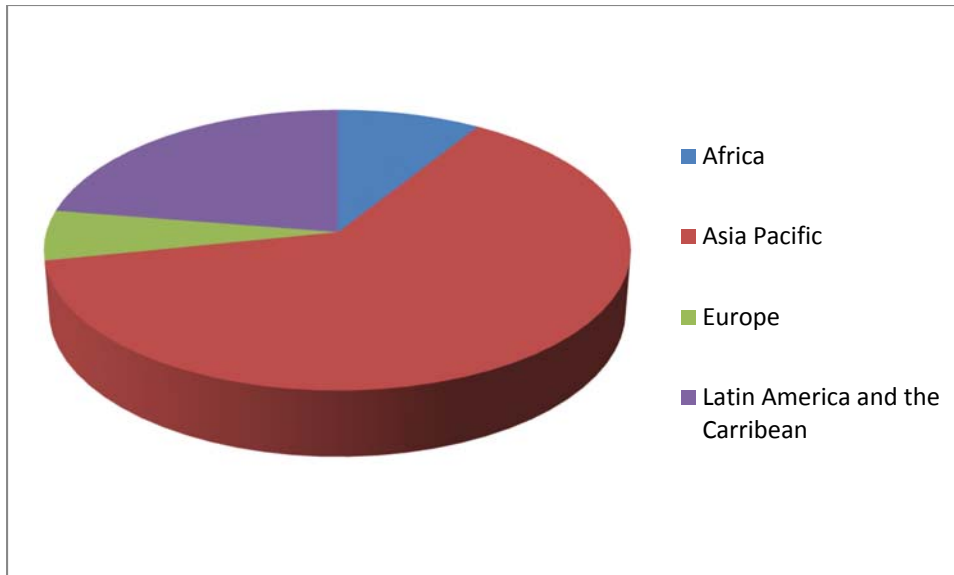
Europe

In Europe, requests for eight countries with a value of USD 3,168,197 will be submitted in 2018, targeting the phase-out of HCFCs and institutional strengthening.

Latin America and the Caribbean

In Latin America and the Caribbean Region, requests for two countries, with a value of USD 12,615,624 will be submitted in 2018. The main concentration will be in HPMPs, including Stage I and Stage II activities, demonstration projects and enabling activities.

UNIDO's 2018 Business Plan by Region



PERFORMANCE INDICATORS

The 71st Meeting of the Executive Committee has reviewed the performance indicators. The new weightings, based on Decision 71/28, are indicated in the below table.

Type of Indicator	Short title	Calculation	Weighting	Target 2018
Planning--Approval	Tranches approved	Number of tranches approved vs. those planned	10	25
Planning--Approval	Projects/activities Approved	Number of projects/activities approved vs. those planned (including project preparation activities)	10	35
	Sub-total		20	
Implementation	Funds disbursed	Based on estimated disbursement in progress report	15	USD 25.3 million
Implementation	ODS phase-out	ODS phase-out for the tranche when the next tranche is approved vs. those planned per business plans	25	600 ODPt
Implementation	Project completion for Activities	Project completion vs. planned in progress reports for all activities (excluding project preparation)	20	50
	Sub-total		60	
Administrative	Speed of financial completion	The extent to which projects are financially completed 12 months after project completion	10	12 months after operational completion
Administrative	Timely submission of project completion reports	Timely submission of project completion reports vs. those agreed	5	On time
Administrative	Timely submission of progress reports	Timely submission of progress reports and	5	On time

Type of Indicator	Short title	Calculation	Weighting	Target 2018
		business plans and responses unless otherwise agreed		
	Sub-total		20	
	Total		100	

Based on Decision 71/28, the performance indicator on milestone activities for MYAs was changed as listed now in the above table.

INITIATIVES TO ENSURE COMPLIANCE

Successful and timely implementation of ongoing activities is essential for the current compliance period.

Special attention is provided to countries that may previously have been in non-compliance and that have decisions outlining plans of actions with time-specific benchmarks for return to compliance.

UNIDO has continued to provide supportive initiatives in order to ensure timely project completion of projects approved so far, and to facilitate compliance of the recipient countries with their MP obligations, which supported successful project implementation:

- Regular follow up of the implementation process is being done by the staff of the ozone office together with UNIDO's national and international consultants and project managers. This ensures that effective actions on critical issues such as resolving bottlenecks in site preparation, customs clearance, installation, commissioning and safety certification, monitoring of CFC-related equipment are taken.
- UNIDO is frequently attending Regional Network Meetings and respective workshops providing additional support to our counterpart countries.
- Communication and interaction between regional and country offices about the implementation process has ensured the smooth flow of project plans. As in previous years, directors of UNIDO regional and country offices are regularly briefed at UNIDO HQs on ongoing and possible future activities. They are involved in the implementation process and are following up the progress of the programmes. In turn, the representatives brief headquarter staff working in a specific country on the regular activities in the field and problems faced, if any.
- UNIDO also provides, when requested, support such as policy assistance, putting in place relevant legislation etc. Additionally, UNIDO project managers are visiting the project site, if definitely required.

- Based on recommendation of the Implementation Committee, additional assistance will be offered to the countries with delays in reporting of its ozone-depleting substance data