



**United Nations  
Environment  
Programme**

Distr.  
GENERAL

UNEP/OzL.Pro/ExCom/73/10  
17 October 2014



ORIGINAL: ENGLISH

EXECUTIVE COMMITTEE OF  
THE MULTILATERAL FUND FOR THE  
IMPLEMENTATION OF THE MONTREAL PROTOCOL  
Seventy-third Meeting  
Paris, 9-13 November 2014

**CONSOLIDATED PROGRESS REPORT AS AT 31 DECEMBER 2013**

1. The consolidated progress report summarises progress and financial information provided by bilateral and implementing agencies<sup>1</sup> as of 31 December 2013 in their respective progress reports<sup>2</sup> submitted to the Secretariat, and consists of:

Executive Summary

- Part I: Implementation progress as at 31 December 2013 (cumulative)
- Part II: Project implementation progress in 2013
- Part III: Comments and recommendations made by the Secretariat
- Annex I: Project implementation data in 2013 by country
- Annex II: HCFC phase-out management plan (HPMP) by country as at 31 December 2013
- Annex III: An analysis of data from the progress reports

**Executive summary**

2. The following is a summary of progress in the implementation of projects and activities supported by the Multilateral Fund up to 31 December 2013 for the year 2013, and cumulative since 1991 (Annex III provides a detailed analysis of the data contained in the progress reports):

<sup>1</sup> Progress reports were submitted by the following agencies: Australia, Canada, Czech Republic, France, Germany, Israel, Italy, Japan, Spain, UNDP, UNEP, UNIDO and the World Bank. Progress reports were not submitted by Switzerland. Data from its progress report for 2012 was included, along with information for projects approved in 2013.

<sup>2</sup> The Executive Committee requested that detailed information on a project-by-project basis be made available to Committee members, with a printout available upon request (decision 19/23). The database for the Consolidated Progress Report is provided on the Executive Committee's intranet in the spreadsheet programme, Microsoft Excel 2002.

- (a) **Phase-out:** In 2013 alone, 3,192.8 ODP tonnes of consumption and 50 ODP tonnes of production were phased out and an additional 1,140 ODP tonnes of consumption were approved for phase-out. Since 1991, 261,545 ODP tonnes of consumption and 192,226 ODP tonnes of production had been phased out at the end of 2013, of an expected total of 456,747 ODP tonnes from projects approved (excluding cancelled and transferred projects);
- (b) **Disbursements/approvals:** In 2013, US \$164.63 million was disbursed and US \$136.87 million was planned for disbursement based on the 2012 progress report representing a rate of disbursement of 120 per cent of that planned. Cumulatively, US \$2.45 billion had been disbursed out of the total US \$2.73 billion approved for disbursement (excluding agency fees). This represents a rate of disbursement of 90 per cent. In 2013, US \$128.67 million was approved for implementation;
- (c) **Cost-effectiveness (in ODP):** Since 1991, the average cost-effectiveness of investment projects approved leading to a permanent reduction in consumption was US \$5.03/kg. The cost-effectiveness for the production sector was US \$3.86/kg. The average cost-effectiveness of investment projects per ODP tonne was US \$4.10/kg for completed projects and US \$37.77/kg for ongoing projects<sup>3</sup>;
- (d) **Number of projects completed:** In 2013, 247 projects were completed. Since 1991, 6,261 projects of the 6,956 projects (excluding closed or transferred projects) financed by the Secretariat were completed. This represents a completion rate of 90 per cent;
- (e) **Speed of delivery – investment projects:** Projects that were completed in 2013 were completed on average 52 months after their approval. Since 1991, the average time for completion of investment projects has been 35 months after their approval. First disbursements under these projects occurred, on average, 15 months after they had been approved. On an agency basis, first disbursements for completed projects took place 13 months after approval for UNDP, eight months for UNIDO and 21 months for the World Bank;
- (f) **Speed of delivery – non investment projects:** Projects that were completed in 2013 were completed on average 37 months after their approval. Since 1991, the average time for completion of non-investment projects has been 36 months after their approval. First disbursements under these projects occurred, on average, 11 months after they had been approved. On an agency basis, first disbursements for completed non-investment projects took place 12 months after approval for UNDP, nine months for UNEP, eight months for UNIDO and 16 months for the World Bank;
- (g) **Project preparation:** Of the 1,471 project preparation activities approved by the end of 2013, 1,443 have been completed. In 2013, three project preparation activities were approved and 31 were completed leaving 28 ongoing;
- (h) **Implementation delays:** There were a total of 279 ongoing investment projects under implementation at the end of 2013. These projects, on average, are experiencing a delay of 16 months. In 2013, the number of ongoing investment projects experienced an average delay of 13 months. However, projects classified as “projects with

---

<sup>3</sup> The higher cost-effectiveness for ongoing projects is largely due to the lower ODP values of HCFCs but also due to the means of assigning phase-out by agencies as well. The cost-effectiveness for HCFC phase-out management plan (HPMP) MYAs is US \$68.10/kg ODP and for stage I of HCFC production phase-out management plan (HPPMP) is US \$23.90/kg ODP.

implementation delays” that are subject to the procedures of project cancellation amount to 15 projects (as multi-year agreements (MYAs) are not subject to those procedures); and

- (i) **MYAs:** In 2013, bilateral and implementing agencies are implementing 17 MYAs for CFC or ODS phase-out plans, one for accelerated CFC production, one MYA for CTC phase-out plan, nine MYAs for methyl bromide (MB) consumption, one MYA for MB production, 139 MYAs for HPMPs and one MYA for the HCFC production phase-out management plan (HPPMP) in China. Since 1991, 303 MYAs have been approved and 134 MYAs have been completed.

### Part I: Implementation progress as at 31 December 2013 (cumulative)

3. As of that date, the Executive Committee had approved approximately US \$3.04 billion<sup>4</sup> consisting of US \$2.73 billion for the implementation of investment and non-investment projects and US \$309.36 million for agency fees and administrative support, as shown in Table 1. In 2013, 188 new projects and activities were approved. This level of funding is expected to result in the phase-out of 456,747 ODP tonnes of ODS consumption and production.

**Table 1: Approved funding by sector and agency as at 31 December 2013**

Sector	Approved funding (US \$)					
	UNDP	UNEP	UNIDO	World Bank	Bilateral	Total
Aerosol	26,689,855	882,689	34,374,374	23,058,919	2,111,400	87,117,237
Destruction	2,219,129	232,200	4,874,743	400,000	1,545,000	9,271,072
Foam	172,150,585	0	87,609,088	134,961,693	7,958,002	402,679,368
Halon	4,998,776	780,640	1,810,064	70,221,038	6,147,361	83,957,879
Fumigants	20,084,940	2,685,504	77,367,662	7,093,426	18,173,917	125,405,448
Multiple sectors	40,000	0	139,978	2,341,168	0	2,521,146
Other	0	0	11,317,246	5,059,360	0	16,376,606
Phase-out plan	184,142,724	35,952,562	196,400,866	164,308,180	44,327,769	625,132,101
Process agent	1,286,923	0	6,337,359	114,342,497	0	121,966,779
Production	0	0	48,733,342	289,532,020	10,000,000	348,265,362
Refrigeration	134,194,317	13,429,090	177,269,015	181,049,335	39,807,586	545,749,344
Several	47,446,638	174,668,169	10,904,230	27,268,921	4,412,914	264,700,872
Solvents	63,554,923	198,860	23,268,479	10,147,394	2,512,738	99,682,394
Sterilant	417,628	0	0	661,227	0	1,078,855
<b>Sub-total</b>	<b>657,226,438</b>	<b>228,829,714</b>	<b>680,406,446</b>	<b>1,030,445,178</b>	<b>136,996,688</b>	<b>2,733,904,464</b>
Administrative costs	88,751,645	19,926,001	86,230,600	101,914,004	12,539,532	309,361,783
<b>GRAND TOTAL</b>	<b>745,978,083</b>	<b>248,755,715</b>	<b>766,637,046</b>	<b>1,132,359,183</b>	<b>149,536,220</b>	<b>3,043,266,246</b>

4. A summary of the status of projects, by category, is presented in Table 2. Of the 6,956 projects approved, 2,713 (about 39 per cent) are classified as investment projects. Investment projects represent around US \$2.23 billion of the US \$2.73 billion approved (82 per cent of the total funds approved). The second largest number of projects, in terms of approved funding, is classified as technical assistance, for which the Committee has approved a total of over US \$237.43 million. By the end of 2013, 100 per cent of country programme preparation projects had been completed; 97 per cent of the funds allocated for project preparation activities had been disbursed; 90 per cent of investment projects had been completed; 73 per cent of demonstration projects had been completed; and 86 per cent of approved funds had been disbursed for IS projects.

<sup>4</sup> An additional US \$108.5 million has been approved for the meetings of the Executive Committee, the operation of the Secretariat, and the Treasurer fees.

**Table 2: Status of project implementation by project type**

Type	Number of projects*			Funding (US \$)**			
	Approved	Completed	% completed	Approved	Disbursed	Balance	% disbursed
Country programme	165	165	100	7,266,559	7,266,559	0	100
Demonstration	114	83	73	54,801,873	39,870,045	14,931,828	73
IS	929	766	82	102,017,103	88,203,695	13,813,408	86
Investment	2,713	2,434	90	2,230,950,243	2,007,842,693	223,107,550	90
Project preparation	1,471	1,443	98	75,703,680	73,431,814	2,271,867	97
Technical assistance	1,240	1,046	84	237,426,770	207,887,035	29,539,735	88
Training	324	324	100	25,738,235	25,813,232	-74,997	100
<b>Grand Total</b>	<b>6,956</b>	<b>6,261</b>	<b>90</b>	<b>2,733,904,464</b>	<b>2,450,315,073</b>	<b>283,589,390</b>	<b>90</b>

\*Excludes closed and transferred projects.

\*\* Excludes agency fees/administrative costs.

5. Table 3 presents an overview of status of project implementation by year<sup>5</sup>. Of the US \$2.73 billion in approved funding (including adjustments), the agencies (including bilateral agencies) have disbursed around US \$2.45 billion. In 2013, US \$164.63 million was disbursed. Projects approved by the Executive Committee have thus far resulted in the permanent annual phase-out of 453,771 ODP tonnes of consumption and production of which 449,044 ODP tonnes is from completed projects and 4,727 ODP tonnes is from ongoing projects. All projects and activities approved between 1991 and the end of 2003 (with the exception of 2002) have now been completed, with the exception of an IS project in Uganda for which UNEP has submitted a request for cancellation.

**Table 3: Status of project implementation by year**

Year	Number of projects*			Funding (US \$)**			
	Approved	Completed	% completed	Approved	Disbursed	Balance	% disbursed
1991	68	68	100	7,950,771	7,950,771	0	100
1992	176	176	100	41,261,376	41,261,376	0	100
1993	217	217	100	73,198,586	73,198,587	0	100
1994	378	377	100	128,665,031	128,630,291	34,740	100
1995	355	355	100	111,188,309	111,188,308	0	100
1996	257	257	100	83,797,865	83,797,866	-1	100
1997	532	532	100	154,314,939	154,314,942	-3	100
1998	422	422	100	99,680,368	99,680,367	1	100
1999	546	545	100	152,705,181	152,700,586	4,595	100
2000	426	424	100	109,548,829	109,449,432	99,397	100
2001	427	427	100	130,138,759	130,138,758	2	100
2002	358	355	99	162,069,639	161,941,867	127,772	100
2003	247	247	100	157,058,729	156,971,895	86,834	100
2004	271	269	99	162,447,651	162,283,104	164,547	100
2005	255	249	98	184,234,635	177,084,565	7,150,070	96
2006	261	254	97	122,376,060	118,971,732	3,404,328	97
2007	213	203	95	121,123,258	118,294,822	2,828,436	98
2008	355	331	93	124,478,384	117,348,272	7,130,112	94

<sup>5</sup> The data is presented according to the year when a project was approved by the Executive Committee. It treats all approvals (investment and non-investment projects) equally (i.e., an investment project or annual funding tranche of an MYA of US \$1 million is considered one project as is a country programme preparation of US \$30,000). Key indicators from the annual summary are: the percentage of projects completed, ODP phased out, and percentage of funds disbursed. In reviewing the data on funds disbursed, it should be noted that there are three types of disbursements: during implementation, after implementation and for retroactively-financed projects.

Year	Number of projects*			Funding (US \$)**			
	Approved	Completed	% completed	Approved	Disbursed	Balance	% disbursed
2009	323	285	88	78,369,673	69,086,927	9,282,747	88
2010	229	167	73	86,885,172	70,020,037	16,865,136	81
2011	266	94	35	207,442,720	160,837,891	46,604,829	78
2012	186	6	3	106,300,584	38,982,835	67,317,749	37
2013	188	1	1	128,667,945	6,179,844	122,488,101	5
<b>Total</b>	<b>6,956</b>	<b>6,261</b>	<b>90</b>	<b>2,733,904,464</b>	<b>2,450,315,073</b>	<b>283,589,390</b>	<b>90</b>

\*Excludes closed and transferred projects.

\*\* Excludes agency fees/administrative costs.

## Part II: Project implementation progress in 2013

6. The Secretariat reviewed the status of implementation on a country-by-country basis taking into account implementation delays that have occurred with respect to planned completion dates that had been reported in 2013, the potential impact of these delays on phase-out and the rate of planned disbursements. Based on planned completion dates reported in the 2012 progress reports, and the results reported in the 2013 progress reports, the agencies completed 53 per cent of the projects they had planned to complete in 2013 and met 49 per cent of the phase-out target planned. The agencies had planned disbursements in 151 countries or regions, and achieved an overall rate of disbursement of 120 per cent in 2013. On a country basis, 52 countries or regions had a disbursement rate of over 85 per cent while 42 countries or regions had a disbursement rate of below 50 per cent planned in 2013.

7. The consolidated progress report summarises progress and financial information on the following ongoing projects<sup>6</sup>:

- (a) CFC- and CTC-related projects including, terminal phase-out management plans (TPMPs), national phase-out plans (NPPs), and refrigerant management plans (RMPs);
- (b) Projects for the phase-out of consumption and production of MB;
- (c) Other non-HCFC projects (metered-dose inhalers (MDIs), ODS disposal, chillers and halon banking);
- (d) Projects related to the phase-out of HCFCs, including project preparation, demonstration projects, HPMPs, and HPPMPs;
- (e) IS projects; and
- (f) Administrative costs.

### CFC- and CTC-related activities

8. As of 31 December 2013, a total of 19 MYAs for the phase-out of CFC and CTC were still ongoing. All phase-out activities associated with 124 MYAs have been completed, pending only the

<sup>6</sup> Ongoing projects are all projects that have been approved by the Executive Committee and were under implementation as of 31 December 2013. Key indicators of progress include: percentage of funds disbursed and percentage of projects that have begun disbursing funds; funding expected to be disbursed by the end of the year (funds disbursed plus estimated disbursements in 2013) as a percentage of the approved funding; the average length of projected delay in implementation (project completion per proposal and currently planned date of completion); and information provided in the Remarks column in the databases.

financial closure and the submission of project completion reports, where applicable. The ongoing CFC- and CTC-related MYA activities are indicated in Table 4.

**Table 4: Ongoing CFC- and CTC-related MYA activities**

Agreement	Approved funding plus adjustments (US \$)	Funds disbursed (US \$)	Balance (US \$)	% disbursed	Planned date of completion*
Argentina CFC phase-out	7,360,850	5,741,666	1,619,184	78	Dec-14
Bahrain CFC phase-out	617,500	385,654	231,846	62	Dec-14
Cote d'Ivoire CFC phase-out	565,000	553,555	11,445	98	Mar-14
Eritrea ODS phase-out	344,999	252,169	92,830	73	Jul-15
Haiti CFC phase-out	275,000	82,351	192,649	30	Dec-14
India accelerated CFC production phase-out	3,169,900	2,113,000	1,056,900	67	Mar-14
India CTC phase-out	52,000,000	49,632,207	2,367,793	95	Dec-14
Iraq ODS phase-out	6,297,530	3,427,753	2,869,777	54	Dec-15
Kuwait ODS phase-out	459,473	221,230	238,243	48	Jun-15
Maldives CFC phase-out	180,000	180,000	0	100	Jul-14
Mauritania CFC phase-out	290,034	231,034	59,000	80	Dec-14
Peru CFC phase-out	261,000	197,642	63,358	76	Mar-14
Philippines (the) CFC phase-out	9,384,143	8,941,417	442,726	95	Mar-14
Qatar CFC phase-out	432,500	322,392	110,108	75	Dec-14
Saint Kitts and Nevis CFC phase-out	235,000	180,000	55,000	77	Jan-14
Saudi Arabia ODS phase-out	1,835,000	1,637,683	197,317	89	Dec-14
Serbia CFC phase-out	2,707,570	1,565,045	1,142,525	58	Jun-14
Tunisia ODS phase-out	1,135,395	429,880	705,515	38	Mar-14
Yemen ODS phase-out	1,825,500	1,340,802	484,698	73	Jun-15

\* MYAs may include more than one tranche with different approved completion dates. The approved date of completion indicated in this Table is for the last tranche that will be implemented for an MYA. A new completion date has been suggested by the implementing agencies for several tranches/projects. This issue is addressed in Table 6.

#### RMPs

9. The Executive Committee has approved 414 RMP projects including one investment, 257 technical assistance and 156 training projects in 111, one region (Asia and the Pacific), and one global. So far, 410 projects have been completed. Four technical assistance projects are still under implementation.

#### Projects for the phase-out of consumption and production of MB

10. One-hundred Article 5 countries have received support from the Multilateral Fund for MB phase-out activities and/or projects. As of 31 December 2013, a total of nine MYAs for the phase-out of the consumption of MB in nine Article 5 countries and one MYA for the phase-out of the production of MB in one Article 5 country (China) were still ongoing. At the 71<sup>st</sup> and 72<sup>nd</sup> meetings, funding for the preparation of MB phase-out projects was approved for three Article 5 countries (Algeria, Sudan (the) and Tunisia). Sudan (the) and Tunisia submitted their projects to the 73<sup>rd</sup> meeting as planned.

**Table 5: Ongoing MB MYA activities**

Agreement	Approved funding plus adjustments (US \$)	Funds disbursed (US \$)	Balance (US \$)	% disbursed	Planned date of completion
<b>Consumption</b>					
Chile	1,730,917	1,171,632	559,285	68	Dec-14
China	14,486,599	13,811,597	675,002	95	Dec-15
Costa Rica	4,845,283	4,669,027	176,256	96	Jun-14

Agreement	Approved funding plus adjustments (US \$)	Funds disbursed (US \$)	Balance (US \$)	% disbursed	Planned date of completion
Guatemala	2,313,047	1,257,741	1,055,306	54	Jun-15
Libya	1,243,000	963,112	279,888	77	Dec-14
Mexico	9,222,340	7,740,728	1,481,612	84	Mar-15
Morocco	1,437,594	1,360,168	77,426	95	Jun-14
Viet Nam	1,058,284	1,037,698	20,586	98	Nov-15
Yemen	601,450	456,367	145,083	76	Dec-14
<b>Production</b>					
China	8,000,000	6,842,154	1,157,846	86	Dec-18*

\* Planned completion date reported in the submission to the 73<sup>rd</sup> meeting.

11. There are 12 ongoing individual projects addressing MB phase-out in nine countries and two regions including one demonstration, seven investment and four technical assistance projects. Nine of these projects have planned completion dates in 2014 and three in 2015.

#### MDIs, ODS disposal, chillers and halon banking

##### *MDI projects*

12. The Executive Committee has approved 23 MDI projects amounting to US \$49.06 million including 12 investment and 11 technical assistance projects. Sixteen projects have been completed and the remaining seven projects are under implementation (including six investment and one technical assistance projects). The MDI and pharmaceutical aerosol investment projects in China (CPR/ARS/56/INV/473) and Egypt (EGY/ARS/50/INV/92) are planned for completion in 2015.

##### *ODS disposal*

13. The Executive Committee has approved 33 ODS disposal projects including 12 demonstration projects, three technical assistance projects and 18 project preparation activities in 15 countries, three regions (Africa, Europe and Central Asia, and Asia and the Pacific), and one global. So far, 12 projects have been completed including one technical assistance and 11 preparation projects, leaving 12 demonstration projects, two technical assistance projects and seven project preparation activities under implementation.

##### *Chiller projects*

14. The Executive Committee has approved 20 chiller projects amounting to US \$12.95 million. Thirteen chiller projects have been completed (four demonstration, eight investment and one technical assistance projects) and seven demonstration projects are under implementation. Three of these 20 chiller projects are recommended for additional status reports to the 74<sup>th</sup> meeting.

##### *Halon banking*

15. The Executive Committee has approved 36 halon banking projects including 35 technical assistance and one training projects in 22 countries, three regions (Africa, Latin America and the Caribbean, and Asia and the Pacific), and one global. So far, 34 projects have been completed including 33 technical assistance and one training projects. The two technical assistance projects still under implementation are: Chile (CHI/HAL/51/TAS/164) and the Islamic Republic of Iran (IRA/HAL/63/TAS/198).

Projects related to the phase-out of HCFCs

*HPMP preparation*

16. The Executive Committee has approved US \$25.97 million for 294 project preparation activities in 145 Article 5 countries to address HCFC control measures. Implementation of these activities resulted in the approval of stage I of HPMPs in 139 Article 5 countries. Two-hundred-and-seventy-six project preparation activities have been completed. The remaining 18 projects are still under implementation and will be completed in 2014 and 2015.

17. The reasons for the delays in finalizing HPMP preparation in the six countries without an approved HPMP are presented in detail in the Secretariat's comments on each agency's progress report and are summarized below:

- (a) The HPMP for Botswana was completed but withdrawn since there is not yet an operational ODS import/export licensing system in the country;
- (b) The HPMPs for the Democratic People's Republic of Korea and Syrian Arab Republic have been submitted but deferred to a future meeting because of the political and/or security situation. Only the HPMP in the Democratic People's Republic of Korea has been resubmitted to the 73<sup>rd</sup> meeting;
- (c) The HPMP for Libya was submitted to the 72<sup>nd</sup> meeting (May 2014) but subsequently withdrawn since there is not yet an operational HCFC import/export licensing system in the country;
- (d) The HPMP for Mauritania has not been completed as the administrative stalemate has not been resolved; and
- (e) South Sudan has ratified all of the amendments to the Montreal Protocol. The country has a project approved at the 68<sup>th</sup> meeting for National Ozone Unit (NOU) start-up. UNEP submitted to the 70<sup>th</sup> meeting an HPMP preparation project without an established HCFC baseline for compliance. This issue has been addressed in UNEP's work programme amendments for 2013 (UNEP/OzL.Pro/ExCom/70/21).

*HCFC demonstration projects*

18. The Executive Committee has approved 17 HCFC demonstration projects including nine in the foam sector, six in the refrigeration sector and two in the solvent sector. Five foam and one refrigeration demonstration projects have been completed as of 31 December 2013; two additional demonstration projects have been reported completed at the 72<sup>nd</sup> meeting. Of the remaining nine projects that are still under implementation, eight are planned for completion in 2014 and one in 2015.

*HPMPs*

19. As of 31 December 2013, the Executive Committee has approved HPMP activities in 139 countries valued at US \$558.06 million in principle for which US \$394.4 million has been approved for tranche activities. The Executive Committee has also approved 37 HCFC individual projects including two investment and 34 technical assistance projects and one training activity. Eighteen projects have been completed. Of the remaining 19 projects still under implementation, 15 will be completed in 2014, two in 2015 and two in 2016. Except for the stand-alone project for Syrian Arab Republic, all other projects have been subsumed in the relevant HPMPs.



20. The 139 approved HPMPs address compliance with the 2015 and 2020 targets, and the complete phase-out of HCFCs in 2040 as follows:

- (a) Twenty-seven countries (7 low-volume-consuming (LVC) and 20 non-LVC countries) address compliance for the period 2011 to 2015;
- (b) One-hundred-and-three countries (58 LVC and 33 non-LVC countries, plus the 12 Pacific Island Countries (PICs)) address compliance for 2011 to 2020;
- (c) Nine LVC countries (Bhutan, Cambodia, Croatia, Maldives, Mauritius, Namibia, Papua New Guinea, Saint Vincent and the Grenadines, and Seychelles) have received funding for the complete phase-out of HCFCs well in advance of the 2040 phase-out, e.g. Croatia by 2014 and the others by 2020 or 2025.

21. Of the 139 HPMPs approved by the Executive Committee to-date, agreements have not been signed for Argentina, Bahrain, Barbados, Iraq, Myanmar, Peru, Qatar, Saint Kitts and Nevis, Thailand Venezuela (Bolivarian Republic of) and Yemen.

22. Annex II summarizes by country the total funding approved and fund disbursed (as of 31 December 2013) for HPMP phase-out activities.

#### *HPPMP*

23. As of 31 December 2013, the Executive Committee has approved the HPPMP for one Article 5 country (China). Of the US \$95 million approved in principle, US \$24 million has been approved as of December 2013. Over US \$5 million have been disbursed to final beneficiary enterprises.

#### IS projects

24. IS projects that were approved in the amount of US \$18.71 million are ongoing in 138 countries. The Secretariat noted that the implementation of some IS projects have been impacted by a number of issues including: agreement signing, NOU changes, establishment of the licensing system, and opening of an account for the Ozone activities. The issues identified during the review of the IS projects are addressed in the individual agencies' progress reports.

#### Administrative costs

25. Of the net US \$ 2,733,904,464 approved for project implementation, US \$309,361,783 has been disbursed for administrative costs resulting in an overall administrative cost ratio of 11.32 per cent since 1991. In 2013, of the US \$128,667,945 approved, US \$14,682,701 has been disbursed for administrative costs resulting in an overall administrative cost ratio of 11.41 per cent.

### **Part III: Comments and recommendations of the Secretariat**

26. This part addresses common issues that have been identified during the review of the agencies' progress reports. Most of the issues are addressed in detail in the individual progress reports.

#### Comments

##### *Fund balances to be returned as per decisions by the Executive Committee*

27. At its 70<sup>th</sup> meeting, the Executive Committee requested bilateral and implementing agencies not to incur any new commitments and to return, by the end of 2013, the fund balances for a large number of

projects approved before 2009, for substances with 1 January 2010 phase-out<sup>7</sup> and for project preparation for approved HPMPs (decision 70/7(b)(ii) and (iii)). Subsequently, at the 71<sup>st</sup> meeting, the Executive Committee also requested that the relevant bilateral and implementing agencies should not incur any new commitments for several projects<sup>8</sup> after the final date of completion; return any unused balances from those projects as soon as possible but no later than the end of 2014; and submit project completion reports no later than the last meeting in 2014 (decision 71/11(b)).

28. Pursuant to the two above-mentioned decisions, bilateral and implementing agencies returned fund balances to the 71<sup>st</sup> meeting (decision 71/3), and to the 72<sup>nd</sup> meeting (decision 72/2) for several projects. Fund balances returned by bilateral and implementing agencies to the 73<sup>rd</sup> meeting are presented in the document on the report on balances and availability of resources (UNEP/OzL.Pro/ExCom/73/4).

29. The progress reports indicated that a number of agencies have extended project completion dates beyond the dates which had been agreed with respect to the decisions taken at the 70<sup>th</sup> and 71<sup>st</sup> meetings. Cases where projects were not completed and additional expenditures are expected are indicated in the individual progress reports. Table 6 presents those cases.

**Table 6: Projects with planned completion dates beyond those dates decided by the Executive Committee**

Code	Agency	Project title	2013PR - planned date of completion	Completion date per decision	Decision
BOL/FOA/57/PRP/34	UNDP	Preparation for HCFC phase-out investment activities (foam sector)	Dec-15	Dec-13	70/7(b)(iii)
BAH/PHA/50/TAS/17	UNEP	Terminal phase-out management plan (first tranche)	Dec-14	Dec-13	70/7(b)(ii)a.
BAH/PHA/59/TAS/21	UNEP	Terminal phase-out management plan (second tranche)	Dec-14	Mar-14	71/11(b)
ERI/PHA/54/TAS/04	UNEP	Terminal phase-out management plan for CFCs (first tranche)	Jul-15	Dec-13	70/7(b)(ii)a.
ERI/PHA/63/TAS/08	UNEP	Terminal phase-out management plan for CFCs (second tranche)	Mar-15	Dec-13	71/11(b)
GLO/SEV/66/TAS/314	UNEP	Development of a guide for sustainable refrigerated facilities and systems, in cooperation with the American Society of Heating, Refrigeration and Air-Conditioning Engineers (ASHRAE)	Sep-15	Apr-14	71/11(c)
IRQ/PHA/58/TAS/10	UNEP	National phase-out plan (first tranche)	Dec-15	Dec-14	71/11(b)
IRQ/PHA/63/TAS/14	UNEP	National phase-out plan (second tranche)	Dec-15	Dec-14	71/11(b)
KUW/PHA/52/TAS/10	UNEP	Terminal phase-out management plan for Annex A Group I substances (first tranche)	Jun-15	Dec-13	70/7(b)(ii)a.
MAU/PHA/57/TAS/21	UNEP	Terminal phase-out management plan for CFCs (second tranche)	Dec-14	Dec-13	71/11(b)
PHI/PHA/66/INV/94	UNEP	National CFC phase-out plan: Final implementation plan	Mar-14	Dec-13	70/7(b)(ii)a. and 71/8(b)
QAT/PHA/53/TAS/10	UNEP	Terminal phase-out management plan (first tranche)	Jul-14	Dec-13	70/7(b)(ii)a.
QAT/PHA/59/TAS/14	UNEP	Terminal phase-out management plan (second tranche)	Dec-14	Mar-14	71/11(b)
SAU/PHA/53/TAS/04	UNEP	National phase-out plan	Dec-14	Dec-13	70/7(b)(ii)a.
SAU/PHA/61/TAS/09	UNEP	National phase-out plan (second tranche)	Dec-14	Mar-14	71/11(b)
YEM/PHA/55/TAS/31	UNEP	National ODS phase-out plan (first tranche)	Dec-14	Dec-13	70/7(b)(ii)a.
YEM/PHA/60/TAS/35	UNEP	National ODS phase-out plan (second tranche)	Jun-15	Mar-14	71/11(b)
ARG/PHA/50/INV/150	UNIDO	National CFC phase-out plan: 2007 work programme	Dec-14	Dec-13	70/7(b)(ii)a.

<sup>7</sup> The list of projects is contained in Annex VII of document UNEP/OzL.Pro/ExCom/70/59.

<sup>8</sup> The list of projects is contained in Annex IV of document UNEP/OzL.Pro/ExCom/71/64.

Code	Agency	Project title	2013PR - planned date of completion	Completion date per decision	Decision
ARG/PHA/53/INV/152	UNIDO	National CFC phase-out plan: 2008 work programme	Dec-14	Dec-13	70/7(b)(ii)a.
URU/REF/60/PRP/55	UNIDO	Preparation for HCFC phase-out investment activities (refrigeration manufacturing sector)	Dec-14	Dec-13	70/7(b)(iii)

30. The Executive Committee may wish to consider whether the implementing agencies should be allowed to revise projects' planned completion dates beyond those dates decided by the Executive Committee except for Iraq and Yemen for which the Secretariat is recommending their extension as proposed in Table 6, due to the political and security situation within these countries.

*Accumulation of balances for projects with the X modality*

31. At its 70<sup>th</sup> meeting, the Executive Committee requested agencies *inter alia* to report in their annual progress and financial reports disbursement made from FECO/MEP to final beneficiaries (decision 70/20(b)). For the first time, the data on funds disbursed to FECO/MEP for onward disbursement to final beneficiaries was made available. It shows that the implementing agencies had transferred US \$142.5 million of the US \$193.9 million approved for HCFC activities in China to FECO/MEP as at 31 December 2013. Of the amount transferred to FECO/MEP, US \$45.2 million had been disbursed to final beneficiaries. In all projects, the disbursement to beneficiaries is above 20 per cent.

*Implementation of inter-sessional progress reports and the revised Remarks column*

32. Pursuant to decision 70/23(b)(viii)<sup>9</sup>, the implementing agencies submitted their progress reports on 1 May 2014 in accordance with the two-meeting schedule for 2014. The Secretariat reviewed the documents and provided comments to the implementing agencies by 30 May 2014 following the 72<sup>nd</sup> meeting. It was noted that implementing agencies had not implemented the decision requiring standard information in the Remarks column pursuant to decision 70/7(b)(i)<sup>10</sup>. Additional time was provided for agencies to make the modifications, which also allowed the Secretariat to update the said progress reports using the status and specific reports that were due to the 73<sup>rd</sup> meeting and to avoid duplications between the progress reports and the status reports and compliance document, where possible.

*Implementation of inter-sessional progress reports and additional status reports/reports on implementation delays*

33. The Secretariat reviewed the implementing agencies' progress reports and requested additional status reports to be presented to the 73<sup>rd</sup> meeting due to implementation issues. The Secretariat also reviewed the requests for status reports from the 72<sup>nd</sup> meeting to determine if progress reports' updated data had addressed the implementation issues raised at the 72<sup>nd</sup> meeting. The Secretariat identified two

<sup>9</sup> At the 70<sup>th</sup> meeting, the Executive Committee decided to convene two meetings of the Executive Committee in 2014 on a trial basis. With regard to progress and financial reports, it decided that bilateral and implementing agencies would be requested to continue submitting their annual progress and financial reports to the Secretariat by 1 May each year; the Secretariat would be requested to finalize the consolidated progress report and the relevant progress reports of the bilateral and implementing agencies and to post those documents on the Secretariat's intranet, once finalized, for consideration to the last meeting of the year [...].

<sup>10</sup> To confirm whether the activities reported for the review period represented all those planned for it, or systematically to provide for each project the list of activities planned but not implemented, the reasons for the delay in implementing the activities, the action plan for resolving the issues, and whether or not the delay in implementing specific activities would have an impact on the project completion date in the Remarks column of their annual progress and financial reports.

cases where the issues had been resolved and two cases where the issues has been partially resolved and, therefore, those projects/issues were removed from the list of additional status reports for the 73<sup>rd</sup> meeting. The Secretariat also identified 15 projects with implementation delays<sup>11</sup> that are subject to the Executive Committee's procedures for project cancellation. The total number of projects classified with implementation delays decreased in 2013 compared to 2012 (20 projects). Appendix I of Annex III presents those projects classified with implementation delays for which a report will be due at the 74<sup>th</sup> meeting.

### **Recommendation**

34. The Executive Committee may wish:

- (a) To note:
  - (i) The consolidated progress report of the Multilateral Fund as at 31 December 2013 as contained in document UNEP/OzL.Pro/ExCom/73/10;
  - (ii) With appreciation, the efforts undertaken by implementing agencies in reporting the 2013 activities and submitting reporting formats for a smooth implementation of decision 70/7(b)(i);
  - (iii) That the implementing agencies will report to the 74<sup>th</sup> meeting on 15 projects with implementation delays and 78 projects recommended for additional status reports as contained in Appendices I and II of Annex III of document UNEP/OzL.Pro/ExCom/73/10; and
- (b) To consider whether the implementing agencies should be allowed to revise projects' planned completion dates beyond those dates decided by the Executive Committee except for Iraq and Yemen due to the political and security situation within these countries.

-----

---

<sup>11</sup> Projects with implementation delays are projects approved over 18 months with disbursement less than one per cent, or projects that are expected to be completed 12 months later than forecast in the last progress report (decision 22/61). Pursuant to decision 36/14, the following types of projects are no longer so classified: institutional strengthening, halon banking, customs training, recovery and recycling, and demonstration projects; however, the Secretariat was requested to continue to monitor these types of projects, as appropriate.

Annex I

PROJECT IMPLEMENTATION IN 2013 BY COUNTRY

Country	Phased out in 2013	Percentage of planned phase-out achieved in 2013	Estimated funds disbursed in 2013 (US\$)	Funds disbursed in 2013 (US\$)	Percentage of funds disbursed over estimation in 2013	Percentage of planned projects completed in 2013
Afghanistan	0.0		199,929	107,667	54%	50%
Albania	0.0		121,980	100,272	82%	50%
Algeria	0.0	0%	345,821	116,209	34%	50%
Angola	0.0		93,108	95,619	103%	100%
Antigua and Barbuda	0.0		116,326	59,495	51%	100%
Argentina	1,764.5	100%	4,418,378	7,148,234	162%	44%
Armenia	0.0		224,948	470,817	209%	100%
Bahamas (the)	0.0		91,065	166,201	183%	50%
Bahrain	0.0		476,396	6,485	1%	33%
Bangladesh	0.0	0%	740,656	552,344	75%	25%
Barbados	0.0		163,447	47,161	29%	100%
Belize	0.0		140,442	112,200	80%	100%
Benin	0.0		171,186	170,301	99%	67%
Bhutan	0.0		138,910	154,787	111%	100%
Bolivia (Plurinational State of)	0.0		167,511	88,009	53%	50%
Bosnia and Herzegovina	0.0	0%	398,000	150,353	38%	100%
Botswana	0.0		116,053	18,043	16%	0%
Brazil	0.0	0%	5,950,303	3,039,862	51%	80%
Brunei Darussalam	0.0		244,531	137,553	56%	0%
Burkina Faso	0.0		93,977	80,728	86%	50%
Burundi	0.0		196,000	139,118	71%	50%
Cambodia	0.0		134,707	145,168	108%	100%
Cameroon	7.2		310,775	548,271	176%	0%
Cabo Verde	0.0		97,744	74,482	76%	100%
Central African Republic (the)	0.0		233,619	37,620	16%	50%
Chad	0.0		198,916	170,677	86%	67%
Chile	0.1	0%	657,889	793,174	121%	67%
China	354.4	67%	43,530,484	82,533,307	190%	41%
Colombia	0.0		1,325,812	1,407,318	106%	67%
Comoros (the)	0.0		29,500	15,600	53%	50%
Congo (the)	0.0		185,486	178,758	96%	50%
Cook Islands (the)	0.0		90,375	36,000	40%	
Costa Rica	14.0	100%	414,477	702,214	169%	100%
Cote d'Ivoire	17.5	0%	356,354	93,628	26%	0%
Croatia	1.8	100%	162,557	175,525	108%	33%
Cuba	35.0		1,049,463	666,905	64%	100%
Democratic People's Republic of Korea (the)	0.0		307,550	33,858	11%	20%
Democratic Republic of the Congo (the)	0.0		74,507	123,840	166%	100%

Country	Phased out in 2013	Percentage of planned phase-out achieved in 2013	Estimated funds disbursed in 2013 (US\$)	Funds disbursed in 2013 (US\$)	Percentage of funds disbursed over estimation in 2013	Percentage of planned projects completed in 2013
Djibouti	3.2	100%	98,606	71,151	72%	100%
Dominica	0.0		226,687	140,677	62%	75%
Dominican Republic (the)	0.0		560,740	567,951	101%	100%
Ecuador	55.8		1,117,292	1,524,766	136%	60%
Egypt	21.4	39%	4,096,166	2,781,546	68%	33%
El Salvador	0.0		407,418	297,031	73%	
Equatorial Guinea	4.6	94%	157,154	56,055	36%	25%
Eritrea	0.0		291,500	51,039	18%	40%
Ethiopia	0.0		200,161	116,581	58%	50%
Fiji	0.0		105,454	61,198	58%	
Gabon	0.0		189,847	133,914	71%	50%
Gambia (the)	0.0	0%	88,044	90,189	102%	0%
Georgia	0.0		104,190	186,885	179%	100%
Ghana	0.0		185,475	158,167	85%	
Global	0.0		11,183,245	8,535,971	76%	80%
Grenada	0.0		172,869	67,905	39%	75%
Guatemala	1.7	3%	840,562	835,605	99%	50%
Guinea	0.0	0%	224,031	256,998	115%	67%
Guinea-Bissau	0.0	0%	116,164	96,313	83%	67%
Guyana	0.0		125,271	34,514	28%	0%
Haiti	0.0		233,162	17,408	7%	50%
Honduras	0.0		197,145	100,605	51%	100%
India	0.0	0%	7,036,846	6,205,769	88%	29%
Indonesia	2.1	3%	1,668,718	1,939,176	116%	14%
Iran (Islamic Republic of)	29.3	0%	4,471,330	4,049,733	91%	40%
Iraq	0.0	0%	2,296,424	723,433	32%	0%
Jamaica	0.0		165,003	161,360	98%	50%
Jordan	0.1	0%	1,191,300	748,280	63%	0%
Kenya	19.2	0%	347,251	396,738	114%	50%
Kiribati	0.0		54,000	38,076	71%	100%
Kuwait	0.0		918,795	26,047	3%	0%
Kyrgyzstan	0.0		133,155	69,848	52%	100%
Lao People's Democratic Republic (the)	0.0	0%	220,409	123,264	56%	0%
Lebanon	12.1	100%	266,822	911,045	341%	100%
Lesotho	0.0		100,919	52,819	52%	
Liberia	0.0		54,500	83,607	153%	100%
Libya	61.4	61%	313,000	298,622	95%	29%
Madagascar	0.0		102,750	65,500	64%	50%
Malawi	0.0		56,549	45,549	81%	100%
Malaysia	53.8		2,033,971	4,535,778	223%	0%
Maldives	0.0		447,450	375,351	84%	50%
Mali	0.0		50,494	47,825	95%	100%
Marshall Islands (the)	0.0		57,454	43,477	76%	100%

Country	Phased out in 2013	Percentage of planned phase-out achieved in 2013	Estimated funds disbursed in 2013 (US\$)	Funds disbursed in 2013 (US\$)	Percentage of funds disbursed over estimation in 2013	Percentage of planned projects completed in 2013
Mauritania	0.0		192,045	12,158	6%	0%
Mauritius	0.0		110,500	77,808	70%	0%
Mexico	0.1	0%	4,894,761	6,545,997	134%	71%
Micronesia (Federated States of)	0.0		87,807	38,394	44%	
Mongolia	0.0		59,205	46,182	78%	100%
Montenegro	0.0		70,000	85,209	122%	100%
Morocco	105.9	100%	740,202	414,604	56%	17%
Mozambique	0.0	0%	151,087	56,104	37%	33%
Myanmar	0.0		221,961	27,310	12%	0%
Namibia	0.0		160,000	149,293	93%	100%
Nauru	0.0		88,000	34,501	39%	
Nepal	0.0		186,540	58,720	31%	50%
Nicaragua	0.0		160,534	90,509	56%	
Niger (the)	0.0		101,214	79,144	78%	100%
Nigeria	0.0		654,380	583,978	89%	50%
Niue	0.0		58,000	36,688	63%	100%
Oman	0.0	0%	236,991	112,142	47%	50%
Pakistan	0.4	1%	683,699	1,934,704	283%	50%
Palau	0.0		61,973	45,643	74%	100%
Panama	0.0		208,065	119,219	57%	
Papua New Guinea	0.0		120,000	64,809	54%	
Paraguay	0.0	0%	304,762	165,554	54%	50%
Peru	15.0	100%	395,582	28,684	7%	25%
Philippines (the)	0.0		3,080,923	1,389,132	45%	67%
Qatar	15.0	92%	336,002	139,149	41%	40%
Region: AFR	0.0		483,032	172,426	36%	0%
Region: ASP	0.0		130,480	189,730	145%	
Region: EUR	0.0		92,613	22,559	24%	100%
Region: LAC	0.0		94,872	40,763	43%	0%
Republic of Moldova (the)	0.0		91,968	75,893	83%	
Romania	0.0		1	3	261%	
Rwanda	0.0		118,285	78,577	66%	67%
Saint Kitts and Nevis	0.0		240,649	134,853	56%	100%
Saint Lucia	0.0		175,682	139,060	79%	50%
Saint Vincent and the Grenadines	0.0		128,417	186,485	145%	100%
Samoa	0.0		71,458	46,246	65%	100%
Sao Tome and Principe	0.0		75,992	28,670	38%	50%
Saudi Arabia	551.7	100%	1,199,645	439,114	37%	60%
Senegal	0.0		194,044	194,910	100%	100%
Serbia	0.1	0%	516,174	131,801	26%	50%
Seychelles	0.0		138,953	105,045	76%	50%
Sierra Leone	0.0	0%	113,963	130,025	114%	25%

Country	Phased out in 2013	Percentage of planned phase-out achieved in 2013	Estimated funds disbursed in 2013 (US\$)	Funds disbursed in 2013 (US\$)	Percentage of funds disbursed over estimation in 2013	Percentage of planned projects completed in 2013
Solomon Islands	0.0		77,511	49,693	64%	100%
Somalia	0.0		131,902	41,707	32%	
South Africa	0.0		635,000	309,382	49%	
South Sudan	0.0		40,000	25,000	63%	
Sri Lanka	0.0		325,216	269,159	83%	
Sudan (the)	0.0	0%	167,224	1,354,566	810%	50%
Suriname	0.0	0%	152,863	169,594	111%	33%
Swaziland	4.2	100%	191,526	225,648	118%	80%
Syrian Arab Republic	0.0		359,157	295,792	82%	50%
Thailand	73.4	100%	2,538,556	1,224,703	48%	100%
The former Yugoslav Republic of Macedonia	0.0		161,432	174,321	108%	67%
Timor-Leste	0.0		91,081	51,153	56%	33%
Togo	0.0		162,919	41,585	26%	50%
Tonga	0.0		40,987	23,306	57%	100%
Trinidad and Tobago	0.0	0%	303,067	119,194	39%	50%
Tunisia	0.0	0%	247,000	18,583	8%	0%
Turkey	0.0		2,510,763	5,082,902	202%	0%
Turkmenistan	2.2	100%	152,000	115,676	76%	50%
Tuvalu	0.0		69,093	24,058	35%	100%
Uganda	0.0		108,489	0	0%	50%
United Republic of Tanzania (the)	0.0		214,372	175,201	82%	100%
Uruguay	0.0		140,788	261,723	186%	100%
Vanuatu	0.0		84,987	57,931	68%	100%
Venezuela (Bolivarian Republic of)	0.0		568,610	773,580	136%	25%
Viet Nam	10.0	18%	1,748,659	783,912	45%	50%
Yemen	5.4	58%	1,085,490	136,772	13%	40%
Zambia	0.0		246,938	148,315	60%	75%
Zimbabwe	0.0	0%	410,184	402,705	98%	33%
<b>Grand Total</b>	<b>3,242.8</b>	<b>49%</b>	<b>136,873,780</b>	<b>164,629,527</b>	<b>120%</b>	<b>53%</b>



Annex II

HCFC PHASE-OUT MANAGEMENT PLAN (HPMP) BY COUNTRY  
AS AT 31 DECEMBER 2013

Country	Approved phase-out (ODP tonnes)	Funds approved (US\$)	Funds disbursed (US\$)	Balance (US\$)	Funds disbursed (%)
Afghanistan	0.0	205,000	112,738	92,262	55%
Albania	0.6	182,000	61,595	120,405	34%
Algeria	13.5	1,809,240	23,947	1,785,293	1%
Angola	0.0	86,222	39,459	46,763	46%
Antigua and Barbuda	0.0	45,850	27,235	18,615	59%
Argentina	79.1	10,335,542	6,352,295	3,983,247	61%
Armenia	2.2	594,353	545,857	48,496	92%
Bahamas (the)	0.4	183,342	111,303	72,039	61%
Bahrain	3.7	669,455	6,485	662,970	1%
Bangladesh	20.8	1,431,074	928,568	502,506	65%
Barbados	0.0	124,000	0	124,000	0%
Belize	0.0	140,000	80,000	60,000	57%
Benin	2.4	310,000	164,743	145,257	53%
Bhutan	0.0	282,000	152,994	129,006	54%
Bolivia (Plurinational State of)	0.0	94,500	53,256	41,244	56%
Bosnia and Herzegovina	5.3	631,282	21,077	610,205	3%
Brazil	63.5	11,538,075	2,169,043	9,369,032	19%
Brunei Darussalam	0.6	175,800	74,322	101,478	42%
Burkina Faso	2.0	390,000	256,165	133,835	66%
Burundi	0.4	125,000	92,122	32,878	74%
Cambodia	1.5	650,000	304,211	345,789	47%
Cameroon	24.1	1,064,453	595,331	469,122	56%
Cabo Verde	0.0	79,000	40,290	38,710	51%
Central African Republic (the)	0.5	200,000	64,001	135,999	32%
Chad	1.6	305,000	214,600	90,400	70%
Chile	14.7	1,196,267	125,619	1,070,648	11%
China	960.2	196,505,000	130,971,052	65,533,948	67%
Colombia	65.2	6,671,483	6,294,335	377,148	94%
Comoros (the)	0.0	79,000	44,000	35,000	56%
Congo (the)	0.4	175,000	138,571	36,429	79%
Cook Islands (the)	0.0	49,250	30,875	18,375	63%
Costa Rica	14.0	929,523	680,568	248,955	73%
Cote d'Ivoire	3.3	710,000	95,118	614,882	13%
Croatia	8.1	812,162	435,340	376,822	54%
Cuba	15.1	1,287,527	42,599	1,244,928	3%
Democratic Republic of the Congo (the)	5.8	427,500	194,693	232,807	46%
Djibouti	0.0	81,000	76,000	5,000	94%
Dominica	0.0	82,250	6,966	75,284	8%
Dominican Republic (the)	12.5	1,526,225	709,042	817,183	46%
Ecuador	17.2	1,668,440	1,368,772	299,668	82%

Country	Approved phase-out (ODP tonnes)	Funds approved (US\$)	Funds disbursed (US\$)	Balance (US\$)	Funds disbursed (%)
Egypt	166.1	7,571,840	2,656,307	4,915,533	35%
El Salvador	6.5	696,849	268,392	428,457	39%
Equatorial Guinea	0.3	115,000	85,179	29,822	74%
Eritrea	0.0	80,000	0	80,000	0%
Ethiopia	0.5	155,000	55,000	100,000	35%
Fiji	0.0	119,700	103,623	16,077	87%
Gabon	0.0	220,000	124,893	95,107	57%
Gambia (the)	0.2	98,000	62,259	35,741	64%
Georgia	0.7	200,000	135,819	64,181	68%
Ghana	0.0	530,000	326,428	203,572	62%
Grenada	0.0	105,000	47,745	57,255	45%
Guatemala	2.3	244,262	184,112	60,150	75%
Guinea	1.2	245,000	233,838	11,162	95%
Guinea-Bissau	0.1	127,000	73,435	53,565	58%
Guyana	0.0	59,000	26,296	32,704	45%
Haiti	0.0	40,000	0	40,000	0%
Honduras	2.0	315,000	140,655	174,345	45%
India	266.3	19,570,400	6,157,756	13,412,644	31%
Indonesia	122.7	11,572,962	1,742,835	9,830,127	15%
Iran (Islamic Republic of)	108.2	8,601,233	4,444,562	4,156,671	52%
Iraq	0.0	195,000	0	195,000	0%
Jamaica	8.5	375,450	173,836	201,614	46%
Jordan	15.9	3,433,633	1,506,825	1,926,808	44%
Kenya	3.1	257,500	219,761	37,739	85%
Kiribati	0.0	53,250	37,326	15,924	70%
Kuwait	60.7	3,814,450	14,089	3,800,361	0%
Kyrgyzstan	0.0	79,200	68,869	10,331	87%
Lao People's Democratic Republic (the)	0.0	113,625	57,314	56,311	50%
Lebanon	12.1	2,245,589	1,729,973	515,616	77%
Lesotho	0.0	100,000	75,648	24,352	76%
Liberia	0.6	157,500	127,981	29,519	81%
Madagascar	0.9	280,000	169,912	110,088	61%
Malawi	0.7	175,000	108,425	66,575	62%
Malaysia	92.7	8,628,723	4,838,022	3,790,701	56%
Maldives	0.0	949,340	528,154	421,186	56%
Mali	0.8	280,000	212,401	67,599	76%
Marshall Islands (the)	0.0	54,000	40,023	13,977	74%
Mauritius	0.0	157,050	154,725	2,325	99%
Mexico	387.0	16,597,391	6,496,231	10,101,160	39%
Micronesia (Federated States of)	0.0	53,875	40,462	13,413	75%
Mongolia	0.6	260,000	165,000	95,000	63%
Montenegro	0.1	255,000	135,922	119,078	53%
Morocco	11.0	1,251,740	927,781	323,959	74%
Mozambique	0.3	115,000	4	114,996	0%
Myanmar	0.4	159,000	0	159,000	0%

Country	Approved phase-out (ODP tonnes)	Funds approved (US\$)	Funds disbursed (US\$)	Balance (US\$)	Funds disbursed (%)
Namibia	5.5	540,000	211,330	328,670	39%
Nauru	0.0	45,625	28,126	17,499	62%
Nepal	0.3	105,000	25,233	79,767	24%
Nicaragua	0.7	134,500	45,212	89,289	34%
Niger (the)	2.7	275,000	123,169	151,831	45%
Nigeria	0.0	3,941,119	1,513,228	2,427,891	38%
Niue	0.0	45,625	24,313	21,312	53%
Oman	5.3	379,120	75,663	303,457	20%
Pakistan	71.6	5,388,849	4,509,154	879,695	84%
Palau	0.0	62,375	46,045	16,330	74%
Panama	2.5	295,280	83,392	211,888	28%
Papua New Guinea	0.8	690,000	125,825	564,175	18%
Paraguay	1.8	315,000	160,039	154,961	51%
Peru	0.0	133,000	0	133,000	0%
Philippines (the)	40.0	3,372,221	1,429,165	1,943,056	42%
Qatar	22.0	1,150,907	20,983	1,129,924	2%
Region: PIC	0.0	134,000	120,225	13,775	90%
Republic of Moldova (the)	0.0	79,200	60,819	18,381	77%
Rwanda	0.4	137,000	65,121	71,879	48%
Saint Kitts and Nevis	0.2	98,400	44,536	53,864	45%
Saint Lucia	0.1	126,000	97,521	28,479	77%
Saint Vincent and the Grenadines	0.2	179,924	160,553	19,371	89%
Samoa	0.0	76,250	60,878	15,372	80%
Sao Tome and Principe	0.0	79,000	39,012	39,988	49%
Saudi Arabia	107.1	4,398,901	63,120	4,335,781	1%
Senegal	3.6	300,000	182,104	117,896	61%
Serbia	5.2	857,760	171,797	685,963	20%
Seychelles	0.8	360,000	179,592	180,408	50%
Sierra Leone	0.2	98,000	67,620	30,380	69%
Solomon Islands	0.0	110,250	82,432	27,818	75%
Somalia	0.5	173,874	9,158	164,716	5%
South Africa	66.8	4,552,849	296,492	4,256,357	7%
Sri Lanka	1.3	389,000	213,755	175,245	55%
Sudan (the)	11.9	1,306,341	1,214,553	91,788	93%
Suriname	0.1	95,000	57,178	37,822	60%
Swaziland	7.9	797,948	732,803	65,145	92%
Thailand	50.3	5,120,131	0	5,120,131	0%
The former Yugoslav Republic of Macedonia	1.6	564,955	300,485	264,470	53%
Timor-Leste	0.0	244,620	105,153	139,467	43%
Togo	0.9	315,000	190,598	124,402	61%
Tonga	0.0	64,750	47,069	17,681	73%
Trinidad and Tobago	6.7	757,900	88,325	669,575	12%
Turkey	240.5	8,624,690	4,980,686	3,644,004	58%
Turkmenistan	0.7	403,550	102,922	300,628	26%
Tuvalu	0.0	47,875	38,840	9,035	81%

Country	Approved phase-out (ODP tonnes)	Funds approved (US\$)	Funds disbursed (US\$)	Balance (US\$)	Funds disbursed (%)
Uganda	0.0	80,500	0	80,500	0%
United Republic of Tanzania (the)	0.2	105,000	82,700	22,300	79%
Uruguay	0.0	315,000	151,725	163,275	48%
Vanuatu	0.0	76,250	61,194	15,056	80%
Venezuela (Bolivarian Republic of)	4.3	1,705,500	864,228	841,272	51%
Viet Nam	134.1	8,717,439	716,531	8,000,908	8%
Yemen	11.6	625,000	11	624,989	0%
Zambia	0.7	150,000	96,043	53,957	64%
Zimbabwe	10.0	702,818	369,411	333,407	53%
<b>Grand Total</b>	<b>3,432.3</b>	<b>394,403,673</b>	<b>208,939,072</b>	<b>185,464,601</b>	<b>53%</b>

**Annex III**

**ANALYSIS OF PROGRESS REPORT DATA**

1. This analysis provides an overview of projects that have been completed, projects that were still ongoing, and projects that have been closed or transferred as of 31 December 2013.

**COMPLETED PROJECTS**

2. Completed projects/activities are defined in decisions 17/22 and 19/23 as projects that have been commissioned and where the ODS addressed has been phased out. A further decision (decision 28/2) extends the definition to cover situations where no further use of CFCs in the sectors covered is in evidence, where an alternative product is being produced (and/or production has begun), and where the equipment using CFCs has been destroyed/dismantled/rendered unusable with respect to ODSs. Work programme activities without an ODS phase-out are considered completed when the activity is finished (e.g., for a workshop, when it has been conducted).

3. Overall, 99 per cent of the funds approved for completed investment projects have been disbursed. The reason that 100 per cent of funds have not been disbursed for completed projects is that it may take from six months to one year to finalise accounting records.

**Completed investment projects**

4. Table 1 presents information on investment projects that have been completed since 1991. The table presents the data in total, followed by data according to region, sector, implementation characteristics, and disbursement method.

**Table 1: Cumulative completed investment projects**

Item	Number of projects	Approved funds plus adjustment (US \$)	Percent of funds disbursed	Consumption ODP phased out**	Production ODP phased out**	Average number of months from approval to first disbursement	Average number of months from approval to completion	Overall cost-effectiveness to the Fund (US\$/kg.)
<b>GRAND TOTAL</b>	<b>2,434</b>	<b>1,783,351,561</b>	<b>99%</b>	<b>243,150</b>	<b>191,919</b>	<b>15</b>	<b>35</b>	<b>\$4.10</b>
<b>Region</b>								
Africa	379	130,472,072	98%	17,210	0	12	36	\$7.58
Asia & Pacific	1,369	1,277,978,063	100%	187,810	172,243	16	35	\$3.55
Europe	116	59,335,317	98%	7,415	175	10	31	\$7.82
Latin America and Caribbean	570	315,566,109	100%	30,715	19,501	15	35	\$6.28
Global	n/a	0	0%	0	0	n/a	n/a	n/a
<b>Sector</b>								
Aerosol	119	44,027,779	99%	25,564	0	16	39	\$1.72
Destruction	0	0	0%	0	0	n/a	n/a	n/a
Foam	986	354,534,394	100%	65,417	0	15	33	\$5.42
Fumigants	100	83,355,210	98%	6,245	0	10	45	\$13.35
Halon	39	71,476,177	100%	42,111	41,958	17	30	\$0.85
Multiple sectors*	4	2,287,376	100%	419	0	28	78	\$5.46
Other	8	16,059,360	100%	1,574	0	12	20	\$10.20
Phase-out plan	356	252,741,475	98%	44,291	10,988	12	35	\$4.57
Process agent	30	120,252,627	100%	6,090	49,344	10	27	\$2.17
Production	54	317,094,596	100%	0	89,629	9	15	\$3.54
Refrigeration	607	426,075,872	100%	44,095	0	16	39	\$9.66
Solvents	128	94,372,840	100%	7,285	0	19	32	\$12.95

Item	Number of projects	Approved funds plus adjustment (US \$)	Percent of funds disbursed	Consumption ODP phased out**	Production ODP phased out**	Average number of months from approval to first disbursement	Average number of months from approval to completion	Overall cost-effectiveness to the Fund (US\$/kg.)
Sterilant	3	1,073,855	100%	60	0	15	34	\$17.77
<b>Implementation characteristics</b>								
Agency implementation	1,541	658,119,376	99%	88,573	17,024	11	33	\$6.23
National implementation	893	1,125,232,185	100%	154,578	174,895	22	39	\$3.42
<b>Disbursement method</b>								
During Implementation	2,347	1,726,666,366	99%	238,538	191,419	15	36	\$4.02
After implementation	50	17,166,608	100%	2,512	0	23	25	\$6.83
Retroactive funding	36	14,138,587	100%	2,100	500	15	8	\$5.44
Country to final beneficiaries	1	25,380,000	100%	0	0	5	29	n/a
* No funds are listed for the multiple sector investment projects, but are recorded in appropriate sector. ** Total phased out for the Fund is 453,771 ODP tonnes includes 13,975 ODP tonnes from completed non-investment projects and 4,727 ODP tonnes from ongoing projects. Note: The sum of each section (Region, Sector, etc.) equals the Grand Total.								

5. As of 31 December 2013, investment projects representing around US \$1.78 billion have been completed, resulting in the permanent phase-out of 435,069 ODP tonnes<sup>12</sup> with an overall cost-effectiveness of US \$4.1/kg ODP phased out.

6. The average time from approval to first disbursement was 15 months and the average project duration was 35 months. Project duration is only slightly impacted by retroactive projects (i.e., projects funded after phase-out) since they represent only around 1.5 per cent of the total number of completed investment projects.

7. On a regional basis, 1,369 investment projects were completed in the Asia and Pacific region, 570 investment projects in Latin America and the Caribbean, 379 in Africa, and 116 in Europe.

### Completed non-investment projects

8. Table 2 presents data on cumulative completed non-investment projects. Since 1991, 2,384 non-investment projects have been completed, representing funding support from the Multilateral Fund of around US \$342.83 million. Ninety-nine (99) per cent of the funds for completed non-investment projects have been disbursed. Fifty-four (54) per cent of completed non-investment funding is in the sector identified as "several", which means those projects that have an impact on more than one sector (such as UNEP's clearinghouse and networking activities).

**Table 2: Cumulative completed non-investment projects**

Item	Number of projects	Approved funds plus adjustment (US \$)	Per Cent of funds disbursed	Average number of months from approval to first disbursement	Average number of months from approval to completion
<b>GRAND TOTAL</b>	<b>2,384</b>	<b>342,828,627</b>	<b>99%</b>	<b>11</b>	<b>36</b>
<b>Region</b>					
Africa	680	59,752,316	100%	11	37
Asia & Pacific	697	87,604,802	99%	13	38

<sup>12</sup> Total phased out for the Fund includes 435,069 ODP tonnes from completed investment projects, 13,975 ODP tonnes from completed non-investment projects and 4,727 from ongoing projects.

Item	Number of projects	Approved funds plus adjustment (US \$)	Per Cent of funds disbursed	Average number of months from approval to first disbursement	Average number of months from approval to completion
Europe	151	13,665,007	100%	10	34
Latin America and Caribbean	580	67,398,500	99%	12	41
Global	276	114,408,001	98%	6	22
<b>Sector</b>					
Aerosol	30	2,341,265	100%	11	35
Destruction	1	250,000	100%	17	22
Foam	24	5,293,809	99%	15	31
Fumigants	126	19,951,052	99%	9	38
Halon	80	10,825,153	100%	17	43
Multiple sectors	1	53,792	100%	56	58
Other	1	76,499	100%	7	38
Phase-out plan	206	19,408,995	97%	11	35
Process agent	0	0	0%	n/a	n/a
Production	1	40,000	100%	4	4
Refrigeration	606	68,219,134	99%	16	49
Several	1,276	213,527,804	99%	9	31
Solvents	32	2,841,123	100%	11	26
Sterilant	0	0	0%	n/a	n/a
<b>Implementation characteristics</b>					
Agency implementation	1,952	266,156,807	99%	10	35
National implementation	432	76,671,820	99%	15	42
<b>Disbursement method</b>					
During implementation	2,358	340,434,312	99%	11	37
After implementation	26	2,394,315	97%	14	21
Retroactive funding	0	0	0%	n/a	n/a
Country to final beneficiaries	0	0	0%	n/a	n/a

9. The average time from approval to first disbursement for non-investment projects is much shorter than for investment projects (11 versus 15 months). Non-investment projects have been completed, on average, 36 months after they were approved by the Executive Committee. This figure is influenced by the large number of projects (1,276 of 2,384) classified in the sector called “several”, which had an average time from approval to completion of 31 months. Non-investment projects in the other sectors (e.g., *inter alia*, solvent and multiple sectors) were completed between 4 and 58 months after they had been approved.

10. Almost all projects (1,952 of 2,384) were implemented by the agency concerned rather than through national implementation. Agency implementation of non-investment projects normally does not require legal agreements, which may explain the shorter period of time (35 months) compared with nationally-implemented non-investment projects (42 months).

11. Non-investment projects include work programme activities, excluding project preparation.

## ONGOING PROJECTS

### Ongoing investment projects

12. Table 3 presents information on the status of investment projects under implementation by region, sector, and implementation characteristics.

**Table 3: Cumulative ongoing investment projects**

Item	Number of projects	Approved funds plus adjustment (US\$)	Per Cent of funds disbursed	Number of projects disbursing	Per Cent of projects disbursing	Average number of months from approval to first disbursement	Average number of months from approval to planned completion	Average length of delay in project planned completion	Overall cost-effectiveness to the Fund (US\$/kg.)*
<b>GRAND TOTAL</b>	<b>279</b>	<b>435,320,124</b>	<b>51%</b>	<b>204</b>	<b>73%</b>	<b>10</b>	<b>43</b>	<b>16</b>	<b>\$37.77</b>
<b>Region</b>									
Africa	77	34,787,842	48%	59	77%	9	43	10	\$33.56
Asia & Pacific	107	326,660,990	53%	74	69%	11	46	25	\$50.01
Europe	21	12,260,884	50%	16	76%	9	34	10	\$23.78
Latin America and Caribbean	74	61,610,408	44%	55	74%	9	42	14	\$17.90
Global	0	0	0%	0	0%	0	n/a	n/a	n/a
<b>Sector</b>									
Aerosol	8	39,259,053	91%	8	100%	14	75	33	\$20.83
Destruction	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Foam	20	25,652,043	65%	18	90%	13	50	25	\$45.19
Fumigants	21	17,744,114	73%	19	90%	7	56	15	\$10.87
Halon	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Multiple sectors	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Other	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Phase-out plan	219	307,870,856	46%	151	69%	10	40	14	\$45.20
Process agent	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Production	5	30,056,900	15%	2	40%	14	45	29	\$84.19
Refrigeration	6	14,737,158	64%	6	100%	7	49	23	\$54.32
Solvents	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Sterilant	0	0	0%	0	0%	n/a	n/a	n/a	n/a
<b>Implementation characteristics</b>									
Agency implementation	180	223,937,868	61%	147	82%	8	43	14	\$28.41
National implementation	99	211,382,256	40%	57	58%	13	43	24	\$58.01
<b>Disbursement method</b>									
During implementation	270	330,208,124	44%	197	73%	10	43	16	\$30.89
After implementation	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Retroactive funding	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Country to final beneficiaries	9	105,112,000	72%	7	78%	8	42	25	\$125.88

\*Based on the ODS to be phased out according to the proposal.

13. There are currently 279 investment projects under implementation. These projects represent approved funding (plus adjustments) of over US \$435.32 million. Around 51 per cent of the funds have already been disbursed. The overall average cost-effectiveness of ongoing investment projects is US \$37.77/kg ODP to be phased out, as approved.

14. Of the ongoing investment projects 38 per cent (107 out of 279) are for countries in the Asia and Pacific region, representing 75 per cent (US \$326.66 million) of the funds approved for ongoing investment projects. The Latin American and the Caribbean region has 74 investment projects under implementation, valued at US \$61.61 million, Africa has 77 projects (US \$34.79 million) and Europe has 21 projects (US \$12.26 million).

15. About 71 per cent of the funds approved for ongoing investment projects, representing around US \$307.87 million, are in the phase-out plan sector. The second largest funding allocation for ongoing investment projects is for the aerosol sector (US \$39.26 million) followed by the production sector (US \$30.06 million). The remaining sectors have the following number of investment projects under implementation: fumigants (21), foam (20) and refrigeration (6).

16. Of the 279 ongoing investment projects, 73 per cent (204) have begun disbursing funds.



17. Most ongoing investment projects are under agency implementation (180 projects) but there are 99 projects under national implementation. Of agency-implemented ongoing investment projects, 82 per cent have begun disbursement compared with 58 per cent of nationally-implemented ongoing investment projects.

18. The average number of months from approval to first disbursement is 10 months. The average duration of an ongoing investment project is 43 months. Typically, ongoing investment projects are completed 16 months later than originally planned, which could be considered the average delay.

### Ongoing non-investment projects

19. Table 4 presents information on the status of non-investment projects under implementation by region, sector, and implementation characteristic.

**Table 4: Cumulative ongoing non-investment projects**

Item	Number of projects	Approved funds plus adjustment (US\$)	Per cent of funds disbursed	Number of projects disbursing	Per cent of projects disbursing	Average number of months from approval to first disbursement	Average number of months from approval to planned completion	Average length of delay in project planned completion
<b>GRAND TOTAL</b>	<b>388</b>	<b>82,982,801</b>	<b>34%</b>	<b>216</b>	<b>56%</b>	<b>13</b>	<b>39</b>	<b>13</b>
<b>Region</b>								
Africa	124	12,824,292	33%	64	52%	11	40	13
Asia & Pacific	140	38,815,816	42%	78	56%	13	42	14
Europe	26	4,526,356	45%	14	54%	7	33	7
Latin America and Caribbean	90	13,242,781	31%	57	63%	15	38	11
Global	8	13,573,556	13%	3	38%	31	36	10
<b>Sector</b>								
Aerosol	1	120,000	39%	1	100%	9	73	13
Destruction	14	8,103,918	19%	10	71%	7	39	13
Foam	4	4,102,336	79%	4	100%	7	51	36
Fumigants	7	674,712	28%	4	57%	4	59	30
Halon	2	457,500	54%	2	100%	21	59	38
Multiple sectors	0	0	0%	0	0%	n/a	n/a	n/a
Other	0	0	0%	0	0%	n/a	n/a	n/a
Phase-out plan	172	21,250,825	24%	82	48%	12	39	10
Process agent	0	0	0%	0	0%	n/a	n/a	n/a
Production	0	0	0%	0	0%	n/a	n/a	n/a
Refrigeration	16	19,165,181	64%	16	100%	30	95	59
Several	170	28,550,662	19%	96	56%	11	33	9
Solvents	2	557,667	62%	1	50%	2	35	21
Sterilant	0	0	0%	0	0%	n/a	n/a	n/a
<b>Implementation characteristics</b>								
Agency implementation	309	53,344,499	26%	166	54%	12	39	12
National implementation	79	29,638,302	49%	50	63%	15	40	14
<b>Disbursement method</b>								
During implementation	384	75,463,656	28%	212	55%	13	39	12
After implementation	0	0	0%	0	0%	n/a	n/a	n/a
Retroactive funding	0	0	0%	0	0%	n/a	n/a	n/a
Country to final beneficiaries	4	7,519,145	96%	4	100%	7	48	32

20. There are currently 388 non-investment projects under implementation, representing approved funding (plus adjustments) of around US \$82.98 million. Roughly 34 per cent of the funds for ongoing non-investment projects have been disbursed.

21. The largest amount of funding for non-investment projects, by region, is US \$38.82 million for the Asia and the Pacific region. Funding for ongoing non-investment projects in other regions is as follows: Latin America and the Caribbean, US \$13.24 million; Africa, US \$12.82 million; and, Europe, US \$4.53 million. Ongoing global activities are valued at a total of US \$13.57 million.

22. On a sectoral basis, most non-investment funding is applied to the “several” sector category (US \$28.55 million for 170 projects). There are 16 ongoing non-investment projects in the refrigeration sector (US \$19.17 million), 172 in the phase-out plan sector (US \$21.25 million), four in the foam sector (US \$4.1 million), 14 in the destruction sector (US \$8.1 million), 7 in the fumigant sector (US \$674,712), two in the solvent sector (US \$557,667), two in the halon sector (US \$457,500) and one project in aerosol sector (US \$120,000).

23. Of the 388 ongoing non-investment projects, 56 per cent (216 projects) have begun disbursing funds.

24. The average number of months from approval to first disbursement is 13 months. On average, ongoing non-investment projects are completed 39 months from the date of approval, which represents a delay of 13 months.

## PROJECT PREPARATION

25. Project preparation requests are included in annual work programmes and amendments to work programmes. Project preparation leads to investment projects in general and, in some cases, demonstration projects (in particular for MB) and the implementation of projects included in refrigerant management plans.

26. Table 5 presents data on both completed and ongoing project preparation activities. The implementing agencies have completed 1,443 project preparation activities for which there remains a balance of US \$1.54 million that has not yet been disbursed. On average, it has taken 21 months to complete project preparation.

**Table 5: Project preparation**

Item	COMPLETED PROJECT PREPARATION					ONGOING PROJECT PREPARATION							
	Number of projects	Approved funds plus adjustment (US\$)	Per cent of funds disbursed	Average number of months from approval to first disbursement	Average number of months from approval to completion	Number of projects	Approved funds plus adjustment (US\$)	Funds disbursed (US\$)	Per cent of funds disbursed	Average number of months from approval to first disbursement	Average number of months from approval to planned completion	Balance (US\$)	Estimated disbursement in current year (US\$)
<b>GRAND TOTAL</b>	<b>1,443</b>	<b>73,668,382</b>	<b>98%</b>	<b>6</b>	<b>21</b>	<b>28</b>	<b>1,756,250</b>	<b>1,024,275</b>	<b>58%</b>	<b>12</b>	<b>54</b>	<b>731,975</b>	<b>513,422</b>
<b>Agency</b>													
UNDP	463	18,595,863	97%	5	19	5	260,000	87,894	34%	12	70	172,106	172,106
UNEP	173	8,578,959	91%	10	31	2	115,000	25,000	22%	10	47	90,000	90,000
UNIDO	424	17,072,734	99%	6	24	17	1,101,250	698,185	63%	7	49	403,065	184,511
WORLD BANK	272	24,570,015	100%	6	14	2	100,000	34,989	35%	56	62	65,011	65,011
Bilaterals	111	4,850,812	n/a	n/a	n/a	2	180,000	178,206	99%	n/a	n/a	1,794	1,794
<b>Region</b>													
Africa	360	11,997,293	98%	6	22	12	875,000	528,677	60%	9	49	346,323	227,304
Asia & Pacific	548	36,457,246	98%	6	21	9	536,250	347,788	65%	17	55	188,462	102,012
Europe	103	4,688,367	99%	6	21	1	35,000	31,667	90%	5	30	3,333	2,000
Latin Amer./Car.	415	19,119,572	98%	6	20	6	310,000	116,142	37%	10	68	193,858	182,106
Global	17	1,405,905	100%	6	16	0	0	0	0%	n/a	n/a	0	0
<b>Sector</b>													
Aerosol	44	1,214,811	100%	6	17	0	0	0	0%	n/a	n/a	0	0
Destruction	11	542,154	84%	8	28	7	375,000	279,884	75%	21	56	95,116	93,387

Item	COMPLETED PROJECT PREPARATION					ONGOING PROJECT PREPARATION							
	Number of projects	Approved funds plus adjustment (US\$)	Per cent of funds dis-bursed	Average number of months from approval to first disburse-ment	Average number of months from approval to completion	Number of projects	Approved funds plus adjustment (US\$)	Funds disbursed (US\$)	Per cent of funds dis-bursed	Average number of months from approval to first disburse-ment	Average number of months from approval to planned completion	Balance (US\$)	Estimated disburse-ment in current year (US\$)
Foam	217	7,892,000	97%	4	18	6	325,000	147,944	46%	10	58	177,056	102,783
Fumigants	114	2,909,527	100%	5	17	3	100,000	2,435	2%	8	35	97,565	55,000
Halon	27	836,728	100%	5	19	0	0	0	0%	n/a	n/a	0	0
Multiple Sectors	3	179,978	77%	3	34	0	0	0	0%	n/a	n/a	0	0
Other	2	240,747	100%	11	35	0	0	0	0%	n/a	n/a	0	0
Phase-out Plan	318	22,817,077	95%	9	30	8	766,250	530,913	69%	7	56	235,337	163,043
Process Agent	9	694,384	100%	8	19	0	0	0	0%	n/a	n/a	0	0
Production	9	1,073,866	100%	8	13	0	0	0	0%	n/a	n/a	0	0
Refrigeration	350	11,400,105	99%	6	20	4	190,000	63,098	33%	10	58	126,902	99,209
Several	283	21,969,991	100%	4	15	0	0	0	0%	n/a	n/a	0	0
Solvents	55	1,892,015	100%	6	25	0	0	0	0%	n/a	n/a	0	0
Sterilant	1	5,000	100%	1	9	0	0	0	0%	n/a	n/a	0	0
<b>Implementation Type</b>													
Agency	1,303	62,773,548	99%	6	20	23	1,496,250	936,381	63%	12	51	559,869	341,316
National	140	10,894,834	94%	10	28	5	260,000	87,894	34%	12	70	172,106	172,106
<b>Disbursement</b>													
During Imp.	1,436	72,738,630	98%	6	21	28	1,756,250	1,024,275	58%	12	54	731,975	513,422
After Imp.	7	929,752	100%	7	20	0	0	0	0%	n/a	n/a	0	0
Retroactive	0	0	0%	n/a	n/a	0	0	0	0%	n/a	n/a	0	0
Country to Final Beneficiaries	0	0	0%	n/a	n/a	0	0	0	0%	n/a	n/a	0	0

27. Bilateral and implementing agencies have a balance of US \$1.54 million carried over for completed project preparation activities in 2013. Ongoing project preparation activities are expected to take 54 months to complete. This is 33 months longer than for completed project preparation.

28. On a regional basis, most of the ongoing project development activities (43 per cent) are in Africa region. Most of the ongoing project preparation is occurring in the phase-out plan sector (US \$766,250).

## CLOSED AND TRANSFERRED PROJECTS

29. Table 6 presents a summary of closed and transferred projects. Closed projects are cancelled projects. Of the 250 cancelled projects, 101 were project preparation activities. The World Bank has the highest number of closed projects (95). Remaining balances for closed projects are the result of possible unpaid commitments against these projects; however, projects should be classified as closed only after the balances have been returned. Bilateral and implementing agencies provide a report on any balances from cancelled projects in the context of the report on balances and availability of resources (UNEP/OzL.Pro/ExCom/73/4). No projects were cancelled and closed in 2013.

30. Transferred projects are projects that have been transferred from one agency to another. There are 46 such transferred projects.

**Table 6: Closed and transferred projects summary**

Agency	Number of projects	Approved funding (US\$)	Adjustments (US\$)	Approved funding plus adjustment (US\$)	Funds disbursed (US\$)	Balances (US\$)	Percent of funds disbursed	Consumption ODP to be phased out	Consumption ODP phased out
<b>Closed Projects</b>									
UNDP	78	16,947,886	-10,052,770	6,895,116	6,895,116	0	100%	671	601
UNEP	5	680,000	-659,000	21,000	21,000	0	100%	0	0
UNIDO	58	11,185,651	-6,666,114	4,519,537	4,519,741	-204	100%	1,123	338
World Bank	95	30,924,304	-29,550,785	1,373,519	1,373,519	0	100%	657	581
Bilaterals	14	1,149,323	-846,422	302,901	227,901	75,000	75%	221	0
<b>Total</b>	<b>250</b>	<b>60,887,164</b>	<b>-47,775,091</b>	<b>13,112,073</b>	<b>13,037,277</b>	<b>74,796</b>	<b>99%</b>	<b>2,671</b>	<b>1,520</b>
<b>Transferred Projects</b>									
UNDP	17	1,999,359	-1,407,764	591,595	591,595	0	100%	0	0
UNEP	4	150,667	-150,667	0	0	0	0%	0	0
UNIDO	0	0	0	0	0	0	0%	0	0
World Bank	10	1,633,130	-1,633,130	0	0	0	0%	0	0
Bilaterals	15	3,995,949	-3,702,899	293,050	293,050	0	100%	0	0
<b>Total</b>	<b>46</b>	<b>7,779,105</b>	<b>-6,894,460</b>	<b>884,645</b>	<b>884,645</b>	<b>0</b>	<b>100%</b>	<b>0</b>	<b>0</b>

**Appendix I**

**PROJECTS WITH IMPLEMENTATION DELAYS**

<b>Agency</b>	<b>Code</b>	<b>Project title</b>	<b>Category of delays</b>
Spain	LAC/FUM/54/TAS/40	Technical assistance to introduce chemical alternatives in countries which have rescheduled methyl bromide phase-out plan (Argentina and Uruguay)	12 months delays
UNDP	IND/ARS/56/INV/423	Plan for phase-out of CFCs in the manufacture of pharmaceutical MDIs	12 months delays
UNDP	PAK/ARS/56/INV/71	Plan for phase-out of CFCs in the manufacture of pharmaceutical MDIs	12 months delays
UNEP	GLO/SEV/66/TAS/314	Development of a guide for sustainable refrigerated facilities and systems, in cooperation with the American Society of Heating, Refrigeration and Air Conditioning Engineers (ASHRAE)	12 and 18 months delays
UNEP	IND/ARS/56/TAS/425	National strategy for transition to non-CFC MDIs	12 months delays
UNEP	KUW/PHA/57/TAS/15	TPMP verification	12 and 18 months delays
UNEP	NEP/DES/59/TAS/27	Destruction of confiscated ODS	12 months delays
UNEP	TRI/FUM/65/TAS/28	Technical assistance to phase out the use of methyl bromide	12 and 18 months delays
UNIDO	EGY/ARS/50/INV/92	Phase-out of CFC consumption in the manufacture of aerosol metered dose inhalers (MDIs)	12 months delays
UNIDO	IRQ/FUM/62/INV/13	Technical assistance for alternatives to methyl bromide	12 months delays
UNIDO	IRQ/REF/57/INV/07	Replacement of refrigerant CFC-12 with isobutane and foam blowing agent CFC-11 with cyclopentane in the manufacture of domestic refrigerators and chest freezers at Light Industries Company	12 months delays
UNIDO	MOZ/FUM/60/TAS/20	Technical assistance for the elimination of controlled uses of methyl bromide in soil fumigation	12 and 18 months delays
UNIDO	SYR/REF/62/INV/103	Phase-out of HCFC-22 and HCFC-141b from the manufacture of unitary air-conditioning equipment and rigid polyurethane insulation panels at Al Hafez Group	12 months delays
UNIDO	ZAM/FUM/56/INV/21	Technical assistance for the total phase out of methyl bromide in tobacco, cut flowers, horticulture and post-harvest uses	12 months delays
World Bank	CPR/ARS/51/INV/447	Phase-out of CFC consumption in the pharmaceutical aerosol sector (2007-2008 biennial programme)	12 months delays



Appendix II

PROJECTS WITH ADDITIONAL STATUS REPORTS

Agency	Country/project code	Project title	Reasons
Germany	BOT/PHA/60/PRP/14	Preparation of an HCFC phase-out management plan	Actions taken towards the establishment of the licensing system for ODS imports and exports
Germany	Afghanistan	HCFC phase-out management plan	Low disbursement rates of approved funds and project implementation progress
Italy	Ghana	HCFC phase-out management plan	Low disbursement rates of approved funds and project implementation progress
Spain	Mexico	National methyl bromide phase-out plan	Low disbursement rates of approved funds and project implementation progress
UNDP	BRA/REF/47/DEM/275	Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers	Low disbursement rates of approved funds and project implementation progress
UNDP	COL/REF/47/DEM/65	Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers	Low disbursement rates of approved funds and project implementation progress
UNDP	Barbados	HCFC phase-out management plan	Signing of the project document/letter of agreement and low disbursement rates of approved funds
UNDP	Belize	HCFC phase-out management plan	Low disbursement rates of approved funds
UNDP	Peru	HCFC phase-out management plan	Signing of the project document/letter of agreement and low disbursement rates of approved funds
UNDP	Nepal	HCFC phase-out management plan	Low disbursement rates of approved funds
UNDP	Saint Kitts and Nevis	HCFC phase-out management plan	Signing of the project document/letter of agreement and low disbursement rates of approved funds
UNDP	BRA/SEV/66/INS/297	Extension of institutional strengthening project (phase VII: 1/2012-12/2013)	Low disbursement rates of approved funds and project implementation progress
UNDP	GEO/SEV/69/INS/34	Extension of the institutional strengthening project (phase VIII: 7/2013-6/2015)	Low disbursement rates of approved funds and project implementation progress
UNEP	Chile	Methyl bromide phase-out plan	Low disbursement rates of approved funds
UNEP	Guatemala	Methyl bromide phase-out plan	Low disbursement rates of approved funds
UNEP	EUR/DES/69/DEM/13	Demonstration of a regional strategy for ODS waste management and disposal in the Europe and Central Asia region	Low disbursement rates of approved funds
UNEP	MAU/PHA/55/PRP/20	Preparation of an HCFC phase-out management plan	Submission of the HCFC phase-out management plan

<b>Agency</b>	<b>Country/project code</b>	<b>Project title</b>	<b>Reasons</b>
UNEP	SSD/PHA/70/PRP/02	Preparation of an HCFC phase-out management plan	Submission of the HCFC phase-out management plan
UNEP	Bahrain	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	Barbados	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Dominica	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	El Salvador	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Eritrea	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Guatemala	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	Haiti	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Iraq	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	Kuwait	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Myanmar	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	Philippines (the)	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Peru	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	Suriname	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Turkey	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	Uganda	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Venezuela (Bolivarian Republic of)	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	Yemen	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	ANG/SEV/69/INS/11	Extension of the institutional strengthening project (phase IV: 4/2013-3/2015)	Low disbursement rates of approved funds
UNEP	BAH/SEV/68/INS/25	Extension of institutional strengthening project (phase VII: 1/2013-12/2014)	Low disbursement rates of approved funds
UNEP	BOT/SEV/68/INS/15	Extension of institutional strengthening project (phase IV: 1/2013-12/2014)	Low disbursement rates of approved funds
UNEP	BRU/SEV/67/INS/14	Renewal of the institutional strengthening project (phase III: 1/2013-12/2014)	Low disbursement rates of approved funds



Agency	Country/project code	Project title	Reasons
UNEP	CAF/SEV/68/INS/23	Extension of the institutional strengthening project (phase VI: 1/2013-12/2014)	Low disbursement rates of approved funds
UNEP	DRK/SEV/68/INS/57	Extension of institutional strengthening project (phases VI and VII: 1/2010-12/2013)	Low disbursement rates of approved funds
UNEP	ECU/SEV/59/INS/43	Extension of institutional strengthening project (phase V)	Low disbursement rates of approved funds
UNEP	ERI/SEV/68/INS/12	Institutional strengthening (phase II: 1/2013-12/2014)	Low disbursement rates of approved funds
UNEP	FIJ/SEV/67/INS/24	Extension of the institutional strengthening project (phase VIII: 12/2012-11/2014)	Low disbursement rates of approved funds
UNEP	IRQ/SEV/69/INS/18	Extension of institutional strengthening project (phase II: 6/2013-5/2015)	Low disbursement rates of approved funds
UNEP	JAM/SEV/68/INS/31	Extension of institutional strengthening project (phase VIII: 1/2013-12/2014)	Low disbursement rates of approved funds
UNEP	KEN/SEV/69/INS/54	Extension of institutional strengthening project (phase IX: 4/2013-3/2015)	Low disbursement rates of approved funds
UNEP	KUW/SEV/68/INS/22	Extension of institutional strengthening project (phase V: 1/2013-12/2014)	Low disbursement rates of approved funds
UNEP	LIR/SEV/69/INS/20	Extension of the institutional strengthening project (phase V: 4/2013-3/2015))	Low disbursement rates of approved funds
UNEP	MAU/SEV/57/INS/23	Renewal of the institutional strengthening project (phase V)	Low disbursement rates of approved funds
UNEP	MOR/SEV/59/INS/63	Renewal of the institutional strengthening project (phase IV)	Low disbursement rates of approved funds
UNEP	PER/SEV/68/INS/45	Renewal of institutional strengthening project (phase IV: 1/2013-12/2014)	Low disbursement rates of approved funds
UNEP	SAU/SEV/67/INS/15	Extension of the institutional strengthening project (phase II: 7/2012-6/2014)	Low disbursement rates of approved funds
UNEP	SIL/SEV/69/INS/26	Extension of institutional strengthening project (phase V: 4/2013-3/2015)	Low disbursement rates of approved funds
UNEP	TLS/SEV/69/INS/10	Extension of institutional strengthening (phase III: 4/2013-3/2015)	Low disbursement rates of approved funds
UNEP	VIE/SEV/68/INS/60	Extension of the institutional strengthening project (phase IX: 7/2013-6/2015)	Low disbursement rates of approved funds
UNIDO	CPR/DES/67/DEM/520	Pilot demonstration project on ODS waste management and disposal	Low disbursement rates of approved funds
UNIDO	NIR/DES/67/DEM/133	Demonstration project for disposal of unwanted ODS	Low disbursement rates of approved funds
UNIDO	EUR/DES/69/DEM/14	Demonstration of a regional strategy for ODS waste management and disposal in the Europe and Central Asia region	Low disbursement rates of approved funds
UNIDO	ASP/REF/69/DEM/57	Promoting low-global warming potential refrigerants for air-conditioning sectors in high-ambient temperature countries in West Asia	Low disbursement rates of approved funds
UNIDO	Algeria	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Bahrain	HCFC phase-out management plan	Low disbursement rates of approved funds

<b>Agency</b>	<b>Country/project code</b>	<b>Project title</b>	<b>Reasons</b>
UNIDO	Eritrea	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Ethiopia	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Indonesia	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Iraq	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Kuwait	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Somalia	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	South Africa	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Uganda	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Yemen	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	QAT/SEV/59/INS/15	Renewal of institutional strengthening project (phase III)	Low disbursement rates of approved funds
UNIDO	TUN/SEV/66/INS/53	Extension of institutional strengthening project (phase VII: 7/2012-6/2014)	Low disbursement rates of approved funds
World Bank	GLO/REF/47/DEM/268	Global chiller replacement project (China, India, Indonesia, Malaysia and Philippines (the))	Low disbursement rates of approved funds; and signing of the grant agreement for the Argentina component
World Bank	Argentina	HCFC phase-out management plan	Signing of the grant agreement
World Bank	Indonesia	HCFC phase-out management plan	Low disbursement rates of approved funds
World Bank	Jordan	HCFC phase-out management plan	Low disbursement rates of approved funds
World Bank	Thailand	HCFC phase-out management plan	Signing of the grant agreement

----