



# 联合国 环境规划署

Distr.  
GENERAL

UNEP/OzL.Pro/ExCom/73/13  
13 October 2014

CHINESE  
ORIGINAL: ENGLISH

执行蒙特利尔议定书  
多边基金执行委员会  
第七十三次会议  
2014年11月9日至13日，巴黎

## 截至2013年12月31日环境规划署的进度报告

1. 本文件提出了截至2013年12月31日环境规划署的进度报告<sup>1</sup>，其中包括内容如下：

### 执行摘要

- 第一部分：截至2013年12月31日的执行进度报告（累计）
- 第二部分：2013年项目执行进度情况
- 第三部分：秘书处的评论和建议
- 附件一：按国家分列的2013年项目执行情况。

### 执行摘要

2. 以下载列环境规划署截至2013年12月31日、在2013年以及自1991年以来累计的执行的项目和活动执行进度摘要：

- (a) **淘汰：**单在2013年一年，就淘汰了7.8 ODP吨消费量，并另外核准淘汰21.1 ODP吨消费量。自1991年以来，在核准的项目预期淘汰的1,839 ODP吨中，已经淘汰了1,551 ODP吨消费量（取消和改变执行机构的项目不包括在内）；
- (b) **资金发放/批准：**在2013年，发放了1,469万美元并计划根据2012年的进度

<sup>1</sup> 进度报告附后。数据都已包括在综合进度报告数据库内，供查阅索取。

报告发放 3,097 万美元，这代表达到计划的发放率的 47%。总计而言，在核准发放的 2.2883 亿美元中已经发放了 1.9632 亿美元（机构支助费用除外）。这代表 86% 的发放率。2013 年，为执行工作核准了 1,591 万美元。

- (c) **完成的项目数：**2013 年，完成了 115 个项目。自 1991 年以来，为环境规划署核准的 1,722 个项目中，完成了 1,447 个项目（停止或改变执行机构的项目不包括在内）。这代表完成率 84%；
- (d) **交付的速度 – 非投资项目：**2013 年完成的项目平均在得到核准后 36 个月内完成。1991 年以来，完成非投资项目的平均时间为项目得到批准后 34 个月。这些项目平均在其得到批准后 10 个月首次付款。
- (e) **项目编制：**到 2013 年底得到核准的 175 项项目编制活动中，173 项已经完成。在 2013 年，21 个项目已经完成，有两个项目正在进行；
- (f) **执行拖延：**到 2013 年底共执行了 270 个非投资项目。这些项目平均拖延了 11 个月。不过，属于需受项目撤销程序审查的“执行有拖延的项目”共有 5 个项目（多年期协定不需受这些程序的审查）。
- (g) **多年期协定项目：**2013 年，环境规划署执行了 9 项淘汰氟氯化碳或消耗臭氧从物质的多年期协定项目、2 项淘汰甲基溴消费量的多年期协定项目和 100 项氟氯烃淘汰管理计划的多年期协定项目。1991 年以来，共有 170 项多年期协定项目得到核准并有 59 项多年期协定项目得到完成。

### 第一部分：截至 2013 年 12 月 31 日的执行进度报告（累计）

3. 截至此日，如表 1 所示，执行委员会批准了约 2.4876 亿美元，包括执行投资和非投资项目的 2.2883 亿美元和机构费用及行政支助费用 1,993 万美元。2013 年，有 93 个新项目和活动得到批准。提供这笔数额的资金预期将淘汰 1,839 ODP 吨的消耗臭氧从物质消费量。

**表 1：截至 2013 年 12 月 31 日按行业分列为环境规划署核准的供资**

行业	供资(美元)
气雾剂	882,689
销毁	232,200
熏蒸剂	2,685,504
哈龙	780,640
淘汰计划	35,952,562
制冷	13,429,090
若干行业	174,668,169
溶剂	198,860
<b>小计</b>	<b>228,829,714</b>
行政支助费用	19,926,001
<b>总计</b>	<b>248,755,715</b>

4. 表 2 按类别列出环境规划署执行的项目状况。

表 2: 按项目类别列出项目执行状况

类别	项目数目*			供资(美元)			
	已核准	已完成	完成%	已核准	已发放	余额	发放%
国家方案	94	94	100	2,946,574	2,946,574	0	100
示范项目	2	0	0	230,000	57,401	172,599	25
体制建设	629	510	81	45,908,019	38,737,475	7,170,544	84
投资项目	3	0	0	1,023,630	325,936	697,694	32
项目编制	175	173	99	8,693,959	7,867,102	826,857	90
技术援助	623	474	76	155,787,350	132,066,395	23,720,955	85
培训	196	196	100	14,240,182	14,315,181	-74,999	101
<b>总计</b>	<b>1,722</b>	<b>1,447</b>	<b>84</b>	<b>228,829,714</b>	<b>196,316,064</b>	<b>32,513,650</b>	<b>86</b>

\* 关闭的项目和改变执行机构的项目不包括在内。

5. 表 3 按年列出项目执行概况<sup>2</sup>。1991 年至 2005 年底之间核准的所有项目和活动（除 1994 年和 2002 年之外）现在都已完成。

表 3: 按年份列出项目执行状况

年份	项目数目*			供资(美元)			
	已核准	已完成	完成%	已核准	已发放	余额	发放%
1991	11	11	100	1,372,335	1,372,335	0	100
1992	15	15	100	1,711,000	1,711,000	0	100
1993	49	49	100	4,194,343	4,194,343	0	100
1994	58	57	98	4,265,910	4,231,169	34,741	99
1995	50	50	100	4,047,683	4,047,683	0	100
1996	47	47	100	3,366,039	3,366,039	0	100
1997	87	87	100	7,962,291	7,962,291	0	100
1998	64	64	100	4,669,124	4,669,124	0	100
1999	87	87	100	6,307,646	6,372,047	-64,401	101
2000	85	85	100	6,300,398	6,319,958	-19,560	100
2001	59	59	100	7,411,159	7,411,159	0	100
2002	72	70	97	9,491,113	9,452,376	38,737	100
2003	78	78	100	11,170,394	11,165,394	5,000	100
2004	99	99	100	12,362,652	12,362,652	0	100
2005	72	72	100	12,345,483	12,339,483	6,000	100
2006	108	106	98	12,871,977	12,729,856	142,121	99
2007	79	73	92	14,923,349	14,345,729	577,620	96
2008	132	127	96	18,605,715	17,766,720	838,995	95
2009	116	101	87	18,129,711	16,293,774	1,835,937	90
2010	79	65	82	14,548,416	13,700,576	847,840	94
2011	100	43	43	18,048,742	14,137,527	3,911,215	78
2012	82	2	2	18,812,440	10,216,838	8,595,602	54
2013	93	0	0	15,911,795	147,991	15,763,804	1

<sup>2</sup> 这项数据依照执行委员会核准项目的年份列出。它将所有核准的项目（投资项目和非投资项目）等同对待（即将投资项目或多年期协定项目的供资达 100 万美元的项目视为一个项目，同样的方法用于 30,000 美元的国家方案编制项目）。年度总结的关键指标是：完成的项目百分比、淘汰的 ODP 吨和发放的资金百分比。在审视资金发放数据时，应该注意到有三种资金发放方式：在项目执行中、项目执行后和资金追溯项目。

年份	项目数目*			供资(美元)			
	已核准	已完成	完成%	已核准	已发放	余额	发放%
共计	1,722	1,447	84	228,829,714	196,316,064	32,513,650	86

\* 关闭的项目和改变执行机构的项目不包括在内。

## 第二部分： 2013 年项目执行进度情况

6. 秘书处按国别审查了项目执行状况，同时考虑到根据 2013 年计划完成的日期而遭拖延的执行项目以及这些拖延对淘汰量和计划的资金发放率可能产生的影响，并注意到环境规划署完成了原来计划在 2013 年完成的项目的 59%。环境规划署计划在 126 个国家或区域发放资金，并在 2013 年达到资金发放率 47%。

7. 进度报告的这部分内容总结了下列正在进行的各个项目的进度和财务状况<sup>3</sup>：

- (a) 氟氯化碳和四氯化碳相关的项目；
- (b) 淘汰甲基溴消费量的项目；
- (c) 与计量吸入器和处理消耗臭氧物质废物有关的项目；
- (d) 与淘汰氟氯烃有关的项目，包括编制和示范项目以及氟氯烃淘汰管理计划；
- (e) 体制建设项目；以及
- (f) 行政支助费用。

### 氟氯化碳和四氯化碳相关的活动

8. 截至 2013 年 12 月 31 日，总共有 9 个淘汰氟氯化碳或消耗臭氧物质的多年期协定项目仍在进行。所有与 59 个多年期协定项目有关的淘汰活动都已完成，仅剩财务结清和提交项目完成报告有待完成。表 4 列出目前正在进行的淘汰氟氯化碳的多年期协定项目和活动。

表 4：目前正在进行的与氟氯化碳和四氯化碳有关的多年期协定项目的活动

国家	核准的供资 (美元)	发放的资金 (美元)	余额 (美元)	发放%	完成最后一次 付款的日期*	问题
巴林	305,000	80,000	225,000	26	3 月 14 日	氟氯烃淘汰管理计划第二次付款的资金结余。
厄立特里亚	170,000	80,000	90,000	47	12 月 13 日	改变国家臭氧机构。
伊拉克	1,641,000	605,990	1,035,010	37	12 月 14 日	由于政治和安全状况，在

<sup>3</sup> 正在进行的项目是已获执行委员会核准并截至 2013 年 12 月 31 日正在执行的所有项目。进度报告的关键指标包括：发放的资金的百分比和已经开始发放资金的项目的百分比；根据核准的供资的百分比，预期在年底发放的资金（2013 年发放的资金外加估计将发放的资金）；项目执行的平均拖延时间（根据提案指明的项目完成时间和目前计划完成的时间之间的差别）；和进度报告数据库说明栏内提供的信息。

国家	核准的供资 (美元)	发放的资金 (美元)	余额 (美元)	发放%	完成最后一次 付款的日期*	问题
						财务报告、审查工作计划和合同以及与培训部门订约方面有了拖延。
科威特	240,000	1,757	238,243	1	3月14日	纳入氟氯烃淘汰管理计划的剩余活动。
毛利塔尼亚	155,000	96,000	59,000	62	12月13日	未解决的行政工作停顿。
菲律宾	757,984	315,258	442,726	42	12月13日	运行上已经完成。财务报表审计已经完成。
卡塔尔	120,000	15,000	105,000	13	3月14日	将资金从最终淘汰管理计划重新分配给氟氯烃淘汰管理计划。
沙特阿拉伯	405,000	242,989	162,011	60	3月14日	国家淘汰计划下的剩余活动并入氟氯烃淘汰管理计划。
也门	455,000	232,212	222,788	51	3月14日	国家淘汰计划下的剩余活动并入氟氯烃淘汰管理计划。

\* 多年期协定项目可以包括一次以上的付款，并有不同的核准完成日期。表中列出的核准完成日期是为实施多年期协定项目供资的最后一次付款日期。环境规划署为若干项目新的完成日期提出了建议。这个问题在综合进度报告（UNEP/OzL.Pro/ExCom/73/10）中作了说明。

9. 关于伊拉克的情况，执行委员会不妨考虑将核准完成的日期从 2014 年 12 月延到 2015 年 12 月。

#### 淘汰甲基溴消费量的项目

10. 截至 2013 年 12 月 31 日，在智利和危地马拉两个多年期协定项目和在厄瓜多尔和特立尼达和多巴哥的两个独立项目，即总共四个第 5 条国家淘汰甲基溴消费量的项目仍在进行（表 5）。

表 5：正在进行的淘汰甲基溴活动

国家	核准的供资 (美元)	发放的资金 (美元)	余额 (美元)	发放%	完成日期	现况
智利	73,000	22,528	50,472	31	12月14日	计划完成的日期改到 2015 年 7 月，以便完成进度报告和财务报告。
厄瓜多尔	12,500	0	12,500	0	11月14日	2013 年底才在银行开户。所有资金都需在 2014 年承付，接近 50% 已经发放。
危地马拉*	70,000	0	70,000	0	12月14日	政府改变。尚未发放资金。对这个项目罚款，35,000 美元归还了基金。
特立尼达和多巴哥	25,000	0	25,000	0	2014 年 7 月	完成工作范围细节。尚未发放资金。

\* 已向第七十三次会议提出具体报告，说明在 2015 年 1 月 1 日开始禁止进口受控用途的甲基溴以及采取的措施将确定代用技术的长期可持续性。

11. 执行委员会不妨要求环境规划署向第七十四次会议提交一份报告，以监测智利和危地马拉甲基溴多年期协定项目核准资金的低发放率。特立尼达和多巴哥淘汰甲基溴消费量的独立项目已包括在执行拖延项目清单内。环境规划署提供的最新信息指出，厄瓜多尔的项目进展顺利，因此，不需要另外提出进度报告。

#### 计量吸入器和消耗臭氧层物质废物的处理

##### *计量吸入器项目*

12. 执行委员会核准了 5 个计量吸入器技术援助项目，总值 440,689 美元。四个项目已经完成。剩余的项目是 2008 年 11 月核准的印度计量吸入器过渡战略 (IND/ARS/56/TAS/425)；已经发放了 39% 的资金。协定在 2014 年初才得到签订，这个项目计划在 2014 年底完成。

##### *消耗臭氧层物质废物的处理*

13. 执行委员会核准了 2 个消耗臭氧层物质处理项目，包括分别在一个国家和在一个区域（欧洲）进行一项技术援助项目和一项示范项目。这两个项目都正在执行（表 6）。

**表 6：正在进行的消耗臭氧层物质处理活动**

国家/项目编号	核准的供资 (美元)	发放的资金 (美元)	余额 (美元)	已发放的 资金 (%)	完成日期	现况
尼泊尔 (NEP/DES/59/TAS/27)	\$157,200	\$133,901	\$23,299	85	12 月 14 日	这个项目正在取得进展。这个项目作为有执行拖延的项目追踪。计划完成日期是 2014 年 12 月。
区域 (EUR/DES/69/DEM/13)	\$75,000	\$3,887	\$71,113	5	2015 年 4 月	与 3 个国家商定了 2014 年行动计划。这个项目将在 2015 年 4 月以前完成。

14. 执行委员会不妨要求环境规划署向第七十四次会议提交一份关于示范欧洲和中亚地区 (EUR/DES/69/DEM/13) 的消耗臭氧层物质废物管理和处理区域战略的报告，以监测核准资金的低发放率。

#### 与淘汰氟氯烃有关的项目

##### *氟氯烃淘汰管理计划的编制*

15. 执行委员会为环境规划署核准了 652 万美元在 83 个国家进行 79 项项目编制活动，以便拟定氟氯烃控制措施。执行这些活动导致核准 100 个国家第一阶段的氟氯烃淘汰管理计划。在 79 项项目编制活动中，77 项编制活动已经完成。剩余的两项项目编制活动是毛里塔尼亚和南苏丹的项目编制活动，目前计划这两项活动在 2014 年完成。它们遭到拖延的原因是政治不稳定和/或安全局势和/或未解决的行政工作停滞不前的缘故。执行委员会不妨要求环境规划署再向第七十四次会议提交关于毛里塔利亚

(MAU/PHA/55/PRP/20) 和南苏丹 (SSD/PHA/70/PRP/02) 编制氟氯烃淘汰管理计划的进度报告，以便监测它们提交氟氯烃淘汰管理计划的情况。

16. 第 72/9(b)(一)号决定要求环境规划署根据德国编制的氟氯烃淘汰管理计划 (BOT/PHA/60/PRP/14)，提交关于博茨瓦纳建立许可证颁发制度的状况。环境规划署报告指出，该国颁布了《气象法》，其中规定司法部长有权建立氟氯烃许可证颁发和配额制度。国家臭氧机构密切关注司法部进行的工作，预计在 2014 年 2 月以前氟氯烃许可证颁发和配额制度会得到核准。

#### 氟氯烃示范项目

17. 执行委员会已经批准了在西亚高环境温度国家推动空调行业低全球升温潜能值制冷剂的一个氟氯烃示范项目 (ASP/REF/69/DEM/56)。2014 年与本地制造商和技术提供者签订了协议。这些协议涉及企业的责任、分享信息的承诺、最后结果的审查和在独立和公认的第三方实验室进行检验。还确定了与每一个企业的关系和承诺。这个项目计划在 2014 年 6 月完成。

#### 氟氯烃淘汰管理计划

18. 执行委员会批准了 100 个国家的氟氯烃淘汰管理计划，原则上总值 2,888 万美元，其中 1,447 万美元分别作为各次付款得到批准。执行委员会还批准了 11 项氟氯烃技术援助项目。有一个项目已经完成。其余的 10 个项目仍在进行。9 个项目将在 2014 年完成，1 个项目将在 2016 年完成。

19. 环境规划署在 100 个国家进行 142 次氟氯烃淘汰管理计划的付款工作。所有这许多年期协定项目都在一年以前得到核准，并已向 85 个国家发放了资金。表 7 列出已经核准一年以上但资金发放率低于 10% 和/或在签署协定时存在问题的一些项目。

**表 7：目前正在进行的核准一年以上但资金发放率低/没有发放和/或没有签署协定的氟氯烃淘汰管理计划**

国家	原则上核准的资金 (美元)	从核准的付款发放的资金 (美元)	向国家发放的资金 (美元)	已发放的资金 (%)	资金发放率低/没有发放/拖延发放的理由
巴林	470,000	120,000	1,020	1	环境问题最高理事会由于人员编制问题无法及时处理项目细节。等待协定签署。
巴巴多斯	192,000	74,000	0	0	迟签协定。
多米尼克	164,500	82,250	6,966	8	国家臭氧机构有变动。
萨尔瓦多	375,000	166,500	11,304	7	政府内部程序。
厄立特里亚	84,500	40,000	0	0	国家臭氧机构有变动。
危地马拉	96,500	28,250	0	0	政府有变动和国家的银行账户开户。等待协定签署。
海地	182,881	40,000	0	0	指定新的协调机构和提交财务报告。

国家	原则上核准的资金 (美元)	从核准的付款发放的资金 (美元)	向国家发放的资金 (美元)	已发放的资金 (%)	资金发放率低/没有发放/拖延发放的理由
伊拉克	770,000	115,000	0	0	集中力量完成国家淘汰计划的活动和政治和安全问题。等待协定签署。
科威特	1,043,000	277,000	0	0	国家臭氧机构有变动。
缅甸	220,000	159,000	0	0	2014年5月签署了《臭氧指令》，这是发放资金的先决条件（第68/31号决定）。等待协定签署。
秘鲁	50,000	25,000	0	0	政府内部程序。等待协定签署。
菲律宾	230,000	207,000	0	0	迟签协定和项目管理单位的设立。
苏里南	104,000	27,000	0	0	政府有了改变。国家臭氧机构和财务报告问题。
土耳其	103,450	103,450	0	0	筹备活动不需要发放资金。等待协定签署。
乌干达	84,500	40,500	0	0	政府内部程序。
委内瑞拉 (玻利瓦尔共和国)	136,000	122,432	10,678	9	政府有了改变。等待协定签署。
也门	380,000	215,000	0	0	政治和安全问题。等待协定签署。

20. 执行委员会不妨要求环境规划署向第七十四次会议提交一份关于表7所列氟氯烃淘汰管理计划项目的报告，以便监测核准的资金发放率低/没有发放和/或签署协定的问题。

#### 体制建设项目

21. 执行委员会核准了环境规划署在109个国家执行的629个体制建设项目。截至2013年12月31日，510个体制建设项目已经完成，并有104个国家的119个项目正在执行。环境规划署在2013年延长了43个体制建设项目。审查进度报告后显示有表8所列的以下各个体制建设项目的问題（资金发放程度低和拖延发放）。

**表8：目前正在进行的资金发放程度低的体制建设项目**

国家/项目编号	核准的供资加调整 额（美元）	发放的资金 （美元）	资金发放率低/拖延发放的理由
安哥拉 (ANG/SEV/69/INS/11)	134,400	0	银行账户有变动。
巴林 (BAH/SEV/68/INS/25)	60,000	0	协定的签订和国家臭氧机构有变动。
博茨瓦纳 (BOT/SEV/68/INS/15)	78,173	0	等待国家建立颁发许可证和配额制度后才能发放资金。
文莱达鲁萨兰国 (BRU/SEV/67/INS/14)	70,000	0	政府内部审查拟议协定。
中非共和国 (CAF/SEV/68/INS/23)	60,000	0	政治和安全局势问题。
朝鲜民主主义人民共和国 (DRK/SEV/68/INS/57)	260,000	0	资金转让方式（开发计划署/政府）。



国家/项目编号	核准的供资加调整额 (美元)	发放的资金 (美元)	资金发放率低/拖延发放的理由
厄瓜多尔 (ECU/SEV/59/INS/43)	176,801	11,834	开设特别银行账户, 使资金能够转账到国家臭氧机构。
厄立特里亚 (ERI/SEV/68/INS/12)	60,000	0	国家臭氧机构有变动。
斐济 (FIJ/SEV/67/INS/24)	60,000	1,100	安全局势不稳以及议会推迟核准2014年政府预算。
伊拉克 (IRQ/SEV/69/INS/18)	240,000	0	政治局势。
牙买加 (JAM/SEV/68/INS/31)	60,000	0	正在签署协定。
肯尼亚 (KEN/SEV/69/INS/54)	151,667	0	政府内部程序。
科威特 (KUW/SEV/68/INS/22)	105,320	0	国家臭氧机构和环境规划署法律文件有变动。
利比里亚 (LIR/SEV/69/INS/20)	85,213	0	正在签署协定。
毛利塔尼亚 (MAU/SEV/57/INS/23)	60,000	0	仍未解决行政工作的停顿问题。
摩洛哥 (MOR/SEV/59/INS/63)	156,000	0	报告项目已经“关闭”。
秘鲁 (PER/SEV/68/INS/45)	133,510	0	正在签署协定。
沙特阿拉伯 (SAU/SEV/67/INS/15)	200,000	0	正在银行开户。
塞拉利昂 (SIL/SEV/69/INS/26)	85,800	0	银行账户有变动。
东帝汶 (TLS/SEV/69/INS/10)	60,000	0	集中进行前一阶段的活动。
越南 (VIE/SEV/68/INS/60)	118,976	0	正在签署协定。

22. 执行委员会不妨要求环境规划署向第七十四次会议提交一份关于表 8 所列体制建设项目的报告, 以便监测核准的资金发放率低/没有发放的情况。

#### 其他个别项目

23. 关于与美国供暖制冷空调工程师学会制定可持续制冷设施和处方的指导的全球项目 (GLO/SEV/66/TAS/314), 该协会与环境规划署在 2014 年 4 月批准的最后完成日期之前没有签署合同。依照第 71/11(c)号决定, 在运作上, 这个项目应视为已在计划完成的日期完成, 因此, 环境规划署应尽快并不得迟于完成日期之后 12 个月内缴回这个项目未使用的结余。这个项目作为有执行拖延的项目加以追踪。

#### 行政支助费用。

24. 自 1991 年以来, 为执行项目核准的 228,829,714 美元中, 19,926,001 美元已作为行政支助费用发放, 使整体行政支助费用比率达到 8.7%。2013 年, 在核准的 15,911,795 美元中, 已为行政支助费用发放 1,124,065 美元, 使整体行政支助费用比率达到 7.1%。

### 第三部分：秘书处的评论和建议

#### 评论

##### 执行拖延

25. 考虑到根据执行委员会的决定不再受监测的所有项目，有五个项目的执行有拖延。这些项目在综合进度报告（UNEP/OzL.Pro/ExCom/73/10）附件三的附录一中作了说明。执行委员会不妨注意到世界银行将向第七十四次会议报告最多五个执行拖延的项目。

##### 精简年度进度报告和财务报告

26. 秘书处赞赏环境规划署作出努力，根据第 70/7(b)(一)号决定，在备注栏中对活动作了说明<sup>4</sup>：环境规划署根据氟氯烃淘汰管理计划逐次付款和非投资部分的完成状况，为备注栏制定了新的报告格式。这项新的报告格式将使该决定得到顺利实施。

27. 关于氟氯烃淘汰管理计划的年度付款，备注栏的评论包括：

简单进度报告（实际/计划进行）：\_\_\_法律安排（实际/计划进行）：\_\_\_首次任务（实际/计划进行）：\_\_\_提供给（实际/计划进行）：\_\_\_首次发放资金日期（实际/计划进行）：\_\_\_所有发放的资金（是/否）：\_\_\_任何拖延？（有/无）：\_\_\_如有拖延，拖延的理由和解决拖延的行动计划：\_\_\_如有拖延，是否会影响项目完成日期（是/否）：\_\_\_任何目前存在的问题：\_\_\_如有问题。是否需要执行委员会采取行动：\_\_\_。

28. 关于非投资项目，备注栏的评论包括：

简单进度报告（实际/计划进行）：\_\_\_法律安排（实际/计划进行）：\_\_\_项目执行初期阶段（实际/计划进行）：\_\_\_实现的中期目标（实际/计划进行）：\_\_\_项目的完成和后续行动：\_\_\_首次发放资金日期（实际/计划进行）：\_\_\_所有发放的资金（是/否）：\_\_\_任何拖延？（有/无）：\_\_\_如有拖延，拖延的理由和解决拖延的行动计划：\_\_\_如有拖延，是否会影响项目完成日期（是/否）：\_\_\_任何目前存在的问题：\_\_\_如有问题。是否需要执行委员会采取行动：\_\_\_。

##### 数据差异

29. 秘书处核定项目清单中的数据与环境规划署进度报告中的数据仍有如下若干数据不符之处：

- (a) 有一个有计划完成日期的关闭项目、一个应该仍在进行的完成项目和三个已经完成但有计划完成日期的项目；

---

<sup>4</sup> 执行委员会要求执行机构在提交进度报告时，在其年度进度报告和财务报告中，指明在审查期间进行的活动是否是计划进行的所有活动，或有系统地为每一项目提供计划进行但尚未执行的活动清单、执行活动拖延的原因、解决问题的行动计划以及执行具体活动的拖延是否会对项目完成日期产生影响。

- (b) 一个财务上已列为完成但在财务完成日期之后仍将发放资金的项目；
- (c) 有 110 个项目在财务上已列为完成，但计划完成的日期都在 2009 年以前；
- (d) 有 115 个项目没有计划完成日期；
- (e) 有 4 个项目被列为财务上已经完成，但仍有余额；
- (f) 有 3 个项目出现超支，根据第 17/22 号决定，不允许有这种情况发生；以及
- (g) 有 72 个多年期协定项目的计划完成日期是 2013 年 12 月，但它们似乎没有完成。

30. 关于财务上的差异已经或将在年度账目核对工作中得到解决。不过，关于完成日期和费用超支问题的差异需要在秘书处和环境规划署之间得到解决，并反映在下一份进度报告中。

*第七十二次会议要求向第七十三次会议提交的情况报告*

31. 秘书处根据 2013 年进度报告提出的最新信息审查了第七十二次会议要求的情况报告，以确定第七十二次会议查明的各项问题是否已经得到解决。在第七十二次会议上查明的 13 项问题中，两项问题已经得到解决，另有两项问题得到部分解决。该次会议提出的问题将继续作为新的报告的主题。

**建议**

32. 谨请执行委员会：

- (a) 注意到：
  - (一) 环境规划署载于 UNEP/OzL.Pro/ExCom/73/13 号文件截至 2013 年 12 月 31 日的进度报告；
  - (二) 环境规划署将向第七十四次会议报告至多 5 项在综合进度报告（UNEP/OzL.Pro/ExCom/73/10）附件三附录一中列为执行拖延的项目；
- (b) 要求环境规划署向第七十四次会议提交补充情况报告，以便监测：
  - (一) 智利和危地马拉甲基溴多年期协定项目核准资金的资金发放率；
  - (二) 欧洲和中亚地区消耗臭氧层物质废物管理和处理区域战略示范项目（EUR/DES/69/DEM/13）核准资金的资金发放率；
  - (三) 毛里塔尼亚（MAU/PHA/55/PRP/20）和南苏丹（SSD/PHA/70/PRP/02）提交的氟氯烃淘汰管理计划；

- (四) 已经核准一年以上的以下氟氯烃淘汰管理计划核准的资金的发放率低于 10% 的活动：巴巴多斯、多米尼克、萨尔瓦多、厄立特里亚、海地、科威特、菲律宾、苏里南和乌干达；
  - (五) 已经核准一年以上的以下氟氯烃淘汰管理计划协定签署和核准的资金发放率低于 10% 的活动：巴林、危地马拉、伊拉克、缅甸、秘鲁、土耳其、委内瑞拉玻利瓦尔共和国和也门；
  - (六) 以下体制建设项目核准资金的资金发放率：安哥拉 (ANG/SEV/69/INS/11)、巴林 (BAH/SEV/68/INS/25)、博茨瓦纳 (BOT/SEV/68/INS/15)、文莱达鲁萨兰国 (BRU/SEV/67/INS/14)、中非共和国 (CAF/SEV/68/INS/23)、朝鲜民主主义人民共和国 (DRK/SEV/68/INS/57)、厄瓜多尔 (ECU/SEV/59/INS/43)、厄立特里亚 (ERI/SEV/68/INS/12)、斐济 (FIJ/SEV/67/INS/24)、伊拉克 (IRQ/SEV/69/INS/18)、牙买加 (JAM/SEV/68/INS/31)、肯尼亚 (KEN/SEV/69/INS/54)、科威特 (KUW/SEV/68/INS/22)、利比里亚 (LIR/SEV/69/INS/20)、毛里塔尼亚 (MAU/SEV/57/INS/23)、摩洛哥 (MOR/SEV/59/INS/63)、秘鲁 (PER/SEV/68/INS/45)、沙特阿拉伯 (SAU/SEV/67/INS/15)、塞拉利昂 (SIL/SEV/69/INS/26)、东帝汶 (TLS/SEV/69/INS/10) 和越南 (VIE/SEV/68/INS/60)；
- (c) 由于伊拉克的政治和安全局势问题对执行国家淘汰计划产生的问题，考虑将核准的完成日期从 2014 年 12 月延到 2015 年 12 月。

----

Annex I

UNEP PROJECT IMPLEMENTATION BY COUNTRY

Country	Estimated funds disbursed in 2013 (US \$)	Funds disbursed in 2013 (US \$)	Percentage of funds disbursed over estimation in 2013	Percentage of planned projects completed in 2013
Afghanistan	119,429	88,750	74%	100%
Albania	114,044	67,431	59%	100%
Algeria	128,700	0	0%	0%
Angola	60,000	60,000	100%	100%
Antigua and Barbuda	116,326	59,495	51%	100%
Argentina	0	0		
Armenia	5,515	5,515	100%	100%
Bahamas (the)	79,040	73,098	92%	67%
Bahrain	430,917	1,020	0%	33%
Bangladesh	230,000	82,280	36%	0%
Barbados	153,262	47,161	31%	100%
Belize	116,426	112,200	96%	100%
Benin	96,186	92,500	96%	100%
Bhutan	117,910	85,528	73%	100%
Bolivia (Plurinational State of)	47,367	47,367	100%	
Botswana	114,259	18,043	16%	0%
Brunei Darussalam	161,856	13,178	8%	
Burkina Faso	82,280	47,520	58%	100%
Burundi	87,000	28,774	33%	33%
Cambodia	94,707	85,168	90%	100%
Cameroon	109,773	69,890	64%	0%
Cabo Verde	97,744	74,482	76%	100%
Central African Republic (the)	127,620	37,620	29%	50%
Chad	56,130	51,130	91%	100%
Chile	157,517	14,590	9%	0%
China	1,881,101	175,517	9%	0%
Colombia	50,784	21,648	43%	0%
Comoros (the)	29,500	15,600	53%	50%
Congo (the)	48,441	43,441	90%	50%
Cook Islands (the)	90,375	36,000	40%	
Costa Rica	0	0		
Cote d'Ivoire	297,854	72,362	24%	
Croatia	52,557	52,557	100%	0%
Cyprus	0	0		
Democratic People's Republic of Korea (the)	293,550	19,550	7%	50%
Democratic Republic of the Congo (the)	53,233	53,233	100%	100%
Djibouti	96,885	71,151	73%	100%
Dominica	213,211	120,058	56%	50%
Dominican Republic (the)	159,333	73,000	46%	100%
Ecuador	373,242	70,825	19%	75%
El Salvador	175,535	20,339	12%	
Equatorial Guinea	89,293	0	0%	33%
Eritrea	225,000	2,000	1%	25%

Country	Estimated funds disbursed in 2013 (US \$)	Funds disbursed in 2013 (US \$)	Percentage of funds disbursed over estimation in 2013	Percentage of planned projects completed in 2013
Ethiopia	170,161	116,580	69%	67%
Fiji	82,900	24,000	29%	
Gabon	129,447	62,324	48%	50%
Gambia (the)	80,339	53,400	66%	0%
Georgia	0	0		
Ghana	0	0		
Global	10,177,192	8,282,401	81%	75%
Grenada	172,338	67,905	39%	75%
Guatemala	262,717	13,254	5%	25%
Guinea	57,981	51,879	89%	100%
Guinea-Bissau	75,232	56,490	75%	100%
Guyana	106,784	15,132	14%	0%
Haiti	170,571	17,408	10%	50%
Honduras	141,145	45,091	32%	100%
India	497,089	75,851	15%	0%
Iran (Islamic Republic of)	258,707	104,671	40%	
Iraq	1,196,071	19,031	2%	0%
Jamaica	71,000	7,055	10%	50%
Kenya	37,800	37,800	100%	100%
Kiribati	54,000	38,076	71%	100%
Kuwait	675,794	10,046	1%	0%
Kyrgyzstan	122,140	52,640	43%	100%
Lao People's Democratic Republic (the)	172,109	74,709	43%	
Lebanon	0	0		
Lesotho	60,919	20,419	34%	
Liberia	24,500	19,500	80%	100%
Madagascar	59,663	54,663	92%	100%
Malawi	55,549	45,549	82%	100%
Malaysia	0	0		
Maldives	252,108	146,935	58%	100%
Mali	38,276	24,000	63%	100%
Malta	0	0		
Marshall Islands (the)	57,454	43,477	76%	100%
Mauritania	192,045	12,158	6%	0%
Mauritius	40,500	0	0%	0%
Micronesia (Federated States of)	87,807	38,394	44%	
Mongolia	44,205	31,182	71%	100%
Morocco	194,202	22	0%	0%
Mozambique	131,087	56,100	43%	50%
Myanmar	220,961	24,058	11%	0%
Namibia	60,000	33,000	55%	100%
Nauru	88,000	34,501	39%	
Nepal	169,740	58,720	35%	0%
Nicaragua	100,534	66,363	66%	
Niger (the)	69,212	68,274	99%	100%
Nigeria	0	0		
Niue	58,000	36,688	63%	100%

Country	Estimated funds disbursed in 2013 (US \$)	Funds disbursed in 2013 (US \$)	Percentage of funds disbursed over estimation in 2013	Percentage of planned projects completed in 2013
Oman	76,000	23,500	31%	
Pakistan	99,494	73,507	74%	100%
Palau	61,973	45,643	74%	100%
Panama	100,793	68,362	68%	
Papua New Guinea	0	0		
Paraguay	189,502	138,240	73%	50%
Peru	220,584	3,815	2%	33%
Philippines (the)	1,960,865	233,918	12%	100%
Qatar	211,000	0	0%	0%
Region: AFR	9,360	6,676	71%	
Region: ASP	130,480	174,994	134%	
Region: EUR	0	3,887		
Region: LAC	44,872	40,763	91%	
Republic of Moldova (the)	66,134	29,690	45%	
Rwanda	74,154	57,167	77%	67%
Saint Kitts and Nevis	202,649	134,853	67%	100%
Saint Lucia	82,682	55,000	67%	100%
Saint Vincent and the Grenadines	85,278	80,926	95%	100%
Samoa	61,618	46,246	75%	100%
Sao Tome and Principe	75,992	28,670	38%	50%
Saudi Arabia	731,645	21,792	3%	50%
Senegal	69,044	68,067	99%	100%
Serbia	18,173	12,816	71%	0%
Seychelles	26,996	13,496	50%	100%
Sierra Leone	107,355	82,405	77%	33%
Solomon Islands	77,511	49,693	64%	100%
Somalia	84,902	19,500	23%	
South Sudan	40,000	25,000	63%	
Sri Lanka	74,119	66,663	90%	
Sudan (the)	94,019	134,609	143%	100%
Suriname	140,992	112,416	80%	50%
Swaziland	159,473	139,498	87%	75%
Syria	65,631	64,401	98%	
Thailand	0	0		
Timor-Leste	71,245	20,033	28%	50%
Togo	83,024	38,667	47%	100%
Tonga	40,987	23,306	57%	100%
Trinidad and Tobago	25,000	0	0%	0%
Turkey	103,450	0	0%	
Turkmenistan	0	0		
Tuvalu	69,093	24,058	35%	100%
Uganda	83,489	0	0%	50%
United Republic of Tanzania (the)	147,500	127,500	86%	100%
Uruguay	0	0		
Vanuatu	84,987	57,931	68%	100%
Venezuela (Bolivarian Republic of)	89,842	3,213	4%	0%
Viet Nam	136,822	17,846	13%	100%

<b>Country</b>	<b>Estimated funds disbursed in 2013 (US \$)</b>	<b>Funds disbursed in 2013 (US \$)</b>	<b>Percentage of funds disbursed over estimation in 2013</b>	<b>Percentage of planned projects completed in 2013</b>
Yemen	714,998	4,612	1%	25%
Zambia	97,546	82,546	85%	100%
Zimbabwe	32,747	82,747	253%	100%
<b>Grand total</b>	<b>30,974,201</b>	<b>14,688,439</b>	<b>47%</b>	<b>59%</b>

---



**UNEP**  
**PROGRESS AND FINANCIAL REPORT**  
**1 JANUARY – 31 DECEMBER 2013**

PRESENTED TO THE  
72ND MEETING OF THE EXECUTIVE COMMITTEE  
OF THE MULTILATERAL FUND FOR THE IMPLEMENTATION  
OF THE MONTREAL PROTOCOL

5 May 2014



United Nations Environment Programme

## Contents

<b>I. PROJECT APPROVALS AND DISBURSEMENTS</b> .....	4
A. Annual Summary Data.....	4
B. Interest.....	4
C. Summary Data by Type (CPG, DEM, INS, INV, PRP, TAS, TRA) .....	4
D. Multi-year Agreements .....	5
<b>II. PROJECT COMPLETIONS SINCE LAST REPORT</b> .....	5
A. ODP Phased Out Since Last Report.....	5
B. Non-Investment Project Completions Since Last Report .....	5
(a) Global Projects.....	6
(b) Regional Projects .....	6
<b>IV. Performance Indicators</b> .....	7
(a) Agency Business Plan Performance Goals .....	7
(b) Cumulative Completed Investment Projects.....	7
(c) Cumulated Completed Non-Investment Projects.....	7
(d) Cumulative Ongoing Investment Projects .....	7
(e) Cumulative Ongoing Non-Investment Projects .....	7
<b>V. STATUS OF AGREEMENTS AND PROJECT PREPARATION (WHERE APPLICABLE), BY COUNTRY</b> 8	
(a) Agreements to be signed/executed/finalized and when they will be ready for disbursing .....	8
(b) Project Preparation by country, approved amount, and amount disbursed .....	8
<b>VI. ADMINISTRATIVE ISSUES (OPERATIONAL, POLICY, FINANCIAL, AND OTHER ISSUES).....</b>	8
(a) Meetings Attended .....	8
(b) Implementing Agency and Other Co-operation .....	9
(c) Other Issues.....	9
<b>Annex I: Country Developments</b> .....	10
A. Highlights of projects and activities (other than IS) .....	10
B. Institutional strengthening.....	11
C. Activities that were provided by UNEP from its administrative costs .....	12
D. Activities conducted by UNEP to assist other agencies.....	12

## INTRODUCTION

The United Nations Environment Programme (UNEP) is submitting this Annual Financial and Progress Report (APR) for the consideration of the 72nd meeting of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol. This report covers the period 1 January to 31 December 2013 with the most current 2013 project status provided in the Annex I.

UNEP followed the Multilateral Fund's Revised Operational Guidelines for Progress and Financial Reporting (Third Revision, 24 February 2014), as well as guidance received during the 2014 Inter-Agency Coordination Meeting when developing this APR.

This Progress Report details project implementation assistance UNEP provided to developing countries operating under Article 5 of the Montreal Protocol ("Article 5 countries") in accordance with UNEP's 2013-2015 Business Plan, which was endorsed by the 69th meeting of the Executive Committee.<sup>1</sup>

The focus and orientation of UNEP's work in 2013 continued to be defined by the evolving needs of Article 5 countries as they progress in their implementation of the Montreal Protocol, and as they meet and sustain compliance with specific obligations. UNEP met its Business Plan objectives by following four parallel tracks of assistance for Article 5 countries:

- Track 1: Enhance the capacity of Article 5 countries for implementing new responsibilities related to the HCFC phase-out.
- Track 2: Assist Article 5 countries to meet the complete phase out of methyl bromide in 2015 and ensure sustainable compliance with phase out targets already met.
- Track 3: Strengthen the capacity of Article 5 countries to ensure sustainable compliance of phase out targets already met.
- Track 4: Promote awareness, knowledge sharing and capacity building about substitutes and alternatives that minimize other impacts on the environment, including on the climate, in support of MOP Decision XIX/6.

UNEP delivered its Business Plan through project-related services at the global, regional and national levels, including new and ongoing projects related to HPMP preparation and implementation, Institutional Strengthening, and various other training and technical assistance projects.

UNEP offered assistance to a majority of Article 5 countries to achieve sustained compliance. UNEP prioritize and delivered assistance on the basis of countries' differentiated needs and priorities. In conformity with its mandate, UNEP dedicated most of its resources to addressing specific problems of low volume consuming countries (LVCs) and very low volume consuming countries (VLVCs). UNEP complemented, supported and backstopped the work of the other Implementing and bilateral Agencies in areas of its comparative advantage and expertise whenever opportune and agreed upon with the agencies concerned.

In 2013, UNEP in cooperation with the other Implementing and Bilateral Agencies provided significant advisory and project implementation support to Article 5 countries that resulted in

---

<sup>1</sup> UNEP/OzL.Pro/ExCom/69/9.

an overall high note of compliance with the Montreal Protocol and its Amendments by those countries.

UNEP has previously presented to the 70<sup>th</sup> meeting of the Executive Committee a partial CAP Progress Report for the period January- October 2013 as part of its 2014 Compliance Assistance Programme (CAP) budget request.<sup>2</sup> UNEP will present the details of the balance support provided by CAP in 2013 (i.e. November-December) to the 73<sup>rd</sup> Meeting of the Executive Committee as part of UNEP's 2015 CAP budget request.

## **I. PROJECT APPROVALS AND DISBURSEMENTS**

### **A. Annual Summary Data**

From June 1991 until the end of December 2013, the Executive Committee has approved 1,722 projects for implementation by UNEP (this does not include transferred or closed projects). The total funding approved plus adjustments excluding programme support costs (PSC) for UNEP during the same period was US\$ 228,829,716, which represents about 12% of the total funding allocation to date approved by the Multilateral Fund for the four Implementing Agencies.

During 2013, the Executive Committee approved 93 projects for UNEP to implement, including the 2013 CAP budget. The total value of UNEP's approvals in 2013 was US\$ 15,911,795 plus PSC of US\$ 1,124,065. The CAP budget was approved at a level of US\$ 9,338,000 and PSC of US\$ 747,041. Projects were approved at a total of US\$ 6,573,795 and PSC of US\$ 377,025.

See Annex 1: Annual Summary provides details.

### **B. Interest**

The interest accrued from 1991-2013 was US\$ 9,554,952 as follows:

Year	Interest (US\$)
1991-2012	9,358,233
2013	196,719
Total	9,554,952

### **C. Summary Data by Type (CPG, DEM, INS, INV, PRP, TAS, TRA)**

Of the 1,722 projects approved for UNEP from June 1991 until December 2013, 629 were time-sensitive Institutional Strengthening (IS) projects and 1,093 were objective-sensitive activities, including:

- 614 technical assistance projects (TAS)
- 196 training projects (TRA)
- 94 Country Programmes (CPG)

---

<sup>2</sup> UNEP/OzL.Pro/ExCom/71/23, Annex I A.

- 184 project preparations (PRP), including Refrigerant Management Plans (RMPs), Terminal Phase-out Management Plans (TPMPs), and HCFC Phase-out Management Plan (HPMP) preparation.

The above data include 93 projects that the Executive Committee approved in 2013 for UNEP implementation at the level of US\$ 15,911,795 plus PSC of US\$ 1,124,065. Of those, 43 were time-sensitive IS projects and 50 were objective-sensitive activities comprising:

- 38 technical assistance projects (TAS)
- 10 project preparations (PRP)
- 2 demonstration projects (DEM).

See Annex 1: Summary Data by Projects Type.

#### **D. Multi-year Agreements**

From June 1991 until the end of December 2013, the Executive Committee has approved a cumulative 170 MYAs for implementation by UNEP. The total funding approved plus adjustments excluding programme support costs (PSC) for UNEP during the same period was US\$ 44,835,108. UNEP has received a total of US\$ 28,923,473, out of which US\$ 16,442,265 has been disbursed (i.e. 57%).

## **II. PROJECT COMPLETIONS SINCE LAST REPORT**

### **A. ODP Phased Out Since Last Report**

UNEP only implements non-investment projects (i.e. capacity building and technical assistance). Due to the nature of these projects, the directly-associated ODP phase out is either zero or small according to the approved projects. The cumulative ODP phase-out achieved as a result of all completed UNEP projects to date is 1,550.9 ODP tonnes. Since the last APR, UNEP has achieved phase out of 0 ODP tonnes out of a target of 0 ODP tonnes.

### **B. Non-Investment Project Completions Since Last Report**

During 2013, UNEP completed 115 projects as follows for a total value of US\$ 18,153,831:

- Institutional Strengthening: 47
- Training: 0
- Technical assistance: 47
- Project preparation: 21
- Country Programme: 0

The completion of projects by region was as follows:

- Africa: 53
- Asia and the Pacific: 24
- Latin America and the Caribbean: 28
- Europe and Central Asia: 3

- West Asia: 4
- Global: 3

See Annex II, Completed Non-Investment Projects for details.

### III. GLOBAL AND REGIONAL PROJECT HIGHLIGHTS

#### (a) Global Projects

During this APR reporting period, UNEP implemented three global projects:

- Compliance Assistance Programme (CAP) budget (GLO/SEV/68/TAS/315). As per Executive Committee Decision 66/16, UNEP will present its detailed annual progress report on Compliance Assistance Programme (CAP) activities to the third meeting of each year in the context of the annual CAP approval, and to identify any project implementation impediments for the CAP project in the APR. UNEP has previously presented to the 70th meeting of the Executive Committee a partial CAP Progress Report for the period January- October 2013 as part of its 2014 Compliance Assistance Programme (CAP) budget request. UNEP will present the details of the balance support provided by CAP in 2013 (i.e. November-December) to the 73rd Meeting of the Executive Committee as part of UNEP's 2015 CAP budget request.
- Resource mobilization to address climate co-benefits for HCFC phase-out in low-volume-consuming countries with servicing sector only, in cooperation with other agencies (GLO/SEV/63/TAS/308). Ongoing project, approved April 2011 at US\$ 100,000, disbursement US\$ 57,268, balance US\$ 42,732.
- Development of a guide for sustainable refrigerated facilities and systems, in cooperation with the American Society of Heating, Refrigeration and Air Conditioning Engineers (ASHRAE) (GLO/SEV/66/TAS/314). Ongoing project, approved April 2012 at US\$ 200,000, disbursement US\$ 0, balance US\$ 200,000.

#### (b) Regional Projects

During this APR reporting period, UNEP implemented two regional projects:

- HCFC phase-out management plan for PIC countries through regional approach (stage I, first tranche) (ASP/PHA/63/TAS/55). Ongoing project, approved April 2011 at US\$ 134,000, disbursement US\$ 120,225, balance US\$ 13,775. Implemented through 12 individual projects (CKI/PHA/63/TAS/08, KIR/PHA/63/TAS/08, MAS/PHA/63/TAS/08, FSM/PHA/63/TAS/07, NAU/PHA/63/TAS/07, NIU/PHA/63/TAS/07, TTR/PHA/63/TAS/07, SAM/PHA/63/TAS/15, SOI/PHA/63/TAS/08, TON/PHA/63/TAS/07, TUV/PHA/63/TAS/08, VAN/PHA/63/TAS/09).
- Promoting low-global warming potential refrigerants for air-conditioning sectors in high ambient temperature countries in West Asia (ASP/REF/69/DEM/56). Ongoing project, approved April 2013 at US\$ 155,000, disbursement US\$ 53,514, balance US\$ 101,486.

#### IV. Performance Indicators

##### (a) Agency Business Plan Performance Goals

The 69<sup>th</sup> Executive Committee adopted the following performance indicators for UNEP for 2013:<sup>3</sup>

Item	2013 Targets	UNEP assessment of 2013 results achieved
Number of annual programmes of multi-year agreements approved versus those planned	49	37
Number of individual projects/activities (investment and demonstration projects, TAS, institutional strengthening) approved versus those planned	60	56
Milestone activities completed/ODS levels achieved for approved multi-year annual tranches versus those planned	46	44
ODS phased-out for individual projects versus those planned per progress reports	0.0 ODP tonnes	0.0 ODP tonnes
Project completion (pursuant to decision 28/2 for investment projects) and as defined for non-investment projects versus those planned in progress reports	36	115
Number of policy/regulatory assistance completed versus that planned	100% of countries listed in the annex of UNEP's 2013 CAP Budget either received assistance or assistance was offered	100%
Speed of financial completion versus that required per progress report completion dates	On time	Target met: on time
Timely submission of project completion reports versus those agreed	On time	Target met: on time
Timely submission of progress reports and responses unless otherwise agreed	On time	Target met: on time

##### (b) Cumulative Completed Investment Projects

Not applicable to UNEP.

##### (c) Cumulated Completed Non-Investment Projects

UNEP has completed a total of 1,274 non-investment projects excluding project preparation assistance. Of the total amount of US\$ 182,724,913 approved 99% has been disbursed. It took an average 10 months from first approval and 34 months from approval to completion. The breakdown and details are provided in the Annexes.

##### (d) Cumulative Ongoing Investment Projects

Not applicable to UNEP.

##### (e) Cumulative Ongoing Non-Investment Projects

<sup>3</sup> UNEP/OzL.Pro/ExCom/69/40, Annex VI.

UNEP has 261 ongoing on investment projects excluding project preparation assistance. Of the total amount of US\$ 36,096,212, approved 22% has been disbursed. It took an average of 12 months from approval for the first disbursement. The average number of months from approval to planned completion is 39 months. The breakdown and details are provided in the Annexes.

## **V. STATUS OF AGREEMENTS AND PROJECT PREPARATION (WHERE APPLICABLE), BY COUNTRY**

### **(a) Agreements to be signed/executed/finalized and when they will be ready for disbursing**

As of December 2013, UNEP is assisting 106 countries with the implementation of there is projects.<sup>4</sup> During 2013, the Executive Committee approved 43 IS renewal projects for UNEP implementation. The breakdown of countries supported by UNEP through IS projects is as follows:

Region	Number of Countries Supported by UNEP	Number of IS Renewals Approved in 2013
Africa	47	26
Asia Pacific	26	14
West Asia	5	1
Europe & Central Asia	5	1
Latin America & Caribbean	23	1
Total	106	43

### **(b) Project Preparation by country, approved amount, and amount disbursed**

UNEP has 173 completed project preparation activities totaling US\$ 8,578,959, of which 91% has been disbursed. It took an average 10 months from first approval and 31 months from approval to completion. As of 30 December 2013, UNEP also has 11 ongoing project preparation activities totaling US\$ 385,000, of which 6% has been disbursed.

## **VI. ADMINISTRATIVE ISSUES (OPERATIONAL, POLICY, FINANCIAL, AND OTHER ISSUES)**

### **(a) Meetings Attended**

<sup>4</sup> Afghanistan, Albania, Algeria, Angola, Antigua and Barbuda, Bahamas, Bahrain, Barbados, Belize, Benin, Bhutan, Bolivia, Botswana, Brunei Darussalam, Burkina Faso, Burundi, Cambodia, Cameroon, Cape Verde, Central African Republic, Chad, Comoros, Congo, Cook Islands, Cote d'Ivoire, Croatia, DPR Korea, DR Congo, Djibouti, Dominica, Dominican Republic, Ecuador, El Salvador, Equatorial Guinea, Eritrea, Ethiopia, Fiji, Gabon, Gambia, Grenada, Guatemala, Guinea, Guinea-Bissau, Guyana, Haiti, Honduras, Iraq, Jamaica, Kenya, Kiribati, Kuwait, Kyrgyzstan, Lao People's Democratic Republic, Lesotho, Liberia, Madagascar, Malawi, Maldives, Mali, Marshall Islands, Mauritania, Mauritius, Micronesia FS, Mongolia, Morocco, Mozambique, Myanmar, Namibia, Nauru, Nepal, Nicaragua, Niger, Niue, Palau, Panama, Paraguay, Peru, Philippines, Republic of Moldova, Rwanda, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Samoa, Sao Tome and Principe, Saudi Arabia, Senegal, Seychelles, Sierra Leone, Solomon Islands, Somalia, South Sudan, Sudan, Suriname, Swaziland, Tanzania, Timor Leste, Togo, Tonga, Tuvalu, Uganda, Vanuatu, Vietnam, Yemen, Zambia, Zimbabwe.



The meetings in which UNEP participated in 2013 were:

Meeting	Venue and dates
Inter-Agency Coordination meeting	30 January-1 February 2013, Montreal
69 <sup>th</sup> Executive Committee meeting	15-19 April 2013, Montreal
50th Implementation Committee meeting	21-22 June 2013, Bangkok
33rd Open-Ended Working Group meeting	24-28 June 2013, Bangkok
70 <sup>th</sup> Executive Committee meeting	1-5 July 2013, Bangkok
Inter-Agency Coordination meeting	24-25 September, Montreal
51st Implementation Committee meeting	18-19 October 2013, Bangkok
25th Meeting of the Parties to the Montreal Protocol	21-25 October 2013, Bangkok
71 <sup>st</sup> Executive Committee meeting	2-6 December 2013, Montreal

UNEP will provide an update of the meetings organized by CAP itself as part of the 2014 CAP progress report to be submitted to the 73<sup>rd</sup> meeting of the Executive Committee.

**(b) Implementing Agency and Other Co-operation**

UNEP’s work under the Multilateral Fund is performed in close cooperation and coordination with the Multilateral Fund Secretariat and Ozone Secretariat, the other Implementing Agencies (UNDP, UNIDO, World Bank), bilateral agencies, and other partners at the international, regional and national levels.

HPMP implementation. UNEP implements many of its projects on the ground in countries jointly with other Implementing Agencies, where each agency co-implements complementary parts of a single project. For example, in 2013 UNEP was the Lead Agency for the HPMP implementation for 71 Article 5 countries, and the Cooperating Agency for the HPMPs of 28 countries. UNEP cooperated with UNIDO (55 countries), UNDP (32 countries), Germany (1 country) and Japan (1 country) for HPMP implementation. As a good example of inter-agency cooperation, UNIDO and UNEP together assist 25 countries in the sub-Saharan African region with the implementation of their HPMPs. The first joint meeting, organized by the two implementing agencies in Vienna in November 2013 brought together National Ozone Officers from sub-Saharan African countries. The meeting resulted in a better understanding of country-specific needs and identified challenges at the national and sub-regional level. Future planned activities will be included in the respective agency work plans.

Promoting low-global warming potential refrigerants for air-conditioning sectors in high ambient temperature countries in West Asia (ASP/REF/69/DEM/56). UNEP and UNIDO cooperated to develop and submit the proposal for. Throughout the APR reporting period and until present, the two agencies have been implementing the project in close cooperation and coordination.

**(c) Other Issues**

International Public Sector Accounting Standards (IPSAS). Regarding UNEP CAP during the transition to IPSAS, the issue has been raised in the document on “UNEP’s Compliance Assistance Programme (CAP) Budget for 2014” (UNEP/OzL.Pro/ExCom/71/23). Specifically, in paragraph 4 “UNEP mentioned that the adoption of the International Public

Sector Accounting Standards (IPSAS) starting January 2014 may impact the return of the balance of CAP funds in future years. UNEP will report to the Executive Committee on the implications of the IPSAS system in meeting the requirements of decision 35/36(d), in future CAP requests.” The implications of the transition to the IPSAS system will be considered during the submission of the report on the return of balances to 73rd Executive Committee meeting.

## **Annex I: Country Developments**

### **A. Highlights of projects and activities (other than IS)**

Iran (Islamic Republic of). In September 2004, UNEP signed a Memorandum of Understanding with the Government of Iran for US\$ 100,000 for the implementation of Multilateral Fund project “Implementation of the National CFC Phase-out Plan: Policy and Regulatory Component Project in the Islamic Republic of Iran” (IRA/PHA/41/TAS/161). UNEP transferred US\$ 90,000 to Iran and so far only US\$ 30,000 has been reported by the recipient government. Since September 2008, UNEP has been consistently and regularly following up with the Government requesting an accounting of the outstanding US\$ 60,000. Most recently during the Iran HPMP Inter-Agency Meeting on 2 March 2014 in Teheran, UNEP and NOU informed UNDP, UNIDO and GIZ about this issue with its background information. NOU is in consultation with other governmental departments such as DIWI to identify a way forward. To avoid a similar situation from re-occurring under the HPMP, UNEP has requested the establishment of a dedicated Government bank account to transfer funds for the implementation of future activities.

Mauritania. UNEP had suspended activities in the Mauritania since 2008 and is holding US\$ 206,000 of already approved activities. There is an ongoing OIOS investigation of the charges that went through the UNDP office in Mauritania. There has not been any communication on the outcome of the investigation. UNEP Senior Management undertook a mission to Mauritania early 2014. In light of the current problems, we are unable to assist the country. Discussions are ongoing to resolve the situation.

Nepal. The project Destruction of confiscated ODS (NEP/DES/59/TAS/27) has achieved considerable progress during this APR period (project is ongoing). In the year 2004, 74 ODP tons of CFCs were confiscated in Nepal. Approximately 10 MT of CFCs were in stocks at Birgunj, Nepal. In the 20th Meeting of Parties, Nepal requested guidance from Parties on continued use of these CFCs post 2010. In this context, Nepal proposed to consider options for destruction of this quantity of CFCs. If destroyed, it would also achieve twin benefits of compliance and Green House Gas (GHG) emission reduction; otherwise the ODS would slowly be released into the atmosphere from the cylinders in which they are stored. Such a scenario in Nepal is a classic representative of a Low Volume Consumption Country (LVC) in the Asian and the Pacific region, where there is no guidance on how to treat such unwanted CFC stocks (collected or seized). Thereafter, based on the guidance of the Meeting of Parties to the Montreal Protocol on encouraging ODS destruction in Article 5 Parties, Multilateral Fund (MLF) approved a pilot project on destruction of Nepal ODS stock at its 57th meeting. United Nations Environment Program (UNEP) is spearheading the Nepal ODS Destruction Project as an important step to explore various options for destruction of small stocks in LVCs. The project is in its final stages of completion and it could provide us with a model for replication with other LVCs. UNEP’s partner EOS Climate has been seeking

buyers and in June 2013 established a marketing agreement with The Carbon Neutral Company, a leading retailer of voluntary carbon credits. The project was a pilot project with demonstration capabilities. There are implications of this project for Article 5 countries on leveraging carbon-finance with their collected or potential ODS waste. The project demonstrates how unwanted ODS can be disposed of safely and cost-effectively in collaboration with the private sector, leveraging state-of-the-art technologies, operational systems, and when the credits are ultimately sold, carbon finance. The state of the carbon markets has drastically changed since 2010 when the project was initially conceived, adding a challenge to sale of the credits. The partners remain intent on following through on the final step which is to sell the credits and demonstrate to the Parties that carbon finance is a viable mechanism to address remaining ODS banks.

Saudi Arabia (Kingdom of). As regards the delays in implementation of the following project: HCFC phase-out management plan (stage I, first tranche) (polyurethane foam sector plan) (SAU/PHA/68/TAS/18), the following information is relevant: due to changes in government structure and no bank account for the transfer of the funds, there was a delay of 15 months in signing the Small Scale Financial Agreement (SSFA) by the Government and the current expenditure is 14.1%. UNEP is currently exploring alternative modality options for implementing the project including cooperation and contracting other national partners and/or through the UNDP office in Saudi Arabia.

South Sudan. The development of an HPMP in South Sudan was approved in 2013. The project is assisting South Sudan to prepare its phase-out management plan for an accelerated HCFC phase-out, including conducting of detailed national survey to assist the country establishing baseline data on HCFCs. Due to the political situation, only two missions were undertaken to the country. However, despite the challenges, UNEP is pleased to report that the National Ozone Unit has been established, baseline data are being collected, and UNEP assistance is ongoing in particular with regard to the licensing and quota systems.

## **B. Institutional strengthening**

Algeria. The delay in extension of the institutional strengthening project (phase V) (ALG/SEV/57/INS/69) was due to the long period of absence of a National Ozone Officer. The Government has recently informed UNEP that it has appointed a new Ozone Officer.

Ecuador. While there has been some progress with the IS project (ECU/SEV/59/INS/43), there has been a low disbursement in 2013 due to the difficulty to open a special bank account to enable funds transfers to the National Ozone Unit. UNEP is pleased to report that the necessary bank account was operational by the end of 2013.

Haiti. UNEP experienced considerable delays in the implementation of the IS project activities in 2013. However, despite numerous challenges facing the country, UNEP is pleased to report that the new National Ozone Officer was recruited and contracts are now signed with the Government. UNEP contracted two consultants to assist the country with data collection and training. Both UNEP and the consultants undertook field visits. Recently, 50 refrigeration technicians were trained in recovery/recycling and conversion technology. As of end 2013, previous reports related to the country's IS project are still outstanding and UNEP is following up with the National Ozone Unit accordingly.

Uganda. Uganda has implemented its RMP, TPMP, methyl bromide projects and its HPMP preparation. The country's HPMP was approved at the 68th Executive Committee meeting (UGA/PHA/68/TAS/16). The IS project for Uganda (UGA/SEV/13/INS/02) was approved at 13th Meeting in July 1994, and the Executive Committee has approved the following amounts for institutional strengthening projects: US \$64,515 in Uganda. No IS renewal was approved after this date, and Uganda has not requested IS funding for about 17 years.

#### **C. Activities that were provided by UNEP from its administrative costs**

As per the approved 2013 CAP budget,<sup>5</sup> UNEP funded two posts from programme support costs in 2013 to provide administrative and financial support for the programme:

- Monitoring & Administration Officer P4 (budget line 1104)
- Assistant Monitoring & Administration G6 (budget line 1304).

#### **D. Activities conducted by UNEP to assist other agencies**

Implementing joint activities with partner implementing agencies, proved to be cost effective and strategic in meeting country and regional needs. Three examples are highlighted below.

UNEP in cooperation with UNIDO organized the Start-up workshop for implementation of the Regional Strategy for ODS Waste Management and Disposal in the ECA Region with the overall goal to assist Bosnia and Herzegovina, Croatia, and Montenegro in development of the Regional Cooperation Forum (RCF). The aim was to review the existing legislation in all countries international ODSs transport and disposal.

Moreover, in providing compliance assistance services to countries, UNEP teamed up with other agencies to field joint missions to country's for a better focused delivery at national level. Examples of these are Pakistan, India, Iran, China, Kuwait, etc.

---

<sup>5</sup> UNEP/OzL.Pro/ExCom/68/20.

## ANNEX VI: Completed non-investment projects 2013

Project title	Code	Type	Date completed actual
<b>AFRICA</b>			
Extension of the institutional strengthening project (phase III)	ANG/SEV/63/INS/09	INS	Jan-13
Terminal phase-out management plan (second tranche)	BDI/PHA/62/TAS/26	TAS	Dec-13
HCFC phase-out management plan (stage I, first tranche)	BEN/PHA/63/TAS/25	TAS	Mar-13
Renewal of institutional strengthening project (phase VII)	BEN/SEV/62/INS/24	INS	Dec-13
HCFC phase-out management plan (stage I, first tranche)	BKF/PHA/62/TAS/29	TAS	Dec-13
Extension of the institutional strengthening project (phase V)	CAF/SEV/57/INS/18	INS	Mar-13
HCFC phase-out management plan (stage I, first tranche)	CBI/PHA/64/TAS/16	TAS	Jul-13
Extension of the institutional strengthening project (phase III)	CBI/SEV/57/INS/14	INS	Mar-13
HCFC phase-out management plan (stage I, first tranche)	CHD/PHA/62/TAS/21	TAS	Jun-13
Extension of the institutional strengthening project (phase V: 1/2012-12/2013)	CHD/SEV/65/INS/23	INS	Aug-13
HCFC phase-out management plan (stage I, first tranche)	COI/PHA/64/TAS/19	TAS	Sep-13
Preparation of a HCFC phase-out management plan	DJI/PHA/55/PRP/14	PRP	May-13
Preparation of a HCFC phase-out management plan (additional funding)	DJI/PHA/58/PRP/16	PRP	Dec-13
Terminal phase out management plan for CFCs (second tranche)	DJI/PHA/59/TAS/17	TAS	Dec-13
Extension of the institutional strengthening project (phase IV: 7/2011-6/2013)	DJI/SEV/64/INS/18	INS	Apr-13
HCFC phase-out management plan (stage I, first tranche)	DRC/PHA/63/TAS/35	TAS	Mar-13
Extension of institutional strengthening project (phase VI)	DRC/SEV/62/INS/34	INS	Jun-13
Terminal phase-out management plan (first tranche)	EQG/PHA/57/TAS/04	TAS	Dec-13
Preparation of a HCFC phase-out management plan	ERI/PHA/55/PRP/07	PRP	Jul-13
Preparation of a HCFC phase-out management plan	ETH/PHA/56/PRP/19	PRP	Jul-13
Extension of the institutional strengthening project (phase IV)	ETH/SEV/50/INS/16	INS	Dec-13
Extension of the institutional strengthening project (phase VII)	GAB/SEV/62/INS/28	INS	Dec-13
Preparation of a HCFC phase-out management plan	GBS/PHA/57/PRP/12	PRP	Dec-13
HCFC phase-out management plan (stage I, first tranche)	GBS/PHA/65/TAS/14	TAS	Nov-13
Extension of the institutional strengthening project (phase VII)	GUI/SEV/63/INS/25	INS	Mar-13
Extension of institutional strengthening project (phase VIII)	KEN/SEV/62/INS/51	INS	Aug-13
Extension of the institutional strengthening project (phase IV)	LIR/SEV/63/INS/19	INS	Oct-13
HCFC phase-out management plan (stage I, first tranche)	MAG/PHA/62/TAS/21	TAS	Dec-13
Preparation of a HCFC phase-out management plan	MLI/PHA/55/PRP/25	PRP	Jun-13
HCFC phase-out management plan (stage I, first tranche)	MLI/PHA/63/TAS/29	TAS	Mar-13
HCFC phase-out management plan (stage I, first tranche)	MLW/PHA/62/TAS/31	TAS	Dec-13
Terminal phase-out management plan (second tranche)	MOZ/PHA/59/TAS/18	TAS	Sep-13
Extension of institutional strengthening project (phase VI)	NAM/SEV/59/INS/16	INS	Nov-13
Extension of institutional strengthening project (phase VIII: 1/2012-12/2013)	NER/SEV/65/INS/26	INS	Aug-13
Extension of the institutional strengthening project (phase VII: 7/2011-6/2013)	PRC/SEV/64/INS/24	INS	Oct-13
Terminal phase-out management plan for CFCs (second tranche)	RWA/PHA/57/TAS/16	TAS	Apr-13
Extension of the institutional strengthening project (phase IV: 7/2011-6/2013)	RWA/SEV/64/INS/20	INS	Apr-13
Extension of institutional strengthening project (phase IX: 1/2012-12/2013)	SEN/SEV/65/INS/32	INS	Sep-13
Extension of institutional strengthening project (phase V)	SEY/SEV/63/INS/18	INS	Dec-13
Terminal phase-out management plan (second tranche)	SIL/PHA/61/TAS/23	TAS	Dec-13
HCFC phase-out management plan (stage I, first tranche)	STP/PHA/63/TAS/19	TAS	Mar-13
Extension of institutional strengthening: phase VI	SUD/SEV/62/INS/27	INS	Dec-13
Terminal phase-out management plan (first tranche)	SWA/PHA/56/TAS/11	TAS	Dec-13
Terminal phase-out management plan (second tranche)	SWA/PHA/59/TAS/15	TAS	Jun-13
Extension of institutional strengthening project (phase IV)	SWA/SEV/59/INS/17	INS	Feb-13
HCFC phase-out management plan (stage I, first tranche)	TOG/PHA/62/TAS/21	TAS	Dec-13
Preparation of a HCFC phase-out management plan	UGA/PHA/56/PRP/14	PRP	Jul-13
Preparation of a HCFC phase-out management plan	URT/PHA/57/PRP/26	PRP	Jul-13
Terminal phase-out management plan (second tranche)	URT/PHA/58/TAS/27	TAS	Dec-13
Preparation of a HCFC phase-out management plan	ZAM/PHA/56/PRP/22	PRP	Apr-13
TPMP verification	ZAM/PHA/57/TAS/25	TAS	Apr-13

Project title	Code	Type	Date completed actual
HCFC phase-out management plan (stage I, first tranche)	ZAM/PHA/64/TAS/27	TAS	Jul-13
Renewal of the institutional strengthening project (phase VI)	ZIM/SEV/59/INS/41	INS	Mar-13
<b>ASIA &amp; PACIFIC</b>			
Extension of institutional strengthening project (phase V: 12/2011-11/2013)	AFG/SEV/64/INS/14	INS	Dec-13
HCFC phase-out management plan (first tranche)	BHU/PHA/63/TAS/16	TAS	Nov-13
Extension of institutional strengthening project (phase IV: 12/2011-11/2013)	BHU/SEV/64/INS/18	INS	Nov-13
Preparation of a HCFC phase-out management plan	DRK/PHA/64/PRP/56	PRP	Dec-13
HCFC phase-out management plan (phase I, first tranche)	KAM/PHA/61/TAS/23	TAS	Dec-13
Extension of institutional strengthening project (phase VI:12/2011-11/2013)	KAM/SEV/64/INS/25	INS	Dec-13
Renewal of institutional strengthening project (phase IV: 12/2011-11/2013)	KIR/SEV/64/INS/09	INS	Nov-13
Extension of the institutional strengthening project (phase IV: 12/2011-11/2013)	MAS/SEV/64/INS/09	INS	Nov-13
HCFC phase-out management plan (first tranche)	MDV/PHA/60/TAS/19	TAS	Dec-13
HCFC phase-out management plan (stage I, first tranche)	MON/PHA/63/TAS/17	TAS	Dec-13
Renewal of the institutional strengthening project (phase VII: 1/2012-12/2013)	MON/SEV/64/INS/19	INS	Dec-13
Extension of the institutional strengthening project (phase IV: 12/2011-11/2013)	NIU/SEV/64/INS/08	INS	Nov-13
HCFC phase-out management plan (stage I, first tranche)	PAK/PHA/62/TAS/80	TAS	Dec-13
Extension of institutional strengthening project (phase VIII: 1/2012-12/2013)	PHI/SEV/64/INS/92	INS	Dec-13
Extension of institutional strengthening project (phase VII)	PHI/SEV/65/INS/93	INS	Dec-13
Extension of institutional strengthening project (phase VI: 11/2011-10/2013)	SAM/SEV/64/INS/16	INS	Dec-13
Renewal of institutional strengthening project (phase IV: 12/2011-11/2013)	SOI/SEV/64/INS/09	INS	Dec-13
HCFC phase-out management plan (stage I, first tranche)	SRL/PHA/62/TAS/41	TAS	Dec-13
Institutional strengthening project (phase II, year 2: 1/2012-12/2012)	TLS/SEV/64/INS/08	INS	Dec-13
Renewal of institutional strengthening project (phase IV: 12/2011-11/2013)	TON/SEV/64/INS/08	INS	Nov-13
Renewal of institutional strengthening project (phase IV: 12/2011-11/2013)	TTR/SEV/64/INS/08	INS	Dec-13
Extension of the institutional strengthening project (phase III)	TUV/SEV/62/INS/07	INS	Dec-13
Extension of institutional strengthening project (phase III)	VAN/SEV/62/INS/08	INS	Dec-13
Extension of the institutional strengthening project (phase VIII)	VIE/SEV/63/INS/57	INS	Jun-13
<b>EUROPE</b>			
HCFC phase-out management plan (stage I, first tranche)	ALB/PHA/64/TAS/23	TAS	Jul-13
HCFC phase-out management plan (stage I, first tranche)	ARM/PHA/62/TAS/07	TAS	Dec-13
Extension of the institutional strengthening project (phase V)	KYR/SEV/61/INS/25	INS	Dec-13
<b>GLOBAL</b>			
Study on challenges associated with halon banking in developing countries	GLO/HAL/52/TAS/281	TAS	Jul-13
Global technical assistance programme in the chiller sector	GLO/REF/48/TAS/275	TAS	Jul-13
Compliance Assistance Programme: 2013 budget	GLO/SEV/68/TAS/315	TAS	Dec-13
<b>LATIN AMERICA &amp; CARIBBEAN</b>			
Preparation of a HCFC phase-out management plan	ANT/PHA/55/PRP/12	PRP	Mar-13
Preparation of a HCFC phase-out management plan	BAR/PHA/55/PRP/18	PRP	Dec-13
Renewal of institutional strengthening project (phase IV)	BAR/SEV/61/INS/19	INS	Jul-13
Preparation of a HCFC phase-out management plan	BHA/PHA/55/PRP/15	PRP	Dec-13
HCFC phase-out management plan (stage I, first tranche)	BHA/PHA/65/TAS/17	TAS	Dec-13
Renewal of institutional strengthening project (phase V)	BZE/SEV/59/INS/24	INS	Mar-13
Renewal of institutional strengthening project (phase VI: 4/2012-3/2014)	BZE/SEV/66/INS/27	INS	Dec-13
Terminal CFC phase-out management plan (fourth tranche)	DMI/PHA/61/TAS/18	TAS	Dec-13
HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing se	DOM/PHA/65/TAS/50	TAS	Nov-13
National CFC phase-out plan (third tranche)	ECU/PHA/61/TAS/48	TAS	Dec-13
National CFC phase-out plan (fourth tranche)	ECU/PHA/61/TAS/50	TAS	Dec-13
National CFC phase-out plan (fifth tranche)	ECU/PHA/61/TAS/52	TAS	Dec-13
Preparation of a HCFC phase-out management plan	GRN/PHA/55/PRP/13	PRP	Jun-13
Terminal phase-out management plan (third tranche)	GRN/PHA/59/TAS/15	TAS	Dec-13
Extension of the institutional strengthening project (phase III)	GRN/SEV/60/INS/17	INS	Dec-13
Preparation of a HCFC phase-out management plan	GUA/PHA/57/PRP/37	PRP	Dec-13
Preparation of a HCFC phase-out management plan	HAI/PHA/57/PRP/13	PRP	Dec-13
Terminal phase-out management plan for Annex A Group I substances (first tranche)	HAI/PHA/58/TAS/15	TAS	Jun-13

<b>Project title</b>	<b>Code</b>	<b>Type</b>	<b>Date completed actual</b>
Terminal phase-out management plan (second tranche)	HON/PHA/59/TAS/32	TAS	Dec-13
Extension of institutional strengthening project (phase VII)	JAM/SEV/62/INS/27	INS	Oct-13
Extension of institutional strengthening project (phase V)	PAR/SEV/61/INS/27	INS	Mar-13
Terminal phase-out management plan for Annex A Group I substances (first tranche)	PER/PHA/55/TAS/42	TAS	Dec-13
Preparation of a HCFC phase-out management plan	STK/PHA/56/PRP/14	PRP	Dec-13
Terminal CFC phase-out management plan (second and third tranches)	STK/PHA/56/TAS/12	TAS	Jul-13
Extension of institutional strengthening project (phase IV)	STK/SEV/59/INS/15	INS	Jul-13
HCFC phase-out management plan (stage I, first tranche)	STL/PHA/64/TAS/18	TAS	Aug-13
Preparation of a HCFC phase-out management plan	STV/PHA/55/PRP/13	PRP	Jun-13
Terminal phase-out management plan for Annex A Group I substances (first tranche)	SUR/PHA/56/TAS/15	TAS	Dec-13
<b>WEST ASIA</b>			
Preparation of a HCFC phase-out management plan	BAH/PHA/55/PRP/19	PRP	Aug-13
Preparation of a HCFC phase-out management plan	SAU/PHA/55/PRP/06	PRP	Dec-13
Institutional strengthening (establishment of Ozone Unit)	SAU/SEV/53/INS/02	INS	Feb-13
Preparation of a HCFC phase-out management plan	YEM/PHA/55/PRP/30	PRP	Dec-13

### Annex III: Report on expenditures under the CAP Budget

	Bud Ln	Component	Location			CAP 2013 Approved ExCom 68	Disbursement	Obligations	Total Expenditure (in US\$)
<b>10</b>	<b>PROJECT PERSONNEL COMPONENT</b>								
	<i>1100 Project personnel</i>								
		<b>Title</b>		<b>Grade</b>	<b>w/m</b>				
	1101	Head of Branch	Paris	D1	10	211,000	230,720	0	230,720
	1102	Network & Policy Manager	Paris	P5	12	230,000	192,897	0	192,897
	1103	Capacity Building Manager - Compliance	Paris	P4/P5	12	214,000	585	0	585
	1104	Information Manager	Paris	P4	12	201,000	174,420	0	174,420
	1105	Monitoring & Administration Officer <sup>1</sup>	Paris	P4	0	0	0	0	0
	1107	Programme Officer - HCFC	Paris	P3	12	169,000	154,825	0	154,825
	1108	Programme Officer - ECA / Paris	Paris / ECA	P3	12	169,000	138,975	0	138,975
	1109	Programme Officer - Information Technology	Paris	P4	12	185,000	129,447	0	129,447
	1110	ROA Programme Officer - HPMP	Nairobi	P4	12	185,000	185,073	0	185,073
	1111	ROA Programme Officer - Policy and Enforcement	Nairobi	P4	12	185,000	204,600	0	204,600
	1112	ROA Programme Officer	Nairobi	P3	12	152,000	39,116	1,130	40,246
	1113	ROA Programme Officer - Methyl Bromide	Nairobi	P3	12	152,000	183,063	0	183,063
	1114	ROLAC Regional Network Coordinator	Panama	P4	12	171,000	176,169	0	176,169
	1115	ROLAC Programme Officer - Policy and Enforcement	Panama	P4	12	171,000	13,900	32,600	46,500
	1116	ROLAC Programme Officer - HPMP	Panama	P3	12	146,000	172,955	0	172,955
	1117	ROLAC Programme Officer - Methyl Bromide	Panama	P3	12	146,000	150,691	0	150,691
	1118	ROAP Senior Regional Network Coordinator	Bangkok	P5	12	194,000	192,039	0	192,039
	1119	ROAP Programme Officer - Policy and Enforcement	Bangkok	P4	12	170,000	174,805	0	174,805
	1120	ROAP PIC Network Coordinator - HPMP	Bangkok	P4	12	170,000	187,689	0	187,689
	1121	ROAP Programme Officer - Technology Officer	Bangkok	P3	12	138,000	101,886	0	101,886
	1122	ROWA Regional Network Coordinator	Bahrain	P4	12	196,000	171,571	0	171,571
	1123	ROWA Programme Officer - HPMP	Bahrain	P4	12	196,000	190,220	0	190,220
	1124	ROWA Programme Officer - Policy and Enforcement	Bahrain	P3	12	165,000	194,865	0	194,865
	1125	ROA Senior Regional Network Coordinator	Nairobi	P5	12	214,000	225,217	0	225,217
	1126	ECA Regional Network Coordinator	ECA	P4	12	201,000	220,440	0	220,440
	1127	ROAP SEA Network Coordinator	Bangkok	P4	12	170,000	190,135	0	190,135
	<b>1199</b>	<b>Sub-total</b>				<b>4,501,000</b>	<b>3,996,305</b>	<b>33,730</b>	<b>4,030,035</b>
	<i>1300 Programme Assistance (General Service staff)</i>								
		<b>Title/Description</b>		<b>Grade</b>	<b>w/m</b>				
	1301	Secretary Chief	Paris	G6	12	105,000	96,744	0	96,744
	1302	Assistant Network Manager	Paris	G6	12	105,000	100,818	0	100,818
	1303	Assistant Clearinghouse	Paris	G6	12	105,000	108,037	0	108,037
	1304	Assistant Monitoring & Administration <sup>1</sup>	Paris	G6	0	0	0	0	0
	1305	Assistant ECA / Paris	Paris	G5	12	93,000	86,374	0	86,374
	1306	Assistant Programme	Paris	G5	12	93,000	84,346	0	84,346
	1307	Assistant Data & Documentation	Paris	G5	12	93,000	98,280	0	98,280
	1309	ROA RNC Assistant	Nairobi	G5	12	32,000	33,653	0	33,653
	1310	ROA Office Assistant	Nairobi	G6	12	39,000	43,423	0	43,423
	1311	ROLAC Office Assistant	Panama	G6	12	40,000	14,336	0	14,336
	1312	ROLAC RNC Assistant	Panama	G5	12	33,000	26,575	0	26,575
	1313	ROAP-SA RNC Assistant	Bangkok	G5	12	48,000	65,724	0	65,724
	1314	ROAP Office Assistant	Bangkok	G6	12	61,000	81,493	0	81,493
	1315	ROWA RNC Assistant	Bahrain	G6	12	57,000	68,924	0	68,924
	1316	ROWA Office Assistant	Bahrain	G6	12	57,000	65,877	0	65,877
	1317	Temporary assistance CAP				72,000	70,734	0	70,734
	1318	RNC ECA Assistant	ECA	G5	12	93,000	72,959	0	72,959
	1319	ROAP SEA Project Assistant	Bangkok	G4	12	43,000	57,537	0	57,537
	1320	ROLAC Office Assistant	Panama	G3	12	21,000	20,810	0	20,810
	1322	ROA Regional Assistant - HPMP	Nairobi	G6	12	35,000	337	21,106	21,444
	1323	ROLAC Regional Assistant - HPMP	Panama	G6	12	40,000	24,141	0	24,141
	1324	ROAP Regional Assistant - HPMP	Bangkok	G6	12	46,000	20,000	0	20,000
	1325	ROWA Regional Assistant - HPMP	Bahrain	G6	6	28,000	15,697	0	15,697
	<b>1399</b>	<b>Sub-total</b>				<b>1,339,000</b>	<b>1,256,819</b>	<b>21,106</b>	<b>1,277,925</b>
	<i>1600 Travel on official business (UNEP staff)</i>								
	1601	Paris staff travel	Paris			205,000	207,874	754	208,628
	1602	ROA staff travel	Nairobi			143,000	146,820	359	147,179
	1603	ROLAC staff travel	Panama			96,000	53,523	1,400	54,924



	Bud Ln	Component	Location		CAP 2013 Approved ExCom 68	Disbursement	Obligations	Total Expenditure (in US\$)
	1604	ROAP-SA staff travel	Bangkok		80,000	81,991	0	81,991
	1605	ROWA staff travel	Bahrain		60,000	43,292	4,172	47,465
	1606	ECA staff travel	ECA		26,000	18,501	0	18,501
	1699	<i>Sub-total</i>			610,000	552,002	6,686	558,687
	1999	<b>COMPONENT TOTAL</b>			<b>6,450,000</b>	<b>5,805,126</b>	<b>61,522</b>	<b>5,866,648</b>
20	SUB CONTRACT COMPONENT							
	2200	<i>Sub-contracts (MOUs/LAs for supporting organizations)</i>						
	2202	ROA Sub-contracts with supporting organizations	Nairobi		28,000	20,000	0	20,000
	2203	ROLAC Sub-contracts with supporting organizations	Panama		15,000	15,000	0	15,000
	2204	ROAP Sub-contracts with supporting organizations	Bangkok		20,000	0	0	0
	2205	ROWA Sub-contracts with supporting organizations	Bahrain		50,000	0	0	0
	2206	ECA Sub-contracts with supporting organizations	ECA		35,000	30,387	0	30,387
	2212	ROA Regional awareness raising	Nairobi		39,000	0	0	0
	2213	ROLAC Regional awareness raising	Panama		75,000	40,314	6,464	46,777
	2214	ROAP Regional awareness raising	Bangkok		44,000	4,795	0	4,795
	2215	ROWA Regional awareness raising	Bahrain		20,000	0	0	0
	2216	ECA Regional awareness raising	ECA		10,000	7,899	79	7,978
	2299	<i>Sub-total</i>			336,000	118,394	6,543	124,937
	2300	<i>Sub-contracts (for commercial purposes)</i>						
	2301	Technical and policy information materials	Paris		70,000	15,194	0	15,194
	2302	OzonAction Newsletter / Thematic Special Issues	Paris		50,000	23,117	0	23,117
	2303	Illustration/graphics/layout design	Paris		20,000	13,276	0	13,276
	2304	Exhibition/outreach	Paris		22,000	0	0	0
	2305	Targetted information materials on HCFCs	Paris		100,000	47,412	152	47,564
	2306	Regional Capacity Building and Tech support on HCFC phaseout	Regional		80,000	10,142	0	10,142
	2399	<i>Sub-total</i>			342,000	109,141	152	109,293
	2999	<b>COMPONENT TOTAL</b>			<b>678,000</b>	<b>227,534</b>	<b>6,695</b>	<b>234,229</b>
30	TRAINING COMPONENT							
	3300	<i>Meetings/conferences</i>						
	3301	Advisory and Consultative Meetings - Paris	Paris		32,000	18,996	0	18,996
	3302	ROA network meetings/thematic workshops	Nairobi		281,000	222,157	1,011	223,168
	3303	ROLAC network meetings/thematic workshops	Panama		192,000	178,446	8,977	187,424
	3304	ROAP-SA network meetings/thematic workshops	Bangkok		72,000	62,956	0	62,956
	3305	ROWA network meetings/thematic workshops	Bahrain		86,000	69,395	0	69,395
	3306	ECA network meetings/thematic workshops	ECA		160,000	169,902	1,740	171,641
	3307	PIC network meetings/thematic workshops	Bangkok		60,000	54,217	0	54,217
	3308	ROAP-SEA meetings/thematic workshops	Bangkok		50,000	48,328	0	48,328
	3312	ROA South-South cooperation	Nairobi		31,000	32,475	3,902	36,377
	3313	ROLAC South-South cooperation	Panama		45,000	17,879	1,505	19,384
	3314	ROAP South-South cooperation	Bangkok		48,000	84,530	0	84,530
	3315	ROWA South-South cooperation	Bahrain		33,000	11,651	0	11,651
	3316	ECA South-South cooperation	ECA		20,000	21,871	357	22,228
	3399	<i>Sub-total</i>			1,110,000	992,805	17,492	1,010,297
	3999	<b>COMPONENT TOTAL</b>			<b>1,110,000</b>	<b>992,805</b>	<b>17,492</b>	<b>1,010,297</b>
40	EQUIPMENT AND PREMISES COMPONENT							
	4100	<i>Expendable equipment (items under \$1,500 each)</i>						
	4101	Office supplies - Paris and ECA	Paris / ECA		15,000	6,505	0	6,505
	4102	Office supplies - Regions	Regional		25,000	12,204	0	12,204
	4199	<i>Sub-total</i>			40,000	18,709	0	18,709
	4200	<i>Non-expendable equipment</i>						
	4201	Office equipment / computer - Paris and ECA	Paris / ECA		22,000	23,951	45,234	69,185
	4202	Office equipment / computer - Regions	Regional		33,000	5,822	4,740	10,563
	4299	<i>Sub-total</i>			55,000	29,773	49,974	79,748
	4300	<i>Rental of premises</i>						
	4301	Office rental - Paris and ECA	Paris / ECA		360,000	302,482	1,286	303,767
	4302	Office rental - Regions	Regional		151,000	125,764	32,297	158,061
	4399	<i>Sub-total</i>			511,000	428,246	33,583	461,828
	4999	<b>COMPONENT TOTAL</b>			<b>606,000</b>	<b>476,728</b>	<b>83,557</b>	<b>560,285</b>
50	MISCELLANEOUS COMPONENT							
	5100	<i>Operation and maintenance of equipment</i>						
	5101	Rental and maintenance of office equipment - Paris and ECA	Paris		22,000	43,834	1,627	45,461
	5102	Rental and maintenance of office equipment - Regions	Regional		33,000	8,701	6,248	14,949
	5199	<i>Sub-total</i>			55,000	52,535	7,875	60,410

	Bud Ln	Component	Location			CAP 2013 Approved ExCom 68	Disbursement	Obligations	Total Expenditure (in US\$)
	5200	Reporting cost							
	5201	Reporting/reproduction costs	Paris			11,000	0	0	0
	5202	Translations - Regions	Regional			36,000	17,415	1,668	19,082
	5299	Sub-total				47,000	17,415	1,668	19,082
	5300	Sundry							
	5301	Communication & dissemination - Paris and ECA	Paris / ECA			123,000	38,075	3,384	41,459
	5302	Communication - Regions	Regional			89,000	71,902	12,795	84,697
	5399	Sub-total				212,000	109,977	16,179	126,156
	5999	<b>COMPONENT TOTAL</b>				<b>314,000</b>	<b>179,926</b>	<b>25,722</b>	<b>205,648</b>
	99	<b>TOTAL DIRECT PROJECT COST</b>				<b>9,158,000</b>	<b>7,682,119</b>	<b>194,988</b>	<b>7,877,107</b>
		Programme support costs (8%)				732,640	614,570	15,599	630,169
90	<b>GRAND TOTAL</b>					<b>9,890,640</b>	<b>8,296,689</b>	<b>210,587</b>	<b>8,507,276</b>

<sup>1</sup> Posts funded from Programme support cost