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EXECUTIVE COMMITTEE OF  
THE MULTILATERAL FUND FOR THE  
IMPLEMENTATION OF THE MONTREAL PROTOCOL  
Seventy-first Meeting  
Montreal, 2-6 December 2013

**REPORT ON BALANCES AND AVAILABILITY OF RESOURCES****Introduction**

1. This document presents a summary of financial adjustments indicated by the bilateral and implementing agencies (IAs) as agreed by the Secretariat. It includes statistical data, as required by decisions 28/7 and 56/2(c), from projects for bilateral and IAs with balances that have been held for over the allowable 12-month period following completion of the project. The data presented are based on the reporting format that has been developed pursuant to decision 31/2 and include both obligated and un-obligated balances. Further to decision 41/92(b), this document also indicates the level of resources available to the Executive Committee in cash and promissory notes as recorded in the Status of contributions and disbursements (UNEP/OzL.Pro/ExCom/71/3).

2. The total funds to be returned to the 71<sup>st</sup> meeting amount to US \$4,243,507 including project and support costs (i.e. US \$4,237,063 returned by the IAs and US \$6,444 by bilateral agencies). Annex I to the present document provides actual information on obligated and un-obligated balances held by IAs and bilateral agencies. Annex II to the present document provides details and information on the returns by IAs and bilateral agencies.

**Funds being returned to the 71<sup>st</sup> meeting by IAs**

3. Information provided by the IAs indicates that a total of US \$3,918,245, plus US \$318,818 agency support costs pursuant to decision 35/13(k)<sup>1</sup>, will be returned from completed and on-going projects to the 71<sup>st</sup> meeting as shown in Table 1 and 2 respectively.

<sup>1</sup> By decision 35/13(k) the Executive Committee requested IAs to return all agency support costs associated with remaining funds from completed projects. If expenditures had been incurred from these costs, the IAs should provide an explanation and return the balance of funds.

Table 1

**FUNDS TO BE RETURNED BY THE IAs TO THE  
71<sup>st</sup> MEETING (US \$)**

Agency	Funds from completed projects	Funds from on-going projects	Total funds to be returned to the 71 <sup>st</sup> meeting
UNDP	28,343	0	28,343
UNEP	583,568	0	583,568
UNIDO	48,717	0	48,717
World Bank	0	3,257,617	3,257,617
<b>TOTAL</b>	<b>660,628</b>	<b>3,257,617</b>	<b>3,918,245</b>

Table 2

**ADMINISTRATIVE SUPPORT COSTS TO BE RETURNED TO THE 71<sup>st</sup> MEETING (US\$)**

Agency	Funds from completed projects	Funds from on-going projects	Total funds to be returned to the 71 <sup>st</sup> meeting(*)
UNDP	2,380	0	2,380
UNEP	53,981	0	53,981
UNIDO	3,655	0	3,655
World Bank	14,481	244,321	258,802
<b>TOTAL</b>	<b>74,497</b>	<b>244,321</b>	<b>318,818</b>

4. Table 3 presents the number of completed projects with balances that are still being held by the IAs. Three of the four agencies have projects that were completed over two years ago with remaining balances.<sup>2</sup>

5. The following observations are relevant:

- (a) UNEP has the largest number of projects completed over two years. Due to UNEP administrative procedures, these project balances have pending cash advances and UNEP will return the balances for 16 of 21 projects at the 72<sup>nd</sup> meeting;
- (b) UNDP plans to return the balances of completed projects to the 72<sup>nd</sup> meeting. UNDP advised the Secretariat that it had already alerted country offices to financially close all completed projects with balances indicating that the balances cannot be spent in 2014; and
- (c) Of the six projects completed, UNIDO advised the Secretariat that balances of three projects are expected to be returned by the 72<sup>nd</sup> meeting (e.g. China (CPR/PRO/INV/436), Pakistan (PAK/REF/59/PRP/74) and Saudi Arabia (SAU/FOA/60/PRP/07)). The balances for the remaining three projects (e.g. Syrian Arab Republic (SYR/SEV/45/INS/91), Montenegro (MOG/SEV/55/INS/04) and Niger (NER/PHA/55/PRP/22)) are being financially completed and will be returned to the 72<sup>nd</sup> or the 73<sup>rd</sup> meetings.

<sup>2</sup> By decision 66/2(b) the Executive Committee requested agencies with long outstanding obligated balances on projects completed to return these balances as soon as possible.

Table 3

**BALANCES HELD BY YEAR OF PROJECT COMPLETION**

Year completed	UNDP		UNEP		UNIDO		WORLD BANK		TOTAL	
	Number	(US \$)	Number	(US \$)	Number	(US \$)	Number	(US \$)	Number	(US \$)
2004	0	0	1	5,000	0	0	0	0	1	5,000
2006	0	0	1	3,667	0	0	0	0	1	3,667
2008	0	0	0	0	1	165,453	0	0	1	165,453
2009	0	0	0	0	1	4,126	0	0	1	4,126
2010	4	94,124	8	78,529	2	9,705	0	0	14	182,358
1 Jan 2011 to 30 Sept 2011	1	17	11	185,831	2	20,462	0	0	14	206,310
<b>Projects completed over 2 years ago</b>	<b>5</b>	<b>94,141</b>	<b>21</b>	<b>273,027</b>	<b>6</b>	<b>199,746</b>	<b>0</b>	<b>0</b>	<b>32</b>	<b>566,914</b>
1 Oct 2011 to 31 Dec 2011	15	149,739	24	471,545	11	221,039	0	0	50	842,323
1 Jan 2012 to 31 Dec 2012	23	462,750	8	335,888	4	110,641	0	0	35	909,279
On-going Projects	0	0	0	0	0	0	1	2,191,902	1	2,191,902
<b>TOTAL</b>	<b>43</b>	<b>706,630</b>	<b>53</b>	<b>1,080,460</b>	<b>21</b>	<b>531,426</b>	<b>1</b>	<b>2,191,902</b>	<b>118</b>	<b>4,510,418</b>

**Funds being returned to the 71<sup>st</sup> meeting by bilateral agencies**

6. Table 4 provides the information on returns of balances from projects completed by the Governments of Czech Republic, Japan and Portugal.

Table 4

**FUNDS TO BE RETURNED BY BILATERAL AGENCIES TO THE 71<sup>st</sup> MEETING (US\$)**

	Project cost	Agency Support Costs	Total
Czech Republic	2,885	375	3,260
Japan	2,648	344	2,992
Portugal	170	22	192
Total	5,703.00	741	6,444

7. Table 5 presents the balances that are being held by bilateral agencies<sup>3</sup>.

<sup>3</sup> By decision 56/2 bilateral agencies were requested to report to the Executive Committee on their proposed disposition of balances from completed projects.

Table 5

**BALANCES HELD BY BILATERAL AGENCIES**

<b>Year Completed</b>	<b>France</b>	<b>Italy (US\$)</b>	<b>Japan (US\$)</b>	<b>Total (US\$)</b>
2010	308,920	0	0	308,920
1 Jan 2011 to 30 Sept 2011	0	0	335	335
Projects completed over 2 years ago	308,920	0	335	309,255
1 Oct 2011 to 31 Dec 2012	0	2,677	0	2,677
Net Total	308,920	2,677	335	311,932
Agency support cost	34,229	348	44	34,621
Total	343,149	3,025	379	346,553

8. The following observations are relevant:

- (a) The Government of France advised that it intends to return the balances of two completed projects in 2013 or use them for a future bilateral project;
- (b) The Government of Italy advised that UNIDO (on behalf of Italy) will return the balances in due time for one completed project (Cameroon (CMR/FUM/52/INV/27)); and
- (c) The Government of Japan advised that it will not return the balances for one completed project to the 71<sup>st</sup> meeting but indicated that it can be returned at the 72<sup>nd</sup> meeting instead.

**Availability of resources**

9. The status of contributions and disbursements indicates an available balance amounting to US \$83,624,290 as at 18 October 2013. The total net balance returned by IAs, including agency support costs, is US \$4,245,507. The total level of resources available for approvals by the Executive Committee at its 71<sup>st</sup> meeting, after taking into account the Fund's balance as contained in document UNEP/OzL.Pro/ExCom/71/3 and the total amount returned by IAs and bilateral agencies, is US \$87,867,797.

**SECRETARIAT'S COMMENTS AND RECOMMENDATIONS****COMMENTS**

10. The balance on projects completed over two years ago held by agencies amounts to US \$566,914 as shown in Table 3. During the Inter-agency Coordination Meeting held on 24 to 25 September 2013 in Montreal, the Secretariat stressed the need for closing all ongoing projects not related to HCFCs or methyl bromide (such as CFC phase-out plans) and returning all the balances associated with completed projects. As a follow-up, the Secretariat sent a reminder to the IAs to return balances on all completed projects and to advise the Committee at its 71<sup>st</sup> meeting on when balances will be returned to the Fund. In their replies, both UNDP and UNEP advised the Secretariat of concrete internal measures taken to ensure that all ongoing projects not related to HCFCs or methyl bromide will be returned to the 72<sup>nd</sup> meeting. UNIDO shared with the Secretariat its difficulties with its lengthy internal procedures to financially close current commitments while the World Bank had already

initiated action to return balances for two ongoing projects (preparation funding for HCFC phase-out in Argentina's foam sector and the other for global chiller replacement project).

11. UNEP's return on balances relates mainly to the 2010 and 2011 Compliance Assistance Programme (CAP) (GLO/SEV/59/TAS/299 and GLO/SEV/62/TAS/302) (in line with decision 70/2(a)(vii)) and an adjustment of US \$810 of a double return of agency support cost on one project (Afghanistan (AFG/SEV/43/CPG/02)). UNEP is also withholding US \$23,555 in un-obligated balances and should provide an explanation on why they were not returned to the 71<sup>st</sup> meeting. These balances should be returned to the 72<sup>nd</sup> meeting.

12. UNIDO's return includes an adjustment of US \$6,282 associated with seven projects for which funds were returned twice by UNIDO. With regard to balances on MYA projects completed over two years ago not returned to the Fund, UNIDO explained that in the case of project CPR/PRO/47/INV/436, it was operationally completed, however at the 61<sup>st</sup> meeting, UNIDO reported to the Executive Committee that there had been savings of US \$1,873,559 in the paid compensation under the project. By decision 61/18(b)(v), the Executive Committee took note of the information provided on the reallocation of funds for the Agreement for the methyl bromide production sector plan from the first tranche for technical assistance, after which existing contracts were amended and additional technical assistance activities were added. This delayed the financial closure of the project.

13. Considering that the total level of funding for projects and activities as submitted to the 71<sup>st</sup> meeting amounts to US \$101,207,676, including support costs, as of the writing of this document there are insufficient resources available for approvals at the 71<sup>st</sup> meeting, as well as for the Fund Secretariat's and Senior Monitoring and Evaluation Officer's budgets. The Executive Committee will be updated at the 71<sup>st</sup> meeting on this issue.

## RECOMMENDATIONS

14. The Executive Committee may wish:

- (a) To note:
  - (i) The report on balances and availability of resources contained in document UNEP/OzL.Pro/ExCom/71/4;
  - (ii) That the net level of funds being returned by the implementing agencies to the 71<sup>st</sup> meeting was US \$3,918,245 against projects, which included the return of US \$28,343 from UNDP, US \$583,568 from UNEP, US \$48,717 from UNIDO and US \$3,257,617 from the World Bank;
  - (iii) That the net level of support costs being returned by the implementing agencies to the 71<sup>st</sup> meeting was US \$318,818 against projects, which included the return of US \$2,380 from UNDP, US \$53,981 from UNEP, US \$3,655 from UNIDO and US \$258,802 from the World Bank;
  - (iv) That implementing agencies had balances totalling US \$566,914, excluding support costs, from projects completed over two years previously, which included US \$94,141 for UNDP, US \$273,027 for UNEP and US \$199,746 for UNIDO;
  - (v) For projects with balances completed over two years previously that UNDP had already alerted its country offices to financially close these projects; that UNEP would return funds for 16 of 21 projects not related to HCFCs or methyl bromide at the 72<sup>nd</sup> meeting; and that UNIDO would return balances

by the 72<sup>nd</sup> meeting for three projects: China (CPR/PRO/47/INV/436), Pakistan (PAK/REF/59/PRP/74) and Saudi Arabia (SAU/FOA/60/PRP/07);

- (vi) That the Government of Japan had balances totalling US \$335, excluding support costs, for one project completed over two years previously;
  - (vii) That the Government of France's balances for two completed projects over two years previously not returned to the Fund, totaling US \$308,920, excluding support costs, would be offset against future bilateral projects;
  - (viii) That the net level of funds and support costs being returned by the bilateral agencies to the 71st meeting is US \$6,444, which included the return of US \$3,260 from the Government of Czech Republic, US \$2,992 from the Government of Japan, and US \$192 from the Government of Portugal; and to request the Treasurer to follow-up with the respective Governments on the cash transfer to the Fund;
  - (ix) That there are insufficient resources available for approvals at the 71<sup>st</sup> meeting to cover project submission to the 71<sup>st</sup> meeting, the Secretariat's as well as the Senior Monitoring and Evaluation's budgets; and
- (b) To request:
- (i) Implementing agencies with projects completed over two years previously to return the balances as soon as possible; and
  - (ii) UNEP to return all un-obligated balances to the 72<sup>nd</sup> meeting.

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## Annex I

## OBLIGATED AND UN-OBLIGATED BALANCES HELD BY IAs AND BILATERAL AGENCIES

## UNDP

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 71 <sup>st</sup> Meeting (US\$)	Obligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Unobligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
AFR/FUM/38/TAS/32	Technical assistance for methyl bromide reductions and formulation of regional phase-out strategies for low-volume consuming countries	COM	Dec-11	550,000	547,888	2,112			
ARG/SEV/59/INS/162	Extension for institutional strengthening project (phase VI)	COM	May-12	311,568	311,567	1			
BAR/REF/43/TAS/11	Implementation of the RMP: technical assistance project to the MAC and end-users sectors	COM	Dec-11	172,611	169,686	2,925			
BOL/PHA/57/INV/33	Terminal phase-out management plan (second tranche)	COM	Oct-12	227,000	221,848	5,152			
BRA/FOA/56/DEM/285	Pilot project for validation of methyl formate as a blowing agent in the manufacture of polyurethane foam (phase I)	COM	Dec-10	401,500	375,722	25,778			72
CHI/PHA/55/PRP/165	Preparation of a HCFC phase-out management plan	COM	Dec-10	150,000	149,991	9			72
CHI/REF/48/INV/160	Terminal umbrella project for phase-out of the use of CFC-11, CFC-12 and R-502 (CFC-115) in the manufacture of refrigeration equipment	COM	Jul-12	282,025	279,373	2,652			
CHI/SEV/57/INS/168	Extension of the institutional strengthening project (phase VIII)	COM	Sep-11	186,550	186,533	17			72
COL/PHA/47/INV/63	National phase-out plan for Annex A (Group I and II) substances: second implementation programme	COM	Dec-11	2,353,180	2,353,061	119			
COS/PHA/55/PRP/39	Preparation of a HCFC phase-out management plan	COM	Oct-11	150,000	148,042	1,958			
COS/PHA/58/INV/42	Terminal phase-out management plan for Annex A Group I substances (third tranche)	COM	Dec-11	165,000	152,767	12,233			
COS/SEV/59/INS/44	Extension of institutional strengthening project (phase VIII)	COM	Dec-11	140,514	140,502	12			
CPR/PHA/55/PRP/460	Preparation of a HCFC phase-out management plan: industrial and commercial refrigeration sub-sectors	COM	Aug-12	604,000	599,953	4,047			
CPR/PHA/55/PRP/461	Preparation of a HCFC phase-out management plan: solvent sector	COM	Aug-12	432,000	409,339	22,661			
CPR/PHA/55/PRP/464	Preparation of an overarching HPMP strategy	COM	Aug-12	360,000	356,018	3,982			
CPR/PHA/55/PRP/471	Preparation of a HCFC phase-out management plan: extruded polystyrene foam	COM	Aug-12	84,000	82,328	1,672			
CUB/ARS/41/INV/23	Phase-out of CFC consumption in the manufacture of aerosol metered dose inhalers (MDIs)	COM	Dec-11	5,960,000	5,854,409	105,591			
CUB/REF/47/DEM/36	Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers	COM	Dec-10	984,353	917,066	67,287			72
DOM/FOA/57/PRP/44	Preparation for HCFC phase-out investment activities (foam sector)	COM	Jun-12	60,000	59,790	210			
DOM/FOA/61/INV/46	Conversion from HCFC-141b in the manufacture of polyurethane rigid insulation foam for commercial refrigerators	COM	Oct-12	332,775	303,850	28,925			

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 71 <sup>st</sup> Meeting (US\$)	Obligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Unobligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
DOM/PHA/55/PRP/42	Preparation of a HCFC phase-out management plan	COM	May-12	150,000	102,704	47,296			
DOM/PHA/58/INV/45	Terminal phase-out plan for Annex A (Group I) substances: (fifth tranche)	COM	May-12	200,000	141,708	58,292			
ELS/PHA/55/PRP/23	Preparation of a HCFC phase-out management plan	COM	Jun-12	150,000	142,165	7,835			
GBS/REF/43/TAS/07	Implementation of the RMP: technical assistance project for MAC and refrigeration service and end-user sub-sectors	COM	Dec-11	282,900	281,820	1,080			
GRN/PHA/59/INV/16	Terminal phase-out management plan (third tranche)	COM	Nov-11	30,000	29,337	663			
HAI/REF/39/TAS/04	Implementation of the refrigerant management plan: recovery and recycling of CFC-12 refrigerant	COM	Oct-11	220,256	217,017	3,239			
IRA/FOA/57/PRP/195	Preparation for HCFC phase-out investment activities (rigid foam)	COM	Aug-12	30,000	29,860	140			
MAL/FOA/57/PRP/164	Preparation for HCFC phase-out investment activities (foam sector)	COM	Jul-12	100,000	50,135	49,865			
MAL/REF/57/PRP/162	Preparation for HCFC phase-out investment activities (refrigeration manufacturing sector except air-to-air air-conditioning)	COM	Jul-12	120,000	91,565	28,435			
MAL/REF/57/PRP/163	Preparation for HCFC phase-out investment activities (air-to-air air-conditioning sector)	COM	Jul-12	30,000	0	30,000			
MAL/SEV/58/INS/165	Extension of institutional strengthening project (phase VIII)	COM	Dec-11	279,500	279,268	232			
PAN/PHA/55/PRP/28	Preparation of a HCFC phase-out management plan	COM	Nov-11	150,000	143,545	6,455			
PAR/PHA/57/PRP/22	Preparation of a HCFC phase-out management plan (additional funding)	COM	May-12	65,000	50,017	14,983			
PHI/REF/59/PRP/87	Preparation for HCFC phase-out investment activities (refrigeration and air-conditioning sectors except residential air conditioning)	COM	Jun-12	65,000	14,942	50,058			
SAM/PHA/53/INV/11	Terminal phase-out management plan (first tranche)	COM	Dec-10	45,000	43,950	1,050			72
SRL/MUS/57/PRP/35	Preparation for HCFC phase-out investment activities (foam and solvent sectors)	COM	Jun-12	40,000	0	40,000			
SRL/PHA/55/PRP/33	Preparation of a HCFC phase-out management plan	COM	Dec-11	112,250	107,221	5,029			
SRL/REF/61/PRP/39	Preparation for HCFC phase-out investment activities (refrigeration and air-conditioning sector)	COM	May-12	60,000	0	60,000			
STV/PHA/56/INV/15	Terminal phase out management plan for CFCs (second and third tranches)	COM	May-12	27,000	26,827	173			
SWA/PHA/59/INV/16	Terminal phase-out management plan (second tranche)	COM	Nov-11	40,000	32,299	7,701			
TRI/PHA/59/PRP/25	Preparation of a HCFC phase-out management plan (additional funding)	COM	Jun-12	65,000	61,703	3,297			
TRI/SEV/50/INS/21	Extension of the institutional strengthening project (phase V)	COM	Jun-12	60,000	56,927	3,073			
TUR/FOA/60/DEM/96	Validation of the use of HFO-1234ze as blowing agent in the manufacture of extruded polystyrene foam boardstock (phase I)	COM	Dec-11	165,000	164,609	391			
<b>TOTAL</b>						<b>706,630</b>			



**UNEP**

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 71 <sup>st</sup> Meeting (US\$)	Obligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Unobligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
ASP/PHA/60/PRP/54	Development of HCFC phase-out management plan for PIC countries through regional approach	COM	Dec-11	494,600	373,120	121,480	0	Once the project is financially completed.	
BEN/SEV/56/INS/20	Renewal of institutional strengthening project (phase VI)	COM	Dec-11	60,000	33,000	27,000	0	Once the project is financially completed.	
BHU/PHA/55/PRP/13	Preparation of a HCFC phase-out management plan	COM	Dec-10	30,000	23,470	6,530	0	Once the project is financially completed.	72
BHU/PHA/56/PRP/14	Preparation of a HCFC phase-out management plan (additional)	COM	Dec-10	55,000	43,686	11,314	0	Once the project is financially completed.	72
BZE/PHA/55/PRP/21	Preparation of a HCFC phase-out management plan	COM	Dec-11	85,000	80,774	4,226	0	Once the project is financially completed.	
CBI/PHA/56/PRP/13	Preparation of a HCFC phase-out management plan	COM	Dec-11	85,000	65,448	19,552	0	Once the project is financially completed.	
CHD/PHA/57/TAS/19	Terminal phase-out management plan (second tranche)	COM	Mar-10	77,000	75,355	1,645	0	Once the project is financially completed.	
CPR/REF/45/TAS/426	Refrigeration servicing sector CFC phase-out plan (revised 2005 annual implementation programme)	COM	Dec-10	450,000	436,616	0	13,384	Once the project is financially completed.	
DMI/PHA/57/PRP/16	Preparation of a HCFC phase-out management plan	COM	Dec-11	85,000	47,247	37,753	0	Once the project is financially completed.	
DMI/SEV/56/INS/12	Extension of the institutional strengthening project (phase IV)	FIN	Dec-11	60,000	36,000	24,000	0	Once the project is financially completed.	
DRK/REF/40/TAS/25	Monitoring the activities in the refrigeration servicing subsector	COM	Dec-04	10,000	5,000	5,000	0	Once the project is financially completed.	
ELS/SEV/56/INS/24	Extension of institutional strengthening project (year 2 of phase V)	COM	Dec-11	30,000	13,461	16,539	0	Once the project is financially completed.	
EQG/PHA/56/PRP/03	Preparation of a HCFC phase-out management plan	COM	Dec-11	85,000	74,472	10,528	0	Once the project is financially completed.	
GAB/PHA/55/PRP/22	Preparation of a HCFC phase-out management plan	COM	Jan-11	85,000	80,053	4,947	0	Once the project is financially completed.	72
GAB/PHA/57/TAS/24	Terminal phase-out management plan (second tranche)	COM	Dec-11	50,000	35,000	15,000	0	Once the project is financially completed.	
GAM/SEV/61/INS/23	Extension of the institutional strengthening project (phase VI)	COM	Oct-12	60,000	54,661	5,339	0	Once the project is financially completed.	
GBS/PHA/56/TAS/11	Terminal phase-out management plan (first tranche)	COM	Dec-11	62,500	61,500	1,000	0	Once the project is financially completed.	
GLO/SEV/65/TAS/313	Compliance Assistance Programme: 2012 budget	COM	Dec-12	8,987,000	8,344,005	242,607	0	Once the project is financially completed.	
GUI/PHA/60/TAS/23	Terminal phase-out management plan (second tranche)	COM	Dec-11	58,000	57,000	1,000	0	Once the project is financially completed.	
GUY/PHA/55/PRP/16	Preparation of a HCFC phase-out management plan	COM	Dec-11	85,000	67,782	17,218	0	Once the project is financially completed.	
GUY/PHA/59/TAS/18	Terminal phase-out management plan for the phase-out of ODS in the refrigeration and air-conditioning sector (second tranche)	COM	Dec-11	72,000	53,434	18,566	0	Once the project is financially completed.	

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HON/PHA/55/PRP/25	Preparation of a HCFC phase-out management plan	COM	Dec-11	35,000	17,500	17,500	0	Once the project is financially completed.	
IRQ/PHA/60/PRP/12	Preparation of a HCFC phase-out management plan	COM	Dec-11	100,000	74,329	25,671	0	Once the project is financially completed.	
IVC/PHA/57/PRP/30	Preparation of a HCFC phase-out management plan	COM	Feb-12	85,000	66,974	18,026	0	Once the project is financially completed.	
IVC/PHA/58/TAS/33	Terminal phase-out management plan (second tranche)	COM	Feb-12	109,000	104,225	4,775	0	Once the project is financially completed.	
KAM/PHA/55/PRP/18	Preparation of a HCFC phase-out management plan	COM	Jul-10	125,000	104,958	20,042	0	Once the project is financially completed.	72
KUW/PHA/55/PRP/14	Preparation of a HCFC phase-out management plan	COM	Dec-11	125,000	98,731	26,269	0	Once the project is financially completed.	
KUW/REF/37/TRA/04	Implementation of the RMP: training programme on good refrigerant management practices and hydrocarbon (HC) refrigerants safe handling	COM	Dec-11	95,325	86,363	8,962	0	Once the project is financially completed.	
LAC/SEV/51/TAS/39	Latin American Customs Enforcement Network: Preventing illegal trade of ODS	COM	Feb-11	80,000	35,128	44,872	0	Once the project is financially completed.	
LAO/PHA/55/PRP/19	Preparation of a HCFC phase-out management plan	COM	Jan-11	150,000	110,454	39,546	0	Once the project is financially completed.	72
LIR/PHA/57/TAS/15	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-11	81,500	76,500	5,000	0	Once the project is financially completed.	
MDV/PHA/55/PRP/16	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	67,248	17,752	0	Once the project is financially completed.	72
MON/PHA/55/PRP/14	Preparation of a HCFC phase-out management plan	COM	Mar-11	85,000	67,295	17,705	0	Once the project is financially completed.	72
MYA/PHA/57/PRP/10	Preparation of a HCFC phase-out management plan	COM	Dec-11	42,500	40,539	1,961	0	Once the project is financially completed.	
NEP/PHA/55/PRP/23	Preparation of a HCFC phase-out management plan	COM	Jan-11	85,000	66,029	0	18,971	Once the project is financially completed.	72
NIC/PHA/60/TAS/25	Terminal phase-out management plan for Annex A Group I substances (second tranche)	COM	Apr-12	70,000	62,405	2,424	5,171	Once the project is financially completed.	
NIC/REF/45/TAS/15	Implementation of the RMP update: programme for prevention of illegal CFC trade	COM	Dec-11	15,000	9,000	0	6,000	Once the project is financially completed.	
NIC/SEV/59/INS/24	Renewal of institutional strengthening project (phase V)	COM	Mar-12	60,000	48,000	0	12,000	Once the project is financially completed.	
OMA/PHA/55/PRP/17	Preparation of a HCFC phase-out management plan	COM	Dec-11	50,000	39,000	0	11,000	Once the project is financially completed.	72
PAK/PHA/55/PRP/69	Preparation of a HCFC phase-out management plan	COM	Dec-10	60,000	57,550	0	2,450	Once the project is financially completed.	72
PAR/PHA/55/PRP/20	Preparation of a HCFC phase-out management plan	COM	Apr-11	85,000	78,211	0	6,789	Once the project is financially completed.	72
PAR/SEV/53/INS/19	Extension of institutional strengthening project (phase IV)	COM	Dec-11	60,000	20,733	0	39,267	Once the project is financially completed.	
QAT/PHA/55/PRP/12	Preparation of a HCFC phase-out management plan	COM	Aug-11	30,000	29,000	0	1,000	Once the project is financially completed.	72

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 71 <sup>st</sup> Meeting (US\$)	Obligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Unobligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
RWA/PHA/55/PRP/15	Preparation of a HCFC phase-out management plan	COM	Jul-11	85,000	80,000	0	5,000	Once the project is financially completed.	72
RWA/REF/41/TRA/07	Implementation of the RMP: training programme for customs officers	COM	Dec-06	50,000	46,333	3,667	0	Once the project is financially completed.	
SRL/PHA/55/PRP/34	Preparation of a HCFC phase-out management plan	COM	Dec-10	25,000	19,588	0	5,412	Once the project is financially completed.	72
STP/PHA/55/PRP/15	Preparation of a HCFC phase-out management plan	COM	Mar-11	85,000	70,000	15,000	0	Once the project is financially completed.	72
SUR/PHA/55/PRP/14	Preparation of a HCFC phase-out management plan	COM	Jul-11	85,000	58,000	27,000	0	Once the project is financially completed.	72
SWA/PHA/56/PRP/13	Preparation of a HCFC phase-out management plan	COM	Jul-11	85,000	79,999	0	5,001	Once the project is financially completed.	72
TLS/PHA/61/PRP/05	Preparation of a HCFC phase-out management plan (additional funding)	COM	Dec-11	55,000	53,255	0	1,745	Once the project is financially completed.	72
TOG/PHA/56/PRP/17	Preparation of a HCFC phase-out management plan	COM	Dec-11	85,000	70,692	0	14,308	Once the project is financially completed.	72
ZAM/PHA/57/TAS/23	Terminal phase-out management plan for CFCs (second tranche)	COM	Mar-12	42,000	37,000	0	5,000	Once the project is financially completed.	
ZAM/SEV/57/INS/24	Extension of the institutional strengthening project (phase IV)	COM	Mar-12	65,520	24,974	40,546	0	Once the project is financially completed.	
<b>TOTAL</b>						<b>927,962</b>	<b>152,498</b>		

**UNIDO**

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 71 <sup>st</sup> Meeting (US\$)	Obligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Unobligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?*
BHE/SEV/43/INS/19	Extension of institutional strengthening: phase II	COM	Dec-11	95,333	88,241	7,092		4	73
CPR/PRO/47/INV/436	Sector plan for methyl bromide production sector (first tranche)	COM	Dec-08	3,000,000	2,834,547	165,453		MY	n/a
ECU/PHA/61/INV/51	National CFC phase-out plan (fourth tranche)	COM	Mar-12	134,576	133,370	1,206		MY	n/a
IRQ/PHA/59/PRP/11	Preparation of a HCFC phase-out management plan (additional funding)	COM	Dec-11	65,000	50,053		14,947	4	73
KEN/FUM/60/PRP/50	Project preparation for the elimination of controlled uses of methyl bromide in post-harvest sector	COM	Dec-11	40,000	36,222	3,778		4	73
MEX/PHA/45/INV/123	National CFC phase-out plan: 2nd tranche	COM	Dec-11	4,278,000	4,209,860	68,140		MY	n/a
MEX/SEV/62/INS/152	Extension of institutional strengthening project (phase X)	COM	Jun-12	247,000	221,694	25,306		4	73
MOG/SEV/55/INS/04	Institutional strengthening project (Phase I)	COM	Dec-10	60,000	58,676	1,324		3	72
MOR/FUM/56/INV/60	Phase-out of methyl bromide for soil fumigation in tomato production (sixth tranche)	COM	Dec-11	398,427	387,038		11,389	MY	n/a
MOR/FUM/56/INV/61	Phase-out of methyl bromide used as a soil fumigant in the production of green beans and cucurbits (first tranche)	COM	Oct-12	690,000	650,000	40,000		MY	n/a
MOR/PHA/55/PRP/59	Preparation of a HCFC phase-out management plan	COM	Dec-11	150,000	143,123	6,877		4	73
MOR/REF/60/PRP/65	Preparation for HCFC phase-out investment activities (refrigeration and air conditioning sectors)	COM	Dec-11	40,000	30,552	9,448		4	73
NER/PHA/55/PRP/22	Preparation of a HCFC phase-out management plan	COM	Jul-11	85,000	77,312	7,688		3	72
OMA/PHA/57/INV/19	Terminal phase-out management plan (second tranche)	COM	Dec-11	164,200	126,903		37,297	MY	n/a
PAK/REF/59/PRP/74	Preparation for HCFC phase-out investment activities (refrigeration and air-conditioning manufacturing sectors)	COM	Jul-11	120,000	107,226		12,774	4	73
QAT/FOA/60/PRP/16	Preparation for HCFC phase-out investment activities (foam sector)	COM	Dec-11	80,000	40,944	39,056		4	73
SAU/FOA/60/PRP/07	Preparation for HCFC phase-out investment activities (foam sector)	COM	Dec-10	80,000	71,619	8,381		4	73
SYR/SEV/45/INS/91	Extension of institutional strengthening: phase III	COM	Dec-09	203,823	199,697	4,126		4	73
TUR/SEV/58/INS/93	Extension of institutional strengthening project (phase IV)	COM	Dec-11	195,000	187,045	7,955		4	73
VEN/PHA/57/INV/114	National CFC phase-out plan: 2009 work programme	COM	Jun-12	513,465	469,336	44,129		MY	n/a
YUG/SEV/59/INS/34	Extension of institutional strengthening project (phase III)	COM	Nov-11	131,300	116,242	15,058		4	73
Total						<b>531,426</b>			

2: Financial completion initiated; 3: Financial completion being initiated; 4: Financial completion to be initiated after settlement of all pending issues

**WORLD BANK**

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 71 <sup>st</sup> Meeting (US\$)	Obligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Unobligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?*
GLO/REF/47/DEM/268	Global chiller replacement project (China, India, Indonesia, Malaysia and Philippines)	ONG		6,884,612	3,149,056	2,191,902			
Total						<b>2,191,902</b>			

**FRANCE**

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 71 <sup>st</sup> Meeting (US\$)	Balance as of 71 <sup>st</sup> Meeting (US\$)	Unobligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Why any unobligated balances could not be returned/disbursed?	When they could be returned/disbursed?
ETH/PHA/51/PRP/17	Project preparation for a terminal phase-out management plan in the servicing sector	COM	Jul-10	30,000	17,600	12,400			
IRA/PHA/45/INV/171	National CFC phase-out plan: 2005 annual implementation programme	COM	Jun-10	500,000	203,480	296,520			
Total						308,920			

**ITALY**

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 71 <sup>st</sup> Meeting (US\$)	Balance as of 71 <sup>st</sup> Meeting (US\$)	Unobligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Why any unobligated balances could not be returned/disbursed?	When they could be returned/disbursed?
CMR/FUM/52/INV/27	Total phase-out of methyl bromide used in stored commodities fumigation	COM	Dec-11	259,713	257,036	2,677			Remaining balances from bilateral project will be returned through UNIDO in due time.
Total						2,677			

**JAPAN**

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 71 <sup>st</sup> Meeting (US\$)	Balance as of 71 <sup>st</sup> Meeting (US\$)	Unobligated Balance as of 71 <sup>st</sup> Meeting (US\$)	Why any unobligated balances could not be returned/disbursed?	When they could be returned/disbursed?
GLO/SEV/59/TRA/297	Training on alternative technologies to HCFCs	COM	Mar-11	141,750	141,415	335			72
Total						335			

**Annex II**

**DETAILS ON PROJECTS WITH RETURNED BALANCES FROM IMPLEMENTING AND  
BILATERAL AGENCIES**

**UNDP**

<b>Code</b>	<b>Project Title</b>	<b>Status</b>	<b>Project costs returned (US\$)</b>	<b>Associated support costs (US\$)</b>	<b>Total</b>
ANG/PHA/55/PRP/08	Preparation of a HCFC phase-out management plan	COM	155	12	167
CHD/PHA/57/INV/20	Terminal phase-out management plan (second tranche)	COM	10,982	988	11,970
COL/ARS/56/INV/71	Phase-out of CFC in the manufacturing of MDIs	COM	6	0	6
COL/PAG/48/INV/66	Phase-out of CTC as process agent in the elimination of nitrogen trichloride during chlorine production at Prodesal S.A.	COM	1	0	1
COL/SEV/58/INS/73	Extension of institutional strengthening project (phase VII)	COM	13	1	14
CPR/FOA/60/PRP/500	Preparation of a demonstration project for conversion from HCFC-142b and HCFC-22 technology to methyl formate and co-blowing technology in the manufacture of XPS foam at Feininger (Nanjing) Energy Saving Technology Co. Ltd.	COM	749	56	805
FIJ/PHA/55/PRP/19	Preparation of a HCFC phase-out management plan	COM	1,244	93	1,337
IDS/REF/57/PRP/188	Preparation for HCFC phase-out investment activities (air-to-air air-conditioning sector)	COM	3,483	261	3,744
JAM/FOA/61/PRP/26	Preparation for HCFC phase-out investment activities (foam sector)	COM	987	74	1,061
LEB/FOA/57/PRP/71	Preparation for HCFC phase-out investment activities (foam sector)	COM	1,319	99	1,418
MLI/PHA/57/INV/28	Terminal phase-out management plan for CFCs (second tranche)	COM	2,802	210	3,012
SIL/PHA/61/INV/22	Terminal phase-out management plan (second tranche)	COM	5,285	476	5,760
SUR/PHA/56/INV/16	Terminal phase-out management plan for Annex A Group I substances (first tranche)	COM	2	0	2
SUR/REF/44/TAS/09	Implementation of the RMP: technical assistance for the MAC and refrigeration service sectors	COM	573	43	616
SUR/REF/44/TAS/10	Implementation of the RMP: monitoring the activities within the RMP	COM	736	66	803
URU/ARS/43/INV/42	Phase-out of CFC consumption in the manufacture of aerosol metered dose inhalers (MDIs)	COM	6	0	6
<b>Total</b>			<b>28,343</b>	<b>2,380</b>	<b>30,723</b>

**UNEP**

Code	Project Title	Status	Project costs returned (US\$)	Associated support costs (US\$)	Total
AFG/SEV/43/CPG/02	Preparation of the country programme/RMP project	FIN	0	-810	-810
ALB/SEV/54/INS/16	Renewal of institutional strengthening project (phase III)	FIN	63	0	63
GLO/REF/48/TAS/275	Global technical assistance programme in the chiller sector	COM	100,806	13,105	113,911
GLO/SEV/59/TAS/299	Compliance Assistance Programme: 2010 budget	COM	102,500	8,200	110,700
GLO/SEV/62/TAS/302	Compliance Assistance Programme: 2011 budget	COM	318,785	25,503	344,288
SOM/SEV/35/TAS/01	Formulation of national phase-out strategy	COM	77	10	87
SYR/REF/29/TRA/47	Implementation of the RMP: training programme for custom officials	COM	61,337	7,974	69,311
<b>Total</b>			<b>583,568</b>	<b>53,981</b>	<b>637,549</b>

**UNIDO**

Code	Project Title	Status	Project costs returned (US\$)	Associated support costs (US\$)	Total
ALB/PHA/58/INV/20	National ODS Phase out plan (seventh tranche)	COM	867	65	932
BHE/PHA/55/PRP/23	Preparation of a HCFC phase-out management plan	COM	1,728	130	1,858
CUB/FUM/44/INV/29	Total phase-out of methyl bromide in soil, substrate, storage and structure fumigation	FIN	-3,543	-265	-3,808
DRK/PHA/55/PRP/51	Preparation of a HCFC phase-out management plan	COM	2,026	152	2,178
IRA/REF/58/PRP/196	Preparation for HCFC phase-out investment activities (room air-conditioning and compressors)	FIN	-61	-5	-66
MDN/SEV/57/INS/27	Extension of the institutional strengthening project (phase VI)	FIN	-43	-3	-46
MEX/ARS/53/INV/135	Phase-out of CFC consumption in the manufacture of aerosol MDIs	COM	5,960	447	6,407
MOG/PHA/53/INV/03	Terminal phase-out plan for CFCs (first tranche)	COM	418	31	449
MOG/PHA/55/PRP/05	Preparation of a HCFC phase-out management plan	FIN	-767	-58	-825
ROM/PHA/60/TAS/41	National CFC phase-out plan (first tranche)	FIN	-898	-67	-965
SUD/PHA/58/INV/23	National CFC/CTC phase-out plan (fourth tranche)	COM	1,130	85	1,215
SYR/FUM/47/INV/94	Phase-out methyl bromide in grain fumigation (third tranche)	COM	6,506	488	6,994
SYR/PHA/49/INV/96	National CFC phase-out plan for Syria (first tranche)	COM	550	41	591
TKM/PHA/55/PRP/05	Preparation of a HCFC phase-out management plan	FIN	-909	-68	-977
TKM/SEV/54/INS/04	Extension of the institutional strengthening project (phase II)	FIN	-62	-5	-67
VEN/FOA/60/PRP/115	Preparation for HCFC phase-out investment activities (foam sector)	COM	56	4	60
VEN/REF/60/PRP/116	Preparation for HCFC phase-out investment activities (refrigeration and air conditioning manufacturing sector)	COM	783	59	842
YUG/PHA/43/TAS/23	National CFC phase-out plan (first tranche)	COM	34,974	2,624	37,598
<b>Total</b>			<b>48,717</b>	<b>3,655</b>	<b>52,372</b>



**WORLD BANK**

<b>Code</b>	<b>Project Title</b>	<b>Status</b>	<b>Project costs returned (US\$)</b>	<b>Associated support costs (US\$)</b>	<b>Total</b>
ARG/PHA/64/PRP/165	Preparation of a HCFC phase-out management plan	ONG	108,561	8,142	116,703
GLO/REF/47/DEM/268	Global chiller replacement project (China, India, Indonesia, Malaysia and Philippines)	ONG	3,149,056	236,179	3,385,235
GLO/SEV/65/TAS/312	Core unit budget (2012)	COM	0	3,981	3,981
TUR/PHA/53/INV/90	Total phase-out of CFCs plan: Implementation status of 2007AP and verification report for CFC consumption for 2007	COM	0	10,500	10,500
<b>Total</b>			<b>3,257,617</b>	<b>258,802</b>	<b>3,516,419</b>

**CZECH REPUBLIC**

<b>Code</b>	<b>Project Title</b>	<b>Status</b>	<b>Project costs returned (US\$)</b>	<b>Associated support costs (US\$)</b>	<b>Total</b>
EUR/SEV/60/TAS/10	Initiating regional cooperation to enforce ODS trade controls in Europe and Central Asia network countries (second tranche)	COM	2,885	375	3,260

**JAPAN**

<b>Code</b>	<b>Project Title</b>	<b>Status</b>	<b>Project costs returned (US\$)</b>	<b>Associated support costs (US\$)</b>	<b>Total</b>
NIR/REF/61/PRP/127	Preparation for a demonstration project to validate the trans-critical CO2 refrigeration technology for application to ice-block makers at Austin Laz	FIN	2,648	344	2,992

**PORTUGAL**

<b>Code</b>	<b>Project Title</b>	<b>Status</b>	<b>Project costs returned (US\$)</b>	<b>Associated support costs (US\$)</b>	<b>Total</b>
GLO/SEV/47/TAS/269	Communication and cooperation support to Portuguese speaking countries (Angola, Cape Verde, East Timor, Guinea Bissau, Mozambique and Sao Tome and Principe)	COM	170	22	192