



**United Nations
Environment
Programme**

Distr.
GENERAL

UNEP/OzL.Pro/ExCom/68/53
7 December 2012

ORIGINAL: ENGLISH



EXECUTIVE COMMITTEE OF
THE MULTILATERAL FUND FOR THE
IMPLEMENTATION OF THE MONTREAL PROTOCOL
Sixty-eighth meeting
Montreal, 3-7 December 2012

REPORT OF THE SIXTY-EIGHTH MEETING OF THE EXECUTIVE COMMITTEE

Introduction

1. The 68th meeting of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol was held at the headquarters of the International Civil Aviation Organization, Montreal, Canada, from 3 to 7 December 2012.
2. The meeting was attended by representatives of the following countries, members of the Executive Committee in accordance with decision XXIII/19 of the Twenty-Third Meeting of the Parties to the Montreal Protocol:
 - (a) Parties not operating under paragraph 1 of Article 5 of the Protocol: Belgium, Canada, Finland, Japan, the United Kingdom of Great Britain and Northern Ireland (Vice-Chair) and the United States of America; and
 - (b) Parties operating under paragraph 1 of Article 5 of the Protocol: Argentina, China (Chair), Cuba, India, Jordan, Kenya, and Mali.
3. In accordance with the decisions taken by the Executive Committee at its second and eighth meetings, representatives of the United Nations Development Programme (UNDP), the United Nations Environment Programme (UNEP) both as implementing agency and as Treasurer of the Fund, the United Nations Industrial Development Organization (UNIDO) and the World Bank attended the meeting as observers.
4. A representative of the Executive Director of UNEP, the Executive Secretary and a staff member of the Ozone Secretariat, and the President and Vice-President of the Implementation Committee under the Non-Compliance Procedure for the Montreal Protocol were also present.
5. Representatives of the Global Environment Facility (GEF) Secretariat, the Alliance for Responsible Atmospheric Policy, the Environmental Investigation Agency and Shecco also attended as observers.

AGENDA ITEM 1: OPENING OF THE MEETING

6. The meeting was opened by the Chair, Mr. Xiao Xuezhi (China), who highlighted the key issues to be addressed at the 68th meeting. He began by reminding the members of the Executive Committee that the 68th meeting not only ended the first year of the replenishment triennium, but also ushered in a fresh beginning after 25 years of the Montreal Protocol. He pointed out, however, that approvals at the 66th and 67th meetings had exceeded the amounts in the Multilateral Fund's business plan by almost US \$9 million. Furthermore, if projects to be considered at the 68th meeting were approved at the level of funding recommended, the budget allocated for 2012 would be exceeded by more than US \$47 million. With the funds approved in principle for 2013 and 2014, the overall budget for the 2012-2014 triennium would be exceeded by US \$128 million. It was important for the Executive Committee to bear in mind that its decisions on project approvals had an impact on countries' capacity to meet their compliance targets under the Montreal Protocol.

7. In addition to projects and activities proposed for approval, the Executive Committee would be considering the reports on the evaluations undertaken at its request, together with a number of policy papers prepared by the Secretariat. Most of the HCFC phase-out management plans (HPMPs) for low-volume-consuming (LVC) countries had been found to be consistent with the guidelines and were therefore recommended for approval, but some of the HPMPs for non-LVC countries had specific issues associated with them and would have to be considered on a case-by case-basis.

8. The Production Sector Sub-group had convened an intersessional meeting in the margins of the Twenty-Fourth Meeting of the Parties and the Executive Committee would have before it reports on that meeting and on the meeting to be held in the margins of the present Executive Committee meeting.

9. One particularly important item requiring careful consideration at the present meeting was the recruitment process for the Chief Officer, in view of the current Chief Officer's retirement the following year. The Executive Committee's discussions, aided by documents prepared by the Secretariat (UNEP.OzL.Pro/ExCom/68/51 and Add.1), should focus very clearly on the process, arrangements and timeline for the selection of the third Chief Officer of the Multilateral Fund Secretariat to ensure a smooth, clear and timely transition.

10. In closing, the Chair remarked on the successful celebrations for the 25th anniversary of the Montreal Protocol, which had documented the achievements of the Multilateral Fund and spurred it to achieve even more in the future. He also thanked members for their support during his term as Chair, which would come to an end at the close of the present meeting.

AGENDA ITEM 2: ORGANIZATIONAL MATTERS

(a) Adoption of the agenda

11. The Committee agreed to consider under agenda item 15, Other matters, the proposed dates of the first two meetings of the Executive Committee in 2013. On a separate matter, two members referred to the decision of the Parties at their 24th Meeting regarding the evaluation of the financial mechanism, and requested that the issue be placed on the agenda of the 69th meeting of the Executive Committee.

12. The Executive Committee adopted the following agenda for the meeting on the basis of the provisional agenda contained in document UNEP/OzL.Pro/ExCom/68/1:

1. Opening of the meeting.
2. Organizational matters:
 - (a) Adoption of the agenda;
 - (b) Organization of work.
3. Secretariat activities.
4. Status of contributions and disbursements.
5. Status of resources and planning:
 - (a) Report on balances and availability of resources;
 - (b) 2012-2014 business plans and annual tranche submission delays;
 - (c) Status reports and compliance.
6. Programme implementation: Monitoring and evaluation:
 - (a) 2012 consolidated project completion report;
 - (b) 2012 consolidated multi-year agreement project completion report;
 - (c) Multi-year agreement database for HCFC phase-out management plans (decision 63/61(e));
 - (d) Desk study on the evaluation of chiller projects;
 - (e) Evaluation of methyl bromide projects;
 - (f) Draft monitoring and evaluation work programme for the year 2013.
7. Assessment of the administrative cost regime for the 2015-2017 triennium (decision 66/17(e)).
8. Project proposals:
 - (a) Overview of issues identified during project review;
 - (b) Bilateral cooperation;
 - (c) Amendments to work programmes for 2012:
 - (i) UNDP;
 - (ii) UNEP;
 - (iii) UNIDO;

- (iv) World Bank;
 - (d) UNEP's Compliance Assistance Programme (CAP) budget for 2013;
 - (e) 2013 core unit costs for UNDP, UNIDO and the World Bank;
 - (f) Investment projects.
9. Options for a tracking system for HCFC-141b-based pre-blended polyols exported by systems houses and used by foam enterprises in importing Article 5 countries (decision 66/51(d) and (e)).
 10. Procedures currently in force for the submission of project proposals from bilateral and implementing agencies on behalf of governments of Article 5 countries (decision 67/17).
 11. Accounts of the Multilateral Fund:
 - (a) Final 2011 accounts;
 - (b) Reconciliation of the accounts.
 12. Revised 2012, 2013 and 2014 and proposed 2015 budgets of the Fund Secretariat.
 13. Recruitment process for the position of Chief Officer of the Multilateral Fund Secretariat (decision 67/37).
 14. Report of the Production Sector Sub-group.
 15. Other matters.
 16. Adoption of the report.
 17. Closure of the meeting.

(b) Organization of work

13. The Executive Committee agreed to consider agenda item 13, Recruitment process for the position of Chief Officer of the Multilateral Fund Secretariat, earlier in the meeting so that there would be sufficient time to discuss the matter fully, and perhaps create a contact group to report back to the plenary. It was also agreed that the desk study on the evaluation of chiller projects would be discussed in conjunction with the presentations on resource mobilization under item 5(c), Status reports and compliance. It was further agreed that the Production Sector Sub-group would be reconvened and would meet in the margins of the present meeting. With regard to dates and venues of future meetings, one member suggested that, as many delegations to the Executive Committee also attended meetings of the United Nations Framework Convention on Climate Change and the Kyoto Protocol, it would be preferable to wait until the first Executive Committee meeting of 2013 to decide on the date of the second meeting for that year, to avoid conflicting dates for the various meetings.

AGENDA ITEM 3: SECRETARIAT ACTIVITIES

14. The Chief Officer introduced document UNEP/OzL.Pro/ExCom/68/2, which provided an overview of the work done by the Secretariat since the 67th meeting. Focusing on the key issues therein, she said that the Secretariat had prepared nearly 60 documents for the meeting, including policy papers, and had reviewed funding requests totalling over US \$444 million. Activities amounting to

US \$5.7 million were recommended for blanket approval at the present meeting, while others totalling US \$424 million were for individual consideration.

15. She informed the Committee that, on 15 November 2012, the Government of the Russian Federation had passed a decision to pay its annual contributions to the Fund as of 1 January 2013. The Russian Ambassador in Nairobi had informed the Executive Director of UNEP of the decision, and UNEP, as Treasurer of the Fund, had written to the Russian Ministry of Finance on 21 November 2012 sending the requested invoice for the year 2013.

16. She went on to convey her personal thanks – at the last Executive Committee meeting of the 25th anniversary year – to all her colleagues in the Fund Secretariat for their selfless dedication to the work of the Committee. Many members of the Secretariat had more than 20 years' involvement with the Montreal Protocol and were integral to its success. Secondly, and of equal importance, she wished to thank the bilateral and implementing agencies, without their strong partnership and dedication the implementation of the Protocol would not have been so successful, and the Secretariat looked forward to working with them for many years to come. She concluded by thanking the Government of Canada for its hospitality in hosting the Multilateral Fund Secretariat in Montreal.

17. In response to questions about the decision of the Government of the Russian Federation to pay its annual contributions under the Montreal Protocol, which was a development much welcomed by the Committee, the Chief Officer agreed to make the latest communications between the Secretariat and the Ministries of Finance and of Natural Resources and the Environment available to the Committee (subsequently issued as document UNEP/OzL.Pro/ExCom/68/Inf.2). Regarding the arrears, she recalled that the aim had been to ensure that the Russian Federation began to make statutory payments; the outstanding contributions were still a matter of contention that was best addressed, if need be, in another forum. How the outstanding contributions would be reflected in the Fund accounts would be explained by the Treasurer under agenda item 4.

18. Several members of the Committee expressed great interest in potential collaboration between the GEF and the Multilateral Fund Secretariats, particularly with regard to a proposed joint publication. Nevertheless, it was felt that more discussion was required to clarify issues such as: the general focus of any cooperation and the areas in which greater synergy could be developed; and, more specifically, the purpose of the publication and its target audience. The Chief Officer said that initial discussions had centred on complementary projects relating, *inter alia*, to ODS disposal, HCFC phase-out, and energy efficiency gains and that she had proposed a meeting to the new Chief Executive Officer of the GEF to discuss the matter further. In response, the Chair proposed that interested Committee members communicate their ideas informally to the Secretariat in the margins of the meeting. One member indicated that a representative of the GEF Secretariat was present and that discussions on cooperation between the two Secretariats within their respective mandates could occur at the present meeting.

19. Explaining the implications of the General Service staff grade review for the Multilateral Fund, the Senior Administrative and Fund Management Officer said that the Secretariats of the Convention on Biological Diversity and of the Multilateral Fund had commissioned an independent review of grade levels to ensure that the job descriptions of the two Secretariats' General Service staff were classified in the same manner. A draft report had been received by the Secretariat, which had submitted its comments on it to the United Nations Office at Nairobi (UNON). Discussions were ongoing with the consultant and UNON, and the Secretariat would report thereon to the Executive Committee once the report had been finalized.

20. Following the discussion, the Executive Committee took note with appreciation of the report on Secretariat activities.

AGENDA ITEM 4: STATUS OF CONTRIBUTIONS AND DISBURSEMENTS

21. The Treasurer introduced document UNEP/OzL.Pro/ExCom/68/3 and provided updated information on countries' contributions to the Fund as at 30 November 2012. He said that additional contributions amounting to approximately US \$25 million had been received from Austria, Latvia and the United States of America since the document had been issued, and that the Fund's balance stood at US \$67,034,991. He informed members that 31 Parties had paid all or part of their 2012 pledges, representing 59.2 per cent of the total, and that the balance on the gain from the fixed-exchange-rate mechanism (FERM) stood at US \$23,547,990. In reply to questions on the way in which the outstanding contributions of the Russian Federation were accounted for, he also informed members that, pursuant to paragraph (c) of decision 67/1, the Treasurer had been requested to maintain the outstanding contributions of the Russian Federation in the outstanding contributions category of the Fund's accounts and said that the Treasurer would continue to do so until otherwise instructed by the Executive Committee.

22. In the ensuing discussion, several members urged Parties to pay their contributions as early as possible and the representatives of Japan and the United Kingdom, on behalf of the Government of France, informed members that the contributions of France and Japan had been approved and would soon be received by the Treasurer.

23. One member reminded the Executive Committee that current economic circumstances meant that it was difficult for some Parties to pay their contributions early in the year in which they were due and he asked members to consider the particular circumstances of each Party. Although he did not object to urging Parties to pay their contributions as early as possible, it was important to remember that contributions were in fact being paid during the year in which they were due. He also asked for clarification of the timeline that Parties had to observe when opting to use the FERM and urged those Parties that intended to use the FERM to do so as soon as possible.

24. The Chief Officer explained that, pursuant to paragraph 1 of decision XXIII/16 of the Twenty-third Meeting of the Parties, the Treasurer had been directed to extend the FERM to the period 2012-2014 and that, pursuant to paragraph (b) of decision 66/1, all Parties eligible to use the FERM had been urged to advise the Treasurer of their intention to do so before the 67th meeting of the Executive Committee.

25. The representative of Belgium explained that Belgium had already paid its contribution for the present year using the FERM. It had simply been an oversight on its part not to have formally advised the Treasurer of its intention to do so and requested that Belgium be added to the list of countries intending to use the FERM.

26. The Executive Committee decided:

(a) To note:

- (i) The report of the Treasurer on the status of contributions and disbursements and the information on promissory notes as contained in Annex I to the present report;
- (ii) The list of Parties that had opted to use the fixed-exchange-rate mechanism in making their contributions to the Fund during the replenishment period 2012-2014 as contained in Annex I to the present report; and

- (b) To urge all Parties to pay their contributions to the Multilateral Fund in full and as early as possible.

(Decision 68/1)

AGENDA ITEM 5: STATUS OF RESOURCES AND PLANNING

(a) Report on balances and availability of resources

27. The representative of the Secretariat introduced documents UNEP/OzL.Pro/ExCom/68/4 and Add.1. She indicated that, with the contributions of the Governments of France and Japan (see paragraph 22), there would be sufficient funding available for the amounts recommended for approval at the present meeting, including the budget for the Secretariat, but it might not be sufficient for funding the production sector. She also said that the Secretariat had been advised that the Government of the Plurinational State of Bolivia wished to transfer US \$5,000 for its terminal phase-out management plan (TPMP) from the government of Canada to the UNDP component of the same TPMP to be used for HCFC activities. That would entail an amendment to the Agreement between the Government of the Plurinational State of Bolivia and the Executive Committee and the return of US \$275 in agency support costs from the Government of Canada to the Multilateral Fund.

28. During the meeting, the representative of the World Bank said that, on behalf of the Government of Tunisia, the balance of US \$758,432, including US \$52,914 in agency support cost would be transferred to UNIDO, for the national ODS phase-out plan for Tunisia.

29. The Executive Committee decided:

- (a) To note:
- (i) The report on balances and availability of resources contained in documents UNEP/OzL.Pro/ExCom/68/4 and Add.1;
 - (ii) That the net level of funds being returned by the implementing agencies to the 68th meeting was US \$309,652 against projects, which included the return of US \$212,468 from UNDP and US \$97,184 from UNIDO;
 - (iii) That the net level of support costs being returned by the implementing agencies to the 68th meeting was US \$417,111 against projects, which included the return of US \$18,328 from UNDP, US \$810 from UNEP, US \$7,288 from UNIDO, and US \$390,685 from the World Bank;
 - (iv) That implementing agencies had balances totalling US \$720,382, excluding support costs, from projects completed over two years previously, which included US \$145,202 for UNDP, US \$130,669 for UNEP and US \$444,511 for UNIDO;
 - (v) That the Government of France had balances totalling US \$14,012, including support costs, for one project completed over two years previously;
 - (vi) That the net level of funds and support costs being returned by the bilateral agencies to the 68th meeting was US \$148,286, which included the return of US \$146,869 from the Government of France and US \$1,417 from the Government of Italy; and to request the Treasurer to follow up with the Governments concerned on the cash transfer of those amounts;

- (vii) The Government of Spain's return of accrued interest of US \$157,755 for the projects BRA/FUM/46/INV/272 and MEX/FUM/42/TAS/118 directly to the Treasurer as additional income to the Fund;
- (b) To request implementing agencies with projects completed in 2007 and 2008 to return the balances as soon as possible;
- (c) To approve an additional US \$57,579 in support costs for UNEP for the national CFC phase-out plan for the Philippines (PHI/PHA/66/INV/94), in accordance with decision 66/2(a)(iv);
- (d) With regard to the request by the Government of the Plurinational State of Bolivia relating to its terminal phase-out management plan (TPMP), to approve:
 - (i) The transfer of the balance of US \$5,000 of the second tranche of the TPMP from the Government of Canada to UNDP;
 - (ii) The transfer of US \$375 for agency support costs from Canada to UNDP and the return of US \$275 from the Government of Canada to the Multilateral Fund;
 - (iii) The revised Agreement between the Government of the Plurinational State of Bolivia and the Executive Committee contained in Annex II to the present report;
- (e) With regard to the national ODS phase-out plan for Tunisia, to approve:
 - (i) The transfer of the national ODS phase-out plan for Tunisia from the World Bank to UNIDO;
 - (ii) The transfer of the balance of US \$758,432, including US \$52,914 in agency support costs, from the World Bank to UNIDO; and
 - (iii) The revised Agreement between the Government of Tunisia and the Executive Committee contained in Annex III to the present report.

(Decision 68/2)

(b) 2012-2014 business plans and annual tranche submission delays

30. The representative of the Secretariat introduced documents UNEP/OzL.Pro/ExCom/68/5 and Add.1. He reminded members that approvals at the 66th and 67th meetings had exceeded the levels in the 2012-2014 business plan by US \$8,994,212. Based on submissions to the 68th meeting, production sector project submissions alone meant that the level of commitments could exceed the allocations in the 2012-2014 business plan of the Multilateral Fund, while consumption sector projects were within their budget allocations. He added that three of the 26 annual tranches due for consideration had not been submitted as planned.

31. The Executive Committee decided:

- (a) To note:
 - (i) The report on the status of the 2012-2014 business plans and tranche submission delays as contained in documents UNEP/OzL.Pro/ExCom/68/5 and Add.1;

- (ii) The information on tranche submission delays under multi-year agreements (MYAs) submitted to the Secretariat by UNDP and UNEP;
 - (iii) That 23 out of 26 tranches of MYAs due for submission had been submitted on time to the 68th meeting;
 - (iv) The reports provided by UNEP and the World Bank on their discussions with countries on the 2011 business plan's qualitative performance evaluations;
- (b) To request:
- (i) UNEP to report to the 69th meeting on the action taken for projects in Haiti to improve training components and fund transfer and to provide sufficient technical advice for technology decision-making;
 - (ii) The bilateral and implementing agencies to address in their 2013-2015 business plans those activities not submitted in 2012 as planned, as contained in Annexes IV and V to the present report;
 - (iii) The Secretariat:
 - a. Through its coordination meeting with bilateral and implementing agencies to adjust all new activities in the 2013-2015 business plans for 2013 and 2014 to the budget allocation for the 2012-2014 triennium and for up to an allocation of US \$150 million for all activities in 2015, for planning purposes;
 - b. To send letters to the Governments of Angola and Chile to urge the submission of the second tranche of their HCFC phase-out management plans (HPMPs) to the 69th meeting; and
 - (iv) The Secretariat and bilateral and implementing agencies to take into account the planned commitments and standard activities in business planning for new activities in 2015, assuming continued Compliance Assistance Programme, institutional strengthening, core unit and project preparation for HPMPs at current rates, with growth as allowed by existing decisions, for planning purposes.

(Decision 68/3)

(c) Status reports and compliance

32. The representative of the Secretariat introduced documents UNEP/OzL.Pro/ExCom/68/6 and Add.1. He clarified that funding approved to date for HPMPs would result in the phase-out of 22 per cent of the HCFC consumption baseline of Article 5 countries instead of the 24 per cent mentioned in the document.

33. In addition, in response to questions regarding the ability of countries to provide information on Free on Board (FOB) prices, the representative of the Secretariat indicated that if countries had difficulty providing such data the Secretariat was open to discussing that with the individual country, and confirmed that the data in question was for imports.

34. One member asked for an update from the implementing agencies on the status of HPMPs in the 2013-2015 business plans that had not yet been submitted. The representative of UNIDO informed the Committee that, because of the situations in Libya and Tunisia, it had been difficult to reach the

respective national ozone units (NOUs), but that policies and regulations in both countries would enable them to comply with the freeze target. The representative of UNEP reported that the HPMP for Barbados was now under review by the Cabinet of Ministers, and that Barbados would meet the 2013 freeze in HCFC consumption by enforcing the quota system and operating a licensing system. For Mauritania, the UNEP team was working with the Ministry of Environment to put a quota system in place for 2013, and for South Sudan, which was a new party to the Montreal Protocol, the main goal was to initiate drafting of legislation for licensing of HCFCs, among other things.

35. With regard to licensing systems, one member informed the Committee that Mozambique's licensing system was now working satisfactorily, and that Kenya was in the process of reviewing ODS reporting so that its licensing system would prevent ODS reporting discrepancies in the future.

36. With regard to resource mobilization for climate co-benefits, an informal discussion group was formed to discuss a number of issues related to monitoring and evaluation, and it later became a contact group. Members also raised issues with respect to institutional strengthening in the Democratic People's Republic of Korea and the fund disbursement issues raised in decision 66/15, and with respect to the need for voluntary reporting of FOB prices.

37. Following discussions in the contact group, the Executive Committee decided:

(a) To note:

- (i) With appreciation, the status reports and reports on projects with implementation delays submitted to the Secretariat by the implementing agencies and the Governments of Germany and Spain addressed in documents UNEP/OzL.Pro/ExCom/68/6 and Add.1;
- (ii) That the total production of CTC for China indicated in paragraph 10 of document UNEP/OzL.Pro/ExCom/68/6 should be revised to 415.06 metric tonnes, consisting of 179.92 metric tonnes for process agent uses and 235.14 metric tonnes for laboratory uses;
- (iii) That 65 country programme (CP) implementation reports for the year 2011 had been submitted through the web-based system, which had been initiated on 25 April 2007;
- (iv) That the Secretariat and the implementing agencies would take established actions according to the Secretariat's assessments and report to and notify governments and implementing agencies as required;

(b) To request:

- (i) The implementing agencies to consider the need for additional methyl bromide (MB) projects, taking into account the evaluation of the MB projects contained in document UNEP/OzL.Pro/ExCom/68/11, in the potential countries that had remaining consumption of MB not covered under approved projects or were exempt from compliance pursuant to decision XV/12 of the Fifteenth Meeting of the Parties;
- (ii) The implementing agencies to include in their 2013-2015 business plans stage I of the HCFC phase-out management plans (HPMPs) for the following countries that had not yet submitted their HPMPs: Barbados, Botswana, Libya, Mauritania, South Sudan and Tunisia;

- (iii) The Governments of Indonesia, Kenya, Mozambique, Panama, Papua New Guinea and Timor-Leste to report to the Secretariat, as a matter of urgency, on whether their licensing systems were functioning “satisfactorily”, “very well” or “not so well”;
- (iv) The Governments to report, on a voluntary basis, on an average import Free on Board (FOB) price for each ODS and ODS substitute in the revised CP format mandated by decision 63/4(b)(ii);
- (v) That letters of possible cancellation be sent in respect of the following projects:

Agency	Code	Project title
UNDP	PAK/ARS/56/INV/71	Plan for phase-out of CFCs in the manufacture of pharmaceutical MDIs
UNEP	GLO/REF/48/TAS/275	Global technical assistance programme in the chiller sector
UNIDO	SYR/FUM/49/TAS/95	Methyl bromide national phase-out plan (soil fumigation)

- (vi) Additional status reports on the projects listed in Annexes VI and VII to the present report;
 - (vii) The Governments of the Czech Republic, France, Israel and Japan to provide their implementation delay reports to the 69th meeting of the Executive Committee;
 - (viii) The submission to the 69th meeting of additional specific status reports on the remaining five projects with specific reporting requirements (BRA/PHA/50/INV/278, BRA/PHA/53/INV/280, BRA/PHA/56/INV/284, BRA/PHA/59/INV/293 and COL/FOA/60/DEM/75), as shown in Annex VIII to the present report;
- (c) With respect to resource mobilization for climate co-benefits:
- (i) To take note of the important information on resource mobilization provided in the desk study on the evaluation of chiller projects as presented in document UNEP/OzL.Pro/ExCom/68/10 and noted in paragraphs 48 to 54 of the present report;
 - (ii) To request that UNDP, UNEP, UNIDO and the World Bank take into account the information provided in the desk study, where relevant, and incorporate such information in the final reports on resource mobilization for climate co-benefits to be presented to the 69th meeting in the context of the terms of reference set out in decisions 63/20, 63/22, 63/23 and 63/24;
 - (iii) To request the Secretariat, in its review and summary of the final reports, to include an elaboration of the elements called for in the decisions of the 63rd meeting of the Executive Committee, in consultation with the respective implementing agency, and to provide its recommendations to the 69th meeting on criteria identified in those final reports that could facilitate consideration of whether to engage in a short-term pilot scheme for mobilization of financing for non-eligible projects;
- (d) With respect to the verification report on the Process agent sector plan (phase II) in China: status of CTC phase-out in process agent applications, to request the World Bank, on behalf of the Government of China, to submit a project completion report for phase II of the plan, accompanied by a revised 2010 verification report for the sector, together

with a report on CTC production and consumption, in line with decision 65/10(i), no later than the 70th meeting;

- (e) With respect to the Progress report on final implementation (2010-2012) and consumption verification report for 2009 and 2010 in Nigeria:
 - (i) To note the verification report for CFC consumption in Nigeria for 2009 and 2010;
 - (ii) To note the submission of a report on the implementation of the national CFC phase-out plan for Nigeria from 2010 to 2012;
 - (iii) To approve use of part of the remaining funds for a publication and the return of the balance to the Multilateral Fund, as proposed in the submission;
- (f) With respect to the verification report on the National ODS phase-out plan in Tunisia (2010 verification report on the consumption of CFCs and halons), to note the verification report on CFC and halon consumption in Tunisia for 2010;
- (g) With respect to the methyl bromide (MB) project in Costa Rica:
 - (i) To note the 2011 annual progress report on the implementation of the fifth tranche of the project for the total phase-out of MB used as a fumigant for melons, cut flowers, bananas, tobacco seedbeds and nurseries, excluding quarantine and pre-shipment applications, in Costa Rica;
 - (ii) To authorize the disbursement of US \$297,300 by UNDP to the Government of Costa Rica as part of the fifth tranche of the project;
 - (iii) To request UNDP to submit the project completion report to the Executive Committee soon after completion of the fifth tranche of the project; and
- (h) With respect to UNEP's progress report on the implementation of Executive Committee decision 66/15 in the Democratic People's Republic of Korea, to note the progress report submitted by UNEP on the implementation of Executive Committee decision 66/15 on the institutional strengthening project for the Democratic People's Republic of Korea.

(Decision 68/4)

AGENDA ITEM 6: PROGRAMME IMPLEMENTATION: MONITORING AND EVALUATION

(a) 2012 consolidated project completion report

38. The Senior Monitoring and Evaluation Officer introduced document UNEP/OzL.Pro/ExCom/68/7. She informed members that a draft of the report had been sent to both the bilateral and implementing agencies, and that the report was based on an analysis of 18 investment projects and 16 non-investment projects.

39. One member remarked that the reason for implementation delays was not provided, and asked that future reports contain some analysis of the most important reasons for delays in order to be able to provide recommendations for the Executive Committee, if relevant, on possible ways of further reducing such delays.

40. The Executive Committee decided:

- (a) To take note of the 2012 consolidated project completion report (PCR), including the schedule for submission of PCRs due and the lessons learned in Annex II to document UNEP/OzL.Pro/ExCom/68/7;
- (b) To request bilateral and implementing agencies concerned, by the end of January 2013:
 - (i) To establish full consistency of data reported in the PCRs in the inventory of approved projects database and in the annual progress reports, in cooperation with the Secretariat;
 - (ii) To provide the information still missing in a number of PCRs;
 - (iii) To clear the backlog of PCRs on projects completed before the end of 2006; and
- (c) To invite all those involved in the preparation and implementation of projects to take into consideration the lessons learned from PCRs when preparing and implementing future projects.

(Decision 68/5)

(b) 2012 consolidated multi-year agreement project completion report

41. The Senior Monitoring and Evaluation Officer introduced document UNEP/OzL.Pro/ExCom/68/8, explaining that the completion report format for completed MYA projects had been developed pursuant to decision 62/6(c), and had been noted by the Executive Committee in decision 65/6. Since that time, only one submission had been made in electronic format, and another in PDF format.

42. One member asked for additional information on the reasons for the low reporting rate, and the matter was discussed both informally and within the context of the contact group on monitoring and evaluation issues.

43. Based on the outcome of informal and formal discussions, the Executive Committee decided:

- (a) To take note of the information provided in document UNEP/OzL.Pro/ExCom/68/8; and
- (b) To request bilateral and implementing agencies to submit the multi-year agreement project completion reports to the second meeting of the Executive Committee each year.

(Decision 68/6)

(c) Multi-year agreement database for HCFC phase-out management plans (decision 63/61(e))

44. The Senior Monitoring and Evaluation Officer introduced document UNEP/OzL.Pro/ExCom/68/9. She indicated that the database had been created using the same concept as the MYA tables for CFC data and then broadened to include more complex information. While agencies had entered data for more than half the countries, there was limited information for about one third of them, and the database had not yet been used for about 13 per cent of countries.

45. The implementing agencies were asked about the high number of countries with no data entered, and all responded that the software was not user-friendly. While pointing out that the software had been tested multiple times over the years, the Senior Monitoring and Evaluation Officer agreed to have bilateral discussions with the agencies to determine which elements were not sufficiently user-friendly. It

was noted that the database was a worthwhile tool for tracking the progress of MYA projects, and that it was therefore useful to try to make it more user-friendly and capture the data.

46. Comments were made regarding the very specific data in the database and the fact that more detailed data was requested than was the case for the MYA reports. There was a question as to whether the data needed to be endorsed by the countries. The Senior Monitoring and Evaluation Officer pointed out that the data requested was part of the tranche request and therefore was, in principle, already endorsed by the countries. It was also pointed out that the database was for monitoring purposes only and was not legally binding, and therefore did not require endorsement by the countries.

47. The matter was referred to the contact group set up under agenda item 5(c) (see paragraph 36). Following the meeting of the contact group, the Executive Committee decided:

- (a) To take note of the report on the multi-year agreement (MYA) database for HCFC phase-out management plans (HPMPs) presented in document UNEP/OzL.Pro/ExCom/68/9;
- (b) To encourage the Senior Monitoring and Evaluation Officer, the Secretariat and the implementing agencies to continue to cooperate to further enhance the user-friendliness of the electronic online reporting systems (MYA database for HPMPs and MYA project completion reports) with a view to facilitating the process of submitting actual and complete information to the Senior Monitoring and Evaluation Officer and the Executive Committee in a timely and efficient manner; and
- (c) To request the Senior Monitoring and Evaluation Officer to report to the Executive Committee at the 70th meeting on the progress made.

(Decision 68/7)

(d) Desk study on the evaluation of chiller projects

48. The Senior Monitoring and Evaluation Officer introduced documents UNEP/OzL.Pro/ExCom/68/10 and Add.1, a desk study updating the conclusions of a previous evaluation of chiller projects. Among its findings, the desk study concluded that counterpart and official development assistance (ODA) grant co-financing options tended to provide fast results. However, innovative funding arrangements (ODA plus private sector and/or carbon funding) had clearly superior leveraging capacity, in particular where the projects created tangible benefits for the co-financing entities. More generally speaking, scarcity of information had been a significant challenge in writing the report. The Senior Monitoring and Evaluation Officer therefore recommended that field visits take place, but not before 2014 to allow for the accumulation of new data.

49. Following the introduction, one member highlighted the fact that the resources provided by the Multilateral Fund for the chiller projects had grown thirteenfold through counterpart funding and resource mobilization. He and other members therefore stressed that the chiller desk study's findings on co-financing, and particularly lessons learned, could be considered in connection with the reports on resource mobilization for climate co-benefits, discussed under agenda item 5(c). The Executive Committee noted that it would be useful to have as much information as possible about lessons learned, barriers to resource mobilization, and timeframes for obtaining additional resources. The reports should also contain information on the Multilateral Fund-GEF partnership. The information provided could potentially be used to set targets for resource mobilization based on past results.

50. With regard to the findings of the desk study in other areas, and the proposal by the Senior Monitoring and Evaluation Officer to carry out field visits, some members enquired about the criteria

used to select the countries for the proposed field visits. The choice of Brazil and Argentina as destinations for the field study was questioned, as Brazil already figured heavily in the desk study, and Argentina had not obtained co-funding from the Global Environment Facility or the Clean Development Mechanism. Although reports on chiller projects should continue to be submitted to the Executive Committee, a field study did not seem necessary as there had already been two desk studies and two reports from implementing agencies on chillers.

51. With regard to the content of the report, paragraphs 34 and 35 of document UNEP/OzL.Pro/ExCom/68/10 were questioned as being overly speculative about the ways in which projects and beneficiary countries were chosen for bilateral projects. It was further pointed out that, in paragraph 34, there was a lack of precision about bilateral projects and additional funding; neither one reduced countries' contributions, which were set according to United Nations rules. In fact, bilateral contributions were a way for donor countries to make up to 20 per cent of their contributions to the Fund, and additional funding was, by definition, over and above those contributions. It was noted that most of the funding provided by some non-Article 5 countries for chiller demonstration projects represented contributions additional to their assessed contributions. It was also mentioned that the final desk study document should contain recommendations for the Executive Committee.

52. One member requested that document UNEP/OzL.Pro/ExCom/68/10 be updated and presented in its final form to the 69th meeting, including the requested clarifications.

53. Following the discussion, the Executive Committee agreed to refer the issue to the contact group set up under agenda item 5(c) (see paragraph 36).

54. After hearing the report of the contact group, the Executive Committee decided:

- (a) To take note of the information provided in the desk study on the evaluation of chiller projects as presented in documents UNEP/OzL.Pro/ExCom/68/10 and Add.1;
- (b) To consider at its 71st meeting the need for a field evaluation of chiller projects in the context of the proposed 2014 monitoring and evaluation work programme;
- (c) To request the Secretariat to prepare annually a report on the progress of ongoing chiller projects, highlighting key progress in implementation of activities, any further information on co-financing arrangements, information on ODS replaced and any energy efficiency gains achieved through chiller conversions, with the first annual report to be presented to the Executive Committee at its 71st meeting; and
- (d) To request the implementing agencies to provide timely information to the Secretariat on the progress of chiller projects in order to enable it to prepare the annual reports requested under paragraph (c) above. This information could be provided in the context of the annual progress reports.

(Decision 68/8)

(e) Evaluation of methyl bromide projects

55. The Senior Monitoring and Evaluation Officer introduced the final evaluation of methyl bromide projects in Africa contained in document UNEP/OzL.Pro/ExCom/68/11. She said the document showed that the risk of African countries returning to the use of MB was low and that the alternative technologies being chosen were generally appropriate. However, other actions could also be taken to strengthen the phase-out achieved, such as the adoption of environment-friendly production practices, new incentives to reduce the price of imported alternatives and the correct identification of the specific pests or diseases affecting crops.

56. The Executive Committee took note of the information provided in the final evaluation of methyl bromide projects, as presented in document UNEP/OzL.Pro/ExCom/68/11.

(f) Draft monitoring and evaluation work programme for the year 2013

57. The Senior Monitoring and Evaluation Officer introduced document UNEP/OzL.Pro/ExCom/68/12, which contained a proposal for the evaluation studies to be conducted as well as the general methodological approach, together with the budget required for the 2013 work programme. The evaluation of MYA projects and metered-dose inhalers (MDIs) continued earlier work; the desk study on the evaluation of the preparatory phase of phasing out HCFCs was a new activity.

58. Several members questioned the utility of the new evaluation at this point and requested more information on the rationale behind it. Others questioned the scope of the terms of reference, which also seemed to pre-judge the outcome of the desk study. The value of monitoring and evaluation was not in question but some members thought it important to ensure that the work programme centred on activities that could provide lessons that could be applied to the Committee's present work. One member also asked whether the budget was realistic in light of the broad scope of the desk study proposed.

59. Given the number of queries raised, the Executive Committee referred the matter to the contact group on monitoring and evaluation issues formed under agenda item 5(c) (see paragraph 36) to consider the matter further.

60. Following the meeting of the contact group, the Executive Committee decided:

- (a) To take note of the draft monitoring and evaluation work programme for the year 2013 as contained in document UNEP/OzL.Pro/ExCom/68/12; and
- (b) To approve the 2013 monitoring and evaluation work programme at a budget of US \$191,000, on the understanding that the terms of reference for the desk study on the evaluation of the preparatory phase of the phasing out of HCFCs would be discussed at the 69th meeting of the Executive Committee, and that it included the addition of US \$15,000 for the improvement of the electronic online reporting system on multi-year agreements (MYAs), as shown below:

2013	
Description	Amount (US \$)
Evaluation of metered-dose inhaler projects:	
• 6 case studies	90,000
• Final report (1 consultant * 30 working days at US \$500/day)	15,000
Desk study on the evaluation of the preparatory phase of the phasing out of HCFCs:	
• (1 consultant * 30 working days at US \$500/day)	15,000
Improvement of the electronic online reporting systems on MYAs	15,000
Staff travel	50,000
Miscellaneous	6,000
Total 2013	191,000

(Decision 68/9)

AGENDA ITEM 7: ASSESSMENT OF THE ADMINISTRATIVE COST REGIME FOR THE 2015-2017 TRIENNIUM (DECISION 66/17(e))

61. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/13, which provided the Secretariat's observations on the possible terms of reference for the assessment of the administrative cost regime for the period 2015 to 2017. He suggested that agency fees should also be considered in the context of guidance for stage II HPMPs because the relevant agreements could extend over more than one triennium, whereas administrative cost regimes were usually for one triennium only.

62. Several members queried the need to discuss the issue at the present meeting, noting that the Executive Committee had agreed to a new administrative cost regime only at the 67th meeting following lengthy discussions and it was not necessary to modify the regime every triennium. The representative of the Secretariat explained that a decision on the administrative cost regime for the 2015-2017 triennium had to be taken at the last meeting in 2014, which was only two years ahead. Terms of reference and time for an assessment would be required, especially if a bidding process were involved.

63. Since there was some agreement that, as stage II HPMPs might need to be funded differently, it would be better to consider that issue once the guidelines for them had been considered at the 69th meeting. It was therefore suggested that the issue be revisited at the 70th meeting of the Executive Committee.

64. The Executive Committee decided to defer further consideration of the terms of reference for the assessment of the administrative cost regime for the 2015-2017 triennium until its 70th meeting, or until after the preparation of the guidelines for stage II of HCFC phase-out management plans had been approved by the Executive Committee.

(Decision 68/10)

AGENDA ITEM 8: PROJECT PROPOSALS

(a) Overview of issues identified during project review

65. The representative of the Secretariat introduced documents UNEP/OzL.Pro/ExCom/68/14 and Add.1 containing: an analysis of the number of projects and activities submitted to the current meeting; the list of projects and activities submitted for blanket approval; and the list of investment projects submitted for individual consideration.

Maximizing the climate benefits from the phase-out of HCFCs in the refrigeration servicing sector

66. Although no new policy issues had been identified during the project review process, pursuant to decision 67/16 the Secretariat had included a revised proposal on maximizing climate benefits from the phase-out of HCFCs in the refrigeration servicing sector in its overview of issues identified during project review.

67. Several members supported the draft recommendation, but others expressed concern that there were risks associated with the alternatives to HCFCs and that Article 5 countries did not have the necessary training required to use, and were not familiar with, the alternatives being proposed. One member also said that retrofitting HCFC-based equipment to higher-global warming potential (GWP) alternatives was not practical in some countries and urged that each country be allowed to decide the issue of retrofitting HCFC-based equipment for itself. The Committee agreed to set up a contact group to discuss the matter further.

68. Subsequently the convenor of the contact group reported that some members still had serious reservations with regard to a number of the key elements under discussion.

69. Following the report by the convenor of the contact group, the Executive Committee decided to request the Secretariat, in consultation with the bilateral and implementing agencies, to prepare a discussion paper for the 70th meeting outlining key issues and considerations involved in further promoting strategies, approaches and technologies to minimize any adverse climate impacts of HCFC phase-out in the refrigeration servicing sector in the context of decision XIX/6 of the Nineteenth Meeting of the Parties.

(Decision 68/11)

Projects and activities submitted for blanket approval

70. Regarding the list of projects submitted for blanket approval, the Executive Committee decided:

- (a) To approve the projects and activities submitted for blanket approval at the levels of funding indicated in Annex IX to the present report, together with the conditions or provisions included in the corresponding project evaluation documents and the conditions attached to the projects by the Executive Committee; and
- (b) That, for projects related to renewal of institutional strengthening, blanket approval included approval of the observations to be communicated to recipient governments contained in Annex X to the present report.

(Decision 68/12)

(b) Bilateral cooperation

71. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/15 containing an overview of requests from bilateral agencies. A total of seven projects for bilateral cooperation with a value of US \$4,588,448 had been received for approval. All of the requests were within the relevant country's 20 per cent maximum allocation for bilateral cooperation activities, with the exception of Germany, whose request was nevertheless within the bilateral cooperation limit for the 2012-2014 triennium.

72. Another representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/15/Add.1 containing the project proposal presented by UNIDO on behalf of the Government of France for the strategy for the disposal and destruction of ODS for six LVC Central African countries. She explained that the main objective of the project was to develop a regional strategy that would provide options for LVC countries to address non-reusable and unwanted ODS stockpiles. The bilateral project proposal had been presented as a technical assistance project pursuant to decision 67/38.

73. Following the introduction, a number of members highlighted the importance of the project as a potential source of lessons learned for other countries and regions. Any challenges in implementing the project would also provide important information on potential barriers to the successful completion of future ODS disposal and destruction projects. It was therefore vital to create incentives for LVC and non-LVC countries to deal with this problem, in relation to both CFCs and HCFCs.

74. With regard to the strategy as such, it was pointed out that it would be important to seek synergies with other relevant Conventions dealing with dangerous materials, such as the Stockholm Convention on Persistent Organic Pollutants (POPs). Confirmation was also sought that, following the development of the regional strategy proposal in the project, participating countries would conduct follow-up activities to ensure tangible results.

75. A number of reservations were expressed by one member, who pointed out the lack of data showing that sufficient stockpiles of unwanted ODS existed in those countries, as well as lack of information on future funding which the project might entail.

76. The representative of UNIDO clarified that, at the African Network Meeting of Ozone Officers in Djibouti, the countries had confirmed that they had ODS waste stockpiles. He also explained that the participating countries had been chosen for the regional project because of similar legal and regulatory frameworks, and because they already belonged to regional economic groups. This would facilitate the transboundary transportation of ODS stockpiles when needed. With regard to further funding for disposal of ODS after project completion, the representative of UNIDO mentioned that the GEF was one potential partner, as well as a French organization that could only be contacted once this project had been approved.

77. Following the discussion, the Chair invited interested members to meet informally among themselves and with UNIDO in the margins of the meeting to attempt to achieve consensus on whether to approve the project.

78. After hearing a report on the informal discussions, the Executive Committee decided to approve the technical assistance project to develop a strategy for the disposal and destruction of ODS for five low-volume consuming (LVC) countries in the Central African region (Burundi, Cameroon, Central African Republic, Congo, and Guinea) at the level of funding of US \$80,000, plus agency support costs of US \$10,400 for the Government of France, in line with decision 67/38; on the understanding that:

- (a) The technical assistance would focus on the following activities:
 - (i) Collection of data on non-reusable and unwanted ODS amounts;
 - (ii) Verification of the existing legal framework for the sound disposal of ODS stockpiles;
 - (iii) Awareness-raising and dissemination;
 - (iv) Development of a strategy;
- (b) UNIDO and the Government of France were encouraged to ensure that the final report and strategy included the following information:
 - (i) The methodology adopted for the collection and verification of ODS waste data, taking into account challenges faced and lessons learned;
 - (ii) An analysis of the existing situation in the participating countries with regard to their capacity to recover, recycle and reclaim ODS taking into account projects for CFC phase-out already approved;
 - (iii) Synergies with other conventions dealing with chemicals (Stockholm Convention on Persistent Organic Pollutants);
- (c) The strategy would be developed in such a way that it could be extended to all countries in the African region, as well as other low-volume consuming countries;
- (d) No other funding would be made available for new activities related to ODS disposal and destruction in the absence of a new relevant decision of the Meeting of the Parties to the Montreal Protocol;

(Decision 68/13)

79. The Executive committee also decided to request the Treasurer to offset the costs of the bilateral projects approved at the 68th meeting as follows:

- (a) US \$90,400 (including agency fees) against the balance of the France's bilateral contribution for 2012;
- (b) US \$3,992,938 (including agency fees) against the balance of Germany's bilateral contribution for 2012-2014; and
- (c) US \$432,750 (including agency fees) against the balance of Japan's bilateral contribution for 2012.

(Decision 68/14)

(c) Amendments to work programmes for 2012

(i) UNDP

80. The Chair reminded the meeting that the funding requests in UNDP's 2012 work programme amendments, namely institutional strengthening projects described in document UNEP/OzL.Pro/ExCom/68/16, had already been approved under agenda item 8(a) (see paragraph 70 above).

(ii) UNEP

81. The Chair reminded the meeting that most of the funding requests in UNEP's 2012 work programme amendments, namely institutional strengthening projects described in document UNEP/OzL.Pro/ExCom/68/17, had already been approved under agenda item 8(a) (see paragraph 70 above).

82. The representative of the Secretariat introduced three projects that remained for individual consideration as described below:

Botswana: Renewal of institutional strengthening project (phase IV)

83. Introducing the project proposal, the representative of the Secretariat explained that the Government of Botswana had approved the ratification of the Montreal and Beijing Amendments on 27 September 2012, but that the instruments had not yet been received by the United Nations Office of Legal Affairs in New York. Moreover, an ODS import/export licensing system was not yet in place.

84. Following the introduction, the Executive Committee decided to approve phase IV of the institutional strengthening renewal project for Botswana, covering the period January 2013 to December 2014 at the level of funding of US \$78,173, on the understanding that no funding would be disbursed from UNEP to the Government of Botswana until the ODS regulations, including the import/export licensing system, had been published in the Official Gazette and confirmation had been received from the Ozone Secretariat that the licensing system was in place in accordance with Article 4B, paragraph 4, of the Montreal Protocol.

(Decision 68/15)

Democratic People's Republic of Korea: Renewal of institutional strengthening projects (phases VI and VII)

85. Introducing the project proposal, the representative of the Secretariat pointed out that the issues relating to alternative methods of disbursement, organizational structures and monitoring procedures had been the subject of a decision at the 66th meeting of the Executive Committee (decision 66/15(k)(ii)) and had been presented to the 68th meeting under agenda item 5(c). In the ensuing discussion it was noted that, while UNEP had made considerable progress in resolving the issues linked to disbursement and monitoring procedures, some concerns raised at previous meetings with regard to the organizational structure remained unresolved.

86. In this context, the representative of Japan notified the Executive Committee of its understanding that, if the project were approved, the portion of the total funding approved for the project equivalent to the contribution of Japan should be spent only on activities that could be monitored in a transparent manner, and that the funds should be spent only for the protection of the ozone layer, without being diverted to other uses.

87. Following the discussion, the Executive Committee decided:

- (a) To approve phase VI of the institutional strengthening (IS) renewal project for the Democratic People's Republic of Korea, covering the period January 2010 to December 2011, at the level of funding of US \$130,000, and phase VII of the IS renewal project, covering the period January 2012 to December 2013, at the level of funding of US \$130,000; and
- (b) To request UNEP to ensure the application of the strict and timely monitoring procedure and transparent method of fund disbursement contained in the Progress Report on the Implementation of the Executive Committee decision 66/15 on the Institutional Strengthening Project of the Democratic People's Republic of Korea (as contained in Annex II to document UNEP/OzL.Pro/ExCom/68/6/Add.1).

(Decision 68/16)

South Sudan: Institutional strengthening start-up funds

88. Introducing the project proposal, the representative of the Secretariat indicated that UNEP had requested start-up funding to establish and strengthen South Sudan's national capacity for managing ODS phase-out activities. He also pointed out that the Government of South Sudan had ratified all the Amendments to the Montreal Protocol on 16 October 2012.

89. Following the introduction, the Executive Committee decided to approve the institutional strengthening start-up funds for South Sudan at the amount of US \$40,000.

(Decision 68/17)

(iii) UNIDO

90. The Chair reminded the meeting that the funding requests in UNIDO's 2012 work programme amendments, namely institutional strengthening projects described in document UNEP/OzL.Pro/ExCom/68/18, had already been approved under agenda item 8(a) (see paragraph 70 above).

(iv) World Bank

91. The Chair reminded the meeting that the funding requests in the World Bank's 2012 work programme amendments, namely institutional strengthening projects described in document UNEP/OzL.Pro/ExCom/68/19, had already been approved under agenda item 8(a) (see paragraph 70 above).

(d) UNEP's Compliance Assistance Programme (CAP) budget for 2013

92. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/20, specifying that the nominal increase in the CAP budget for 2013 only covered the annual salary increments required by the International Civil Service Commission.

93. A member expressed appreciation to UNEP for its CAP work plan and budget, and support for the proposed four-track approach. In line with this, he encouraged UNEP to consider putting more emphasis on activities that supported tracks 1, 2 and 4. In particular, he reiterated the importance of track 4 for promoting awareness and sharing knowledge on substitutes for HCFCs, especially those that minimized impact on the environment, including the climate, and stressed the need for activities to support them.

94. In response to one member's request for clarification on the recruitment process for the Regional Network Coordinator for the Caribbean, the representative of UNEP provided an update, indicating that the recruitment was expected to be completed by the end of 2012 and the coordinator should be in place in the first quarter of 2013.

95. Following the discussion, the Executive Committee decided:

- (a) To approve the Compliance Assistance Programme (CAP) budget for 2013 at the amount of US \$9,158,000, plus agency support costs of 8 per cent amounting to US \$732,640 for UNEP, as shown in Annex XI to the present report;
- (b) To request UNEP, in future submissions of the CAP budget, to continue:
 - (i) Providing detailed information on the activities for which the global funds would be used;
 - (ii) Extending the prioritization of funding between CAP budget lines so as to accommodate changing priorities, and providing details on the reallocations made in its budget pursuant to decisions 47/24 and 50/26; and
 - (iii) Reporting on the current staff post levels and informing the Executive Committee of any changes thereto, particularly in respect of any increased budget allocations.

(Decision 68/18)

(e) 2013 core unit costs for UNDP, UNIDO and the World Bank

96. The representative of the Secretariat introduced documents UNEP/OzL.Pro/ExCom/68/21 and Corr.1.

97. The Executive Committee decided:

- (a) To note the report on 2013 core unit costs for UNDP, UNIDO and the World Bank, as presented in documents UNEP/OzL.Pro/ExCom/68/21 and Corr.1; and
- (b) To approve the requested core unit budgets at the amounts of US \$1,998,453 for UNDP, US \$1,998,453 for UNIDO, and US \$1,725,000 for the World Bank.

(Decision 68/19)

(f) Investment projects

No funding requested

India: CTC phase-out plan for the consumption and production sectors: 2011 verification report and work plan covering the funds remaining (World Bank)

98. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/31.

99. One member pointed out that information on residues from CTC production and their treatment should be part of the verification report, and that an amendment to the verification report covering this issue should be submitted to the 70th meeting. Other members noted that some activities in the work plan submitted previously to the 67th meeting appeared to have been removed, for example, sustainable mechanisms to monitor CTC and feedstock use, and asked to discuss with the World Bank and India if such activities would be or could be included in the work plan.

100. The representative of the World Bank informed members that, to allow further consultation with the stakeholders in India, the work plan would be withdrawn and would be resubmitted to the 69th meeting.

Philippines: National CFC phase-out plan: work programme for 2013 (UNEP)

101. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/37 containing the annual work plan for 2013 for the remaining activities to be completed under the national CFC phase-out plan (NPP) for the Philippines, in line with decisions 65/10(e) and 66/15(f). The funding level for the activities in the work plan for 2013 was US \$474,221. In combination with the US \$471,630 approved at the 66th meeting, CFC phase-out would be maintained as planned. All activities related to CFCs would be completed by December 2013. The remaining US \$933,000 from the balance of US \$1,407,221 in funding previously approved under the NPP would be transferred to the HPMP for the Philippines.

102. Following the introduction, some members requested further clarification with regard to some overlapping areas between the NPP and the HPMP. The 2013 work plan included funds for a project management unit (PMU) for CFC phase-out in the amount of US \$244,221 for one year, while new funds were being requested under the HPMP for a PMU for HCFC phase-out. In all, US \$474,221 were being requested under the NPP for other activities, years after CFC phase-out had been achieved. It was further pointed out that a number of the activities in the NPP had changed, and questions were asked about the relationship between those activities and the activities in the HPMP.

103. Given these issues, it was agreed that the members seeking clarification should meet informally with the implementing agencies in the margins of the meeting to discuss both the NPP and the HPMP for the Philippines, and then report back to plenary.

104. After further discussion, the Executive Committee decided:

- (a) To approve the 2013 annual implementation plan under the national CFC phase-out plan (NPP) for the Philippines and the activities contained therein, and the disbursement of no more than US \$330,000, in line with decision 66/15(f)(iii);
- (b) To approve the transfer of US \$1,077,221, plus agency support costs of US \$124,364 for UNEP, from the national CFC phase-out plan to stage I of the HCFC phase-out management plan;
- (c) To request UNEP to submit a report on implementation of the activities approved at the 68th meeting to the 71st meeting of the Executive Committee ; and
- (d) To return any remaining balances of the NPP as of 31 December 2013 to the Multilateral Fund.

(Decision 68/20)

Second tranches of HPMPs

Brazil: HCFC phase-out management plan (stage I, second tranche) (UNDP/Germany)

105. The Chair introduced document UNEP/OzL.Pro/ExCom/68/23.

106. The Executive Committee decided:

- (a) To note the progress report on the implementation of the first tranche of stage I of the HCFC phase-out management plan (HPMP) for Brazil; and
- (b) To approve the second tranche of stage I of the HPMP for Brazil, and the corresponding tranche implementation plans, at the amount of US \$6,389,727, consisting of US \$3,400,000, plus agency support costs of US \$255,000 for UNDP, and US \$2,472,727, plus agency support costs of US \$262,000 for the Government of Germany.

(Decision 68/21)

China: HCFC phase-out management plan (stage I, second tranche) (UNDP/UNEP/UNIDO/World Bank/Japan/Germany)

107. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/24. He reported that the bilateral and implementing agencies had submitted progress reports on the implementation of stage I of the HPMP for China, as well as progress reports on the implementation of the first tranche of the four manufacturing sector plans and the refrigeration servicing sector plan in conjunction with the funding request for the second tranche. He drew the Committee's attention to the fact that the Agreement allowed for separate tranche approval for each sector plan, and that approval of the second tranche of the sector plans depended on whether 20 per cent or more of the funding approved had been disbursed to final beneficiaries. On that basis, the Secretariat could only recommend approval of the tranche requested for the servicing sector.

108. Following the introduction, the implementing agencies were asked to provide any additional information available. The representative of UNDP emphasized the special challenges faced by China and the limited time left for implementation to ensure that the phase-out targets were met. She stressed the sincerity of the agencies' efforts to share information and provide clarification, but felt that the document did not reflect an appreciation of the size of the tasks involved in meeting the 2013 and 2015 targets, and contained language that implied presumptions of wrongdoing by the agencies. The representatives of UNIDO and the World Bank concurred with the statement made by the representative of UNDP. The agencies all reiterated their commitment to the Montreal Protocol and their willingness to provide the necessary information.

109. Members expressed their appreciation of the size and complexity of the project, their respect for the amount of work done to date by all those involved, and their confidence in the Secretariat and the implementing agencies. It was agreed that informal discussions would take place on a number of issues.

110. Following informal discussions, the Executive Committee decided:

- (a) To request the implementing agencies and the Secretariat to further discuss sub-paragraphs (b), (c), (d) and (f) of paragraph 31 of document UNEP/OzL.Pro/ExCom/68/24/Rev.1 on the HCFC phase-out management plan (HPMP) for China with a view to providing recommendations on those issues to the 69th meeting, including identification of opportunities for providing information specifically on disbursement from China to enterprises, and to request the Secretariat to include in the document a table comparing the different historical implementation modalities of the Multilateral Fund, including those relating to HPMPs;
- (b) To request the implementing agencies to work with China to obtain information on any interest accrued on funds held by China for stage I of its HPMP and to offset those amounts against further funding towards subsequent tranches;
- (c) To approve the second tranche of the HPMP for China at the total level of funding of US \$22,298,000, plus support costs of US \$1,590,721 as detailed below, without prejudice to the future interpretation of the 20 per cent disbursement threshold required by the Agreement:
 - (i) The second tranche of stage I of the industrial and commercial refrigeration and air conditioning sector plan for China and the corresponding tranche implementation plan at the amount of US \$6,900,000, plus agency support costs of US \$483,000 for UNDP;
 - (ii) To approve the second tranche of stage I of the polyurethane foam sector plan for China and the corresponding tranche implementation plan at the amount of US \$5,520,000, plus agency support costs of US \$386,400 for the World Bank;
 - (iii) The second tranche of stage I of the room air-conditioner manufacturing sector plan for China and the corresponding tranche implementation plan at the amount of US \$9,200,000, plus agency support costs of US \$644,000 for UNIDO;
 - (iv) The second tranche of stage I of the refrigeration servicing sector plan for China and the corresponding tranche implementation plans at the amount of US \$755,321, consisting of US \$598,000, plus agency support costs of US \$66,921 for UNEP, and US \$80,000, plus agency support costs of US \$10,400 for the Government of Japan; and

- (d) To consider the request for funding for the second tranche for the extruded polystyrene foam sector plan by UNIDO and the Government of Germany at the 69th meeting of the Executive Committee.

(Decision 68/22)

Cuba: HCFC phase-out management plan (stage I, second tranche) (UNDP)

111. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/25.

112. The Executive Committee decided to approve, on an exceptional basis, an advance of funding on the second tranche of stage I of the HCFC phase-out management plan for Cuba, at the amount of US \$537,527, plus agency support costs of US \$40,315 for UNDP.

(Decision 68/23)

Egypt: HCFC phase-out management plan (stage I, second tranche) (UNDP/UNIDO)

113. The Chair introduced document UNEP/OzL.Pro/ExCom/68/27.

114. The Executive Committee decided:

- (a) To note the progress report on the implementation of the first tranche of stage I of the HCFC phase-out management plan (HPMP) for Egypt; and
- (b) To approve the second tranche of stage I of the HPMP for Egypt, and the corresponding tranche implementation plans, at the amount of US \$2,418,750, consisting of US \$2,000,000, plus agency support costs of US \$150,000 for UNDP, and US \$250,000, plus agency support costs of US \$18,750 for UNIDO.

(Decision 68/24)

Guatemala: HCFC phase-out management plan (stage I, second tranche) (UNIDO/UNEP)

115. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/29.

116. Members noted the good progress made by the Government of Guatemala. One member requested UNIDO to consult the Government to see whether it might commit to a date by which it would ban the import of HCFC-141b both in bulk and in pre-blended polyols as a means of sustaining compliance. Reporting on his consultations, the representative of UNIDO said the Government was not in a position to issue a ban at present, considering that the Government was new and HCFC-141b was also used in the refrigeration servicing sector for flushing, which was not covered by the HPMP.

117. One member noted that there might be implications in bringing forward the funding planned for disbursement in a future triennium and asked whether this kind of situation was likely to occur in other countries. The representative of the Secretariat said that he had consulted the implementing agencies, which had confirmed that there was only one similar case and it too had been submitted to the present meeting.

118. The Executive Committee decided:

- (a) To note:
 - (i) The progress report on the implementation of the first tranche of stage I of the HCFC phase-out management plan (HPMP) for Guatemala;
 - (ii) That the Fund Secretariat had updated Appendix 2-A “The targets, and funding” of the Agreement between the Government of Guatemala and the Executive Committee, based on the changes requested by the Government to the funding levels associated with the second and third tranches, and that a new paragraph 16 had been added to indicate that the updated Agreement attached as Annex XII to the present report superseded that reached at the 64th meeting;
- (b) To encourage the Government of Guatemala to consider issuing a ban on the import of HCFC-141b in bulk or in pre-blended polyols prior to completion of stage I of the HPMP;
- (c) To request UNIDO, as lead implementing agency, to report thereon to the Executive Committee when submitting subsequent tranche funding requests; and
- (d) To approve, on an exceptional basis, the second tranche of stage I of the HPMP for Guatemala, as contained in the revised Agreement, and the corresponding tranche implementation plan, at the funding level of US \$97,925, plus agency support costs of US \$7,344 for UNIDO.

(Decision 68/25)

Islamic Republic of Iran: HCFC phase-out management plan (stage I, second tranche)
(UNDP/UNIDO/Germany/UNEP)

119. The Chair introduced document UNEP/OzL.Pro/ExCom/68/32.

120. The Executive Committee decided:

- (a) To note:
 - (i) The progress report on the implementation of the first tranche of stage I of the HCFC phase-out management plan (HPMP) for the Islamic Republic of Iran;
 - (ii) That the Fund Secretariat had updated paragraph 1, Appendices 1-A and 2-A of the Agreement between the Government of the Islamic Republic of Iran and the Executive Committee, based on the established HCFC baseline for compliance; the deduction of US \$173,550, plus agency support costs of US \$13,016 for UNIDO, associated with the conversion of the foam enterprise Yakhchavan, which had been identified as non-eligible for funding under the Multilateral Fund after the HPMP had been approved and that a new paragraph 16 had been added to indicate that the updated Agreement attached as Annex XIII to the present report superseded the Agreement reached at the 63rd meeting;
- (b) To note that the revised starting point for sustained aggregate reduction in HCFC consumption was 380.5 ODP tonnes, calculated using actual consumption of 362.1 ODP tonnes and 398.8 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol; and

- (c) To approve the second tranche of stage I of the HPMP for the Islamic Republic of Iran, and the corresponding tranche implementation plans, at the amount of US \$2,959,850, consisting of US \$1,370,000, plus agency support costs of US \$102,750 for UNDP, US \$534,233, plus agency support costs of US \$60,617 for the Government of Germany, and US \$830,000, plus agency support costs of US \$62,250 for UNIDO.

(Decision 68/26)

Mexico: HCFC phase-out management plan (stage I, second tranche) (UNIDO/UNDP)

- 121. The Chair introduced document UNEP/OzL.Pro/ExCom/68/33.
- 122. The Executive Committee decided:
 - (a) To note the progress report on the implementation of the first tranche of stage I of the HCFC phase-out management plan (HPMP) for Mexico; and
 - (b) To approve the second tranche of stage I of the HPMP for Mexico, and the corresponding tranche implementation plans, at the amount of US \$4,832,137, consisting of US \$695,011, plus agency support costs of US \$52,126 for UNIDO, and US \$3,800,000, plus agency support costs of US \$285,000 for UNDP.

(Decision 68/27)

Saint Lucia: HCFC phase-out management plan (stage I, second tranche) (UNEP/UNIDO)

- 123. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/38.
- 124. The Executive Committee decided:
 - (a) To note:
 - (i) The progress report on the implementation of the first tranche of stage I of the HCFC phase-out management plan of (HPMP) for Saint Lucia;
 - (ii) That the Fund Secretariat had updated paragraph 1, Appendices 1-A and 2-A of the Agreement between the Government of Saint Lucia and the Executive Committee based on the established HCFC baseline for compliance, and that a new paragraph 16 had been added to indicate that the updated Agreement attached as Annex XIV to the present report superseded that reached at the 64th meeting;
 - (iii) That the revised starting point for sustained aggregate reduction in HCFC consumption was 0.2 ODP tonnes, calculated using actual consumption of 0.4 ODP tonnes and 0.0 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, and that the overall funding had been reduced accordingly to reflect the amount eligible under the reported baseline;
 - (b) To approve the second tranche of stage I of the HPMP for Saint Lucia and the corresponding tranche implementation plans, at the amount of US \$26,850, consisting of US \$13,150, plus agency support costs of US \$1,710 for UNEP, and US \$11,000, plus agency support costs of US \$990 for UNIDO; and

- (c) To request the Fund Secretariat, in the event that the baseline consumption for compliance for Saint Lucia was amended based on revised Article 7 data, to update Appendix 2-A to the Agreement to include the revised figures for maximum allowable consumption, and to notify the Executive Committee of the resulting change in the levels of maximum allowable consumption and of any potential related impact on the eligible funding level, with any adjustments needed being made when the next tranche was submitted.

(Decision 68/28)

HPMPs for LVC countries

Ethiopia: HCFC phase-out management plan (stage I, first tranche) (UNEP/UNIDO)

125. The Chair introduced document UNEP/OzL.Pro/ExCom/68/28.

126. Concern was expressed regarding the proposed retrofit programme. Given that leakage rates were as high as 48 per cent in some cases, it might be more pertinent to concentrate on addressing that issue. Furthermore, there were energy-efficient low-GWP alternatives available and it was suggested that active conversion to new technology would be preferable to passive retrofitting.

127. Following consideration of the matter by an informal group, the Executive Committee asked UNIDO to focus, if feasible, on activities that would directly reduce leakage rates in the industrial refrigeration sub-sector and to explore opportunities for working with owners of commercial and food-manufacturing equipment to promote retrofits or conversions to low-GWP technology. UNIDO was also asked to report on progress in this respect when submitting the second tranche funding request.

128. The Executive Committee decided:

- (a) To approve, in principle, stage I of the HCFC phase-out management plan (HPMP) for Ethiopia for the period 2012 to 2020 to reduce HCFC consumption by 35 per cent of the baseline, at the amount of US \$350,350, consisting of US \$175,000, plus agency support costs of US \$22,750 for UNEP, and US \$140,000, plus agency support costs of US \$12,600 for UNIDO;
- (b) To note that the Government of Ethiopia had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 5.5 ODP tonnes, calculated using actual consumption of 0.0 ODP tonnes and 11.0 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol;
- (c) To deduct 1.92 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption;
- (d) To approve the draft Agreement between the Government of Ethiopia and the Executive Committee for the reduction in consumption of HCFCs, as contained in Annex XV to the present report;
- (e) To request the Fund Secretariat, in the event that the baseline consumption for compliance for Ethiopia was amended based on revised Article 7 data, to update Appendix 2-A to the Agreement to include the revised figures for maximum allowable consumption, and to notify the Executive Committee of the resulting change in the levels of maximum allowable consumption and of any potential related impact on the eligible funding level, with any adjustments needed being made when the next tranche was submitted; and

- (f) To approve the first tranche of stage I of the HPMP for Ethiopia, and the corresponding tranche implementation plans, at the amount of US \$172,350, consisting of US \$85,000, plus agency support costs of US \$11,050 for UNEP, and US \$70,000, plus agency support costs of US \$6,300 for UNIDO.

(Decision 68/29)

Haiti: HCFC phase-out management plan (stage I, first tranche) (UNEP/UNDP)

129. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/30.

130. In response to a question about the efforts being made by Haiti to deal with the large amounts of second-hand HCFC-based equipment being brought into the country by donors, the representative of UNEP confirmed that, although the NOU was working on establishing a quota system for HCFC-based equipment, he would have to revert to the new ozone officer for a progress report.

131. The Executive Committee decided:

- (a) To approve, in principle, stage I of the HCFC phase-out management plan (HPMP) for Haiti for the period 2012 to 2020 to reduce HCFC consumption by 35 per cent of the baseline, at the amount of US \$312,516, consisting of US \$182,881, plus agency support costs of US \$23,775 for UNEP, and US \$97,119, plus agency support costs of US \$8,741 for UNDP;
- (b) To note that the Government of Haiti had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 3.6 ODP tonnes, calculated using actual consumption of 3.9 ODP tonnes and 3.4 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol;
- (c) To deduct 1.26 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption;
- (d) To approve the draft Agreement between the Government of Haiti and the Executive Committee for the reduction in consumption of HCFCs, as contained in Annex XVI to the present report;
- (e) To approve the first tranche of stage I of the HPMP for Haiti, and the corresponding tranche implementation plan, at the amount of US \$40,000, plus agency support costs of US \$5,200 for UNEP; and
- (f) To urge the Government of Haiti to ratify the Beijing Amendment to the Montreal Protocol as soon as possible.

(Decision 68/30)

Myanmar: HCFC phase-out management plan (stage I, first tranche) (UNEP/UNIDO)

132. The Chair introduced document UNEP/OzL.Pro/ExCom/68/35.

133. In response to a question about the pending Ozone Order that had yet to be passed by the Government, the representative of the Fund Secretariat said that the Ozone Secretariat had deemed Myanmar to be in compliance with Article 4B of the Montreal Protocol owing to the existence of a 1947 law establishing an import and export licensing system. Nonetheless, concern was raised that the law

might not be sufficiently adapted to substances such as ODS that did not exist at that time. The Government should therefore expedite enactment of the new legislation.

134. The Executive Committee decided:

- (a) To approve, in principle, stage I of the HCFC phase-out management plan (HPMP) for Myanmar for the period 2012 to 2020 to reduce HCFC consumption by 35 per cent of the baseline, at the amount of US \$314,000, consisting of US \$220,000, plus agency support costs of US \$28,600 for UNEP, and US \$60,000, plus agency support costs of US \$5,400 for UNIDO;
- (b) To note that the Government of Myanmar had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 4.30 ODP tonnes, calculated using actual consumption of 4.1 ODP tonnes and 4.5 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, plus 1.83 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, resulting in a total of 6.13 ODP tonnes;
- (c) To deduct 1.50 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption;
- (d) To approve the draft Agreement between the Government of Myanmar and the Executive Committee for the reduction in consumption of HCFCs, as contained in Annex XVII to the present report;
- (e) To approve the first tranche of stage I of the HPMP for Myanmar, and the corresponding tranche implementation plan, at the amount of US \$159,000, plus agency support costs of US \$20,670 for UNEP, on the understanding that disbursement would occur only after confirmation had been received from UNEP that the Ozone Order establishing an ODS quota system had been approved by the Government of Myanmar; and
- (f) To allow Myanmar to submit the foam project for phasing out the HCFC-141b contained in imported pre-blended polyols during the implementation of stage I of the HPMP.

(Decision 68/31)

Uganda: HCFC phase-out management plan (stage I, first tranche) (UNEP/UNIDO)

135. The Chair introduced document UNEP/OzL.Pro/ExCom/68/43.

136. The Executive Committee decided:

- (a) To approve, in principle, stage I of the HCFC phase-out management plan (HPMP) for Uganda for the period 2012 to 2020 to reduce HCFC consumption by 35 per cent of the baseline, at the amount of US \$182,685, consisting of US \$84,500, plus agency support costs of US \$10,985 for UNEP, and US \$80,000, plus agency support costs of US \$7,200 for UNIDO;
- (b) To note that the Government of Uganda had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 0.2 ODP tonnes, calculated using actual consumption of 0.0 ODP tonnes and 0.3 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol;

- (c) To deduct 0.07 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption;
- (d) To approve the draft Agreement between the Government of Uganda and the Executive Committee for the reduction in consumption of HCFCs, as contained in Annex XVIII to the present report; and
- (e) To approve the first tranche of stage I of the HPMP for Uganda, and the corresponding tranche implementation plans, at the amount of US \$89,365, consisting of US \$40,500, plus agency support costs of US \$5,265 for UNEP, and US \$40,000, plus agency support costs of US \$3,600 for UNIDO.

(Decision 68/32)

HPMPs for non-LVC countries

Bahrain: HCFC phase-out management plan (stage I, first tranche) (UNEP/UNIDO)

137. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/22. He reminded the Executive Committee that, in a previous submission of the HPMP, the Government of Bahrain had proposed to use as maximum allowable consumption HCFC levels in excess of those prescribed by the Montreal Protocol. Bahrain had subsequently decided to withdraw the project proposal following discussion, and the new submission contained stage I of the HPMP to meet a 35 per cent reduction in consumption by 2020, in compliance with the Montreal Protocol.

138. Several members stressed the uniqueness of Bahrain's situation, with its special climate and consumption of more than 70 per cent of its HCFCs by a single air conditioning manufacturer, and suggested that Bahrain would not be in a position to remain in compliance with the Montreal Protocol if the HPMP were not approved. Others expressed concern with the approach being taken, particularly conversion of a central air-conditioner production line to a high GWP alternative.

139. In response to requests for clarification regarding the timing of the proposed conversion, the representative of the Secretariat informed the Committee that the conversion of the central air-conditioner production line would start immediately and end in early 2014, while preliminary work for the split air-conditioner production line would begin in 2013, with actual conversion taking place in 2016 and beyond. There were additional questions regarding extended commitment, as well as some discussion on the availability and safety of lower GWP alternatives. The Committee agreed to set up a contact group to pursue the discussion.

140. Following the report of the convenor of the contact group, who highlighted the constructive approach of the implementing agencies and other parties involved in the group's discussions, the Executive Committee decided:

- (a) To approve, in principle, stage I of the HCFC phase-out management plan (HPMP) for Bahrain for the period 2012 to 2020 to reduce HCFC consumption by 39 per cent of the baseline, at the amount of US \$3,033,814, consisting of US \$470,000, plus agency support costs of US \$61,100 for UNEP, and US \$2,338,985, plus agency support costs of US \$163,729 for UNIDO;
- (b) To note that the Government of Bahrain had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 51.9 ODP tonnes, calculated using actual consumption of 45.1 ODP tonnes and 58.7 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, plus

10.11 ODP tonnes of HCFC-141b contained in imported pre blended polyols, and to deduct 0.62 ODP tonnes imported for stockpile, resulting in 61.39 ODP tonnes;

- (c) To deduct 23.21 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption;
- (d) To approve the draft Agreement between the Government of Bahrain and the Executive Committee for the reduction in consumption of HCFCs, as contained in Annex XIX to the present document;
- (e) To approve the first tranche of stage I of the HPMP for Bahrain, and the corresponding tranche implementation plans, at the amount of US \$723,517, consisting of US \$120,000, plus agency support costs of US \$15,600 for UNEP, and US \$549,455, plus agency support costs of US \$38,462 for UNIDO;
- (f) To note that, within the total approved funding, US \$112,500 was designated for a feasibility study (prototyping, testing and certification) for conversion of central air-conditioners to low global-warming-potential alternatives;
- (g) To allow the submission of the conversion project for a central air-conditioner production line once the feasibility study was successfully completed during the implementation of stage I of the HPMP;
- (h) To approve the reallocation of funding remaining from the terminal phase-out management plan of US \$105,000, plus agency support costs of US \$13,650 for UNEP, as agreed by the Government of Bahrain in line with the implementation plan provided; and
- (i) To urge the Government of Bahrain to ratify the Beijing Amendment to the Montreal Protocol as soon as possible.

(Decision 68/33)

Democratic People's Republic of Korea: HCFC phase-out management plan (stage I, first tranche) (UNIDO/UNEP)

141. The representative of the Secretariat introduced document UNEP/Oz.L/Pro/ExCom/68/26 containing the request for funding for the first tranche of stage I of the HPMP for the Democratic People's Republic of Korea. He said that, upon request by the Secretariat, UNIDO had provided information on how it would ensure that the HPMP would comply with the relevant United Nations Security Council Resolutions, and that both UNIDO and UNEP had provided a description of the methodologies for disbursement, the organizational structure and the monitoring procedures to be used in implementing the activities.

142. With regard to United Nations Security Council Resolutions, the representative of UNIDO said that before any approval of the HPMP by the Executive Committee UNIDO would consult with the United Nations Sanctions Committee to find out whether the equipment, or any other services to be provided under the HPMP, would be cleared by the United Nations Sanctions Committee.

143. The representative of Japan said that there had been allegations outside the Multilateral Fund that some of the CTC phase-out equipment imported into the Democratic People's Republic of Korea during the implementation of the plan had been used for other purposes. He expressed serious concern at such allegations and emphasized the need for appropriate measures to ensure that there would be no possibility of equipment approved by the Multilateral Fund being converted and used for other purposes. Although

he knew that the information was not correct, in order to prevent any malicious rumours, he requested UNIDO, as implementing agency, to confirm to the 69th meeting that United Nations sanctions had been observed in the case of the CTC terminal phase-out plan and that the equipment supplied for the project had not been used for any other purpose.

144. Following a discussion, the Executive Committee decided:

- (a) To defer consideration of the request for stage I of the HCFC phase-out management plan (HPMP) for the Democratic People's Republic of Korea to the 69th meeting; and
- (b) To request UNIDO to submit a report to the 69th meeting, through the Secretariat, that included information demonstrating that imports of equipment into the country under the CTC phase-out plan were in accordance with the United Nations Security Council resolutions adopted from 2006 onwards.

(Decision 68/34)

Peru: HCFC phase-out management plan (stage I, first tranche) (UNDP/UNEP)

145. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/36.

146. The Executive Committee decided:

- (a) To approve, in principle, stage I of the HCFC phase-out management plan (HPMP) for Peru for the period 2012 to 2015 to reduce HCFC consumption by 10 per cent of the baseline, at the amount of US \$310,111, consisting of US \$232,671, plus agency support costs of US \$20,940 for UNDP, and US \$50,000, plus agency support costs of US \$6,500 for UNEP;
- (b) To note:
 - (i) That the Government of Peru had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 26.88 ODP tonnes, calculated using actual consumption of 27.30 ODP tonnes and 26.45 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol; and
 - (ii) That the amount of 2007-2009 average consumption of HCFC-141b contained in imported pre-blended polyols would be added to the starting point upon submission of stage II of the HPMP, within the context of a foam sector plan;
- (c) To note the commitment of the Government of Peru to ban imports of pure HCFC-141b no later than 1 January 2015;
- (d) To deduct 3.74 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption;
- (e) To approve the draft Agreement between the Government of Peru and the Executive Committee for the reduction in consumption of HCFCs, as contained in Annex XX to the present report;
- (f) To approve the first tranche of stage I of the HPMP, and the corresponding tranche implementation plans, at the amount of US \$145,970, consisting of US \$108,000, plus agency support costs of US \$9,720 for UNDP, and US \$25,000, plus agency support costs

of US \$3,250 for UNEP; and

- (g) That funding would be disbursed only upon receipt by the Secretariat of confirmation from the Government of Peru that an enforceable national system of licensing and quotas for HCFC imports and exports was in place and that the system was capable of ensuring the country's compliance with the Montreal Protocol HCFC phase-out schedule for the duration of the Agreement.

(Decision 68/35)

Philippines: HCFC phase-out management plan (stage I, first tranche) (UNEP)

147. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/37 containing the request for funding for the first tranche of stage I of the HPMP for the Philippines. In introducing the document, the representative of the Secretariat clarified that, although stage I of the HPMP had been submitted by the World Bank, the Philippines had indicated in its endorsement letter that the project would be implemented by UNEP as lead agency. Stage I took into account the funding for the foam sector approved at the 62nd meeting at the level of US \$2,262,055, to be implemented by UNIDO and the Government of Japan, which would phase out 40.0 ODP tonnes of HCFC 141b. The overall cost of stage I, including the foam sector, was US \$3,251,000, which included a new request for the establishment of a Project Management Unit (PMU) at US \$230,000, as well as the amount of US \$933,000 reallocated to the HPMP from the balance of the NPP for the Philippines, in line with decision 66/15(f).

148. The representative of the Secretariat also drew the members' attention to Table 1 of document UNEP/OzL.Pro/ExCom/68/37, where there was a slight discrepancy in the actual verified data, which should read 161.65 ODP tonnes rather than 159.16 ODP tonnes for 2009, and 164.10 ODP tonnes rather than 165.43 ODP tonnes for 2010. This changed the starting point to 162.87 ODP tonnes, which represented a 0.57 ODP tonne increase that would be confirmed when the Philippines officially submitted its request for a revision of the baseline to the Ozone Secretariat.

149. Following the introduction, members raised a number of issues linked to the HPMP. Clarification was sought regarding the request for funding for a PMU, as the investment project had already been approved earlier. Furthermore, some members of the Executive Committee raised the issue of the possibility of associating HCFC reductions with the reallocated funding, as well as obtaining an extended commitment from the Government of the Philippines, and sought additional information on some of the activities on which the reallocated funds would be spent.

150. Given those issues and their relationship to the NPP for the Philippines, it was agreed that the members seeking clarification should meet informally with the implementing agencies in the margins of the meeting to deal with the outstanding issues for both the HPMP and the NPP for the Philippines together, and then report back to the Committee.

151. Consultations were held with the country and agreement was reached on the revised components of the HPMP.

152. After further discussion, the Executive Committee decided:

- (a) To approve, in principle, stage I of the HCFC phase-out management plan (HPMP) for the Philippines for the period 2012 to 2015 to reduce HCFC consumption by 10 per cent of the baseline, at the amount of US \$230,000, plus agency support costs of US \$29,900 for UNEP, noting that the project to phase out 40.0 ODP tonnes of HCFC-141b used in the polyurethane foam sector, at the amount of US \$2,088,000, plus agency support costs of US \$132,799 for UNIDO and US \$41,256 for Japan, had already been approved at the

62nd meeting of the Executive Committee and had subsequently been included in stage I of the HPMP;

- (b) To note that, with the amounts referred to in paragraph (a) above, the total funding for stage I of the HPMP for the Philippines amounted to US \$2,318,000, plus agency support costs of US \$203,955;
- (c) To note that the Government of the Philippines had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the revised baseline of 162.87 ODP tonnes, calculated using actual consumption of 161.65 ODP tonnes and 164.10 ODP tonnes reported for 2009 and 2010, respectively, under the HPMP survey;
- (d) To note the deduction of 40.0 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption for the foam sector project approved at the 62nd meeting, and to deduct a further 5.0 ODP tonnes of HCFCs for the implementation of stage I of the HPMP;
- (e) To note that the Government of the Philippines had committed to banning the installation of new, or the expansion of existing, manufacturing facilities using HCFC in the refrigeration and air-conditioning sector in 2013, and to banning the import of HCFC-141b for foam manufacturing in 2014;
- (f) To note that, in submitting stage II of the HPMP, funding assistance to meet the 2020 HCFC reduction target would be limited to addressing tonnage equivalent to no more than 15 per cent of its baseline;
- (g) To approve the draft Agreement between the Government of the Philippines and the Executive Committee for the reduction in consumption of HCFCs, as contained in Annex XXI to the present report;
- (h) To request the Fund Secretariat, in the event that the baseline consumption for the Philippines was amended based on revised Article 7 data, to update Appendix 2-A to the Agreement to include the revised figures for the baseline once approved by the Parties to the Montreal Protocol;
- (i) To approve the first tranche of stage I of the HPMP for the Philippines, and the corresponding revised tranche implementation plan, at the amount of US \$207,000, plus agency support costs of US \$26,910 for UNEP; and
- (j) To note the reallocation of funding remaining from the national CFC phase-out plan of US \$1,077,221, plus agency support costs of US \$128,494 for UNEP, as agreed by the Government of the Philippines and in line with paragraph 104(b) above.

(Decision 68/36)

Saudi Arabia: HCFC phase-out management plan (stage I, first tranche) (UNIDO/UNEP)

153. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/39.

154. Members noted that the HPMP was comprehensive, cost-effective and used mainly alternative technologies with low GWP. They also appreciated the Government of Saudi Arabia's commitment to implement some activities without assistance from the Fund, such as the phase-out of HCFC-141b contained in pre-blended polyols. Noting the commitment of the implementing agencies and the Government of Saudi Arabia to exploring other options in cases where the proposed technologies were

not low-GWP, they requested that the draft Agreement between Saudi Arabia and the Executive Committee be amended accordingly.

155. Several members asked whether the Government would be willing to undertake an extended phase-out commitment and to provide additional financial resources to maximize the environmental benefits in the context of the HPMP. Reporting back on informal discussions among interested members and on the agency's consultations with the Government, the Secretariat said that the Government had committed to phase out 40 per cent of its baseline by 2020 and to include self-funded activities that would lead to the phase-out of 160.0 ODP tonnes in the servicing sector.

156. In response to a request for an update on the country's ratification status, the representative of Jordan, on behalf of the Government of Saudi Arabia, informed the Executive Committee that Saudi Arabia had, on 4 December 2012, approved the Beijing and Montreal Amendments to the Montreal Protocol and would soon proceed with depositing the instruments of ratification.

157. The Executive Committee decided:

- (a) To request UNIDO to submit, to the 69th meeting, a verification report on CFCs, CTC, TCA and halons for the years 2009 and 2010, together with a full implementation report on the national ODS phase-out plan of Saudi Arabia, and not to accept submission of the second tranche request for the HCFC phase-out management plan (HPMP) without prior submission of both the verification and implementation reports;
- (b) To approve, in principle, stage I of the HPMP for Saudi Arabia for the period 2012 to 2020 to reduce HCFC consumption by 40 per cent of the baseline, at the amount of US \$12,324,648, consisting of US \$10,761,270, plus agency support costs of US \$753,289 for UNIDO, and US \$720,800, plus agency support costs of US \$89,288 for UNEP, noting that the two projects to phase out 180.6 ODP tonnes of HCFC-22 and HCFC-142b in the extruded polystyrene (XPS) foam sector at the amount of US \$1,718,901, plus agency support costs of US \$128,917 for UNIDO, and US \$220,000, plus agency support costs of US \$28,600 for the Government of Japan, had already been approved at the 62nd meeting of the Executive Committee and had been included in stage I of the HPMP;
- (c) To note that, with the amounts referred to in paragraph (b) above, the total funding for stage I of the HPMP for Saudi Arabia amounted to US \$13,420,971, plus agency support costs of US \$1,000,094;
- (d) To note that the revised starting point for sustained aggregate reduction in HCFC consumption was the baseline of 1,468.7 ODP tonnes, calculated using actual consumption of 1,362.0 ODP tonnes and 1,575.4 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol;
- (e) To note the commitment of the Government of Saudi Arabia to ban the import and export of HCFC-141b, either in pure form or as a component of blended chemicals, for use in the production of polyurethane (PU) foams or as solvents or for any other application by 1 January 2018;
- (f) To note the deduction of 180.6 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption for the two projects approved at the 62nd meeting and to deduct a further 522.69 ODP tonnes of HCFCs for the implementation of stage I of the HPMP;

- (g) To note that approval of stage I of the HPMP did not preclude Saudi Arabia from submitting, not earlier than 2015, a proposal to achieve a reduction in HCFCs beyond that addressed in stage I of the HPMP;
- (h) To request UNIDO and UNEP not to implement any conversion to HFC-245fa in the spray foam sector prior to 1 January 2016, and actively to pursue the establishment of low global-warming-potential alternatives for that sub-sector prior to that date;
- (i) To approve the Agreement between the Government of Saudi Arabia and the Executive Committee for the reduction in consumption of HCFCs, as contained in Annex XXII to the present report;
- (j) To request UNIDO to submit, as part of the request for the second tranche, detailed data regarding the refrigeration and air conditioning manufacturing sector, including the names of all eligible enterprises, their level of HCFC consumption, whether additional capacity had been established prior to the cut-off date, ownership, products manufactured, and information to enable assessment of whether the enterprises would be seen as essentially installing, assembling or manufacturing refrigeration and/or air conditioning equipment;
- (k) To approve the first tranche of stage I of the HPMP for Saudi Arabia, and the corresponding tranche implementation plans, at the amount of US \$2,647,845, consisting of US \$2,169,600, plus agency support costs of US \$151,872 for UNIDO, and US \$290,400, plus agency support costs of US \$35,973 for UNEP;
- (l) To approve the re-allocation of funding remaining from the national ODS phase-out plan at the amount of US \$307,000, plus agency support costs of US \$23,025 for UNIDO, as agreed by the Government of Saudi Arabia, in line with the implementation plan provided; and
- (m) To urge the Government of Saudi Arabia to deposit the instruments of ratification of the Montreal and Beijing Amendments to the Montreal Protocol as soon as possible.

(Decision 68/37)

Syrian Arab Republic: HCFC phase-out management plan (stage I, first tranche) (UNEP/UNIDO)

158. The representative of the Secretariat introduced documents UNEP/OzL.Pro/ExCom/68/40 and Corr. 1.

159. There was general agreement that the proposed HPMP was technically sound. Furthermore, several members pointed out that the Syrian Arab Republic already had a licensing and quota system. Moreover, the Executive Committee had already approved a project that, the situation in the country notwithstanding, would allow the Syrian Arab Republic to meet its 2013 Montreal Protocol obligation. It was therefore not pressing to approve the HPMP at the present time. Answering concerns that deferral of project approval would cause unnecessary delays in its future implementation, it was recalled that, in order to receive the first funding tranche and begin implementation, UNIDO would in any case have to submit a tranche implementation plan and other documentation to a future meeting. Several members highlighted the benefits of deferring approval until the situation had stabilized, stating that it would allow the HPMP to take into account, for example, changes in the structure of the sectors concerned or newly available alternatives. Conscious, however, of the long and complex negotiations on technical aspects and enterprise eligibility that had taken place in preparing the HPMP, they suggested that the eligibility of the enterprises should not be called into question during any future consideration of the HPMP.

160. Following consultations in an informal group, the Executive Committee decided:
- (a) To note the HCFC phase-out management plan (HPMP) for the Syrian Arab Republic submitted to the 68th meeting; and
 - (b) To encourage the resubmission of the HPMP at a future meeting when national conditions had improved, on the understanding that the resubmitted HPMP would acknowledge and maintain the agreements reached between the Fund Secretariat and the implementing agencies on eligibility within the HPMP.

(Decision 68/38)

Thailand: HCFC phase-out management plan (stage I, first tranche) (World Bank/Japan)

161. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/41. He reminded members that, at its 66th meeting, the Committee had decided to defer consideration of the HPMP to the 67th meeting. The contact group set up at that meeting had been prepared to recommend approval of the foam sector component alone, but the Government of Thailand preferred to have the HPMP considered as a whole at the 68th meeting. The newly-submitted air conditioner manufacturing sector activities and their costing differed from those submitted at previous meetings; they included conversion to HFC-32 instead of HFC-410A, and several technical assistance activities had been replaced to support the introduction of HFC-32. HCFC phase-out was also reduced owing to the lower number of enterprises participating.

162. Members recognized the work done and applauded the innovative approach taken by the Secretariat, the World Bank and the Government of Thailand to respond to the concerns raised at previous meetings, particularly the emphasis on emerging alternatives and the resulting improved overall climate impact of the proposal. Some members raised concerns relating to the timelines of the proposed reductions, questioning the need to address the refrigeration and air-conditioning (RAC) sector at the present time, as well as the need to address more than 20 per cent of baseline consumption. New RAC sector activities in the revised proposal showed high cost and reduced the overall cost-effectiveness of the proposal, which had already been considered low. The Committee agreed to refer the matter to a contact group for further discussion.

163. Following the discussions, the convenor of the contact group, advised that following consultation with the representatives of the Government of Thailand, consensus was reached on a reduced budget for the RAC component, additional language to the decision and minor changes to the Agreement to support the introduction of lower GWP alternatives. In addition, based on the contact group's suggestions, the Executive Committee decided:

- (a) To approve, in principle, stage I of the HCFC phase-out management plan (HPMP) for Thailand for the period 2012 to 2018 to reduce HCFC consumption by 15 per cent of the baseline, at the amount of US \$24,683,857, consisting of US \$22,749,072, plus agency support costs of US \$1,592,435 for the World Bank, and US \$302,965, plus agency support costs of US \$39,385 for the Government of Japan;
- (b) To note that the Government of Thailand had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 927.6 ODP tonnes, calculated using actual consumption of 826.6 ODP tonnes and 1,028.5 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, plus 15.68 ODP tonnes of HCFC-141b contained in imported pre-blended polyols, resulting in 943.28 ODP tonnes;

- (c) To note the commitment of the Government of Thailand to ban imports of HCFC-141b, both pure and contained in pre-blended polyols, no later than 1 January 2016, except for spray foam applications;
- (d) In relation to a planned ban on the use of HCFC-22 in the manufacture of air-conditioning equipment and imports of HCFC-22-based air-conditioning equipment with cooling capacity under 50,000 BTU (14.5 kW) by 1 January 2017 for the Thai market and a ban on the sales of HCFC-22 based air-conditioning units in the Thai market by 31 December 2017:
 - (i) To note the commitment of the Government of Thailand to enact relevant legislation or regulations; and
 - (ii) Not to allow submission of a second or later tranche for stage II of the HPMP until the Government of Thailand confirmed the enactment of such legislation or regulations as part of the tranche submission;
- (e) To note the commitment of the Government of Thailand to make available, for the purpose of monitoring, the consumption of 12 air-conditioning manufacturers included in stage I of the HPMP in the years 2015 to 2017, and in addition any other information to be collected to verify the country's consumption, the records of import quotas issued within each calendar year, and reports listing names of customers of each individual importer, which would be filed by the respective importers as part of their requirements under the licensing and import quota system;
- (f) To include in the annual verification follow-up visits to those air-conditioning manufacturers which had completed their conversion to ensure that they had ceased production of HCFC-22 air-conditioning units;
- (g) To note that the Government of Thailand had agreed to encourage reduction of emissions of HCFCs in the servicing sector;
- (h) To note the activities of the Government of Thailand to promote, as part of its HPMP, technologies in the air-conditioning sector with global-warming potential (GWP) lower than those replaced with a view to encouraging air-conditioning manufacturers in the country choosing to convert to HFC technologies to select such alternatives with a GWP lower than the HCFCs they replaced;
- (i) To request the World Bank to ensure, through relevant formulated contracts, that funds for compressor development foreseen in the technical assistance component of the HPMP would, as a minimum, lead to the production of prototypes and their delivery to refrigeration equipment manufacturers for testing;
- (j) To deduct 234.72 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption;
- (k) To note that the Government of Thailand would be allowed to submit a proposal for stage II of the HPMP after 2015;
- (l) To approve the draft Agreement between the Government of Thailand and the Executive Committee for the reduction in consumption of HCFCs, as contained in Annex XXIII to the present report; and

- (m) To approve the first tranche of stage I of the HPMP for Thailand, and the corresponding tranche implementation plans, at the amount of US \$5,496,718, consisting of US \$4,817,166, plus agency support costs of US \$337,202 for the World Bank, and US \$302,965, plus agency support costs of US \$39,385 for the Government of Japan.

(Decision 68/39)

Turkey: HCFC phase-out management plan (stage I, first tranche) (UNEP/UNIDO)

164. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/42. He informed the Committee that, at the Twenty-fourth Meeting of the Parties, it had been agreed to revise Turkey's baseline from 608.0 to 551.4 ODP tonnes, and the Government was therefore committed to reducing its HCFC consumption by 86.4 per cent by 2017. He indicated that all technical and cost-related issues had been resolved.

165. Members appreciated the Government's willingness to accelerate HCFC phase-out beyond the Montreal Protocol targets and noted the comprehensiveness of the HPMP proposal from a technical and cost perspective. Some expressed concerns regarding the high level of reduction in HCFC consumption proposed in stage I, citing the need for equitable treatment of HPMPs, and noting that projects already approved for Turkey would allow for more than 40 per cent reduction from the baseline. It was therefore suggested that some of the activities contained in the HPMP could be postponed. One member pointed out that one of the goals of the proposed accelerated phase-out of HCFCs within a stricter timeline than that laid out by the Montreal Protocol was to enable the Government of Turkey to meet the requirements for joining the European Union. The Committee agreed that further informal discussions on the matter should be held among interested parties.

166. Following the informal discussions, the Executive Committee decided:

- (a) To approve, in principle, stage I of the HCFC phase-out management plan (HPMP) for Turkey for the period 2012 to 2017 to reduce HCFC consumption by 86.4 per cent of the baseline, at the amount of US \$6,971,961, consisting of US \$6,406,600, plus agency support costs of US \$448,462 for UNIDO, and US \$103,450, plus agency support costs of US \$13,449 for UNEP, noting that stage I of the HPMP also included US \$7,713,490, plus agency support costs of US \$578,512 for UNIDO, for an umbrella project for the phase-out of 293.7 ODP tonnes of HCFCs used for the production of polyurethane (PU) rigid foam and extruded polystyrene (XPS) boardstock foam, approved at the 62nd meeting;
- (b) To note:
- (i) That, with the amounts referred to in paragraph (a) above, the total funding for stage I of the HPMP for Turkey amounted to US \$14,223,540, plus agency support costs of US \$1,040,424;
- (ii) The revised starting point for sustained aggregate reduction in HCFC consumption calculated on the basis of the consumption of 609.9 ODP tonnes reported for 2009 under Article 7 of the Montreal Protocol, which were the latest data available when the umbrella project for the phase-out of HCFCs in the PU and XPS foam sub-sectors had been approved at the 62nd meeting, plus 31.53 ODP tonnes of HCFC-141b contained in imported pre-blended polyols, resulting in 641.43 ODP tonnes;

- (iii) The deduction of 293.7 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption for an umbrella project approved at the 62nd meeting, and to deduct a further 214.17 ODP tonnes of HCFCs for the implementation of stage I of the HPMP;
 - (iv) That an amount of HCFCs equivalent to 42.9 per cent of the HCFC baseline plus 31.53 ODP tonnes of HCFC-141b contained in imported pre-blended polyols would be phased out without any assistance from the Multilateral Fund;
 - (v) The commitment of the Government of Turkey to ban the import of: HCFC-141b in bulk or contained in pre-blended polyols by 1 January 2013; HCFC-22 used for manufacturing refrigeration and air-conditioning systems sold in the local market from 1 January 2015; and HCFC-22 for all other uses, including refrigeration servicing, from 1 January 2025; and
 - (vi) That approval of stage I of the HPMP did not preclude Turkey from submitting, not earlier than 2017, a proposal to achieve a reduction in HCFCs beyond that addressed in stage I of the HPMP;
- (c) To approve the draft Agreement between the Government of Turkey and the Executive Committee for the reduction in consumption of HCFCs, as contained in Annex XXIV to the present report; and
 - (d) To approve the first tranche of stage I of the HPMP for Turkey, and the corresponding tranche implementation plans, at the amount of US \$981,192, consisting of US \$807,750, plus agency support costs of US \$56,543 for UNIDO, and US \$103,450, plus agency support costs of US \$13,449 for UNEP.

(Decision 68/40)

Yemen: HCFC phase-out management plan (stage I, first tranche) (UNEP/UNIDO)

167. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/45. He drew the Committee's attention to the fact that, with stage I of the HPMP, the Government of Yemen had committed to reducing its HCFC baseline consumption by 15 per cent in 2015, and also to phase out an additional 39.55 ODP tonnes of HCFC-22 without assistance from the Fund.

168. It was pointed out that US \$140,000 was being reallocated from the national CFC phase-out plan to the HPMP, with no HCFC phase-out associated with these additional funds. Because the country was committing to a very significant additional reduction of HCFCs without the assistance of the Multilateral Fund and considering that the cost effectiveness was better than it would normally be for an HPMP covering the refrigeration and air-conditioning servicing sector, such a reallocation was acceptable. However, it was stressed that this case should not be considered a precedent.

169. The Executive Committee decided:

- (a) To approve, in principle, stage I of the HCFC phase-out management plan (HPMP) for Yemen for the period 2012 to 2015 to reduce HCFC consumption by 15 per cent of the baseline, at the amount of US \$868,100, consisting of US \$380,000, plus agency support costs of US \$49,400 for UNEP, and US \$410,000, plus agency support costs of US \$28,700 for UNIDO;

- (b) To note that the Government of Yemen had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 158.2 ODP tonnes, calculated using actual consumption of 157.8 ODP tonnes and 158.6 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, plus 17.55 ODP tonnes of HCFC-141b contained in imported pre-blended polyols, resulting in 175.75 ODP tonnes;
- (c) To deduct 63.28 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption;
- (d) To approve the draft Agreement between the Government of Yemen and the Executive Committee for the reduction in consumption of HCFCs, as contained in Annex XXV to the present report;
- (e) To approve the first tranche of stage I of the HPMP for Yemen, and the corresponding tranche implementation plans, at the amount of US \$681,650, consisting of US \$215,000, plus agency support costs of US \$27,950 for UNEP, and US \$410,000, plus agency support costs of US \$28,700 for UNIDO; and
- (f) To approve the reallocation of funding remaining from the national CFC phase-out plan of US \$140,000, plus agency support costs of US \$18,200 for UNEP, as agreed by the Government of Yemen in line with the implementation plan provided.

(Decision 68/41)

AGENDA ITEM 9: OPTIONS FOR A TRACKING SYSTEM FOR HCFC-141B-BASED PRE-BLENDED POLYOLS EXPORTED BY SYSTEMS HOUSES AND USED BY FOAM ENTERPRISES IN IMPORTING ARTICLE 5 COUNTRIES (DECISION 66/51(d) AND (e))

170. The representative of the Secretariat introduced documents UNEP/OzL.Pro/ExCom/68/46 and Corr. 1 containing an updated overview of imports and exports of HCFC-141b-based pre-blended polyols. The amounts shown in the document were based on the polyol exports and imports reported in 139 HPMPs and several approved foam projects. The document indicated the amounts of HCFC-141b contained in pre-blended polyols that had been exported by ten Article 5 countries.

171. Following the discussion, the Executive Committee decided:

- (a) To note documents UNEP/OzL.Pro/ExCom/68/46 and Corr.1 containing information on the amounts of HCFC-141b-based pre-blended polyols exported by Article 5 countries;
- (b) When stage II of the countries' HPMPs were submitted, to deduct the following amounts of HCFC-141b exported in pre-blended polyols from the starting point for aggregate reduction in HCFC consumption: 2.42 ODP tonnes for Chile; 137.83 ODP tonnes for China; 12.30 ODP tonnes for Colombia and 28.60 ODP tonnes for Mexico; and
- (c) To encourage relevant Article 5 countries to consider establishing a national system for recording the amounts of HCFC-141b contained in pre-blended polyols imported and/or exported (where applicable) to support the ban on imports of pure HCFC-141b, as well as that contained in pre-blended polyols, to be issued once all the foam enterprises had been converted, and to facilitate monitoring of these enterprises to sustain the phase-out of HCFC-141b.

(Decision 68/42)

AGENDA ITEM 10: PROCEDURES CURRENTLY IN FORCE FOR THE SUBMISSION OF PROJECT PROPOSALS FROM BILATERAL AND IMPLEMENTING AGENCIES ON BEHALF OF GOVERNMENTS OF ARTICLE 5 COUNTRIES (DECISION 67/17)

172. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/47.

173. It was observed that, while the document showed that project proposals and activities proposed in the agencies' business plans had the consent of the governments concerned, it was not clear if that was also the case for the progress reports and audits, especially those being submitted for multi-year projects.

174. The representative of the Secretariat said that when projects were submitted by implementing agencies it was assumed that they had already been endorsed by the governments concerned. He explained that the Secretariat had some 6,500 projects in its database and that progress reports pertaining to many of them were frequently received. It would entail significant work to ensure that there had been government approval for each report received by the Secretariat.

175. One member requested that the report reflect the understanding that it was a requirement that information submitted to the Secretariat by the implementing agencies had previously been endorsed by the governments concerned prior to submission.

176. The Executive Committee took note of document UNEP/OzL.Pro/ExCom/68/47 outlining the procedures currently in force for the submission of project proposals from bilateral and implementing agencies on behalf of governments of Article 5 countries.

AGENDA ITEM 11: ACCOUNTS OF THE MULTILATERAL FUND

(a) Final 2011 accounts

177. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/48 containing the United Nations Board of Auditors' findings and recommendations on the Multilateral Fund's 2011 accounts. Pursuant to decision 67/33(b), the Secretariat also brought to the attention of the Executive Committee elements of the 2010-2011 audits of UNEP's accounts.

178. Following the introduction, one member stated that the content of the report was clear, but expressed the desire to meet with the representative of the Secretariat to ensure that the wording of the decision reflected that content as faithfully as possible. Another member raised the concern that some recommendations by the auditors could have an impact on Multilateral Fund operations and therefore warranted further examination, either at the present meeting or at a later date.

179. Following the discussion, it was agreed that interested members would meet bilaterally with the representative of the Secretariat to further examine the issues raised.

180. After hearing the outcome of the informal meeting, the Executive Committee decided:

- (a) To note the audited financial statement of the Multilateral Fund as at 31 December 2011 contained in document UNEP/OzL.Pro/ExCom/68/48;
- (b) To note that the final audit report on the 2011 financial statement for the year ended 31 December 2011 had been completed;

- (c) To take note of the United Nations Board of Auditors' findings and recommendations on the MLF's 2011 accounts and:
- (i) To request the Treasurer:
 - a. To note that the auditors had undertaken a review of the appropriateness of the current reporting arrangements for expenditures by the Trust Fund of the Multilateral Fund, and determined that the inclusion, and the presentation as a separate statement, were proper under United Nations System Accounting Standards (UNSAS);
 - b. To request UNEP to consult the Executive Committee prior to any decision regarding possible integration of the accounts of the Multilateral Fund with the accounts of UNEP under the International Public Sector Accounting Standards (IPSAS);
 - c. To reflect the footnote regarding the Russian Federation in the 2012 accounts, as directed by the Executive Committee in decision 67/1(c), requesting the Treasurer to maintain the outstanding contributions of the Russian Federation in the outstanding contributions category of the Fund's accounts;
 - d. To ensure that the recommendation by the United Nations Board of Auditors to record audited statements from implementing agencies (World Bank and UNIDO) would not prevent the annual reconciliation of the accounts taking place within the same time frame;
 - e. To request UNEP to inform the Executive Committee of any options it considers to mitigate exchange rate risks prior to their implementation;
 - (ii) To request UNEP, as implementing agency, to advise the Executive Committee if it determines that any changes to its current practices and procedures related to cash advances may be needed; and
- (d) To request the Treasurer to record in the 2012 accounts the differences between the agencies' provisional statements and their final 2011 accounts, as reflected in tables 1 and 2 of document UNEP/OzL.Pro/ExCom/68/48.

(Decision 68/43)

(b) Reconciliation of the accounts

181. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/68/49.

182. The Executive Committee decided:

- (a) To note the reconciliation of the 2011 accounts, as presented in document UNEP/OzL.Pro/ExCom/68/49;
- (b) To request the implementing agencies to carry out the following 2011 adjustments in 2012:
 - (i) UNDP to adjust its records of approved amounts by US \$33 in its progress report;

- (ii) UNEP to adjust its progress report records on approved amounts by US \$-21,960 and the Secretariat by US \$-6,232;
- (iii) UNEP to adjust its income by US \$10,939 and US \$9,720 in its progress report;
- (iv) UNIDO to adjust its records of approved amounts by US \$1,506;
- (c) To request the Treasurer:
 - (i) To withhold from its future transfers to UNDP an amount of US \$321,101, representing the additional interest income reported by UNDP;
 - (ii) To refund to UNEP an amount of US \$10,939 to rectify an over-recovery reported by UNEP;
- (d) To note the 2011 outstanding reconciling items as follows:
 - (i) US \$39 difference in income in UNEP's 2011 accounts;
 - (ii) US \$-12 in income and US \$6 less expenditure in UNIDO's 2011 accounts;
 - (iii) US \$-10 in the World Bank's approved amounts;
- (e) To note the standing reconciling items as follows:
 - (i) UNDP standing reconciling items for unspecified projects at the amounts of US \$68,300 and US \$29,054;
 - (ii) World Bank standing reconciling items for the following projects:
 - Thailand chiller Project (THA/REF/26/INV/104) at the amount of US \$1,198,946;
 - Sweden bilateral (THA/HAL/29/TAS/120) at the amount of US \$225,985;
 - United States of America bilateral (CPR/PRO/44/INV/425) at the amount of US \$5,375,000; and
 - United States of America bilateral (CPR/PRO/47/INV/439) at the amount of US \$5,375,000.

(Decision 68/44)

AGENDA ITEM 12: REVISED 2012, 2013 AND 2014 AND PROPOSED 2015 BUDGETS OF THE FUND SECRETARIAT

183. The Senior Administrative and Fund Management Officer introduced document UNEP/OzL.Pro/ExCom/68/50.

184. Responding to questions relating to the additional costs of holding the 67th meeting in Bangkok, for which additional funding was not provided, the Senior Administrative and Fund Management Officer explained that the Fund Secretariat always collaborated closely with the Ozone Secretariat and for back-to-back meetings shared the travel costs of sponsored delegates from Article 5 countries. There had

therefore been savings under that particular budget line for the Bangkok meeting. One member expressed the view that overspending on the meetings budget when meetings were held in venues other than Montreal should be avoided or at least minimized in future.

185. The Senior Administrative and Fund Management Officer also explained that the requested increase in the Secretariat travel budget line for network meetings was intended to allow Secretariat participation in network meetings to be more balanced throughout the regions. It was not possible to cover the increase from any other budget line as all unspent or returned funds had already been accounted for.

186. Concerning a reduction in the 13 per cent project support costs, she highlighted the fact that UNEP applied the 13 per cent to the Secretariat's budget for staff costs only, unlike other UNEP-managed budgets. She also said that, in her view, the value of 13 per cent was not something that the Executive Committee could revise without re-opening negotiations with UNEP..

187. In response to a request that, in future, the budget be presented as an explanatory narrative table – a format used by other convention secretariats, she recalled that a breakdown of consultant budget lines was already available to members upon request and that the Executive Committee was always advised of all savings returned or used, including by means of the footnotes in the Fund accounts document showing the savings returned or carried forward for particular lines. Nevertheless, a new format could be envisaged if that was the wish of the Executive Committee.

188. The Senior Administrative and Fund Management Officer said that the proposed change in the title of the post of Senior Project Management Officer to Deputy Chief Officer on Financial and Economic Affairs would not result in an upgrade of the post and therefore had no financial implications. Accordingly, UNEP's Chief Classification Officer had deemed the change an internal Fund Secretariat matter, at the discretion of the Chief Officer, for the purposes of organizing the Secretariat's work. Concerned that the maintenance of the grade level was only a temporary situation imposed by budgetary restrictions, several members requested further information. Clarification was also sought regarding whether the change in responsibilities that had led to the proposal to change the title of the post were substantial enough to necessitate its re-advertisement.

189. In response, the Chief Officer said that, according to United Nations rules, posts did not need to be re-advertised if they remained at the same level, and that posts would not be reclassified without the prior agreement of the Executive Committee.

190. Several members expressed their appreciation of the work done by the Secretariat. Some nevertheless requested it to ensure that, for future staffing-related issues, the information provided to the Committee was comprehensive and provided ahead of the meeting to enable members to be expeditious and effective in their decision-making.

191. The Executive Committee decided:

- (a) To approve the revised 2012 budget totalling US \$6,988,442, as contained in Annex XXVI to the present report, which included an additional amount of US \$68,668 to cover the cost differential of holding the 67th meeting in Bangkok rather than in Montreal;
- (b) To approve the amount of US \$3,024,031 in the revised 2013 budget of the Fund Secretariat to cover the operational costs of the Secretariat and the 2013 personnel component cost, resulting in a total of US \$7,067,547;
- (c) To note the amount of US \$4,164,821 for the salary component in 2014 already approved at the 65th meeting;

- (d) To approve the proposed 2015 personnel component costs of the budget totalling US \$4,287,391;
- (e) To note the Secretariat's feedback on the appropriate rate of increase for staff costs and to maintain the 3 per cent rate applied to the 2013, 2014 as well as 2015 budgets; and
- (f) To note the change in title of Post 1104 for the Senior Project Management Officer to Deputy Chief Officer on Financial and Economic Affairs, on the understanding that the post was, and would remain, at P5 level until otherwise decided by the Executive Committee.

(Decision 68/45)

AGENDA ITEM 13: RECRUITMENT PROCESS FOR THE POSITION OF CHIEF OFFICER OF THE MULTILATERAL FUND SECRETARIAT (DECISION 67/37)

192. The representative of the Secretariat introduced documents UNEP/OzL.Pro/ExCom/68/51 and Add.1.

193. Part I of document UNEP/OzL.Pro/ExCom/68/51 provided background documentation relating to the process used in recruiting the first and second Chief Officers in terms of process, arrangements and timelines. Part II contained information on the arrangements made by the Fund Secretariat for the Executive Committee to replicate its usual recruitment procedure to recruit the third Chief Officer, including consultation with UNEP's Executive Director and staff and with UNON representatives on the selection process under the new INSPIRA recruitment system. After the document had been issued, further consultations had been held with the Deputy Executive Director in the margins of the Twenty-fourth Meeting of the Parties. A letter addressed to the Chair of the Executive Committee had been received from UNEP's Executive Director and had been issued as document UNEP/OzL.Pro/ExCom/68/51/Add.1.

194. The Executive Committee welcomed the work done by the Secretariat and the related documents but said that there were a number of issues that required further clarification before the Committee could take decisions.

195. One member expressed concern regarding selection of a recruitment committee at the present time when the composition of the Executive Committee would change in 2013.

196. Given the need for further discussions on the matter, the Executive Committee formed a contact group comprising interesting members, inviting participation by the representative of the Executive Director of UNEP and a representative of the Secretariat.

197. Reporting back, the representative of Argentina, as convenor of the contact group, said that the group had met five times during the first two days of the meeting with the participation of Mr. Michele Candotti, representative of the Executive Director of UNEP, who had explained in more detail the rules governing UNEP recruitment and the INSPIRA system. The group had reviewed the vacancy notice for the position and agreed on wording.

198. The Executive Committee decided:

- (a) To take note of documents UNEP/OzL.Pro/ExCom/68/51 and Add.1;
- (b) To approve the vacancy announcement for the post of third Chief Officer as approved at the 68th meeting and attached as Annex XXVII to the present report;

- (c) To request UNEP to expedite the launching of the vacancy announcement, as approved by the Executive Committee at its 68th meeting, in INSPIRA and to facilitate the selection process;
- (d) To approve the establishment of a selection panel consisting of: three representatives of Article 5 countries, three representatives of non-Article 5 countries and two representatives of UNEP, who would review all applications, interview leading candidates and make a recommendation, if possible, to the 69th meeting of the Executive Committee, it being understood that:
 - (i) The Secretariat would work expeditiously with Executive Committee members intersessionally to identify the three representatives of Article 5 countries and the three representatives of non-Article 5 countries, including the Chair of the Executive Committee, to serve on the selection panel;
 - (ii) The Executive Committee would take a decision intersessionally on the composition of the selection panel;
 - (iii) The selection panel would be co-chaired by a representative of UNEP and the Chair of the Executive Committee in 2013 in her capacity as first reporting officer;
 - (iv) UNEP would assist the selection panel throughout the process of selecting the candidates and would provide a briefing on the use of the established interviewing method within the United Nations;
 - (v) One of the two UNEP representatives on the selection panel would be the Executive Secretary of the Ozone Secretariat;
 - (vi) The selection panel should provide its recommendations to the Executive Committee, if possible, at the 69th meeting;
 - (vii) After considering the recommendations of the selection panel, the Executive Committee should forward its decision on the recommendations to the hiring manager;
- (e) To request the Executive Director of UNEP to work with the Chair of the Executive Committee to keep the Executive Committee informed of progress made throughout the hiring process; and
- (f) To request the Secretary-General of the United Nations and the Executive Director of UNEP to expedite the timely appointment of the Chief Officer in order to ensure continuity of the work of the Multilateral Fund.

(Decision 68/46)

AGENDA ITEM 14: REPORT OF THE PRODUCTION SECTOR SUB-GROUP

199. The representative of Canada, as convener of the Production Sector Sub-group, introduced document UNEP/OzL.Pro/ExCom/68/52. She said that there had been a number of informal meetings of the members of the Sub-group in the margins of the present meeting to further discuss the HCFC production phase-out management plan for China, the final technical audit report and the Secretariat's analysis pursuant to decision 67/36(a). Although those discussions had clarified a number of issues and

good progress had been made, it had not been possible to come to an agreement on the way forward for the HPPMP. However, those involved in the consultations had shown good spirit and understanding and had laid the groundwork for further discussions in the Sub-group when it met in the margins of the 69th meeting of the Executive Committee.

200. The Executive Committee decided:

- (a) With respect to the HCFC production sector in China:
 - (i) To note the report on the China HCFC production phase-out management plan (stage I), as contained in documents UNEP/OzL.Pro/ExCom/68SGP-InS/2, Add.1 and Add.1/Corr.1;
 - (ii) To request the World Bank to provide costs and rationale for the administrative cost functions identified in the draft Agreement and for the project duration to the 69th meeting of the Executive Committee; and
- (b) With respect to the CFC production sector in China, to request the World Bank, to present to the 69th meeting of the Executive Committee a request for funding and justification of the cost components for the 2012 verification/audit foreseen in decision 66/54 of the Executive Committee.

(Decision 68/47)

AGENDA ITEM 15: OTHER MATTERS

Dates and venues of the 69th and 70th meetings of the Executive Committee

201. In presenting the item, the Chief Officer explained that the date proposed for the 69th meeting of the Executive Committee had been chosen to allow sufficient time for the implementing agencies to prepare HPMPs for submission to the Secretariat, and for the Secretariat to review them. In order to allow sufficient time between the 69th and 70th meetings for preparation of documents, it was suggested that the 70th meeting be held in Montreal in mid-July.

202. After hearing the proposals, the desirability of holding the Executive Committee meeting back-to-back with the Thirty-third Meeting of the Open-ended Working Group (OEWG) to be held in Bangkok was discussed, together with the savings or additional cost entailed with that possibility. The Executive Secretary of the Ozone Secretariat confirmed that conference facilities had been reserved in Bangkok for three weeks as of 17 June 2013, which would enable the Executive Committee to meet back-to-back with the OEWG, but the reservation had to be confirmed six months in advance. It was suggested that, as a new Executive Committee would be in place in 2013, the date and venue of the 70th meeting should be confirmed at the 69th meeting.

203. Following the discussion, the Executive Committee agreed to consider the dates and venue for its 70th meeting at the 69th meeting and decided to hold its 69th meeting in Montreal from 15 to 19 April 2013.

(Decision 68/48)

AGENDA ITEM 16: ADOPTION OF THE REPORT

204. The Executive Committee adopted its report on the basis of the draft report contained in document UNEP/OzL.Pro/ExCom/68/L.1.

AGENDA ITEM 17: CLOSURE OF THE MEETING

Presentation to commemorate the 25th anniversary of the adoption of the Montreal Protocol

205. At the closure of the meeting, and on the occasion of the 25th anniversary of the adoption of the Montreal Protocol, Mr. Marco Gonzalez, Executive Secretary of the Ozone Secretariat, presented commemorative plaques to the staff of the Fund Secretariat and the representatives of the implementing agencies to mark the occasion.

206. Following the customary exchange of courtesies, the Chair declared the meeting closed at 6.15 p.m. on Friday, 7 December 2012.

Annex I

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 1 : STATUS OF THE FUND FROM 1991-2012 (IN US DOLLARS)

As at 30 November 2012

INCOME		
Contributions received:		
- Cash payments including note encashments		2,598,619,321
- Promissory notes held		13,330,425
- Bilateral cooperation		143,687,256
- Interest earned		207,648,829
- Additional income from loans and other sources		-
- Miscellaneous income		14,844,965
Total Income		2,978,130,795
ALLOCATIONS* AND PROVISIONS		
- UNDP	685,434,816	
- UNEP	218,203,951	
- UNIDO	711,210,171	
- World Bank	1,074,321,312	
Unspecified projects	-	
Less Adjustments	-	
Total allocations to implementing agencies		2,689,170,250
Secretariat and Executive Committee costs (1991-2011)		
- includes provision for staff contracts into 2014		92,067,525
Treasury fees (2003-2012)		4,550,550
Monitoring and Evaluation costs (1999-2012)		3,353,504
Technical Audit costs (1998-2010)		1,709,960
Information Strategy costs (2003-2004)		
- includes provision for Network maintenance costs for 2004		104,750
Bilateral cooperation		143,687,256
Provision for fixed-exchange-rate mechanism's fluctuations		
- losses/(gains) in value		(23,547,990)
Total allocations and provisions		2,911,095,804
Cash		53,704,566
Promissory Notes:		
	2012	1,666,667
	2013	8,145,886
	2014	3,517,872
		13,330,425
BALANCE AVAILABLE FOR NEW ALLOCATIONS		67,034,991

* Amounts reflect net approvals for which resources are transferred including promissory notes that are not yet encashed by the Implementing Agencies. It reflects the Secretariat's inventory figures on the net approved amounts. These figures are under review in the on-going reconciliation exercise.

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL
TABLE 2 : 1991 - 2012 SUMMARY STATUS OF CONTRIBUTIONS AND OTHER INCOME
BALANCE AVAILABLE FOR NEW ALLOCATIONS

As at 30 November 2012

Description	1991-1993	1994-1996	1997-1999	2000-2002	2003-2005	2006-2008	2009-2011	1991 - 2011	2012	1991 - 2012
Pledged contributions	234,929,241	424,841,347	472,567,009	440,000,001	474,000,000	368,028,480	399,640,706	2,814,006,785	131,538,756	2,945,545,541
Cash payments/received	206,511,034	381,555,255	412,909,585	407,967,672	417,672,829	339,810,017	363,027,723	2,529,454,116	69,165,205	2,598,619,321
Bilateral assistance	4,366,255	11,909,814	21,445,913	21,315,399	47,897,453	19,074,631	13,917,899	139,927,365	3,759,891	143,687,256
Promissory notes	0	0	0	0	0	(0)	8,330,425	8,330,425	5,000,000	13,330,425
Total payments	210,877,289	393,465,069	434,355,498	429,283,071	465,570,282	358,884,648	385,276,047	2,677,711,905	77,925,096	2,755,637,002
Disputed contributions	0	8,098,267	0	0	0	32,471,642	405,792	40,975,701	1,794,577	42,770,278
Outstanding pledges	24,051,952	31,376,278	38,211,511	10,716,930	8,429,718	9,143,832	14,364,659	136,294,879	53,613,660	189,908,539
Payments %age to pledges	89.76%	92.61%	91.91%	97.56%	98.22%	97.52%	96.41%	95.16%	59.24%	93.55%
Interest earned	5,323,644	28,525,733	44,685,516	53,946,601	19,374,449	43,537,814	10,544,631	205,938,388	1,710,441	207,648,829
Miscellaneous income	1,442,103	1,297,366	1,223,598	1,125,282	1,386,177	3,377,184	3,547,653	13,399,363	1,445,601	14,844,965
TOTAL INCOME	217,643,036	423,288,168	480,264,612	484,354,955	486,330,908	405,799,646	399,368,332	2,897,049,657	81,081,139	2,978,130,795

Accumulated figures	1991-1993	1994-1996	1997-1999	2000-2002	2003-2005	2006-2008	2009-2011	1991 - 2011	2012	1991 - 2012
Total pledges	234,929,241	424,841,347	472,567,009	440,000,001	474,000,000	368,028,480	399,640,706	2,814,006,785	131,538,756	2,945,545,541
Total payments	210,877,289	393,465,069	434,355,498	429,283,071	465,570,282	358,884,648	385,276,047	2,677,711,905	77,925,096	2,755,637,002
Payments %age to pledges	89.76%	92.61%	91.91%	97.56%	98.22%	97.52%	96.41%	95.16%	59.24%	93.55%
Total income	217,643,036	423,288,168	480,264,612	484,354,955	486,330,908	405,799,646	399,368,332	2,897,049,657	81,081,139	2,978,130,795
Total outstanding contributions	24,051,952	31,376,278	38,211,511	10,716,930	8,429,718	9,143,832	14,364,659	136,294,879	53,613,660	189,908,539
As % to total pledges	10.24%	7.39%	8.09%	2.44%	1.78%	2.48%	3.59%	4.84%	40.76%	6.45%
Outstanding contributions for certain Countries with Economies in Transition (CEITs)	24,051,952	31,376,278	32,539,251	9,811,798	7,511,983	6,020,412	7,692,852	119,004,526	5,245,670	124,250,196
CEITs' outstandings %age to pledges	10.24%	7.39%	6.89%	2.23%	1.58%	1.64%	1.92%	4.23%	3.99%	4.22%

PS: CEITs are Azerbaijan, Belarus, Bulgaria, Czech Republic, Estonia, Hungary, Latvia, Lithuania, Poland, Russian Federation, Slovakia, Slovenia, Tajikistan, Ukraine and Uzbekistan, including Turkmenistan up to 2004 as per decision XVI/39.

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 3 : 1991-2012 Summary Status of Contributions

As at 30 November 2012

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions	Exchange (Gain)/Loss. NB:Negative amount = Gain
Andorra	46,670	46,633	0	0	37	0
Australia*	57,173,856	55,901,949	1,610,907	0	-339,000	385,547
Austria	31,268,377	31,136,587	131,790	0	0	-783,276
Azerbaijan	919,349	311,683	0	0	607,666	0
Belarus	2,829,087	0	0	0	2,829,087	0
Belgium	38,782,280	38,782,280	0	0	0	951,184
Bulgaria	1,314,585	1,314,585	0	0	0	0
Canada*	104,766,259	93,919,546	9,755,736	0	1,090,977	-4,047,319
Cyprus	636,089	557,846	0	0	78,243	0
Czech Republic	8,656,950	8,369,379	287,570	0	0	238,519
Denmark	25,618,339	25,457,286	161,053	0	0	-794,376
Estonia	338,900	338,900	0	0	0	14,575
Finland	20,107,179	19,708,020	399,158	0	0	-657,207
France	225,578,650	199,891,123	15,561,415	0	10,126,112	-14,729,230
Germany	326,999,442	255,486,475	51,105,008	8,330,425	12,077,534	-908,705
Greece	16,652,913	14,216,932	0	0	2,435,981	-1,517,252
Holy See	1,701	0	0	0	1,701	0
Hungary	5,804,558	4,760,499	46,494	0	997,564	-76,259
Iceland	1,178,991	1,143,416	0	0	35,575	50,524
Ireland	10,256,215	10,256,215	0	0	0	534,869
Israel	12,221,000	3,824,671	152,462	0	8,243,867	0
Italy	177,061,369	152,689,704	15,355,008	0	9,016,658	3,291,976
Japan	578,412,036	533,442,251	19,089,919	0	25,879,866	0
Kuwait	286,549	286,549	0	0	0	0
Latvia	544,605	544,605	0	0	0	-2,483
Liechtenstein	289,148	289,148	0	0	0	0
Lithuania	849,252	245,725	0	0	603,527	0
Luxembourg	2,640,056	2,640,056	0	0	0	-79,210
Malta	209,704	180,788	0	0	28,916	0
Monaco	192,777	192,777	0	0	0	-1,144
Netherlands	60,187,972	60,187,972	0	0	0	0
New Zealand	8,577,962	8,577,961	0	0	0	201,206
Norway	23,029,797	23,029,796	0	0	0	316,897
Panama	16,915	16,915	0	0	0	0
Poland	11,366,377	9,016,446	113,000	0	2,236,931	0
Portugal	13,789,863	11,191,959	101,700	0	2,496,204	198,162
Romania	741,125	741,125	0	0	0	0
Russian Federation	107,798,619	0	0	0	107,798,619	0
San Marino	16,837	16,837	0	0	0	0
Singapore	531,221	459,245	71,976	0	0	0
Slovak Republic	2,658,083	2,400,028	16,523	0	241,532	0
Slovenia	1,580,596	1,580,596	0	0	0	0
South Africa	3,793,691	3,763,691	30,000	0	0	0
Spain	89,648,253	81,059,633	4,077,763	0	4,510,857	95,477
Sweden	39,463,839	37,889,487	1,574,353	0	0	-471,425
Switzerland	43,061,780	41,148,549	1,913,230	0	0	-2,132,315
Tajikistan	109,906	43,047	0	0	66,859	0
Turkmenistan**	293,245	5,764	0	0	287,481	0
Ukraine	9,365,670	1,303,750	0	0	8,061,920	0
United Arab Emirates	559,639	559,639	0	0	0	0
United Kingdom	201,328,761	200,763,762	565,000	0	0	-3,626,726
United States of America	675,280,892	658,738,886	21,567,191	5,000,000	-10,025,185	0
Uzbekistan	707,613	188,606	0	0	519,007	0
SUB-TOTAL	2,945,545,541	2,598,619,321	143,687,256	13,330,425	189,908,540	-23,547,990
Disputed Contributions***	42,770,278	0	0	0	42,770,278	0
TOTAL	2,988,315,819	2,598,619,321	143,687,256	13,330,425	232,678,818	0

NB: (*) The bilateral assistance recorded for Australia and Canada was adjusted following approvals at the 39th Meeting and taking into consideration a reconciliation carried out by the Secretariat through the progress reports submitted to the 40th Meeting to read US \$1,208,219 and US \$6,449,438 instead of US \$1,300,088 and US \$6,414,880 respectively.

(**) In accordance with decisions VI/5 and XVI/39 of the Meeting of the Parties to the Montreal Protocol, Turkmenistan has been reclassified as operating under Article 5 in 2004 and therefore its contribution of US \$5,764 for 2005 should be disregarded.

(***) Amounts for France, Germany, Italy, Japan and the United Kingdom netted off from the 1996 contributions and are shown here for records only. Amount for the United States of America netted off from the 2007 and 2008 contributions. Additional amount of US\$ 405,792 reflected from the USA 2010 contributions.

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 4 : Status of Contributions for 2012

As at 30 November 2012

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	11,907	11,974			(67)
Australia	3,287,899	3,287,899			0
Austria	1,447,492	1,447,492			0
Azerbaijan	25,514				25,514
Belarus	71,439				71,439
Belgium	1,828,500	1,828,500			0
Bulgaria	64,635	64,635			0
Canada	5,454,884	4,363,908			1,090,977
Cyprus	78,243				78,243
Czech Republic	593,625	593,625			0
Denmark	1,251,885	1,251,885			0
Estonia	68,037	68,037			0
Finland	962,727	962,727			0
France	10,414,798		288,686		10,126,112
Germany	13,638,062		1,501,405		12,136,657
Greece	1,175,343				1,175,343
Holy See	1,701				1,701
Hungary	494,971				494,971
Iceland	71,439	35,864			35,575
Ireland	847,063	847,063			0
Israel	653,157				653,157
Italy	8,502,952	4,665,224	67,800		3,769,928
Japan	21,312,660		1,009,000		20,303,660
Latvia	64,635	64,635			0
Liechtenstein	15,308	15,308			0
Lithuania	110,560				110,560
Luxembourg	153,084	153,084			0
Malta	28,916				28,916
Monaco	5,103	5,103			0
Netherlands	3,155,226	3,155,226			0
New Zealand	464,354	464,354			0
Norway	1,481,511	1,481,511			0
Poland	1,408,371				1,408,371
Portugal	869,176				869,176
Romania	301,065	301,065			0
Russian Federation	2,724,891				2,724,891
San Marino	5,103	5,103			0
Slovak Republic	241,532				241,532
Slovenia	175,196	175,196			0
Spain	5,403,857		893,000		4,510,857
Sweden	1,809,790	1,809,790			0
Switzerland	1,922,052	1,922,052			0
Tajikistan	3,402				3,402
Ukraine	147,981				147,981
United Kingdom	11,232,946	11,232,946			0
United States of America*	27,538,756	28,951,000		5,000,000	(6,412,244)
Uzbekistan	17,009				17,009
TOTAL	131,538,756	69,165,205	3,759,891	5,000,000	53,613,660
Disputed Contributions(*)	1,794,577				1,794,577
TOTAL	133,333,333	69,165,205	3,759,891	5,000,000	55,408,237

(*) Additional amount on Disputed contribution relating to the USA.

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 5 : Summary Status of Contributions for 2009-2011

As at 30 November 2012

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	34,764	34,660	0	0	104
Australia	8,678,133	8,678,133	339,000	0	(339,000)
Austria	4,307,501	4,307,501	0	0	0
Azerbaijan	24,281	0	0	0	24,281
Belarus	97,125	0	0	0	97,125
Belgium	5,351,596	5,351,596	0	0	0
Bulgaria	97,125	97,125	0	0	0
Canada	14,457,080	14,028,245	428,835	0	0
Cyprus	213,675	213,675	0	0	0
Czech Republic	1,364,608	1,143,128	221,480	0	0
Denmark	3,588,775	3,588,775	0	0	0
Estonia	77,700	77,700	0	0	0
Finland	2,738,929	2,738,929	0	0	0
France	30,599,281	29,539,244	1,060,037	0	0
Germany	41,652,124	24,991,274	8,330,424	8,330,425	1
Greece	2,894,330	1,633,692	0	0	1,260,637
Hungary	1,184,927	682,333	0	0	502,594
Iceland	179,682	179,682	0	0	0
Ireland	2,161,035	2,161,035	0	0	0
Israel	2,034,772	0	0	0	2,034,772
Italy	24,664,934	18,720,781	807,950	0	5,136,203
Japan	80,730,431	78,893,258	1,837,173	0	0
Latvia	87,413	87,413	0	0	0
Liechtenstein	48,563	48,563	0	0	0
Lithuania	150,544	0	0	0	150,544
Luxembourg	412,782	412,782	0	0	0
Malta	82,556	82,556	0	0	0
Monaco	14,569	14,569	0	0	0
Netherlands	9,095,771	9,095,771	0	0	0
New Zealand	1,243,202	1,243,202	0	0	0
Norway	3,797,594	3,797,594	0	0	0
Poland	2,432,985	1,604,425	0	0	828,560
Portugal	2,559,248	932,219	0	0	1,627,029
Romania	339,938	339,938	0	0	0
Russian Federation	5,827,509	0	0	0	5,827,509
San Marino	11,734	11,734	0	0	0
Slovak Republic	305,944	305,944	0	0	0
Slovenia	466,201	466,201	0	0	0
Spain	14,413,373	12,955,373	893,000	0	565,000
Sweden	5,201,052	5,201,052	0	0	0
Switzerland	5,905,210	5,905,210	0	0	0
Tajikistan	4,857	0	0	0	4,857
Ukraine	218,532	0	0	0	218,532
United Kingdom	32,255,265	32,255,265	0	0	0
United States of America	87,594,208	91,207,148	0	0	(3,612,940)
Uzbekistan	38,850	0	0	0	38,850
SUB-TOTAL	399,640,706	363,027,723	13,917,899	8,330,425	14,364,659
Disputed Contributions(*)	405,792	0	0	0	405,792
TOTAL	400,046,498	363,027,723	13,917,899	8,330,425	14,770,451

(*) Additional amount on Disputed contribution relating to the USA.

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 6 : Status of Contributions for 2011

As at 30 November 2012

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	12,948	12,881			67
Australia	2,892,711	2,892,711	339,000		(339,000)
Austria	1,435,834	1,435,834			0
Azerbaijan	8,094				8,094
Belarus	32,375				32,375
Belgium	1,783,865	1,783,865			0
Bulgaria	32,375	32,375			0
Canada	4,819,027	4,819,027			0
Cyprus	71,225	71,225			0
Czech Republic	454,869	415,319	39,550		0
Denmark	1,196,258	1,196,258			0
Estonia	25,900	25,900			0
Finland	912,976	912,976			0
France	10,199,760	9,634,760	565,000		0
Germany	13,884,041	1,851,206	2,776,808	3,702,411	5,553,617
Greece	964,777				964,777
Hungary	394,976				394,976
Iceland	59,894	59,894			0
Ireland	720,345	720,345			0
Israel	678,257				678,257
Italy	8,221,645	5,455,623			2,766,022
Japan	26,910,144	26,440,498	469,646		0
Latvia	29,138	29,138			0
Liechtenstein	16,188	16,188			0
Lithuania	50,181				50,181
Luxembourg	137,594	137,594			0
Malta	27,519	27,519			0
Monaco	4,856	4,856			0
Netherlands	3,031,924	3,031,924			0
New Zealand	414,401	414,401			0
Norway	1,265,865	1,265,865			0
Poland	810,995				810,995
Portugal	853,083				853,083
Romania	113,313	113,313			0
Russian Federation	1,942,503				1,942,503
San Marino	4,855	4,855			0
Slovak Republic	101,981	101,981			0
Slovenia	155,400	155,400			0
Spain	4,804,458	4,804,458			(0)
Sweden	1,733,684	1,733,684			0
Switzerland	1,968,403	1,968,403			0
Tajikistan	1,619				1,619
Ukraine	72,844				72,844
United Kingdom	10,751,755	10,751,755			0
United States of America	29,333,333	32,946,274			(3,612,941)
Uzbekistan	12,950				12,950
SUB-TOTAL	133,351,137	115,268,303	4,190,004	3,702,411	10,190,418
		0	0	0	0
TOTAL	133,351,137	115,268,303	4,190,004	3,702,411	10,190,418

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 7 : Status of Contributions for 2010

As at 30 November 2012

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	12,948	12,911			37
Australia	2,892,711	2,892,711			0
Austria	1,435,834	1,435,834			0
Azerbaijan	8,094				8,094
Belarus	32,375				32,375
Belgium	1,783,865	1,783,865			0
Bulgaria	32,375	32,375			0
Canada	4,819,027	4,489,632	329,395		0
Cyprus	71,225	71,225			0
Czech Republic	454,869	363,904	90,965		0
Denmark	1,196,258	1,196,258			0
Estonia	25,900	25,900			0
Finland	912,976	912,976			0
France	10,199,760	9,907,090	207,355		85,315
Germany	13,884,041	9,256,027	2,776,808	4,628,014	(2,776,808)
Greece	964,777	668,916			295,861
Hungary	394,976	287,357			107,618
Iceland	59,894	59,894			0
Ireland	720,345	720,345			0
Israel	678,257				678,257
Italy	8,221,645	6,577,316	655,400		988,929
Japan	26,910,144	25,702,795	1,207,349		0
Latvia	29,138	29,138			0
Liechtenstein	16,188	16,188			0
Lithuania	50,181				50,181
Luxembourg	137,594	137,594			0
Malta	27,519	27,519			0
Monaco	4,856	4,856			0
Netherlands	3,031,924	3,031,923			0
New Zealand	414,401	414,401			0
Norway	1,265,865	1,265,865			0
Poland	810,995	793,430			17,565
Portugal	853,083	79,137			773,946
Romania	113,313	113,313			0
Russian Federation	1,942,503				1,942,503
San Marino	4,855	4,855			0
Slovak Republic	101,981	101,981			0
Slovenia	155,400	155,400			0
Spain	4,804,458	3,911,458	893,000		(0)
Sweden	1,733,684	1,733,684			0
Switzerland	1,968,403	1,968,403			0
Tajikistan	1,619				1,619
Ukraine	72,844				72,844
United Kingdom	10,751,755	10,751,755			0
United States of America	28,927,541	28,927,541			0
Uzbekistan	12,950				12,950
SUB-TOTAL	132,945,345	119,865,772	6,160,272	4,628,014	2,291,287
Disputed Contributions(*)	405,792	0	0	0	405,792
TOTAL	133,351,137	119,865,772	6,160,272	4,628,014	2,697,079

(*) Additional amount on Disputed contribution relating to the USA.

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 8 : Status of Contributions for 2009

As at 30 November 2012

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	8,868	8,868			0
Australia	2,892,711	2,892,711			0
Austria	1,435,834	1,435,834			0
Azerbaijan	8,094				8,094
Belarus	32,375				32,375
Belgium	1,783,865	1,783,865			0
Bulgaria	32,375	32,375			0
Canada	4,819,027	4,719,586	99,440		0
Cyprus	71,225	71,225			0
Czech Republic	454,869	363,904	90,965		0
Denmark	1,196,258	1,196,258			0
Estonia	25,900	25,900			0
Finland	912,976	912,976			0
France	10,199,760	9,997,393	287,682		(85,315)
Germany	13,884,041	13,884,041	2,776,808	0	(2,776,808)
Greece	964,777	964,777			(0)
Hungary	394,976	394,976			(0)
Iceland	59,894	59,894			0
Ireland	720,345	720,345			0
Israel	678,257				678,257
Italy	8,221,645	6,687,842	152,550		1,381,252
Japan	26,910,144	26,749,966	160,178		0
Latvia	29,138	29,138			0
Liechtenstein	16,188	16,188			0
Lithuania	50,181				50,181
Luxembourg	137,594	137,594			0
Malta	27,519	27,519			0
Monaco	4,856	4,856			0
Netherlands	3,031,924	3,031,924			0
New Zealand	414,401	414,401			0
Norway	1,265,865	1,265,865			0
Poland	810,995	810,995			(0)
Portugal	853,083	853,082			0
Romania	113,313	113,313			0
Russian Federation	1,942,503				1,942,503
San Marino	2,023	2,023			0
Slovak Republic	101,981	101,981			0
Slovenia	155,400	155,400			0
Spain	4,804,458	4,239,458			565,000
Sweden	1,733,684	1,733,684			0
Switzerland	1,968,403	1,968,403			0
Tajikistan	1,619				1,619
Ukraine	72,844				72,844
United Kingdom	10,751,755	10,751,755			0
United States of America	29,333,333	29,333,333			0
Uzbekistan	12,950				12,950
TOTAL	133,344,225	127,893,648	3,567,623	0	1,882,954

Table 9: Status of Promissory Notes as at 30 November 2012

MULTILATERAL FUND'S PROMISSORY NOTES

Country	HELD BY			IMPLEMENTING AGENCY FOR WHICH HELD OR ASSIGNED TO					
	A WORLD BANK	B TREASURER	C= A+B TOTAL	D UNDP	E UNEP	F UNIDO	G WORLD BANK	H TREASURER	D+E+F+G+H=I I=C TOTAL
	Net Value	Net Value	Net Value	Net Value	Net Value	Net Value	Net Value	Net Value	Net Value
Canada			0					0	0
France			0					0	0
Germany		8,330,425	8,330,425					8,330,425	8,330,425
The Netherlands			0					0	0
United Kingdom			0					0	0
United States of America		5,000,000	5,000,000					5,000,000	5,000,000
TOTAL	0	13,330,425	13,330,425	0	0	0	0	13,330,425	13,330,425

2004-2012 Ledger of Promissory Notes as at 30 November 2012

RECEIPTS										ENCASHMENTS			
Date of Submission a/	Year of contribution	Country of Origin	P/Note code	Denomination/ Type of currency	Amount (in Original denomination)	Note Value in USD per UNEP	Date of transfer	Agency	Transfer amount in Original denomination	Date of Encashment	Actual Encashment (USD)	Gain/(Loss) to intended value (USD)	
25/10/2004	2004	Canada		Can\$	6,216,532.80	3,963,867.12	09/11/2004	IBRD	6,216,532.80	19/01/2005	5,140,136.76	1,176,269.64	
21/04/2005	2005	Canada		Can\$	6,216,532.78	3,963,867.12	Nov. 2005	TREASURER	6,216,532.78	Nov. 2005	5,307,831.95	1,343,964.83	
22/12/2006	2006	Canada		Can\$	4,794,373.31	3,760,292.79	19/01/2007	TREASURER	4,794,373.31	19/01/2007	4,088,320.38	328,027.59	
27/06/2008	2008	Canada		Can\$	4,794,373.31	3,760,292.79	19/09/2008	TREASURER	4,794,373.31	19/09/2008	4,492,899.74	732,606.95	
12/08/2009	2009	Canada		Can\$	3,834,018.00	3,855,221.72	10/12/2009	TREASURER	3,834,018.00	10/12/2009	3,603,394.18	(248,394.82)	
28/05/2010	2010	Canada		Can\$	3,834,018.00	3,855,221.72	06/10/2010	TREASURER	3,834,018.00	06/10/2010	3,759,578.35	(95,643.37)	
30/06/2011	2011	Canada		Can\$	3,834,018.00	3,855,221.72	15/09/2011	TREASURER	3,855,221.72	15/09/2011	3,870,009.08	14,787.36	
29/06/2012	2012	Canada		Can\$	4,277,502.19	4,363,907.56	18/07/2012	TREASURER	4,277,502.19	18/07/2012	4,212,212.89	(151,694.67)	
31/12/2004	2004	France		Euro	10,597,399.70	9,784,322.50	28/09/2006	TREASURER	10,597,399.70	28/09/2006	12,102,125.26	2,317,802.76	
18/01/2006	2005	France		Euro	11,217,315.23	10,356,675.50	28/09/2006	TREASURER	11,217,315.23	28/09/2006	12,810,062.64	2,453,387.14	
20/12/2006	2006	France		Euro	7,503,239.54	9,342,968.43	31/07/2007	TREASURER	7,503,239.54	31/07/2007	10,249,425.21	906,456.78	
Dec.2007	2007	France		Euro	7,483,781.61	9,287,393.43	16/09/2008	TREASURER	7,483,781.61	16/09/2008	10,629,963.40	1,342,569.97	
Dec.2008	2008	France		Euro	7,371,509.51	9,148,063.43	08/12/2009	TREASURER	7,371,509.51	08/12/2009	10,882,559.47	1,734,496.04	
Oct.2009	2009	France		Euro	6,568,287.40	9,997,393.30	06/10/2010	TREASURER	6,568,287.40	06/10/2010	8,961,114.64	(1,036,278.66)	
Oct.2010	2010	France		Euro	6,508,958.32	9,907,090.30	05/04/2011	TREASURER	6,508,958.32	05/04/2011	9,165,264.46	(741,825.84)	
Oct.2011	2011	France		Euro	6,330,037.52	9,634,760.30	25/10/2011	TREASURER	6,330,037.52	25/10/2011	8,750,643.84	(884,116.46)	
09/08/2004	2004	Germany	BU 104 1006 01	US\$	18,914,439.57	18,914,439.57	03/08/2005	TREASURER	6,304,813.19	03/08/2005	6,304,813.19	-	
							11/08/2006	TREASURER	6,304,813.19	11/08/2006	6,304,813.19	-	
							16/02/2007	TREASURER	3,152,406.60	16/02/2007	3,152,406.60	-	
							10/08/2007	TREASURER	3,152,406.60	10/08/2007	3,152,406.60	-	
									18,914,439.57				
08/07/2005	2005	Germany	BU 105 1003 01	US\$	7,565,775.83	7,565,775.83	18/04/2006	TREASURER	1,260,962.64	18/04/2006	1,260,962.64	-	
							11/08/2006	TREASURER	1,260,962.64	11/08/2006	1,260,962.64	-	
							16/02/2007	TREASURER	1,260,962.64	16/02/2007	1,260,962.64	-	
							10/08/2007	TREASURER	1,260,962.64	10/08/2007	1,260,962.64	-	
							12/02/2008	TREASURER	1,260,962.64	12/02/2008	1,260,962.64	-	
							12/08/2008	TREASURER	1,260,962.63	12/08/2008	1,260,962.64	-	
									7,565,775.83				
10/05/2006	2006	Germany	BU 106 1004 01	Euro	11,662,922.38	14,473,718.52	28/02/2007	TREASURER	1,943,820.40	28/02/2007	2,558,067.65	145,781.24	
							10/08/2007	TREASURER	1,943,820.40	10/08/2007	2,681,305.85	269,019.44	
							12/02/2008	TREASURER	1,943,820.40	12/02/2008	2,821,066.54	408,780.12	
							12/08/2008	TREASURER	1,943,820.40	12/08/2008	2,930,114.87	517,828.45	
							17/02/2009	TREASURER	1,943,820.40	17/02/2009	2,492,560.89	80,274.47	
							12/08/2009	TREASURER	1,943,820.38	12/08/2009	2,760,613.72	348,327.28	
									11,662,922.38				
23/07/2007	2007	Germany	BU 107 1006 01	Euro	11,662,922.38	14,473,718.52	12/02/2008	TREASURER	1,943,820.40	12/02/2008	2,821,066.54	408,780.12	
							12/08/2008	TREASURER	1,943,820.39	12/08/2008	2,930,114.87	517,828.46	
							17/02/2009	TREASURER	1,943,820.40	17/02/2009	2,492,560.89	80,274.47	
							12/08/2009	TREASURER	1,943,820.38	12/08/2009	2,760,613.72	348,327.30	
							11/02/2010	TREASURER	1,943,820.40	11/02/2010	3,179,312.85	767,026.23	
							10/08/2010	TREASURER	1,943,820.41	10/08/2010	2,561,178.36	148,891.93	
									11,662,922.38				
15/08/2008	2008	Germany	BU 108 1004 01	Euro	4,665,168.96	5,789,487.42	17/02/2009	TREASURER	777,528.16	17/02/2009	997,024.36	32,109.79	
							12/08/2009	TREASURER	777,528.16	12/08/2009	1,104,245.49	139,330.92	
							11/02/2010	TREASURER	777,528.16	11/02/2010	529,107.91	(435,806.66)	
							10/08/2010	TREASURER	777,528.16	10/08/2010	1,024,470.50	59,555.93	
							10/02/2011	TREASURER	777,528.16	10/02/2011	1,060,159.85	95,245.05	
							20/08/2011	TREASURER	777,528.16	20/08/2011	1,095,381.87	130,467.13	
									4,665,168.96				
18/12/2009	2009	Germany	BU 109 1007 01	Euro	9,121,815.12	13,884,041.00	11/02/2010	TREASURER	1,520,302.52	11/02/2010	2,003,150.60	(310,856.28)	
							10/08/2010	TREASURER	1,520,302.52	10/08/2010	2,072,932.49	(241,074.39)	
							10/02/2011	TREASURER	1,520,302.52	10/02/2011	2,141,802.19	(172,204.69)	
							20/06/2011	TREASURER	1,520,302.52	20/06/2011	2,002,998.57	(311,008.31)	
							03/02/2012	TREASURER	1,520,302.52	03/02/2012	1,881,982.56	(432,024.04)	
							08/08/2012	TREASURER	1,520,302.52	08/08/2012	1,881,982.56	(432,024.04)	
									9,121,815.12				
14/04/2010	2010	Germany	BU 110 1002 01	Euro	9,121,815.12	13,884,041.00	10/02/2011	TREASURER	1,520,302.52	10/02/2011	2,072,932.48	(241,074.40)	
							20/06/2011	TREASURER	1,520,302.52	20/06/2011	2,141,802.19	(172,204.69)	
							03/02/2012	TREASURER	1,520,302.52	03/02/2012	2,002,998.57	(311,008.31)	
							08/08/2012	TREASURER	1,520,302.52	08/08/2012	1,881,982.56	(432,024.32)	
									4,628,013.48				
									BALANCE				
									3,040,605.04				
										9,121,815.12			
27/04/2011	2011	Germany	BU 111 1001 01	Euro	3,648,726.05	5,553,616.51	03/02/2012	TREASURER	608,121.01	03/02/2012	801,199.43	(124,403.32)	
							08/08/2012	TREASURER	608,121.00				

RECEIPTS							ENCASHMENTS					
Date of Submission a/	Year of contribution	Country of Origin	P/Note code	Denomination/ Type of currency	Amount (in Original denomination)	Note Value in USD per UNEP	Date of transfer	Agency	Transfer amount in Original denomination	Date of Encashment	Actual Encashment value (USD)	Gain/(Loss) to intended value (USD)
						3,702,411.01	BALANCE	TREASURER	2,432,484.04 3,648,726.05			
08/12/2003	2004	Netherlands	D 11	US\$	3,364,061.32	3,364,061.32	17/11/2004	TREASURER	3,364,061.32	17/11/2004	3,364,061.32	-
08/12/2003	2005	Netherlands	D 11	US\$	3,364,061.32	3,364,061.32	05/12/2005	TREASURER	3,364,061.32	05/12/2005	3,364,061.32	-
18/05/2004	2004	UK		GBP	7,243,564.08	10,718,502.63						
						1,786,417.11	23/08/2005	TREASURER	1,207,260.68	23/08/2005	2,166,550.02	380,132.91
						5,399,251.32	Feb. 2006	TREASURER	3,621,782.04	Feb. 2006	6,303,711.64	944,460.32
						3,572,634.20	24/07/2006	TREASURER	3,621,782.04	24/07/2006	4,473,383.73	900,549.53
						10,718,502.63			7,243,564.08		12,943,645.39	2,225,142.76
01/06/2005	2005	UK		GBP	7,243,564.08	10,718,502.63						
						1,786,417.11	24/07/2006	TREASURER	1,207,260.68	24/07/2006	2,236,691.86	450,274.75
						4,681,386.55	09/08/2006	TREASURER	3,163,681.03	09/08/2006	6,036,303.40	1,354,916.85
						4,250,698.97	16/08/2006	TREASURER	2,872,622.37	16/08/2006	5,429,236.28	1,178,537.31
						10,718,502.63			7,243,564.08		13,702,231.54	2,983,728.91
13/05/2005	2004	USA		US\$	4,920,000.00	4,920,000.00	27/10/2005	TREASURER	2,000,000.00	27/10/2005	2,000,000.00	-
							02/11/2006	TREASURER	2,000,000.00	02/11/2006	2,000,000.00	-
							25/10/2007	TREASURER	920,000.00	25/10/2007	920,000.00	-
									4,920,000.00			
01/03/2006	2005	USA		US\$	3,159,700.00	3,159,700.00	02/11/2006	TREASURER	2,000,000.00	02/11/2006	2,000,000.00	-
							25/10/2007	TREASURER	1,159,700.00	25/10/2007	1,159,700.00	-
									3,159,700.00			
25/04/2007	2006	USA		US\$	7,315,000.00	7,315,000.00	25/10/2007	TREASURER	2,500,000.00	25/10/2007	2,500,000.00	-
							19/11/2008	TREASURER	2,500,000.00	19/11/2008	2,500,000.00	-
							11/05/2009	TREASURER	2,315,000.00	11/05/2009	2,315,000.00	-
									7,315,000.00			
21/02/2008	2008	USA		US\$	4,683,000.00	4,683,000.00	19/11/2008	TREASURER	2,341,500.00	19/11/2008	2,341,500.00	-
							11/05/2009	TREASURER	2,341,500.00	11/05/2009	2,341,500.00	-
									4,683,000.00			
21/04/2009	2009	USA		US\$	5,697,000.00	5,697,000.00						
							11/05/2009	TREASURER	1,900,000.00	11/05/2009	1,900,000.00	-
							04/11/2010	TREASURER	1,900,000.00	04/11/2010	1,900,000.00	-
							03/11/2011	TREASURER	1,897,000.00	03/11/2011	1,897,000.00	-

RECEIPTS							ENCASHMENTS					
Date of Submission a/	Year of contribution	Country of Origin	P/Note code	Denomination/ Type of currency	Amount (in Original denomination)	Note Value in USD per UNEP	Date of transfer	Agency	Transfer amount in Original denomination	Date of Encashment	Actual Encashment value (USD)	Gain /(Loss) to intended value (USD)
						3,702,411.01	BALANCE	TREASURER	2,432,484.04 3,648,726.05			
08/12/2003	2004	Netherlands	D 11	US\$	3,364,061.32	3,364,061.32	17/11/2004	TREASURER	3,364,061.32	17/11/2004	3,364,061.32	-
08/12/2003	2005	Netherlands	D 11	US\$	3,364,061.32	3,364,061.32	05/12/2005	TREASURER	3,364,061.32	05/12/2005	3,364,061.32	-
18/05/2004	2004	UK		GBP	7,243,564.08	10,718,502.63						
						1,786,417.11	23/08/2005	TREASURER	1,207,260.68	23/08/2005	2,166,550.02	380,132.91
						5,359,231.32	Feb. 2006	TREASURER	3,621,782.04	Feb. 2006	6,303,711.64	944,480.32
						3,572,834.20	24/07/2006	TREASURER	3,621,782.04	24/07/2006	4,473,393.73	900,549.53
						10,718,502.63			7,243,564.08		12,943,645.39	2,225,142.76
01/06/2005	2005	UK		GBP	7,243,564.08	10,718,502.63	24/07/2006	TREASURER	1,207,260.68	24/07/2006	2,236,691.86	450,274.75
						4,681,386.55	09/08/2006	TREASURER	3,163,681.03	09/08/2006	6,036,303.40	1,354,916.85
						4,250,698.97	16/08/2006	TREASURER	2,872,622.37	16/08/2006	5,429,236.28	1,178,537.31
						10,718,502.63			7,243,564.08		13,702,231.54	2,983,728.91
13/05/2005	2004	USA		US\$	4,920,000.00	4,920,000.00	27/10/2005	TREASURER	2,000,000.00	27/10/2005	2,000,000.00	-
							02/11/2006	TREASURER	2,000,000.00	02/11/2006	2,000,000.00	-
							25/10/2007	TREASURER	920,000.00	25/10/2007	920,000.00	-
									4,920,000.00			
01/03/2006	2005	USA		US\$	3,159,700.00	3,159,700.00	02/11/2006	TREASURER	2,000,000.00	02/11/2006	2,000,000.00	-
							25/10/2007	TREASURER	1,159,700.00	25/10/2007	1,159,700.00	-
									3,159,700.00			
25/04/2007	2006	USA		US\$	7,315,000.00	7,315,000.00	25/10/2007	TREASURER	2,500,000.00	25/10/2007	2,500,000.00	-
							19/11/2008	TREASURER	2,500,000.00	19/11/2008	2,500,000.00	-
							11/05/2009	TREASURER	2,315,000.00	11/05/2009	2,315,000.00	-
									7,315,000.00			
21/02/2008	2008	USA		US\$	4,683,000.00	4,683,000.00	19/11/2008	TREASURER	2,341,500.00	19/11/2008	2,341,500.00	-
							11/05/2009	TREASURER	2,341,500.00	11/05/2009	2,341,500.00	-
									4,683,000.00			
21/04/2009	2009	USA		US\$	5,697,000.00	5,697,000.00	11/05/2009	TREASURER	1,900,000.00	11/05/2009	1,900,000.00	-
							04/11/2010	TREASURER	1,900,000.00	04/11/2010	1,900,000.00	-
							03/11/2011	TREASURER	1,897,000.00	03/11/2011	1,897,000.00	-

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL**Table 11: OUTSTANDING PROMISSORY NOTES SCHEDULE OF ENCASHMENT AS AT 30 NOVEMBER 2012
(IN US\$)**

	Due in 2012	Due in 2013	Due in 2014	TOTAL
<u>GERMANY:</u>				
2010		4,628,013		4,628,013
2011		1,851,206	1,851,206	3,702,412
<u>USA</u>				
2012	1,666,667			1,666,667
2013		1,666,667		1,666,667
2014			1,666,666	1,666,666
	1,666,667	8,145,886	3,517,872	13,330,425

NOTE:

Germany's promissory notes due are payable in February and August of the relevant years.

USA's promissory notes due are payable in November of the relevant years.

**LIST OF COUNTRIES WHICH AS AT 30 NOVEMBER 2012 HAVE EITHER
CONFIRMED TO THE TREASURER IN WRITING THAT THEY WOULD BE USING
THE FIXED-EXCHANGE-RATE MECHANISM DURING
THE 2012 – 2014 REPLENISHMENT PERIOD OR PAID IN NATIONAL CURRENCIES
WITHOUT FORMALLY WRITING TO THE TREASURER**

1. Australia
2. Austria
3. Belgium
4. Canada
5. Czech Republic
6. Denmark
7. Estonia
8. Finland
9. France
10. Germany
11. Iceland
12. Ireland
13. Monaco
14. New Zealand
15. Norway
16. Sweden
17. Switzerland
18. United Kingdom

Annex II**REVISED AGREEMENT BETWEEN THE GOVERNMENT OF THE PLURINATIONAL STATE OF BOLIVIA AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE TERMINAL PHASE-OUT MANAGEMENT PLAN**

1. This Agreement represents the understanding of the Government of the Plurinational State of Bolivia (the “Country”) and the Executive Committee with respect to the complete phase-out of controlled use of the ozone-depleting substances set out in Appendix 1-A (the “Substances”) prior to 1 January 2010 in compliance with Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances in Annex A (Group I) and Annex B (Group II) of the Montreal Protocol as set out in rows 2 and 4 of Appendix 2-A (the “Targets, and Funding”) in this Agreement. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to the Substances as described in the project document.
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees in principle to provide the funding set out in row 7 of Appendix 2-A (the “Funding”) to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (the “Funding Approval Schedule”).
4. The Country will meet the consumption limits for each of the Substances as indicated in Appendix 2-A. It will also accept independent verification by the relevant implementing agency of achievement of these consumption limits as described in paragraph 9 of this Agreement.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least 30 days prior to the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country has met the Targets for the applicable year;
 - (b) That the meeting of these Targets will be independently verified, if requested by the Executive Committee consistent with paragraph (d) of decision 45/54;
 - (c) That the Country has substantially completed all actions set out in the last annual implementation programme; and
 - (d) That the Country has submitted and received endorsement from the Executive Committee for an annual implementation programme in the form of Appendix 4-A (the “Annual Implementation Programme”) in respect of the year for which funding is being requested.
6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (the “Monitoring”) will monitor and report on that monitoring in accordance with the roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 9.
7. While the Funding was determined on the basis of estimates of the needs of the Country to carry out its obligations under this Agreement, the Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the goals prescribed under this Agreement. Reallocations categorized as major changes must be documented in advance in the next annual implementation programme and

endorsed by the Executive Committee as described in sub-paragraph 5(d). Reallocations not categorized as major changes may be incorporated in the approved annual implementation programme, under implementation at the time, and reported to the Executive Committee in the report on implementation of the annual programme.

8. Specific attention will be paid to the execution of the activities in the servicing sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation;
- (b) The technical assistance programme will be implemented in stages so that remaining resources can be diverted to other activities such as additional training or procurement of service tools in cases where the proposed results are not achieved, and will be closely monitored in accordance with Appendix 5-A of this Agreement; and
- (c) The Country and the implementing agencies will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the terminal phase-out management plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfill the obligations under this Agreement. Canada has agreed to be the lead implementing agency (the "Lead IA") and UNDP has agreed to be cooperating implementing agency (the "Cooperating IA") under the lead of the Lead IA in respect of the Country's activities under this Agreement. The Lead IA will be responsible for carrying out the activities listed in Appendix 6-A, including but not limited to independent verification. The Country also agrees to periodic evaluations, which will be carried out under the monitoring and evaluation work programmes of the Multilateral Fund. The Cooperating IA will be responsible for carrying out the activities listed in Appendix 6-B. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 8 and 9 of Appendix 2-A.

10. Should the Country, for any reason, not meet the Targets for the elimination of the Substances in Annex A (Group I) of the Montreal Protocol or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised funding approval schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next instalment of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amounts set out in Appendix 7-A in respect of each ODP tonne of reductions in consumption not achieved in any one year.

11. The funding components of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

12. The Country will comply with any reasonable request of the Executive Committee and the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to information necessary to verify compliance with this Agreement.

13. All of the agreements set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Protocol unless otherwise defined herein.

14. This revised Agreement supersedes the Agreement reached between the Government of the Plurinational State of Bolivia and the Executive Committee at the 51st meeting of the Executive Committee.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Annex A:	Group I	CFC-11, CFC-12, CFC-115
Annex B:	Group II	CTC

APPENDIX 2-A: THE TARGETS, AND FUNDING

	2006	2007	2008	2009	2010	Total
1. Montreal Protocol consumption limits of Annex A, Group I substances (ODP tonnes)	37.8	11.4	11.4	11.4	0.00	
2. Maximum allowable consumption of Annex A, Group I substances (ODP tonnes)	26.7	11.4	11.4	11.4	0.00	
3. Montreal Protocol consumption limits of Annex B, Group II substances (ODP tonnes)	0.0	0.0	0.0	0.0	0.00	
4. Maximum allowable consumption of Annex B, Group II substances (ODP tonnes)	0.2	0.1	0.0	0.0	0.00	
5. Lead IA agreed funding (US \$)		79,000	83,000			162,000
6. Cooperating IA agreed funding (US \$)		151,000	227,000			378,000
7. Total agreed funding (US \$)		230,000	310,000	-	-	540,000
8. Lead IA support costs (US \$)		10,270	10,790	-	-	21,060
9. Cooperating IA support costs (US \$)		11,325	17,025	-	-	28,350
10. Total agreed support costs (US \$)		21,595	27,815	-	-	49,410
11. Grand total agreed funding (US \$)		251,595	337,815			589,410

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the second tranche will be considered for approval at the second meeting of 2008. In case the Executive Committee requires verification of the achievements of the targets in the TPMP, it is understood that the approval or disbursement of the tranche might be delayed until the verification is completed and has been reviewed.

APPENDIX 4-A: FORMAT OF ANNUAL IMPLEMENTATION PROGRAMME

1. Data

Country	_____
Year of plan	_____
# of years completed	_____
# of years remaining under the plan	_____
Target ODS consumption of the preceding year	_____
Target ODS consumption of the year of plan	_____
Level of funding requested	_____
Lead implementing agency	_____
Cooperating agency(ies)	_____

2. **Targets**

Indicators		Preceding year	Year of plan	Reduction
Supply of ODS	Import			
	Total (1)			
Demand of ODS	Manufacturing			
	Servicing			
	Stockpiling			
	Total (2)			

3. **Industry Action**

Sector	Consumption preceding year (1)	Consumption year of plan (2)	Reduction within year of plan (1) – (2)	Number of projects completed	Number of servicing related activities	ODS phase-out (in ODP tonnes)
Manufacturing						
Total						
Refrigeration						
Total						
Grand total						

4. **Technical Assistance**

Proposed Activity:
Objective:
Target Group:
Impact:

5. **Government Action**

Policy/Activity planned	Schedule of implementation
Type of policy control on ODS import: servicing, etc.	
Public awareness	
Others	

6. **Annual Budget**

Activity	Planned expenditures (US \$)
Total	

7. **Administrative Fees**

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. All the monitoring activities will be coordinated and managed through the project "Monitoring and Management Unit", within the National Ozone Unit.

2. The Lead IA will have a particularly prominent role in the monitoring arrangements because of its mandate to monitor ODS imports, whose records will be used as a crosschecking reference in all the monitoring programmes for the different projects within the TPMP. This organization, along with

the Cooperating IA will also undertake the challenging task of monitoring illegal ODS imports and exports with advisements made to the appropriate national agencies through the National Ozone Office.

Verification and reporting

3. In accordance to decision 45/54 (d), the Executive Committee reserves the right for independent verification in case the Executive Committee selects the Plurinational State of Bolivia for related auditing. Based on discussion with the Lead IA, the Plurinational State of Bolivia should select the independent organization (auditing) to carry out the verification of the TPMP results and this independent monitoring programme.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities to be specified in the project document as follows:

- (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's phase-out plan;
- (b) Assisting the Country in preparation of the Annual Implementation Programme;
- (c) Providing verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Annual Implementation Programme consistent with Appendix-5A. In case the Executive Committee selects the Plurinational State of Bolivia consistent with paragraph (d) of decision 45/54, separate funding will be provided by the Executive Committee to the Lead IA for this undertaking;
- (d) Ensuring that the achievements in previous annual implementation programmes are reflected in the future annual implementation programme;
- (e) Reporting on the implementation of the Annual Implementation Programme of the preceding year and preparing for annual implementation programme for the year of submission for submission to the Executive Committee, commencing with the 2008 annual implementation programme combined with the report on the 2007 annual implementation programme;
- (f) Ensuring that appropriate independent technical experts carry out the technical reviews undertaken by the Lead IA;
- (g) Carrying out required supervision missions;
- (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Annual Implementation Programme and accurate data reporting;
- (i) Providing verification for the Executive Committee that consumption of the Substances has been eliminated in accordance with the Targets, if requested by the Executive Committee;
- (j) Coordinating the activities of the Cooperating IA;

- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

APPENDIX 6-B: ROLE OF COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will:
 - (a) Provide policy development assistance when required;
 - (b) Assist the Country in the implementation and assessment of the activities funded for by the Cooperating IA; and
 - (c) Provide reports to the Lead IA on these activities, for inclusion in the consolidated reports.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 10 of the Agreement, the amount of funding provided may be reduced by US \$10,000 per ODP tonne of reductions in consumption not achieved in the year.

Annex III

**REVISED AGREEMENT BETWEEN THE GOVERNMENT OF TUNISIA AND
THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND
FOR THE PHASE-OUT OF OZONE-DEPLETING SUBSTANCES**

1. This Agreement represents the understanding of Tunisia (the “Country”) and the Executive Committee with respect to the complete phase-out of controlled use of the ozone depleting substances set out in Appendix 1-A (“The Substances”) by January 2010, in compliance with Protocol schedules.
2. The Country agrees to phase out the controlled use of the Substances in accordance with the annual phase-out targets set out in Appendix 2-A (“The Targets, and Funding”) and this Agreement. The annual phase-out targets will, at a minimum, correspond to the reduction schedules mandated by the Montreal Protocol. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3 and consistent with existing MLF policies and guidelines, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to the Substances.
3. Subject to compliance with the following paragraphs by the Country with its obligations set out in this Agreement, the Executive Committee agrees in principle to provide the funding set out in row 6 of Appendix 2-A (“The Targets, and Funding”) to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country will meet the consumption limits for each Substance as indicated in Appendix 2-A. It will also accept independent verification by the relevant Implementing Agency of achievement of these consumption limits as described in paragraph 9 of this Agreement.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least 56 days prior to the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country has met the target for the applicable year;
 - (b) That the meeting of the target has been independently verified as described in paragraph 9;
 - (c) That the Country has substantially completed all actions set out in the last Annual Implementation Programme; and
 - (d) That the Country has submitted and received endorsement from the Executive Committee for an annual implementation programme in the form of Appendix 4-A (“Format for Annual Implementation Programmes”) in respect of the year for which funding is being requested.
6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on that monitoring in accordance with the roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 9.

7. While the funding was determined on the basis of estimates of the needs of the country to carry out its obligations under this agreement, the Executive Committee agrees that the country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the goals prescribed under this agreement. Reallocations categorized as major changes must be documented in advance in the next annual implementation programme and endorsed by the Executive Committee as described in sub-paragraph 5(d). Reallocations not categorized as major changes may be incorporated in the approved annual implementation programme, under implementation at the time, and reported to the Executive Committee in the report on implementation of the annual programme.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing subsector:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation;
- (b) The recovery and recycling programme for the refrigeration servicing sector will be implemented in stages so that remaining resources can be diverted to other phase-out activities, such as additional training or procurement of service tools in cases where the proposed results are not achieved, and will be closely monitored in accordance with Appendix 5-A of this Agreement.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNIDO, in a revision to this Agreement approved at the 68th Meeting, has agreed to be the lead implementing agency ("Lead IA") instead of the World Bank. The Lead IA will be responsible for carrying out the activities listed in Appendix 6-A including but not limited to independent verification. The country also agrees to periodic evaluations, which will be carried out under the monitoring and evaluation work programmes of the Multilateral Fund. The Executive Committee agrees, in principle, to provide the Lead IA with the fees set out in row 7 of Appendix 2-A.

10. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in Appendix 1-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. In the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next instalment of Funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amounts set out in Appendix 7-A in respect of each ODP tonne of the amount exceeding the Maximum Allowable Total Consumption of CFCs limit (Appendix 2-A) in any one year.

11. The funding components of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

12. The Country will comply with any reasonable request of the Executive Committee and the Lead IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA with access to information necessary to verify compliance with this Agreement.

13. All of the agreements set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Protocol unless otherwise defined herein.

14. This revised Agreement supersedes the Agreement reached between the Government of Tunisia and the Executive Committee at the 49th Meeting of the Executive Committee.

APPENDIX 1-A: THE SUBSTANCES

1. The ozone-depleting substances to be phased out under the Agreement are as follows.

Annex	Group	Chemical
Annex A	I	CFC-11, CFC-12 and CFC-115
Annex A	II	Halon-1211 and Halon-1301

APPENDIX 2-A: THE TARGETS, AND FUNDING

	2004	2005	2006	2007	2008	2009	2010	Total
1. Annex A, Group I Montreal Protocol Reduction Schedule (ODP tonnes)	870.1	435.05	435.05	130.5	130.5	130.5	0	
2. Max allowable total consumption of CFCs under the NOPP (ODP tonnes)	NA	NA	300	130.5	130.5	130.5	0	
3. Annex A, Group II Montreal Protocol Reduction Schedule (ODP tonnes)	104.3	52.15	52.15	52.15	52.15	52.15	0	
4. Max allowable total consumption of CFCs under the NOPP (ODP tonnes)	NA	NA	42	42	42	42	0	
6. Total agreed NOPP funding (US\$)	0	0	790,000*	0	345,395	0	0	1,135,395
7. UNIDO support costs (US\$)			59,250**	0	25,905	0	0	85,155
8. Total agreed grant for tranche, including support cost (US\$)			849,250	0	371,300	0	0	1,220,550

(*) Of the total amount, US \$429,877 was disbursed by the World Bank (as the former Lead IA) and the balance of US \$360,123 was transferred to UNIDO as the current Lead IA at the 68th meeting of the Executive Committee.

(**) US \$32,241 for the World Bank and the balance of US \$27,009 for UNIDO.

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding other than the payments in 2006, will be considered for approval at the second Executive Committee meeting in 2008 together with the 2008-2009 implementation plan.

APPENDIX 4-A: FORMAT FOR 2008-2009 IMPLEMENTATION PLAN

1. **Data**

Country _____

Year of plan _____

of years completed _____

of years remaining under the plan _____

Target ODS consumption of the preceding year _____

Target ODS consumption of the year of plan _____

Level of funding requested _____

Lead implementing agency _____

Co-operating agency(ies) _____

2. **Targets**

Target:				
Indicators		Preceding Year	Year of Plan	Reduction
Supply of ODS	Import			
	Production*			
	Total (1)			
Demand of ODS	Manufacturing			
	Servicing			
	Stockpiling			
	Total (2)			

* For ODS-producing countries

3. **Industry Action**

Sector	Consumption Preceding Year (1)	Consumption Year of Plan (2)	Reduction within Year of Plan (1)-(2)	Number of Projects Completed	Number of Servicing Related Activities	ODS Phase-Out (in ODP tonnes)
Servicing						
Aerosol						
Foam						
Halon						
Refrigeration						
Total						
GRAND TOTAL						

4. **Technical Assistance**

Proposed Activity: _____
Objective: _____
Target Group: _____
Impact: _____

5. **Government Action**

Policy/Activity Planned	Schedule of Implementation
Type of Policy Control on ODS Import: servicing, etc	
Public Awareness	
Others	

6. **Annual Budget**

Activity	Planned Expenditures (US \$)
TOTAL	

7. **Administrative Fees**

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The NOU within ANPE has the overall responsibility for monitoring of the implementation of the NOPP;
2. All monitoring activities will be coordinated and managed through a project management team to be established within the NOU. The management team will consist of staff appointed within NOU, supported by sector specific consultants as needed;
3. Consistent with the existing ODS import control system, of all imports of ODSs substances are based on import permits issued by NOU and monitored and recorded by NOU. With the initiation of the NOPP, the import will be controlled within the limits given in the agreement and the overall national ODS policies. The NOU will provide UNIDO with regular updates on ODS import during the year; and
4. Annual verification of ODS import, as required by this agreement, will be carried out by an independent party each year. Based on agreed TOR, ANPE will select an independent consultant/company to carry the annual verification of the ODS imports during the year and status of implementation of activities planned for the same year. The annual verification report will be submitted to the UNIDO not later than May 1 each year.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities specified in the project document as follows:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and

with its specific internal procedures and requirements as set out in the Country's ODS phase-out plan;

- (b) Providing verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the implementation programme;
- (c) Assisting the Country in preparation of the 2008-2009 Implementation Programme;
- (d) Ensuring that achievements in previous Annual Implementation Programmes are reflected in future Implementation Programmes;
- (e) Reporting on the implementation of the Implementation Programme of the preceding years and preparing an Implementation Programme for the year 2008-2009 for submission to the Executive Committee;
- (f) Ensuring that technical reviews undertaken by the Lead IA are carried out by appropriate technical experts;
- (g) Carrying out required supervision missions;
- (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Programme and accurate data reporting;
- (i) Verification for the Executive Committee that consumption of the Substances has been eliminated in accordance with the Target;
- (j) Ensuring that disbursements are made to the Country in a timely and effective manner; and
- (k) Providing assistance with policy, management and technical support when required.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 10 of the Agreement, the amount of funding provided may be reduced by US \$14.4/kg of ODS reduction in consumption not achieved in the year.

Annex IV

REMAINING ACTIVITIES REQUIRED FOR COMPLIANCE

Country	Agency	Type	Chemical	HCFC Chemical Detail	Sector and Subsector	Value (\$000) in 2012	ODP in 2012
Algeria	UNEP	INS	SEV		Institutional Strengthening	257	
Angola	UNDP	INV	HCFC	HCFC-22	HPMP	43	0.4
Bahamas (the)	UNEP	INS	SEV		Institutional Strengthening	60	
Bangladesh	UNDP	INS	SEV		Several Ozone unit support	140	0.0
Barbados	UNEP	PHA	HCFC	HCFC-22	HCFC Phase-out Management Plan (implementation)	48	0.3
Benin	UNEP	INS	SEV		Institutional Strengthening	60	
Burundi	UNEP	INS	SEV		Institutional Strengthening	60	
Cape Verde	UNEP	INS	SEV		Institutional Strengthening	60	
Chile	UNEP	PHA	HCFC	HCFC	HCFC Phase-out Management Plan (implementation)	45	0.5
Chile	UNDP	INV	HCFC	HCFC-141b	HPMP	87	1.0
Chile	UNDP	INV	HCFC	HCFC-22	HPMP	491	5.6
Democratic Republic of the Congo (the)	UNEP	INS	SEV		Institutional Strengthening	65	
Dominica	UNEP	INS	SEV		Institutional Strengthening	60	
Ecuador	UNEP	INS	SEV		Institutional Strengthening	177	
Equatorial Guinea	UNEP	INS	SEV		Institutional Strengthening	80	
Ethiopia	UNEP	INS	SEV		Institutional Strengthening	60	
Gabon	UNEP	INS	SEV		Institutional Strengthening	60	
Guatemala	UNEP	INS	SEV		Institutional Strengthening	125	
Haiti	UNEP	INS	SEV		Institutional Strengthening	100	
India	Japan	INV	HCFC	HCFC-141b	FOA-Rigid PU foam	336	
India	Japan	INV	HCFC	HCFC-22/ HCFC-142b	FOA-XPS	336	
Iraq	UNEP	INS	SEV		Institutional Strengthening	240	
Kenya	UNEP	INS	SEV		Institutional Strengthening	152	
Mauritania	UNEP	INS	SEV		Institutional Strengthening	60	
Mauritius	UNEP	INS	SEV		Institutional Strengthening	60	
Morocco	UNEP	INS	SEV		Institutional Strengthening	156	
Region: WA	UNEP	TAS	HCFC	HCFC	Technical assistance / support	250	
Sierra Leone	UNEP	INS	SEV		Institutional Strengthening	86	
Somalia	UNEP	PHA	HCFC	HCFC-22	HCFC Phase-out Management Plan (implementation)	78	0.6
South Sudan	UNEP	PRP	HCFC	HCFC-22	HCFC Phase-out Management Plan (preparation)	101	
South Sudan	UNEP	INS	SEV		Institutional Strengthening	60	
Sudan (the)	UNEP	INS	SEV		Institutional Strengthening	146	
Swaziland	UNEP	INS	SEV		Institutional Strengthening	60	
Timor-Leste	UNEP	INS	SEV		Institutional Strengthening	60	
Tunisia	UNIDO	PHA	HCFC	HCFC-22	REF-Servicing	58	1.0
Tunisia	UNIDO	PHA	HCFC	HCFC-141b	FOA-Rigid PU foam	170	3.0
Tunisia	IBRD	INS	SEV		Renewal of Institutional Strengthening	266	0.0
Uganda	UNEP	INS	SEV		Institutional Strengthening	63	
Zambia	UNEP	INS	SEV		Institutional Strengthening	66	
Zimbabwe	UNEP	INS	SEV		Institutional Strengthening	148	
Region: WA	UNEP	PRP	HCFC		Technical assistance in promoting alternatives to HCFC in A/C sectors at high-ambient temperature countries	10	

Annex V

REMAINING ACTIVITIES WHICH ARE NOT REQUIRED FOR COMPLIANCE

Country	Agency	Type	Chemical	Sector and Subsector	Value (\$000) in 2012	ODP in 2012
Algeria	UNIDO	DEM	Disposal	ODS destruction demonstration project	286	30.0
Georgia	UNDP	DEM	Disposal	Demo on ODS Banks Mgt and Destruction	92	3.0
Lebanon	UNIDO	DEM	Disposal	ODS destruction demonstration project	382	40.0
Region: AFR	UNEP	PRP	Disposal	Preparation of a regional disposal project for LVCs in Africa with UNIDO	50	
Region: AFR	UNIDO	PRP	Disposal	ODS destruction demonstration project - PRP	51	0.0
Region: ASP	Japan	DEM	Disposal	ODS disposal	672	
Region: ECA	UNEP	TAS	Disposal	Regional Disposal Project - LVCs in Europe and Central Asia	89	10.0
Region: ECA	UNIDO	DEM	Disposal	ODS destruction demonstration project	286	30.0

ANNEX VI

PROJECTS FOR WHICH ADDITIONAL STATUS REPORTS WERE REQUESTED

Code	Agency	Project Title	Reasons
AFR/REF/48/DEM/36	France	Strategic demonstration project for accelerated conversion of CFC chillers in 5 African Countries (Cameroon, Egypt, Namibia, Nigeria and Sudan)	To request the submission of additional status reports to the 69th meeting to monitor the resolution of the financial mechanism and co-financing issues for Nigeria, Senegal and the Sudan by the 69th meeting as a milestone for achievement in order to avoid consideration of possible cancellation in those countries.
AFR/SEV/53/TAS/39	France	African customs enforcement networks for preventing illegal trade of ODS in the African sub-regional trade organizations (CEMAC, COMESA, SACU and UEMOA)	(a) To request a milestone for achievement between the 69th meeting or consideration of possible cancellation of this project. (b) To request the submission of additional status reports to the 69th meeting to monitor the initiation of the action plan in order to avoid consideration of possible cancellation of the project.
AFG/PHA/63/INV/13	Germany	HCFC phase-out management plan (stage I, first tranche)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor project implementation progress for the HCFC phase-out management plans with low disbursement rates.
ARG/FUM/29/DEM/93	IBRD	Demonstration project for testing methyl bromide alternatives in post-harvest disinfection for cotton and citrus (phase I)	To request, for the second consecutive meeting, the submission of additional status report to the 69th meeting in order to monitor the preparation of the report on unused funds and the final report for project closing.
GLO/REF/47/DEM/268	IBRD	Global chiller replacement project (China, India, Indonesia, Malaysia and Philippines)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting on the global chiller demonstration project in light of the fact that a low rate of disbursement to date had been reported.
IDS/DES/57/PRP/187	IBRD	Preparation for pilot demonstration project on ODS waste management and disposal	To request, for the second consecutive meeting, the submission of additional status report to the 69th meeting on (a) the status of completion of the report on ODS destruction if not submitted at the 69th meeting; (b) ODS disposal preparatory activities if the project was not submitted to the 69th meeting.
PHI/DES/57/PRP/85	IBRD	Preparation for pilot demonstration project on ODS waste management and disposal	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting on: (a) the status of completion of the report on ODS destruction if not submitted at the 69th meeting; (b) ODS disposal preparatory activities if the project was not submitted to the 69th meeting.
VIE/PHA/63/INV/56	IBRD	HCFC phase-out management plan (stage I, first tranche) (foam sector plan)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting on the signing of the agreement which had been approved over one year previously.
VIE/PHA/63/TAS/58	IBRD	HCFC phase-out management plan (stage I, first tranche) (Technical assistance and project management)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting on the signing of the agreement which had been approved over one year previously.

Code	Agency	Project Title	Reasons
AFR/REF/48/DEM/35	Japan	Strategic demonstration project for accelerated conversion of CFC chillers in 5 African Countries (Cameroon, Egypt, Namibia, Nigeria and Sudan)	To request the submission of additional status reports to the 69th meeting to monitor the resolution of the financial mechanism and co-financing issues for Nigeria, Senegal and the Sudan by the 69th meeting as a milestone for achievement in order to avoid consideration of possible cancellation in those countries.
ASP/DES/54/PRP/53	Japan	Project preparation for a demonstration project on ODS disposal	To request the submission of additional status reports to the 69th meeting to monitor the preparation of a demonstration project on ODS disposal in the Asia and Pacific Region, if the request for funding was not submitted to the 69th meeting.
COL/FOA/60/DEM/75	Japan	Demonstration project to validate the use of super-critical CO ₂ in the manufacture of sprayed polyurethane rigid foam	To request the submission of additional status reports to the 69th meeting to monitor the completion of this project if it had not been completed by the 69th meeting.
BAR/REF/43/TAS/12	UNDP	Implementation of the RMP: monitoring the activities in the RMP	To request, for the second consecutive time, the submission of additional status reports to the 69th meeting to monitor projects due to low rates of disbursement of approved funds.
BHU/PHA/63/INV/17	UNDP	HCFC phase-out management plan (first tranche)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor: (a) progress in implementing the HPMP, which had been approved over one year previously and for which no disbursement had been recorded; (b) delays in signing project documents/letters of agreement.
BRA/REF/47/DEM/275	UNDP	Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor projects due to low rates of disbursement of approved funds.
COL/REF/47/DEM/65	UNDP	Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor projects due to low rates of disbursement of approved funds.
CUB/DES/62/DEM/46	UNDP	Pilot demonstration project on ODS waste management and disposal	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor projects due to low rates of disbursement of approved funds.
DMI/PHA/61/INV/17	UNDP	Terminal CFC phase-out management plan (fourth tranche)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the equipment procurement process or delivery.
DOM/HAL/51/TAS/39	UNDP	National halon bank management plan update	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the disbursement rates of approved funds.

Code	Agency	Project Title	Reasons
IND/DES/61/PRP/437	UNDP	Preparation of a project for demonstration of a sustainable technological, financial and management model for disposal of ODS	To request, for the second consecutive meeting, the submission of additional status report to the 69th meeting to monitor: (a) the project preparation; (b) project due to slow implementation of activities.
IRA/PHA/63/INV/199	UNDP	HCFC phase-out management plan (stage I, first tranche) (air conditioning sector plan)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor progress in implementing the HPMP, which had been approved over one year previously and for which no disbursement had been recorded.
IRA/PHA/63/INV/204	UNDP	HCFC phase-out management plan (stage I, first tranche) (foam sector plan: one foam systems house)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor progress in implementing the HPMP, which had been approved over one year previously and for which no disbursement had been recorded.
PAR/PHA/60/INV/26	UNDP	Terminal phase-out management plan for Annex A Group I substances (fourth tranche)	No response to MLF request for clarification - To request the submission of additional status reports to the 68th meeting to monitor the equipment procurement process or delivery.
PAR/PHA/63/INV/29	UNDP	HCFC phase-out management plan (stage I, first tranche)	No response to MLF request for clarification - To request the submission of additional status reports to the 68th meeting to monitor progress in implementing the HPMP, which had been approved over one year previously and for which no disbursement had been recorded.
STK/PHA/56/INV/13	UNDP	Terminal CFC phase-out management plan (second and third tranches)	To request, for the second consecutive meeting, the submission of additional status report to the 69th meeting on project progress.
TRI/SEV/59/INS/24	UNDP	Extension of the institutional strengthening project (phase VI)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor project due to slow implementation of activities.
ALG/SEV/57/INS/69	UNEP	Extension of the institutional strengthening project (phase V)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor progress in the IS project as there had been no disbursement in 2011 or up until September 2012 pending signature of a new agreement.
BAR/PHA/55/PRP/18	UNEP	Preparation of a HCFC phase-out management plan	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor HPMP project preparation activity, if the project was not submitted to the 69th meeting.
ECU/PHA/61/TAS/48	UNEP	National CFC phase-out plan (third tranche)	To request the submission of additional status reports to the 69th meeting to monitor the project progress and the disbursement rates of approved funds.
ECU/PHA/61/TAS/50	UNEP	National CFC phase-out plan (fourth tranche)	To request the submission of additional status reports to the 69th meeting to monitor the project progress and the disbursement rates of approved funds.
ECU/PHA/61/TAS/52	UNEP	National CFC phase-out plan (fifth tranche)	To request the submission of additional status reports to the 69th meeting to monitor the project progress and the disbursement rates of approved funds.
GAB/PHA/62/TAS/26	UNEP	HCFC phase-out management plan (stage I, first tranche)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor HPMP with issues related to

Code	Agency	Project Title	Reasons
			government changes and/or absence of a National Ozone Officer.
GUA/FUM/59/TAS/39	UNEP	National phase-out of methyl bromide (phase II, first tranche)	To request the submission of additional status reports to the 69th meeting to monitor the project progress and the disbursement rates of approved funds.
HAI/SEV/59/INS/16	UNEP	Extension of the institutional strengthening project (phase III)	To request the submission of additional status reports to the 69th meeting to monitor the Implementation of the revised plan of activities for the IS project.
IRQ/SEV/57/INS/05	UNEP	Institutional strengthening (phase I)	To request the submission of additional status report to the 69th meeting in order to monitor this institutional strengthening project for which the financial and progress reports was outstanding.
MAU/PHA/55/PRP/20	UNEP	Preparation of a HCFC phase-out management plan	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor HPMP project preparation activity, if the project was not submitted to the 69th meeting.
MAU/SEV/49/INS/17	UNEP	Renewal of institutional strengthening project (phase IV)	To request, for the second consecutive meeting, the submission of additional status report to the 69th meeting in order to monitor this institutional strengthening project implementation
MOR/SEV/59/INS/63	UNEP	Renewal of the institutional strengthening project (phase IV)	To request, for the second consecutive meeting, the submission of additional status report to the 69th meeting on project document signature for institutional strengthening.
BHE/PHA/52/INV/22	UNIDO	National ODS phase-out plan (third tranche)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the project owing to low rates of disbursement of approved funds.
CPR/REF/53/INV/453	UNIDO	Refrigeration servicing sector CFC phase-out plan (fourth tranche)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the delivery and distribution of equipment.
CPR/REF/59/INV/490	UNIDO	Refrigeration servicing sector CFC phase-out plan (sixth tranche)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the delivery and distribution of equipment.
ERI/PHA/63/INV/09	UNIDO	Terminal phase-out management plan for CFCs (second tranche)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the delivery and distribution of equipment.
ETH/FUM/54/PRP/18	UNIDO	Project preparation in the fumigant sector (flowers)	To request, for the second consecutive meeting, the submission of additional status report to the 69th meeting in order to monitor: (a) the project preparation in case the project is not submitted at the 69th meeting; (b) project preparation of project, if not submitted to the 69th meeting related to the process of selecting the national expert.
EUR/DES/65/PRP/12	UNIDO	Strategy for disposal and destruction of ODS for 4 LVC countries in the Europe and Central Asia region (Bosnia and Herzegovina, Croatia,	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor project preparation of projects, if not submitted to the 69th meeting.

Code	Agency	Project Title	Reasons
LIB/FOA/63/PRP/33	UNIDO	Preparation for HCFC phase-out investment activities (polyurethane foam component)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor project preparation of projects, if not submitted to the 69th meeting.
LIB/PHA/45/INV/25	UNIDO	National ODS phase-out plan: 2nd tranche	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor project with issues related to delays in the implementation of the TPMP due to the political and/or security situation in this country.
LIB/PHA/54/INV/28	UNIDO	National ODS phase-out plan: 3rd tranche	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor project with issues related to delays in the implementation of the TPMP due to the political and/or security situation in this country.
LIB/PHA/55/PRP/29	UNIDO	Preparation of a HCFC phase-out management plan	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor project preparation of projects, if not submitted to the 69th meeting.
LIB/PHA/63/PRP/32	UNIDO	Preparation of a HCFC phase-out management plan (additional funding)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor project preparation of projects, if not submitted to the 69th meeting.
MEX/ARS/63/INV/156	UNIDO	Phase-out of HCFC-22 and HCFC-141b in aerosol manufacturing at Silimex	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor procurement of the equipment for the project.
MEX/MUS/58/PRP/146	UNIDO	Preparation for HCFC phase-out investment activities (aerosol and solvent sectors)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor project preparation of projects, if not submitted to the 69th meeting.
MOZ/FUM/60/TAS/20	UNIDO	Technical assistance for the elimination of controlled uses of methyl bromide in soil fumigation	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the disbursement rates of approved funds.
PRC/PHA/60/INV/21	UNIDO	Terminal phase-out management plan (second tranche)	To request, for the second consecutive meeting, the submission of additional status report to the 69th meeting on project progress.
QAT/SEV/59/INS/15	UNIDO	Renewal of institutional strengthening project (phase III)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting: (a) on project document signature for institutional strengthening; (b) to monitor progress of the institutional strengthening project.
SAU/FOA/62/INV/11	UNIDO	Phase-out of HCFC-22 and HCFC-142b from the manufacture of extruded polystyrene panel at Line #2 in Arabian Chemical Company	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the project progress and the disbursement rates of approved funds.
SAU/FOA/62/INV/13	UNIDO	Phase-out of HCFC-22 and HCFC-142b from the manufacture of extruded polystyrene panel at Al-Watania Plastics	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the project progress and the disbursement rates of approved funds.

Code	Agency	Project Title	Reasons
SYR/PHA/58/INV/99	UNIDO	National CFC phase-out plan (third tranche)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor project with issues related to delays in the implementation of the TPMP due to the political and/or security situation in this country.
SYR/REF/62/INV/103	UNIDO	Phase-out of HCFC-22 and HCFC-141b from the manufacture of unitary air-conditioning equipment and rigid polyurethane insulation panels at Al Hafez Group	To request, for the second consecutive meeting, the submission of additional status report to the 69th meeting on project progress.
TKM/PHA/62/INV/08	UNIDO	HCFC phase-out management plan (stage I, first tranche)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the disbursement rates of approved funds.
TUN/FOA/58/PRP/50	UNIDO	Preparation for HCFC phase-out investment activities (polyurethane foam sector)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor project preparation of projects, if not submitted to the 69th meeting.
TUN/PHA/55/PRP/48	UNIDO	Preparation of a HCFC phase-out management plan	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor project preparation of projects, if not submitted to the 69th meeting.
TUR/FOA/62/INV/97	UNIDO	Umbrella project for the phase-out of HCFC-141b from the polyurethane (PU) rigid foam production in the manufacturing of PU insulated sandwich panels and phase-out HCFC-142b and HCFC-22 in the manufacture of extruded polystyrene boardstock	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the disbursement rates of approved funds.
URU/REF/60/PRP/55	UNIDO	Preparation for HCFC phase-out investment activities (refrigeration manufacturing sector)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor project preparation of projects, if not submitted to the 69th meeting.
YEM/PHA/55/INV/28	UNIDO	National ODS phase-out plan (first tranche)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor project with issues related to delays in the implementation of the TPMP due to the political and/or security situation in this country.
YUG/PHA/47/INV/28	UNIDO	National CFC phase-out plan (second tranche)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the disbursement rates of approved funds.
YUG/PHA/51/INV/31	UNIDO	National CFC phase-out plan (third tranche, transferred from Sweden)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the disbursement rates of approved funds.
YUG/PHA/60/INV/36	UNIDO	National CFC phase-out plan (fourth and fifth tranches)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the disbursement rates of approved funds.
YUG/PHA/60/TAS/35	UNIDO	National CFC phase-out plan (first tranche)	To request, for the second consecutive meeting, the submission of additional

Code	Agency	Project Title	Reasons
			status reports to the 69th meeting to monitor the rate of disbursement.
YUG/PHA/62/INV/38	UNIDO	HCFC phase-out management plan (stage I, first tranche)	To request, for the second consecutive meeting, the submission of additional status reports to the 69th meeting to monitor the disbursement rates of approved funds.

Annex VII

PROJECTS FOR WHICH ADDITIONAL STATUS REPORTS WERE REQUESTED FOR HPMP DEVELOPMENT

Agency	Project Number	Project Title	Reasons
UNEP	BAR/PHA/55/PRP/18	Preparation of a HCFC phase-out management plan in Barbados	To request the submission of additional status report to the 69th meeting in order to monitor the submission of the HPMP if HPMP not submitted to the 69th meeting
UNEP	MAU/PHA/55/PRP/20	Preparation of a HCFC phase-out management plan in Mauritania	To request the submission of additional status report to the 69th meeting in order to monitor the submission of the HPMP if HPMP not submitted to the 69th meeting

Annex VIII

PROJECTS WITH SPECIFIC REPORTING REQUIREMENTS

Agency	Code	Project Title	Reasons
Japan	COL/FOA/60/DEM/75	Pilot Supercritical CO2 in spray foam	To request, for the second consecutive meeting, additional report on individual HCFC demonstration and investment projects approval clause to report on ICC, IOC and technology application in line with decision 55/43 (b) for submission to the 69 th meeting based on the anticipated completion date of the project with an explanation for the reason for the delay, what is expected to be completed, and when the report will be submitted.
UNDP	BRA/PHA/50/INV/278	National CFC phase-out plan	To request, for the second consecutive meeting, progress report on the implementation of the NPP to the 69 th meeting and the submission of the project completion report when NPP completed.
UNDP	BRA/PHA/53/INV/280	National CFC phase-out plan	To request, for the second consecutive meeting, progress report on the implementation of the NPP to the 69 th meeting and the submission of the project completion report when NPP completed.
UNDP	BRA/PHA/56/INV/284	National CFC phase-out plan	To request, for the second consecutive meeting, progress report on the implementation of the NPP to the 69 th meeting and the submission of the project completion report when NPP completed.
UNDP	BRA/PHA/59/INV/293	National CFC phase-out plan	To request, for the second consecutive meeting, progress report on the implementation of the NPP to the 69 th meeting and the submission of the project completion report when NPP completed.

List of projects and activities approved for funding

UNEP/OzL.Pro/ExCom/68/53
Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
ANTIGUA AND BARBUDA						
SEVERAL						
Ozone unit support						
Extension of institutional strengthening project (phase IV: 1/2013-12/2014)	UNEP		\$60,000	\$0	\$60,000	
Total for Antigua and Barbuda			\$60,000		\$60,000	
ARMENIA						
SEVERAL						
Ozone unit support						
Extension of institutional strengthening project (phase III: 4/2013-3/2015)	UNIDO		\$120,000	\$8,400	\$128,400	
Total for Armenia			\$120,000	\$8,400	\$128,400	
BAHRAIN						
REFRIGERATION						
Commercial						
HCFC phase-out management plan (stage I, first tranche) (phase-out of HCFC-22 from the manufacturing of central air-conditioning and window air-conditioning at Awal Gulf manufacturing company)	UNIDO	3.7	\$387,855	\$27,150	\$415,005	6.50
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2020 to reduce HCFC consumption by 39 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 51.9 ODP tonnes, calculated using actual consumption of 45.1 ODP tonnes and 58.7 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, plus 10.11 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, and minus 0.62 ODP tonnes imported for stockpile, resulting in 61.39 ODP tonnes. UNEP, UNIDO and the Government were requested to deduct 23.21 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. The Government was urged to ratify the Beijing Amendment to the Montreal Protocol as soon as possible. Noted that, within the total approved funding, US \$112,500 was designated for a feasibility study (prototyping, testing and certification) for conversion of central air-conditioners to low GWP alternatives, and allowed the submission of the conversion project for a central air-conditioner production line once the feasibility study had been completed during the implementation of stage I of the HPMP. Approved the reallocation of funding remaining from the TPMP of US\$105,000 plus agency support cost of US \$13,650 for UNEP, as agreed by the Government in line with the implementation plans provided.</i></p>						

List of projects and activities approved for funding

UNEP/OzL.Pro/ExCom/68/53
Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, first tranche) (policy, refrigeration servicing, monitoring and verification)	UNEP		\$120,000	\$15,600	\$135,600	4.50
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2020 to reduce HCFC consumption by 39 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 51.9 ODP tonnes, calculated using actual consumption of 45.1 ODP tonnes and 58.7 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, plus 10.11 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, and minus 0.62 ODP tonnes imported for stockpile, resulting in 61.39 ODP tonnes. UNEP, UNIDO and the Government were requested to deduct 23.21 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. The Government was urged to ratify the Beijing Amendment to the Montreal Protocol as soon as possible. Noted that, within the total approved funding, US \$112,500 was designated for a feasibility study (prototyping, testing and certification) for conversion of central air-conditioners to low GWP alternatives, and allowed the submission of the conversion project for a central air-conditioner production line once the feasibility study had been completed during the implementation of stage I of the HPMP. Approved the reallocation of funding remaining from the TPMP of US\$105,000 plus agency support cost of US \$13,650 for UNEP, as agreed by the Government in line with the implementation plans provided.</i></p>						
HCFC phase-out management plan (stage I, first tranche) (national HCFC reclamation)	UNIDO		\$161,600	\$11,312	\$172,912	4.50
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2020 to reduce HCFC consumption by 39 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 51.9 ODP tonnes, calculated using actual consumption of 45.1 ODP tonnes and 58.7 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, plus 10.11 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, and minus 0.62 ODP tonnes imported for stockpile, resulting in 61.39 ODP tonnes. UNEP, UNIDO and the Government were requested to deduct 23.21 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. The Government was urged to ratify the Beijing Amendment to the Montreal Protocol as soon as possible. Noted that, within the total approved funding, US \$112,500 was designated for a feasibility study (prototyping, testing and certification) for conversion of central air-conditioners to low GWP alternatives, and allowed the submission of the conversion project for a central air-conditioner production line once the feasibility study had been completed during the implementation of stage I of the HPMP. Approved the reallocation of funding remaining from the TPMP of US\$105,000 plus agency support cost of US \$13,650 for UNEP, as agreed by the Government in line with the implementation plans provided.</i></p>						

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)		C.E. (US\$/kg)
			Project	Support	
SEVERAL					
Ozone unit support					
Extension of institutional strengthening project (phase VII: 1/2013-12/2014)	UNEP		\$60,000	\$0	\$60,000
Total for Bahrain		3.7	\$729,455	\$54,062	\$783,517
BARBADOS					
SEVERAL					
Ozone unit support					
Renewal of institutional strengthening project (phase V: 1/2013-12/2014)	UNEP		\$117,000	\$0	\$117,000
Total for Barbados			\$117,000		\$117,000
BOTSWANA					
SEVERAL					
Ozone unit support					
Extension of institutional strengthening project (phase IV: 1/2013-12/2014)	UNEP		\$78,173	\$0	\$78,173
<i>Approved on the understanding that no funding would be disbursed from UNEP to the Government of Botswana until the ODS regulations, including the import/export licensing system, had been published in the Official Gazette and confirmation had been received from the Ozone Secretariat that the licensing system was in place in accordance with Article 4B, paragraph 4, of the Montreal Protocol.</i>					
Total for Botswana			\$78,173		\$78,173
BRAZIL					
PHASE-OUT PLAN					
HCFC phase out plan					
HCFC phase-out management plan (stage I, second tranche) (foam sector plan)	UNDP		\$3,400,000	\$255,000	\$3,655,000
HCFC phase-out management plan (stage I, second tranche) (refrigeration servicing sector)	Germany		\$2,472,727	\$262,000	\$2,734,727
Total for Brazil			\$5,872,727	\$517,000	\$6,389,727
BURKINA FASO					
SEVERAL					
Ozone unit support					
Extension of the institutional strengthening project (phase X: 1/2013-12/2014)	UNEP		\$72,410	\$0	\$72,410
Total for Burkina Faso			\$72,410		\$72,410

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
CENTRAL AFRICAN REPUBLIC						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase VI: 1/2013-12/2014)	UNEP		\$60,000	\$0	\$60,000	
Total for Central African Republic			\$60,000		\$60,000	
CHINA						
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan(stage I, second tranche) (refrigeration servicing sector including enabling programme)	UNEP		\$598,000	\$66,921	\$664,921	
HCFC phase-out management plan (stage I, second tranche) (room air-conditioner manufacturing sector plan) <i>Approved without prejudice to the future interpretation of the 20 per cent disbursement threshold required by the Agreement.</i>	UNIDO	176.0	\$9,200,000	\$644,000	\$9,844,000	
HCFC phase-out management plan (stage I, second tranche) (industrial and commercial refrigeration and air conditioning sector plan) <i>Approved without prejudice to the future interpretation of the 20 per cent disbursement threshold required by the Agreement.</i>	UNDP	44.0	\$6,900,000	\$483,000	\$7,383,000	
HCFC phase-out management plan (stage I, second tranche) (polyurethane rigid foam sector plan) <i>Approved without prejudice to the future interpretation of the 20 per cent disbursement threshold required by the Agreement.</i>	IBRD	103.8	\$5,520,000	\$386,400	\$5,906,400	
HCFC phase-out management plan (stage I, second tranche) (refrigeration servicing sector including enabling programme)	Japan		\$80,000	\$10,400	\$90,400	
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase X: 1/2013-12/2014)	UNDP		\$390,000	\$27,300	\$417,300	
Total for China			323.8	\$22,688,000	\$1,618,021	\$24,306,021
COTE D'IVOIRE						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase VI: 1/2013-12/2014)	UNEP		\$106,340	\$0	\$106,340	
Total for Cote D'Ivoire			\$106,340		\$106,340	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)		C.E. (US\$/kg)
			Project	Support	
CUBA					
PHASE-OUT PLAN					
HCFC phase out plan					
HCFC phase-out management plan (stage I, second tranche) (phase-out of HCFC-141b contained in formulated polyols in the foam sector)	UNDP		\$537,527	\$40,315	\$577,842
<i>Approved on an exceptional basis an advance of funding on the second tranche.</i>					
Total for Cuba			\$537,527	\$40,315	\$577,842
DOMINICAN REPUBLIC					
SEVERAL					
Ozone unit support					
Extension of the institutional strengthening project (phase VII: 1/2013-12/2014)	UNEP		\$134,333	\$0	\$134,333
Total for Dominican Republic			\$134,333		\$134,333
EGYPT					
PHASE-OUT PLAN					
HCFC phase out plan					
HCFC phase-out management plan (stage I, second tranche) (enabling activities in the refrigeration and air-conditioning sector)	UNIDO	3.1	\$250,000	\$18,750	\$268,750
HCFC phase-out management plan (stage I, second tranche) (phase-out of HCFC-141b in the polyurethane foam sector)	UNDP	42.1	\$2,000,000	\$150,000	\$2,150,000
Total for Egypt			45.2	\$2,250,000	\$168,750
ERITREA					
SEVERAL					
Ozone unit support					
Institutional strengthening (phase II: 1/2013-12/2014)	UNEP		\$60,000	\$0	\$60,000
Total for Eritrea			\$60,000		\$60,000

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
ETHIOPIA						
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, first tranche)	UNEP	0.3	\$85,000	\$11,050	\$96,050	
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2020 to reduce HCFC consumption by 35 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 5.5 ODP tonnes, calculated using consumption of 0 ODP tonnes and 11 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol. UNEP, UNIDO and the Government were requested to deduct 1.92 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. The Fund Secretariat was requested, in the event that the baseline consumption for compliance for Ethiopia was amended based on revised Article 7 data, to update Appendix 2-A to the Agreement to include the revised figures for maximum allowable consumption, and to notify the Executive Committee of the resulting change in the levels of maximum allowable consumption and of any potential related impact on the eligible funding level, with any adjustments needed being made when the next tranche was submitted.</i></p>						
HCFC phase-out management plan (stage I, first tranche)	UNIDO	0.2	\$70,000	\$6,300	\$76,300	
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2020 to reduce HCFC consumption by 35 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 5.5 ODP tonnes, calculated using consumption of 0 ODP tonnes and 11 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol. UNEP, UNIDO and the Government were requested to deduct 1.92 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. The Fund Secretariat was requested, in the event that the baseline consumption for compliance for Ethiopia was amended based on revised Article 7 data, to update Appendix 2-A to the Agreement to include the revised figures for maximum allowable consumption, and to notify the Executive Committee of the resulting change in the levels of maximum allowable consumption and of any potential related impact on the eligible funding level, with any adjustments needed being made when the next tranche was submitted.</i></p>						
Total for Ethiopia		0.5	\$155,000	\$17,350	\$172,350	
GUATEMALA						
FUMIGANT						
Methyl bromide						
National phase-out of methyl bromide (phase II, second tranche)	UNIDO	217.7	\$943,047	\$70,729	\$1,013,776	
<p><i>UNIDO was requested to submit the project completion report to the Executive Committee soon after completion of the 2013 annual implementation programme.</i></p>						

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)		C.E. (US\$/kg)
			Project	Support	
PHASE-OUT PLAN					
HCFC phase out plan					
HCFC phase-out management plan (stage I, second tranche)	UNIDO	0.6	\$97,925	\$7,344	\$105,269
<i>Noted that the Agreement was updated based on the changes requested by the Government on the funding levels associated with the second and third tranches. Approved, on an exceptional basis, the second tranche of stage I of the HPMP as contained in the revised Agreement. The Government was encouraged to consider issuing a ban on the import of HCFC-141b in bulk or in pre-blended polyols prior to completion of stage I of the HPMP; and UNIDO, as lead implementing agency, was requested to report thereon to the Executive Committee when submitting subsequent tranche funding requests.</i>					
Total for Guatemala		218.3	\$1,040,972	\$78,073	\$1,119,045
GUYANA					
SEVERAL					
Ozone unit support					
Extension of the institutional strengthening project (phase V: 1/2013-12/2014)	UNEP		\$60,000	\$0	\$60,000
Total for Guyana			\$60,000		\$60,000
HAITI					
PHASE-OUT PLAN					
HCFC phase out plan					
HCFC phase-out management plan (stage I, first tranche)	UNEP		\$40,000	\$5,200	\$45,200
<i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2020 to reduce HCFC consumption by 35 per cent of the baseline. Noted that the Government of Haiti had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 3.6 ODP tonnes, calculated using actual consumption of 3.9 ODP tonnes and 3.4 ODP tonnes reported for 2009 and 2010, respectively, under the Article 7 of the Montreal Protocol. UNEP, UNDP and the Government were requested to deduct 1.26 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. The Government was urged to ratify the Beijing Amendment as soon as possible.</i>					
Total for Haiti			\$40,000	\$5,200	\$45,200
HONDURAS					
SEVERAL					
Ozone unit support					
Extension of the institutional strengthening project (phase VII: 1/2013-12/2014)	UNEP		\$60,000	\$0	\$60,000
Total for Honduras			\$60,000		\$60,000

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
IRAN						
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, second tranche) (refrigeration servicing sector)	Germany		\$534,233	\$60,617	\$594,850	
<i>Noted that the Agreement was updated based on the established HCFC baseline for compliance and the deduction of \$173,550, plus agency support cost of \$13,016 for UNIDO, associated with the conversion of the foam enterprise Yakhchavan which had been identified as non-eligible for funding after the HPMP was approved; and that the revised starting point for sustained aggregate reduction in HCFC consumption was 380.5 ODP tonnes, calculated using actual consumption of 362.1 ODP tonnes and 398.8 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol.</i>						
HCFC phase-out management plan (stage I, second tranche) (foam sector plan)	UNIDO	11.9	\$830,000	\$62,250	\$892,250	
<i>Noted that the Agreement was updated based on the established HCFC baseline for compliance and the deduction of \$173,550, plus agency support cost of \$13,016 for UNIDO, associated with the conversion of the foam enterprise Yakhchavan which had been identified as non-eligible for funding after the HPMP was approved; and that the revised starting point for sustained aggregate reduction in HCFC consumption was 380.5 ODP tonnes, calculated using actual consumption of 362.1 ODP tonnes and 398.8 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol.</i>						
HCFC phase-out management plan (stage I, second tranche) (air conditioning sector plan)	UNDP		\$1,370,000	\$102,750	\$1,472,750	
<i>Noted that the Agreement was updated based on the established HCFC baseline for compliance and the deduction of \$173,550, plus agency support cost of \$13,016 for UNIDO, associated with the conversion of the foam enterprise Yakhchavan which had been identified as non-eligible for funding after the HPMP was approved; and that the revised starting point for sustained aggregate reduction in HCFC consumption was 380.5 ODP tonnes, calculated using actual consumption of 362.1 ODP tonnes and 398.8 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol.</i>						
Total for Iran		11.9	\$2,734,233	\$225,617	\$2,959,850	
JAMAICA						
SEVERAL						
Ozone unit support						
Extension of institutional strengthening project (phase VIII: 1/2013-12/2014)	UNEP		\$60,000	\$0	\$60,000	
Total for Jamaica			\$60,000		\$60,000	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
JORDAN						
SEVERAL						
Ozone unit support						
Extension of institutional strengthening project (phase X: 1/2013-12/2014)	IBRD		\$147,333	\$10,313	\$157,646	
	Total for Jordan		\$147,333	\$10,313	\$157,646	
KOREA, DPR						
SEVERAL						
Ozone unit support						
Extension of institutional strengthening project (phases VI and VII: 1/2010-12/2013)	UNEP		\$260,000	\$0	\$260,000	
<i>UNEP was requested to ensure the application of the strict and timely monitoring procedure and transparent method of fund disbursement contained in the Progress Report on the Implementation of the Executive Committee decision 66/15 on the project as contained in Annex II to document UNEP/OzL.Pro/ExCom/68/6/Add.1.</i>						
	Total for Korea, DPR		\$260,000		\$260,000	
KUWAIT						
SEVERAL						
Ozone unit support						
Extension of institutional strengthening project (phase V: 1/2013-12/2014)	UNEP		\$105,320	\$0	\$105,320	
	Total for Kuwait		\$105,320		\$105,320	
KYRGYZSTAN						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase VI: 1/2013-12/2014)	UNEP		\$115,830	\$0	\$115,830	
	Total for Kyrgyzstan		\$115,830		\$115,830	
LEBANON						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase VIII: 4/2013-3/2015)	UNDP		\$155,090	\$10,856	\$165,946	
	Total for Lebanon		\$155,090	\$10,856	\$165,946	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
LESOTHO						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase VI: 1/2013-12/2014)	UNEP		\$60,000	\$0	\$60,000	
	Total for Lesotho		\$60,000		\$60,000	
MEXICO						
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, second tranche) (foam sector plan for systems houses and local customers)	UNDP	101.5	\$3,800,000	\$285,000	\$4,085,000	
HCFC phase-out management plan (stage I, second tranche) (refrigeration servicing, technical assistance and monitoring)	UNIDO	11.4	\$695,011	\$52,126	\$747,137	
SEVERAL						
Ozone unit support						
Extension of institutional strengthening project (phase XI: 1/2013-12/2014)	UNIDO		\$247,000	\$17,290	\$264,290	
	Total for Mexico	112.9	\$4,742,011	\$354,416	\$5,096,427	
MOROCCO						
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, second tranche) (refrigeration servicing sector)	UNIDO		\$220,000	\$16,500	\$236,500	
	Total for Morocco		\$220,000	\$16,500	\$236,500	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
MYANMAR						
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, first tranche)	UNEP	0.4	\$159,000	\$20,670	\$179,670	
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2020 to reduce HCFC consumption by 35 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 4.30 ODP tonnes, calculated using actual consumption of 4.1 ODP tonnes and 4.5 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol; plus 1.83 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, resulting in 6.13 ODP tonnes. UNEP, UNIDO and the Government were requested to deduct 1.50 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. Allowed the submission of the foam project for phasing out the HCFC-141b contained in imported pre-blended polyols during the implementation of stage I of the HPMP. The first tranche was approved on the understanding that disbursement would occur only after confirmation of the approval of the pending Ozone Order establishing an ODS quota system.</i></p>						
Total for Myanmar		0.4	\$159,000	\$20,670	\$179,670	
NIGERIA						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase VII: 12/2012-11/2014)	UNDP		\$260,000	\$18,200	\$278,200	
Total for Nigeria			\$260,000	\$18,200	\$278,200	
PAKISTAN						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase VII: 4/2013-3/2015)	UNDP		\$224,467	\$15,713	\$240,180	
Total for Pakistan			\$224,467	\$15,713	\$240,180	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
PERU						
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing)	UNEP		\$25,000	\$3,250	\$28,250	4.50
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2015 to reduce HCFC consumption by 10 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 26.88 ODP tonnes, calculated using actual consumption of 27.3 ODP tonnes and 26.45 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol; and that the amount of 2007-2009 average consumption of HCFC-141b contained in imported pre-blended polyols would be added to the starting point upon submission of stage II of the HPMP, within the context of a foam sector plan. Noted the commitment of the Government of Peru to ban imports of pure HCFC-141b no later than 1 January 2015. UNDP, UNEP and the Government were requested to deduct 3.74 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. Funding would be disbursed only upon receipt by the Secretariat of confirmation from the Government that an enforceable national system of licensing and quotas for HCFC imports and exports was in place and that the system was capable of ensuring the country's compliance with the Montreal Protocol HCFC phase-out schedule for the duration of the Agreement.</i></p>						
HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing)	UNDP		\$108,000	\$9,720	\$117,720	4.50
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2015 to reduce HCFC consumption by 10 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 26.88 ODP tonnes, calculated using actual consumption of 27.3 ODP tonnes and 26.45 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol; and that the amount of 2007-2009 average consumption of HCFC-141b contained in imported pre-blended polyols would be added to the starting point upon submission of stage II of the HPMP, within the context of a foam sector plan. Noted the commitment of the Government of Peru to ban imports of pure HCFC-141b no later than 1 January 2015. UNDP, UNEP and the Government were requested to deduct 3.74 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. Funding would be disbursed only upon receipt by the Secretariat of confirmation from the Government that an enforceable national system of licensing and quotas for HCFC imports and exports was in place and that the system was capable of ensuring the country's compliance with the Montreal Protocol HCFC phase-out schedule for the duration of the Agreement.</i></p>						
SEVERAL						
Ozone unit support						
Renewal of institutional strengthening project (phase IV: 1/2013-12/2014)	UNEP		\$133,510	\$0	\$133,510	
Total for Peru			\$266,510	\$12,970	\$279,480	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)		C.E. (US\$/kg)
			Project	Support	
PHILIPPINES					
PHASE-OUT PLAN					
HCFC phase out plan					
HCFC phase-out management plan (stage I, first tranche)	UNEP		\$207,000	\$26,910	\$233,910
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2015 to reduce HCFC consumption by 10 per cent of the baseline, and noted that the project to phase out 40 ODP tonnes HCFC-141b used in the polyurethane foam sector, at the amount of US\$2,088,000 plus agency support costs of US \$132,799 for UNIDO, and US\$41,256 for Japan, had already been approved at the 62nd meeting of the Executive Committee and had subsequently been included in stage I of the HPMP. Noted that with the aforementioned amounts, the total funding for stage I of the HPMP amounts to US\$2,318,000, plus agency support costs of US\$203,955. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the revised baseline of 162.87 ODP tonnes, calculated using actual consumption of 161.65 ODP tonnes and 164.10 ODP tonnes reported for 2009 and 2010, respectively, under the HPMP survey. Noted the deduction of 40 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption for the project approved at the 62nd meeting and, deducted a further 5.0 ODP tonnes of HCFCs for the implementation of stage I of the HPMP. Noted that the Government had committed to banning the installation of new, or the expansion of existing, manufacturing facilities using HCFC in the refrigeration and air-conditioning sector in 2013, and to banning the import of HCFC-141b for foam manufacturing in 2014. Noted that, in submitting stage II of the HPMP, funding assistance to meet the 2020 HCFC reduction target would be limited to addressing tonnage equivalent to no more than 15 per cent of its baseline. The Fund Secretariat was requested, in the event that the baseline consumption is amended based on revised Article 7 data, to update Appendix 2-A to the Agreement to include the revised figures for the baseline once approved by the Parties to the Montreal Protocol. Noted the reallocation of funding remaining from the national CFC phase-out management plan of US \$1,077,221, plus agency support costs of US \$128,494 for UNEP, as agreed by the Government in line with the implementation plan provided.</i></p>					
Total for Philippines			\$207,000	\$26,910	\$233,910
SAINT KITTS AND NEVIS					
SEVERAL					
Ozone unit support					
Extension of institutional strengthening project (phase V: 1/2013-12/2014)	UNEP		\$60,000	\$0	\$60,000
Total for Saint Kitts and Nevis			\$60,000		\$60,000

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
SAINT LUCIA						
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, second tranche)	UNIDO	0.1	\$11,000	\$990	\$11,990	
<p><i>Noted that the Agreement was updated based on the established HCFC baseline for compliance; and that the revised starting point for sustained aggregate reduction in HCFC consumption was 0.2 ODP tonnes, calculated using consumption of 0.4 ODP tonnes and 0.0 ODP tonne reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, and that the overall funding had been reduced accordingly to reflect the amount eligible under the reported baseline. The Fund Secretariat was requested, in the event that the baseline consumption for compliance for Saint Lucia was amended based on revised Article 7 data, to update Appendix 2-A to the Agreement to include the revised figures for maximum allowable consumption, and to notify the Executive Committee of the resulting change in the levels of maximum allowable consumption and of any potential related impact on the eligible funding level, with any adjustments needed being made when the next tranche was submitted.</i></p>						
HCFC phase-out management plan (stage I, second tranche)	UNEP		\$13,150	\$1,710	\$14,860	
<p><i>Noted that the Agreement was updated based on the established HCFC baseline for compliance; and that the revised starting point for sustained aggregate reduction in HCFC consumption was 0.2 ODP tonnes, calculated using consumption of 0.4 ODP tonnes and 0.0 ODP tonne reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, and that the overall funding had been reduced accordingly to reflect the amount eligible under the reported baseline. The Fund Secretariat was requested, in the event that the baseline consumption for compliance for Saint Lucia was amended based on revised Article 7 data, to update Appendix 2-A to the Agreement to include the revised figures for maximum allowable consumption, and to notify the Executive Committee of the resulting change in the levels of maximum allowable consumption and of any potential related impact on the eligible funding level, with any adjustments needed being made when the next tranche was submitted.</i></p>						
SEVERAL						
Ozone unit support						
Renewal of institutional strengthening project (phase VIII: 1/2013-12/2014)	UNEP		\$60,000	\$0	\$60,000	
Total for Saint Lucia		0.1	\$84,150	\$2,700	\$86,850	

List of projects and activities approved for funding

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Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
SAUDI ARABIA						
FOAM						
Sectoral phase out plan						
HCFC phase-out management plan (stage I, first tranche) (polyurethane foam sector plan)	UNIDO	52.1	\$1,827,867	\$127,951	\$1,955,818	3.92
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2020 to reduce HCFC consumption by 40 per cent of the baseline, and noted that the two projects to phase out 180.6 ODP tonnes of HCFC-22 and HCFC-142b in the extruded polystyrene foam sector at the amount of US \$1,718,901 plus support costs of US \$128,917 for UNIDO and US \$220,000 plus support costs of US \$28,600 for the Government of Japan, had already been approved at the 62nd meeting of the Executive Committee and had been included in the stage I of HPMP. Noted that with the aforementioned amounts, the total funding for stage I of the HPMP amounts to US \$13,420,971 plus agency support cost of US \$1,000,094. Noted that the revised starting point for sustained aggregate reduction in HCFC consumption was the established baseline of 1,468.7 ODP tonnes, calculated using actual consumption of 1,362.0 ODP tonnes and 1,575.4 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol. Noted the commitment of the Government to issue a ban on import and export of HCFC-141b either in pure form or as a component of blended chemicals for its use in the production of polyurethane foams or as solvents or any other application by 1 January 2018. Noted the deduction of 180.6 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption for the two projects approved at the 62nd meeting, and UNIDO, UNEP and the Government were requested to deduct a further 522.69 ODP tonnes of HCFCs for the implementation of stage I of the HPMP. Noted that approval of stage I of the HPMP did not preclude the country from submitting, not earlier than 2015, a proposal to achieve a reduction in HCFCs beyond that addressed in stage I of the HPMP. The implementing agencies were requested not to implement any conversion to HFC-245fa in the spray foam sector prior to 1 January 2016, and to actively pursue establishing low GWP alternatives for that sub-sector prior to this date. UNIDO was requested to submit, to the 69th meeting, a verification of CFC, CTC, TCA and halon for the years 2009 and 2010 as well as a complete implementation report on the national ODS phase-out plan (NPP), and not to accept a submission of the second tranche request for the HPMP without prior submission of both verification and implementation reports, and to submit, as part of the request for the second tranche, detailed data regarding the refrigeration and air-conditioning manufacturing sector, including the names of all eligible enterprises, their level of HCFC consumption, whether additional capacity had been established prior to the cut-off date, ownership, products manufactured, and information to allow assessment whether the enterprises would be seen as essentially performing installation, assembly or manufacturing of refrigeration and/or air conditioning equipment. Approved the reallocation of funding remaining from the NPP in the amount of US \$307,000, plus agency support costs of US \$23,025 for UNIDO as agreed by the Government in line with the implementation plan provided. The Government was urged to deposit the instruments of ratification of the Montreal and the Beijing Amendments as soon as possible.</i></p>						

List of projects and activities approved for funding

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Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<p>HCFC phase-out management plan (stage I, first tranche) (polyurethane foam sector plan)</p> <p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2020 to reduce HCFC consumption by 40 per cent of the baseline, and noted that the two projects to phase out 180.6 ODP tonnes of HCFC-22 and HCFC-142b in the extruded polystyrene foam sector at the amount of US \$1,718,901 plus support costs of US \$128,917 for UNIDO and US \$220,000 plus support costs of US \$28,600 for the Government of Japan, had already been approved at the 62nd meeting of the Executive Committee and had been included in the stage I of HPMP. Noted that with the aforementioned amounts, the total funding for stage I of the HPMP amounts to US \$13,420,971 plus agency support cot of US \$1,000,094. Noted that the revised starting point for sustained aggregate reduction in HCFC consumption was the established baseline of 1,468.7 ODP tonnes, calculated using actual consumption of 1,362.0 ODP tonnes and 1,575.4 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol. Noted the commitment of the Government to issue a ban on import and export of HCFC-141b either in pure form or as a component of blended chemicals for its use in the production of polyurethane foams or as solvents or any other application by 1 January 2018. Noted the deduction of 180.6 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption for the two projects approved at the 62nd meeting, and UNIDO, UNEP and the Government were requested to deduct a further 522.69 ODP tonnes of HCFCs for the implementation of stage I of the HPMP. Noted that approval of stage I of the HPMP did not preclude the country from submitting, not earlier than 2015, a proposal to achieve a reduction in HCFCs beyond that addressed in stage I of the HPMP. The implementing agencies were requested not to implement any conversion to HFC-245fa in the spray foam sector prior to 1 January 2016, and to actively pursue establishing low GWP alternatives for that sub-sector prior to this date. UNIDO was requested to submit, to the 69th meeting, a verification of CFC, CTC, TCA and halon for the years 2009 and 2010 as well as a complete implementation report on the national ODS phase-out plan (NPP), and not to accept a submission of the second tranche request for the HPMP without prior submission of both verification and implementation reports, and to submit, as part of the request for the second tranche, detailed data regarding the refrigeration and air-conditioning manufacturing sector, including the names of all eligible enterprises, their level of HCFC consumption, whether additional capacity had been established prior to the cut-off date, ownership, products manufactured, and information to allow assessment whether the enterprises would be seen as essentially performing installation, assembly or manufacturing of refrigeration and/or air conditioning equipment. Approved the reallocation of funding remaining from the NPP in the amount of US \$307,000, plus agency support costs of US \$23,025 for UNIDO as agreed by the Government in line with the implementation plan provided. The Government was urged to deposit the instruments of ratification of the Montreal and the Beijing Amendments as soon as possible.</i></p>	UNEP		\$56,726	\$7,027	\$63,753	3.92

List of projects and activities approved for funding

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing and monitoring)	UNIDO		\$341,733	\$23,921	\$365,654	4.50
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2020 to reduce HCFC consumption by 40 per cent of the baseline, and noted that the two projects to phase out 180.6 ODP tonnes of HCFC-22 and HCFC-142b in the extruded polystyrene foam sector at the amount of US \$1,718,901 plus support costs of US \$128,917 for UNIDO and US \$220,000 plus support costs of US \$28,600 for the Government of Japan, had already been approved at the 62nd meeting of the Executive Committee and had been included in the stage I of HPMP. Noted that with the aforementioned amounts, the total funding for stage I of the HPMP amounts to US \$13,420,971 plus agency support cot of US \$1,000,094. Noted that the revised starting point for sustained aggregate reduction in HCFC consumption was the established baseline of 1,468.7 ODP tonnes, calculated using actual consumption of 1,362.0 ODP tonnes and 1,575.4 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol. Noted the commitment of the Government to issue a ban on import and export of HCFC-141b either in pure form or as a component of blended chemicals for its use in the production of polyurethane foams or as solvents or any other application by 1 January 2018. Noted the deduction of 180.6 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption for the two projects approved at the 62nd meeting, and UNIDO, UNEP and the Government were requested to deduct a further 522.69 ODP tonnes of HCFCs for the implementation of stage I of the HPMP. Noted that approval of stage I of the HPMP did not preclude the country from submitting, not earlier than 2015, a proposal to achieve a reduction in HCFCs beyond that addressed in stage I of the HPMP. The implementing agencies were requested not to implement any conversion to HFC-245fa in the spray foam sector prior to 1 January 2016, and to actively pursue establishing low GWP alternatives for that sub-sector prior to this date. UNIDO was requested to submit, to the 69th meeting, a verification of CFC, CTC, TCA and halon for the years 2009 and 2010 as well as a complete implementation report on the national ODS phase-out plan (NPP), and not to accept a submission of the second tranche request for the HPMP without prior submission of both verification and implementation reports, and to submit, as part of the request for the second tranche, detailed data regarding the refrigeration and air-conditioning manufacturing sector, including the names of all eligible enterprises, their level of HCFC consumption, whether additional capacity had been established prior to the cut-off date, ownership, products manufactured, and information to allow assessment whether the enterprises would be seen as essentially performing installation, assembly or manufacturing of refrigeration and/or air conditioning equipment. Approved the reallocation of funding remaining from the NPP in the amount of US \$307,000, plus agency support costs of US \$23,025 for UNIDO as agreed by the Government in line with the implementation plan provided. The Government was urged to deposit the instruments of ratification of the Montreal and the Beijing Amendments as soon as possible.</i></p>						

List of projects and activities approved for funding

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Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<p>HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing, custom training and monitoring)</p> <p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2020 to reduce HCFC consumption by 40 per cent of the baseline, and noted that the two projects to phase out 180.6 ODP tonnes of HCFC-22 and HCFC-142b in the extruded polystyrene foam sector at the amount of US \$1,718,901 plus support costs of US \$128,917 for UNIDO and US \$220,000 plus support costs of US \$28,600 for the Government of Japan, had already been approved at the 62nd meeting of the Executive Committee and had been included in the stage I of HPMP. Noted that with the aforementioned amounts, the total funding for stage I of the HPMP amounts to US \$13,420,971 plus agency support cot of US \$1,000,094. Noted that the revised starting point for sustained aggregate reduction in HCFC consumption was the established baseline of 1,468.7 ODP tonnes, calculated using actual consumption of 1,362.0 ODP tonnes and 1,575.4 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol. Noted the commitment of the Government to issue a ban on import and export of HCFC-141b either in pure form or as a component of blended chemicals for its use in the production of polyurethane foams or as solvents or any other application by 1 January 2018. Noted the deduction of 180.6 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption for the two projects approved at the 62nd meeting, and UNIDO, UNEP and the Government were requested to deduct a further 522.69 ODP tonnes of HCFCs for the implementation of stage I of the HPMP. Noted that approval of stage I of the HPMP did not preclude the country from submitting, not earlier than 2015, a proposal to achieve a reduction in HCFCs beyond that addressed in stage I of the HPMP. The implementing agencies were requested not to implement any conversion to HFC-245fa in the spray foam sector prior to 1 January 2016, and to actively pursue establishing low GWP alternatives for that sub-sector prior to this date. UNIDO was requested to submit, to the 69th meeting, a verification of CFC, CTC, TCA and halon for the years 2009 and 2010 as well as a complete implementation report on the national ODS phase-out plan (NPP), and not to accept a submission of the second tranche request for the HPMP without prior submission of both verification and implementation reports, and to submit, as part of the request for the second tranche, detailed data regarding the refrigeration and air-conditioning manufacturing sector, including the names of all eligible enterprises, their level of HCFC consumption, whether additional capacity had been established prior to the cut-off date, ownership, products manufactured, and information to allow assessment whether the enterprises would be seen as essentially performing installation, assembly or manufacturing of refrigeration and/or air conditioning equipment. Approved the reallocation of funding remaining from the NPP in the amount of US \$307,000, plus agency support costs of US \$23,025 for UNIDO as agreed by the Government in line with the implementation plan provided. The Government was urged to deposit the instruments of ratification of the Montreal and the Beijing Amendments as soon as possible.</i></p>	UNEP		\$233,674	\$28,946	\$262,620	4.50
Total for Saudi Arabia		52.1	\$2,460,000	\$187,845	\$2,647,845	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
SOMALIA						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase II: 1/2013-12/2014)	UNEP		\$60,000	\$0	\$60,000	
		Total for Somalia	\$60,000		\$60,000	
SOUTH SUDAN						
SEVERAL						
Ozone unit support						
Institutional strengthening project (start-up cost)	UNEP		\$40,000	\$0	\$40,000	
		Total for South Sudan	\$40,000		\$40,000	

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)		C.E. (US\$/kg)
			Project	Support	
THAILAND					
FOAM					
Sectoral phase out plan					
HCFC phase-out management plan (stage I, first tranche) (foam sector plan)	IBRD	33.4	\$2,343,653	\$164,055	\$2,507,708
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2018 to reduce HCFC consumption by 15 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 927.6 ODP tonnes, calculated using actual consumption of 826.6 ODP tonnes and 1,028.5 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol; plus 15.68 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, resulting in 943.28 ODP tonnes. Noted the commitment of the Government to ban imports of HCFC-141b, both pure and contained in pre-blended polyols, no later than 1 January 2016, except for spray foam applications. Regarding a planned ban of the use of HCFC-22 in manufacturing of air-conditioning equipment and imports of HCFC-22 based air-conditioning equipment with cooling capacity under 50,000 BTU (14.5 kW) by 1 January 2017 for the Thai market and a ban on the sales of HCFC-22 based air-conditioning units in the Thai market by 31 December 2017: noted the commitment of the Government to enact relevant legislation or regulation; and would not allow submission of a second or later tranche for stage II of the HPMP until the Government confirms the enactment of such legislation or regulation as part of the tranche submission. Noted the commitment of the Government to make available for the purpose of monitoring the consumption of 12 air-conditioning manufacturers included in stage I of the HPMP in the years 2015 to 2017, and in addition to any other information to be collected to verify the country's consumption, the records of import quotas issued within each calendar year, and reports listing names of customers of each individual importer which will be filed by the respective importers as part of their requirements under the licensing and import quota system. The annual verification follow-up visits will include those air-conditioning manufacturers which had completed their conversion to ensure that they had ceased production of HCFC-22 air-conditioning units. Noted that the Government had agreed to encourage reduction of emissions of HCFCs in the servicing sector; and the activities of the Government to promote, as part of its HPMP, technologies in the air-conditioning sector with GWP lower than those replaced with a view to encouraging air-conditioning manufacturers in the country choosing to convert to HFC technologies to select such alternatives with a GWP lower than the HCFCs they replaced. The World Bank was requested to ensure, through accordingly formulated contracts, that funds for compressor development foreseen in the technical assistance component of the HPMP would, as a minimum, lead to the production of prototypes and their delivery to refrigeration equipment manufacturers for testing. The World Bank, Japan and the Government were requested to deduct 234.72 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. Noted that the Government of Thailand would be allowed to submit a proposal for stage II of the HPMP after 2015.</i></p>					

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
REFRIGERATION						
Air conditioning						
HCFC phase-out management plan (stage I, first tranche) (residential air-conditioning group project)	IBRD	11.4	\$2,089,100	\$146,237	\$2,235,337	
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2018 to reduce HCFC consumption by 15 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 927.6 ODP tonnes, calculated using actual consumption of 826.6 ODP tonnes and 1,028.5 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol; plus 15.68 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, resulting in 943.28 ODP tonnes. Noted the commitment of the Government to ban imports of HCFC-141b, both pure and contained in pre-blended polyols, no later than 1 January 2016, except for spray foam applications. Regarding a planned ban of the use of HCFC-22 in manufacturing of air-conditioning equipment and imports of HCFC-22 based air-conditioning equipment with cooling capacity under 50,000 BTU (14.5 kW) by 1 January 2017 for the Thai market and a ban on the sales of HCFC-22 based air-conditioning units in the Thai market by 31 December 2017: noted the commitment of the Government to enact relevant legislation or regulation; and would not allow submission of a second or later tranche for stage II of the HPMP until the Government confirms the enactment of such legislation or regulation as part of the tranche submission. Noted the commitment of the Government to make available for the purpose of monitoring the consumption of 12 air-conditioning manufacturers included in stage I of the HPMP in the years 2015 to 2017, and in addition to any other information to be collected to verify the country's consumption, the records of import quotas issued within each calendar year, and reports listing names of customers of each individual importer which will be filed by the respective importers as part of their requirements under the licensing and import quota system. The annual verification follow-up visits will include those air-conditioning manufacturers which had completed their conversion to ensure that they had ceased production of HCFC-22 air-conditioning units. Noted that the Government had agreed to encourage reduction of emissions of HCFCs in the servicing sector; and the activities of the Government to promote, as part of its HPMP, technologies in the air-conditioning sector with GWP lower than those replaced with a view to encouraging air-conditioning manufacturers in the country choosing to convert to HFC technologies to select such alternatives with a GWP lower than the HCFCs they replaced. The World Bank was requested to ensure, through accordingly formulated contracts, that funds for compressor development foreseen in the technical assistance component of the HPMP would, as a minimum, lead to the production of prototypes and their delivery to refrigeration equipment manufacturers for testing. The World Bank, Japan and the Government were requested to deduct 234.72 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. Noted that the Government of Thailand would be allowed to submit a proposal for stage II of the HPMP after 2015.</i></p>						

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, first tranche) (project management unit)	IBRD		\$276,228	\$19,335	\$295,563	
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2018 to reduce HCFC consumption by 15 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 927.6 ODP tonnes, calculated using actual consumption of 826.6 ODP tonnes and 1,028.5 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol; plus 15.68 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, resulting in 943.28 ODP tonnes. Noted the commitment of the Government to ban imports of HCFC-141b, both pure and contained in pre-blended polyols, no later than 1 January 2016, except for spray foam applications. Regarding a planned ban of the use of HCFC-22 in manufacturing of air-conditioning equipment and imports of HCFC-22 based air-conditioning equipment with cooling capacity under 50,000 BTU (14.5 kW) by 1 January 2017 for the Thai market and a ban on the sales of HCFC-22 based air-conditioning units in the Thai market by 31 December 2017: noted the commitment of the Government to enact relevant legislation or regulation; and would not allow submission of a second or later tranche for stage II of the HPMP until the Government confirms the enactment of such legislation or regulation as part of the tranche submission. Noted the commitment of the Government to make available for the purpose of monitoring the consumption of 12 air-conditioning manufacturers included in stage I of the HPMP in the years 2015 to 2017, and in addition to any other information to be collected to verify the country's consumption, the records of import quotas issued within each calendar year, and reports listing names of customers of each individual importer which will be filed by the respective importers as part of their requirements under the licensing and import quota system. The annual verification follow-up visits will include those air-conditioning manufacturers which had completed their conversion to ensure that they had ceased production of HCFC-22 air-conditioning units. Noted that the Government had agreed to encourage reduction of emissions of HCFCs in the servicing sector; and the activities of the Government to promote, as part of its HPMP, technologies in the air-conditioning sector with GWP lower than those replaced with a view to encouraging air-conditioning manufacturers in the country choosing to convert to HFC technologies to select such alternatives with a GWP lower than the HCFCs they replaced. The World Bank was requested to ensure, through accordingly formulated contracts, that funds for compressor development foreseen in the technical assistance component of the HPMP would, as a minimum, lead to the production of prototypes and their delivery to refrigeration equipment manufacturers for testing. The World Bank, Japan and the Government were requested to deduct 234.72 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. Noted that the Government of Thailand would be allowed to submit a proposal for stage II of the HPMP after 2015.</i></p>						

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<p>HCFC phase-out management plan (stage I, first tranche) (technical assistance)</p> <p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2018 to reduce HCFC consumption by 15 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 927.6 ODP tonnes, calculated using actual consumption of 826.6 ODP tonnes and 1,028.5 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol; plus 15.68 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, resulting in 943.28 ODP tonnes. Noted the commitment of the Government to ban imports of HCFC-141b, both pure and contained in pre-blended polyols, no later than 1 January 2016, except for spray foam applications. Regarding a planned ban of the use of HCFC-22 in manufacturing of air-conditioning equipment and imports of HCFC-22 based air-conditioning equipment with cooling capacity under 50,000 BTU (14.5 kW) by 1 January 2017 for the Thai market and a ban on the sales of HCFC-22 based air-conditioning units in the Thai market by 31 December 2017: noted the commitment of the Government to enact relevant legislation or regulation; and would not allow submission of a second or later tranche for stage II of the HPMP until the Government confirms the enactment of such legislation or regulation as part of the tranche submission. Noted the commitment of the Government to make available for the purpose of monitoring the consumption of 12 air-conditioning manufacturers included in stage I of the HPMP in the years 2015 to 2017, and in addition to any other information to be collected to verify the country's consumption, the records of import quotas issued within each calendar year, and reports listing names of customers of each individual importer which will be filed by the respective importers as part of their requirements under the licensing and import quota system. The annual verification follow-up visits will include those air-conditioning manufacturers which had completed their conversion to ensure that they had ceased production of HCFC-22 air-conditioning units. Noted that the Government had agreed to encourage reduction of emissions of HCFCs in the servicing sector; and the activities of the Government to promote, as part of its HPMP, technologies in the air-conditioning sector with GWP lower than those replaced with a view to encouraging air-conditioning manufacturers in the country choosing to convert to HFC technologies to select such alternatives with a GWP lower than the HCFCs they replaced. The World Bank was requested to ensure, through accordingly formulated contracts, that funds for compressor development foreseen in the technical assistance component of the HPMP would, as a minimum, lead to the production of prototypes and their delivery to refrigeration equipment manufacturers for testing. The World Bank, Japan and the Government were requested to deduct 234.72 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. Noted that the Government of Thailand would be allowed to submit a proposal for stage II of the HPMP after 2015.</i></p>	IBRD	1.3	\$108,185	\$7,575	\$115,760	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<p>HCFC phase-out management plan (stage I, first tranche) (technical assistance)</p> <p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2018 to reduce HCFC consumption by 15 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 927.6 ODP tonnes, calculated using actual consumption of 826.6 ODP tonnes and 1,028.5 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol; plus 15.68 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, resulting in 943.28 ODP tonnes. Noted the commitment of the Government to ban imports of HCFC-141b, both pure and contained in pre-blended polyols, no later than 1 January 2016, except for spray foam applications. Regarding a planned ban of the use of HCFC-22 in manufacturing of air-conditioning equipment and imports of HCFC-22 based air-conditioning equipment with cooling capacity under 50,000 BTU (14.5 kW) by 1 January 2017 for the Thai market and a ban on the sales of HCFC-22 based air-conditioning units in the Thai market by 31 December 2017: noted the commitment of the Government to enact relevant legislation or regulation; and would not allow submission of a second or later tranche for stage II of the HPMP until the Government confirms the enactment of such legislation or regulation as part of the tranche submission. Noted the commitment of the Government to make available for the purpose of monitoring the consumption of 12 air-conditioning manufacturers included in stage I of the HPMP in the years 2015 to 2017, and in addition to any other information to be collected to verify the country's consumption, the records of import quotas issued within each calendar year, and reports listing names of customers of each individual importer which will be filed by the respective importers as part of their requirements under the licensing and import quota system. The annual verification follow-up visits will include those air-conditioning manufacturers which had completed their conversion to ensure that they had ceased production of HCFC-22 air-conditioning units. Noted that the Government had agreed to encourage reduction of emissions of HCFCs in the servicing sector; and the activities of the Government to promote, as part of its HPMP, technologies in the air-conditioning sector with GWP lower than those replaced with a view to encouraging air-conditioning manufacturers in the country choosing to convert to HFC technologies to select such alternatives with a GWP lower than the HCFCs they replaced. The World Bank was requested to ensure, through accordingly formulated contracts, that funds for compressor development foreseen in the technical assistance component of the HPMP would, as a minimum, lead to the production of prototypes and their delivery to refrigeration equipment manufacturers for testing. The World Bank, Japan and the Government were requested to deduct 234.72 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. Noted that the Government of Thailand would be allowed to submit a proposal for stage II of the HPMP after 2015.</i></p>	Japan	4.2	\$302,965	\$39,385	\$342,350	
SEVERAL						
Ozone unit support						
Extension of institutional strengthening project (phase VII: 1/2013-12/2014)	IBRD		\$346,668	\$24,267	\$370,935	
Total for Thailand		50.3	\$5,466,799	\$400,854	\$5,867,653	

List of projects and activities approved for fundingUNEP/OzL.Pro/ExCom/68/53
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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
TOGO						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase VII: 1/2013-12/2014)	UNEP		\$60,666	\$0	\$60,666	
	Total for Togo		\$60,666		\$60,666	
TRINIDAD AND TOBAGO						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase VII: 1/2013-12/2014)	UNDP		\$60,000	\$4,200	\$64,200	
	Total for Trinidad and Tobago		\$60,000	\$4,200	\$64,200	

List of projects and activities approved for funding

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	

TURKEY

FOAM

Technical assistance/support

HCFC phase-out management plan (stage I, first tranche) (technical assistance for small and medium enterprises in the foam sector)	UNIDO	16.3	\$149,280	\$10,450	\$159,730	
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Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2017 to reduce HCFC consumption by 86.4 per cent of the established baseline, and noted that stage I of the HPMP also covers US \$7,713,490, plus agency support costs of US \$578,512 for UNIDO for an umbrella project for the phase-out of 293.7 ODP tonnes of HCFCs used for the production of polyurethane (PU) rigid foam and extruded polystyrene (XPS) boardstock foam, approved at the 62nd meeting. Noted that with the aforementioned amounts, the total funding for stage I of the HPMP amounted to US \$14,223,540, plus agency support costs of US \$1,040,424. Noted the revised starting point for sustained aggregate reduction in HCFC consumption calculated on the basis of the consumption of 609.9 ODP tonnes reported for 2009 under Article 7 of the Montreal Protocol, which were the latest data available when the umbrella project for the phase-out of HCFCs in the PU and XPS foam sub-sectors had been approved at the 62nd meeting, plus 31.53 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, resulting in 641.43 ODP tonnes. Noted the deduction of 293.7 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption for an umbrella project approved at the 62nd meeting, and UNIDP, UNEP, and the Government were requested to deduct a further 214.17 ODP tonnes of HCFCs for the implementation of stage I of the HPMP. Noted that an amount of HCFCs equivalent to 42.9 per cent of the HCFC baseline plus 31.53 ODP tonnes of HCFC-141b contained in imported pre-blended polyols would be phased out without any assistance from the Multilateral Fund. Noted that the Government had committed to banning the import of: HCFC-141b in bulk or contained in pre-blended polyols by 1 January 2013; HCFC-22 used for manufacturing refrigeration and air-conditioning systems sold in the local market from 1 January 2015; and HCFC-22 for all other uses, including refrigeration servicing, from 1 January 2025; and that approval of stage I of the HPMP did not preclude Turkey from submitting, not earlier than 2017, a proposal to achieve a reduction in HCFCs beyond that addressed in stage I of the HPMP.

List of projects and activities approved for funding

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing and monitoring)	UNIDO		\$658,470	\$46,093	\$704,563	
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2017 to reduce HCFC consumption by 86.4 per cent of the established baseline, and noted that stage I of the HPMP also covers US \$7,713,490, plus agency support costs of US \$578,512 for UNIDO for an umbrella project for the phase-out of 293.7 ODP tonnes of HCFCs used for the production of polyurethane (PU) rigid foam and extruded polystyrene (XPS) boardstock foam, approved at the 62nd meeting. Noted that with the aforementioned amounts, the total funding for stage I of the HPMP amounted to US \$14,223,540, plus agency support costs of US \$1,040,424. Noted the revised starting point for sustained aggregate reduction in HCFC consumption calculated on the basis of the consumption of 609.9 ODP tonnes reported for 2009 under Article 7 of the Montreal Protocol, which were the latest data available when the umbrella project for the phase-out of HCFCs in the PU and XPS foam sub-sectors had been approved at the 62nd meeting, plus 31.53 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, resulting in 641.43 ODP tonnes. Noted the deduction of 293.7 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption for an umbrella project approved at the 62nd meeting, and UNIDP, UNEP, and the Government were requested to deduct a further 214.17 ODP tonnes of HCFCs for the implementation of stage I of the HPMP. Noted that an amount of HCFCs equivalent to 42.9 per cent of the HCFC baseline plus 31.53 ODP tonnes of HCFC-141b contained in imported pre-blended polyols would be phased out without any assistance from the Multilateral Fund. Noted that the Government had committed to banning the import of: HCFC-141b in bulk or contained in pre-blended polyols by 1 January 2013; HCFC-22 used for manufacturing refrigeration and air-conditioning systems sold in the local market from 1 January 2015; and HCFC-22 for all other uses, including refrigeration servicing, from 1 January 2025; and that approval of stage I of the HPMP did not preclude Turkey from submitting, not earlier than 2017, a proposal to achieve a reduction in HCFCs beyond that addressed in stage I of the HPMP</i></p>						

List of projects and activities approved for funding

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Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<p>HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing)</p> <p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2017 to reduce HCFC consumption by 86.4 per cent of the established baseline, and noted that stage I of the HPMP also covers US \$7,713,490, plus agency support costs of US \$578,512 for UNIDO for an umbrella project for the phase-out of 293.7 ODP tonnes of HCFCs used for the production of polyurethane (PU) rigid foam and extruded polystyrene (XPS) boardstock foam, approved at the 62nd meeting. Noted that with the aforementioned amounts, the total funding for stage I of the HPMP amounted to US \$14,223,540, plus agency support costs of US \$1,040,424. Noted the revised starting point for sustained aggregate reduction in HCFC consumption calculated on the basis of the consumption of 609.9 ODP tonnes reported for 2009 under Article 7 of the Montreal Protocol, which were the latest data available when the umbrella project for the phase-out of HCFCs in the PU and XPS foam sub-sectors had been approved at the 62nd meeting, plus 31.53 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, resulting in 641.43 ODP tonnes. Noted the deduction of 293.7 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption for an umbrella project approved at the 62nd meeting, and UNIDP, UNEP, and the Government were requested to deduct a further 214.17 ODP tonnes of HCFCs for the implementation of stage I of the HPMP. Noted that an amount of HCFCs equivalent to 42.9 per cent of the HCFC baseline plus 31.53 ODP tonnes of HCFC-141b contained in imported pre-blended polyols would be phased out without any assistance from the Multilateral Fund. Noted that the Government had committed to banning the import of: HCFC-141b in bulk or contained in pre-blended polyols by 1 January 2013; HCFC-22 used for manufacturing refrigeration and air-conditioning systems sold in the local market from 1 January 2015; and HCFC-22 for all other uses, including refrigeration servicing, from 1 January 2025; and that approval of stage I of the HPMP did not preclude Turkey from submitting, not earlier than 2017, a proposal to achieve a reduction in HCFCs beyond that addressed in stage I of the HPMP.</i></p>	UNEP	11.0	\$103,450	\$13,449	\$116,899	4.50
Total for Turkey		27.3	\$911,200	\$69,992	\$981,192	

UGANDA

PHASE-OUT PLAN

HCFC phase out plan

<p>HCFC phase-out management plan (stage I, first tranche)</p> <p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2020 to reduce HCFC consumption by 35 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 0.20 ODP tonnes, calculated using actual consumption of 0 ODP tonne and 0.3 ODP tonnes reported for 2009 and 2010 respectively under Article 7 of the Montreal Protocol. UNEP, UNIDO and the Government were requested to deduct 0.07 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption.</i></p>	UNIDO		\$40,000	\$3,600	\$43,600	
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List of projects and activities approved for funding

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Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
HCFC phase-out management plan (stage I, first tranche) <i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2020 to reduce HCFC consumption by 35 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 0.20 ODP tonnes, calculated using actual consumption of 0 ODP tonne and 0.3 ODP tonnes reported for 2009 and 2010 respectively under Article 7 of the Montreal Protocol. UNEP, UNIDO and the Government were requested to deduct 0.07 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption.</i>	UNEP		\$40,500	\$5,265	\$45,765	
	Total for Uganda		\$80,500	\$8,865	\$89,365	
URUGUAY						
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, second tranche)	UNDP		\$100,000	\$7,500	\$107,500	
	Total for Uruguay		\$100,000	\$7,500	\$107,500	
VENEZUELA						
SEVERAL						
Ozone unit support						
Renewal of institutional strengthening project (phase XI: 1/2013-12/2014)	UNDP		\$285,480	\$19,984	\$305,464	
	Total for Venezuela		\$285,480	\$19,984	\$305,464	
VIETNAM						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase IX: 7/2013-6/2015)	UNEP		\$118,976	\$0	\$118,976	
	Total for Vietnam		\$118,976		\$118,976	
YEMEN						
FUMIGANT						
Methyl bromide						
Terminal phase-out of methyl bromide (third tranche) <i>The Government of Germany was requested to submit the project completion report to the Executive Committee soon after completion of the 2013-2014 annual implementation programme.</i>	Germany	20.0	\$200,000	\$25,325	\$225,325	

List of projects and activities approved for funding

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, first tranche)	UNIDO		\$410,000	\$28,700	\$438,700	
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2015 to reduce HCFC consumption by 15 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 158.2 ODP tonnes, calculated using consumption of 157.8 ODP tonnes and 158.6 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, plus 17.55 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, resulting in 175.75 ODP tonnes. UNEP, UNIDO and the Government were requested to deduct 63.28 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. Approved the reallocation of funding remaining from the CFC national phase-out plan of US \$140,000, plus agency support costs of US \$18,200 for UNEP, as agreed by the Government in line with the implementation plan provided.</i></p>						
HCFC phase-out management plan (stage I, first tranche)	UNEP	11.6	\$215,000	\$27,950	\$242,950	
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2012 to 2015 to reduce HCFC consumption by 15 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 158.2 ODP tonnes, calculated using consumption of 157.8 ODP tonnes and 158.6 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, plus 17.55 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, resulting in 175.75 ODP tonnes. UNEP, UNIDO and the Government were requested to deduct 63.28 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption. Approved the reallocation of funding remaining from the CFC national phase-out plan of US \$140,000, plus agency support costs of US \$18,200 for UNEP, as agreed by the Government in line with the implementation plan provided.</i></p>						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase VII: 1/2013-12/2014)	UNEP		\$169,999	\$0	\$169,999	
Total for Yemen		31.6	\$994,999	\$81,975	\$1,076,974	

List of projects and activities approved for funding

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
REGION: AFR						
DESTRUCTION						
Technical assistance/support						
Strategy for disposal and destruction of ODS for five low-volume-consuming Central African countries (Burundi, Cameroon, Central African Republic, Congo and Guinea)	France		\$80,000	\$10,400	\$90,400	
<i>Approved in line with decision 67/38 and on the understanding that: the technical assistance should focus on the following activities: data collection on non-reusable and unwanted ODS amounts; verification of the existing legal framework for the sound disposal of ODS stockpiles; awareness-raising and dissemination; and development of a strategy. UNIDO and the Government of France were encouraged to ensure that the final report and strategy included the following information: the methodology adopted for the collection and verification of ODS waste data, taking into account challenges faced and lessons learned; an analysis of the existing situation in the participating countries with regard to their capacity to recover, recycle and reclaim ODS taking into account projects for CFC phase-out already approved; synergies with other conventions dealing with chemicals (Stockholm Convention on Persistent Organic Pollutants). The strategy would be developed in such a way that it could be extended to all countries in the African region, as well as other LVC countries; and no other funding would be made available for new activities related to ODS disposal and destruction in the absence of a new relevant decision of the Meeting of the Parties.</i>						
Total for Region: AFR			\$80,000	\$10,400	\$90,400	
GLOBAL						
SEVERAL						
Agency programme						
Core unit budget (2013)	IBRD		\$0	\$1,725,000	\$1,725,000	
Core unit budget (2013)	UNIDO		\$0	\$1,998,453	\$1,998,453	
Compliance Assistance Programme: 2013 budget	UNEP		\$9,158,000	\$732,640	\$9,890,640	
<i>UNEP was requested in future submissions of the CAP budget to continue providing detailed information on the activities for which the global funds will be used; extending the prioritisation of funding between CAP budget lines so as to accommodate changing priorities and provide details on the reallocations made for its budget following decisions 47/24 and 50/26; and reporting on the current staff post levels and informing the Executive Committee of any changes therein, particularly in respect of any increased budget allocations.</i>						
Core unit budget (2013)	UNDP		\$0	\$1,998,453	\$1,998,453	
Total for Global			\$9,158,000	\$6,454,546	\$15,612,546	
GRAND TOTAL		878.0	\$63,979,501	\$10,468,197	\$74,447,698	

Summary

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Sector	Tonnes (ODP)	Funds approved (US\$)		
		Project	Support	Total
BILATERAL COOPERATION				
Fumigant	20.0	\$200,000	\$25,325	\$225,325
Phase-out plan	4.2	\$3,389,925	\$372,402	\$3,762,327
Destruction		\$80,000	\$10,400	\$90,400
TOTAL:	24.2	\$3,669,925	\$408,127	\$4,078,052
INVESTMENT PROJECT				
Foam	101.8	\$4,377,526	\$309,483	\$4,687,009
Fumigant	217.7	\$943,047	\$70,729	\$1,013,776
Refrigeration	15.1	\$2,476,955	\$173,387	\$2,650,342
Phase-out plan	519.2	\$38,945,453	\$2,895,402	\$41,840,855
TOTAL:	853.8	\$46,742,981	\$3,449,001	\$50,191,982
WORK PROGRAMME AMENDMENT				
Several		\$13,566,595	\$6,611,069	\$20,177,664
TOTAL:		\$13,566,595	\$6,611,069	\$20,177,664

Summary by Parties and Implementing Agencies

France		\$80,000	\$10,400	\$90,400
Germany	20.0	\$3,206,960	\$347,942	\$3,554,902
Japan	4.2	\$382,965	\$49,785	\$432,750
IBRD	149.9	\$10,831,167	\$2,483,182	\$13,314,349
UNDP	187.6	\$19,590,564	\$3,427,991	\$23,018,555
UNEP	23.3	\$13,227,057	\$966,588	\$14,193,645
UNIDO	493.0	\$16,660,788	\$3,182,309	\$19,843,097
GRAND TOTAL	878.0	\$63,979,501	\$10,468,197	\$74,447,698

**ADJUSTMENTS ARISING FROM THE 68TH MEETING OF THE EXECUTIVE COMMITTEE FOR BALANCES
ON PROJECTS AND ACTIVITIES**

Agency	Project Costs (US\$)	Support Costs (US\$)	Total (US\$)
France (per decision 68/2(a)(vi))*	130,671	16,198	146,869
Italy (per decision 68/2(a)(vi))*	1,254	163	1,417
UNDP (per decision 68/2(a)(ii)&(iii))	212,468	18,328	230,796
UNEP (per decision 68/2(a)(iii))	0	810	810
UNIDO (per decision 68/2(a)(ii)&(iii))	97,184	7,288	104,472
World Bank (per decision 68/2(a)(iii))	0	390,685	390,685
Total	441,577	433,472	875,049

*Cash transfer

ADJUSTMENTS ARISING FROM THE 68TH MEETING OF THE EXECUTIVE COMMITTEE FOR

Agency	Project Costs (US\$)	Support Costs (US\$)	Total (US\$)
Canada (per decision 68/2(d)(i)&(ii))	-5,000	-275	-5,275
World Bank (per decisions 68/2(e)(ii))	-705,518	-52,914	-758,432
UNIDO (per decision 68/2(e)(ii))	705,518	52,914	758,432
UNDP (per decision 68/2(d)(i)&(ii))	5,000	375	5,375
UNEP (per decision 68/2(c))	0	57,579	57,579
Total	0	57,679	57,679

**NET ALLOCATIONS TO IMPLEMENTING AGENCIES AND BILATERAL CONTRIBUTIONS BASED ON
DECISIONS OF THE 68TH MEETING OF THE EXECUTIVE COMMITTEE**

Agency	Project Costs (US\$)	Support Costs (US\$)	Total (US\$)
Canada (1)	0	0	0
France (2)	80,000	10,400	90,400
Germany (3)	3,206,960	347,942	3,554,902
Japan (2)	382,965	49,785	432,750
UNDP (4)	18,839,459	3,383,319	22,222,778
UNEP	13,227,057	1,023,357	14,250,414
UNIDO	17,269,122	3,227,935	20,497,057
World Bank (5)	9,690,617	2,141,434	11,832,051
Total	62,696,180	10,184,172	72,880,352

- (1) The amount of US \$5,275 for Canada shall be deducted from Canada's net approval at the 69th meeting.
- (2) Total amount to be assigned to 2012 bilateral contributions.
- (3) US \$2,328,695 to be assigned to 2013-2014 bilateral contributions.
- (4) Including the deduction of US \$570,356 for UNDP that are pending at the 67th Meeting.
- (5) Including the deduction of US \$333,181 for the World Bank that are pending at the 67th Meeting.

Annex X

VIEWS EXPRESSED BY THE EXECUTIVE COMMITTEE ON RENEWAL OF INSTITUTIONAL STRENGTHENING PROJECTS SUBMITTED TO THE 68th MEETING

Antigua and Barbuda

1. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Antigua and Barbuda, and noted with appreciation that the country reported 2010 and 2011 Article 7 data to the Ozone Secretariat as well as 2010 and 2011 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee acknowledges with appreciation that Antigua and Barbuda attained zero CFC consumption target in 2010 under the Montreal Protocol and that comprehensive legislation is already in place. The Executive Committee also noted that the HCFC phase-out management plan has been approved and its implementation has started, therefore is confident that Antigua and Barbuda will sustain the phase-out of CFCs and will meet the Montreal Protocol targets to freeze the consumption of HCFCs by 2013 and reduce them by ten per cent in 2015.

Armenia

2. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Armenia and noted with appreciation that country reported 2011 Article 7 data to the Ozone Secretariat indicating that it is in compliance with the Montreal Protocol control measures. The Executive Committee also noted that within the framework of the Country Programme, Armenia has taken significant steps to control the HCFC consumption in different sectors and that illegal trade will further be pursued in close collaboration with customs, as well as awareness-raising for technicians on recovery and recycling techniques and practices, with a view to use the gained experience in the CFC phase-out programme. The Executive Committee greatly supports the efforts of the Government of Armenia and encourages it to continue its efforts with a specific view to the HCFC consumption freeze target in 2013 and 10 per cent consumption reduction to be achieved in 2015.

Bahrain

3. The Executive Committee reviewed the report presented with the institutional strengthening project renewal and noted with appreciation that Bahrain has reported Article 7 data to the Ozone Secretariat indicating that they succeeded in maintaining their compliance with the total phase-out of CFCs. The Executive Committee expressed its concern about the delay in the ratification of the Beijing amendment to the Montreal Protocol and urged Bahrain to do so urgently in order to avoid difficulties in the import of HCFCs by January 2013. The Executive Committee is also hopeful that, in the next phase, Bahrain will continue with the implementation of its Country Programme and activities related to projects approved for Bahrain with outstanding success in achieving ODS phase-out schedule as per the Montreal Protocol.

Barbados

4. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Barbados and noted with appreciation that the country reported 2010 and 2011 Article 7 data to the Ozone Secretariat as well as 2010 and 2011 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee acknowledged with appreciation that Barbados has attained zero CFC consumption target in 2010 under the Montreal Protocol. The Executive Committee also noted that the HCFC phase-out management plan is under the Cabinet's approval process before submission to the Executive Committee, showing the highest political support at the national level. Barbados also has legislation in place that includes all ODSs. The Executive Committee is therefore

confident that Barbados will sustain the phase-out of CFCs and will meet the targets of the Montreal Protocol to freeze the consumption of HCFCs by 2013 and reduce them by ten per cent in 2015.

Botswana

5. The Executive Committee reviewed the report presented with the institutional strengthening renewal request for Botswana and noted with appreciation the fact that Botswana reported 2011 data to the Ozone Secretariat and that the country was in compliance with the Montreal Protocol phase-out targets. The Executive Committee expressed the expectation that Botswana will continue its efforts in establishing ODS regulations that include import/export licensing system, will continue with the implementation of ODS phase-out activities to sustain its compliance targets, and will submit its HCFC phase-out management plan which will allow the country to meet the Montreal Protocol HCFC targets in 2013 and 2015.

Burkina Faso

6. The Executive Committee reviewed the report presented with the institutional strengthening project renewal for Burkina Faso and noted with appreciation that the country has reported 2011 Article 7 data to the Ozone Secretariat indicating that the country has achieved a total phase out of CFCs and halons. The Executive Committee is therefore hopeful that, in the next two years, Burkina Faso will continue with the implementation of ODS phase-out activities through the implementation of the HCFC phase-out management plan with outstanding success.

Central African Republic

7. The Executive Committee reviewed the report presented with the institutional strengthening project renewal for the Central African Republic and noted with appreciation that the country has reported 2011 Article 7 data to the Ozone Secretariat indicating that the country has achieved total phase out of CFCs and halons. The Executive Committee is therefore hopeful that, in the next two years, the Central African Republic will continue with the implementation of ODS phase-out activities through the implementation of the HCFC phase-out management plan with outstanding success.

China

8. The Executive Committee reviewed the report presented with the institutional strengthening project renewal request for China for phase X and noted with appreciation the fact that China was successfully able to sustain the phase-out of CFCs by 1 January 2010, except for the quantities approved under the essential use process, halons and CTC. The Committee also noted that China is implementing policies and regulations for controlling and monitoring ODS use and has strengthened coordination among various agencies on project implementation. In the next two years, China will strengthen its national management capacity to effectively implement and monitor activities for achieving ODS phase-out targets with focus on complete phase-out of essential uses in CFCs and methyl bromide consumption, and achievement of 2013 and 2015 HCFC phase-out targets. China would continue to introduce policies and regulations targeted at achieving and sustaining ODS phase-out and to create awareness and secure commitment from stakeholders on ODS phase-out activities. The Executive Committee expresses the expectation that in the next two years, China will sustain and build on the progress achieved in its ODS phase-out activities, particularly to achieve compliance with the 2013 and 2015 HCFC control targets.

Cote d'Ivoire

9. The Executive Committee reviewed the information presented with the institutional strengthening renewal request for Côte d'Ivoire and noted with appreciation the fact that the country reported 2011 Article 7 data to the Ozone Secretariat and is in compliance with the CFCs and other ODS reduction measures. The Executive Committee further noted that Côte d'Ivoire has taken some significant steps to phase-out its consumption of ODS in the period covered for its institutional strengthening project. Specifically in its submission, Côte d'Ivoire reports that it has taken important initiatives, namely the implementation of ODS imports controls through a licensing and quota system, training of customs officers and refrigeration technicians. The Executive Committee greatly appreciated the efforts of Côte d'Ivoire to reduce the consumption of ODS. The Executive Committee expressed the expectation that, in the next two years, Côte d'Ivoire will continue the implementation of the licensing and quota system and its HCFC phase-out management plan, and sustain zero CFC consumption and achieve subsequent HCFC phase out targets.

Democratic People's Republic of Korea

10. The Executive Committee reviewed the report presented with the institutional strengthening renewal requests for the Democratic People's Republic of Korea and noted with appreciation that the Democratic People's Republic of Korea has completely phased out the consumption and production of all ODSs except HCFCs, in all sector applications. The Committee also noted that the import/export licensing and quota systems in place and the activities undertaken by the National Ozone Unit in establishing good relationships with the manufacturing and servicing sectors and working closely with government and non-governmental bodies and the public, will contribute to achieving its HCFC phase-out targets. The Executive Committee is hopeful that in the next two years the country will continue to build on the progress made and experience gained in phasing out consumption and production of ODSs, and that it will strengthen its national capacity, improve ODS legislation and its licensing and quota systems to achieve compliance with the 2013 and 2015 control measures for HCFCs.

Dominican Republic

11. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for the Dominican Republic and noted with appreciation that the Dominican Republic reported Article 7 data to the Ozone Secretariat and country programme data to the Multilateral Fund Secretariat for 2010 and 2011, demonstrating that the Party maintained compliance with the Montreal Protocol goals. With the activities planned for the next phase, the Executive Committee also noted that the Dominican Republic has as a full team assigned to the national ozone office supported through the institutional strengthening project and with the continued commitment of the Government to have a very high level government official managing all national Montreal Protocol activities. The Executive Committee expressed the expectation that, in the next two years, the Dominican Republic will sustain the phase-out of CFCs and continue implementation of its HCFC phase-out management plan with outstanding success in order to meet the initial targets to freeze the consumption of HCFCs in 2013 and reduce them by ten per cent in 2015.

Eritrea

12. The Executive Committee reviewed the report presented with the institutional strengthening project renewal and noted with appreciation that Eritrea reported 2011 data to the Ozone Secretariat indicating that the country has sustained the CFC phase out and is in compliance with the phase-out schedule in CFC and halons consumption. The Executive Committee is therefore hopeful that, in the next two years, Eritrea will continue with successful the implementation of its country programme.

Guyana

13. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Guyana and noted with appreciation that the country reported 2011 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee acknowledged with appreciation that Guyana has attained zero CFC consumption target. The Executive Committee also noted that the HCFC phase-out management plan is currently under implementation. The Executive Committee is therefore confident that Guyana will sustain the phase-out of CFCs and continue activities to enable the country to meet the Montreal Protocol targets to freeze the consumption of HCFCs by 2013 and reduce them by ten per cent in 2015.

Honduras

14. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Honduras and noted with appreciation that the country reported 2010 and 2011 Article 7 data to the Ozone Secretariat as well as 2010 and 2011 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee acknowledged with appreciation that Honduras has attained zero CFC consumption target in 2010 under the Montreal Protocol. The Executive Committee also noted that the implementation of its HCFC phase-out management plan has started. Honduras has also a licensing system to include all ODSs. The Executive Committee is therefore confident that Honduras will sustain the phase-out of CFCs and will meet the Montreal Protocol targets to freeze the consumption of HCFCs by 2013 and reduce them by ten per cent in 2015.

Jamaica

15. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Jamaica and noted with appreciation that the country reported 2011 Article 7 data to the Ozone Secretariat and 2011 country programme implementation data to the Multilateral Fund Secretariat, demonstrating that the Party is in compliance with all controlled substances targets. The Executive Committee acknowledged with appreciation that Jamaica continues its commitment to strengthening and enforcing its licensing system in cooperation with the Customs Administration and Ministry of Health. The Executive Committee is therefore confident that Jamaica will maintain zero CFC consumption and will freeze HCFC consumption in 2013 and will phase-out HCFCs afterwards with exceptional success.

Jordan

16. The Executive Committee reviewed the terminal report presented along with the institutional strengthening project renewal request for the Hashemite Kingdom of Jordan and noted with appreciation Jordan's steadfast dedication to the objectives of the Montreal Protocol as seen through its record of compliance and observed through its active participation at the international and regional ozone meetings. The Executive Committee understands the challenging work ahead of Jordan in the next phase of the project to meet phase-out obligations for both HCFC and methyl bromide, but is fully confident that its demonstrated commitment, experience and leadership will permit these goals to be achieved.

Kuwait

17. The Executive Committee reviewed the report presented with the institutional strengthening project renewal request for Kuwait and noted with appreciation that Kuwait reported Article 7 data to the Ozone Secretariat for the year 2011. The Executive Committee also noted that within the framework of the institutional strengthening project, Kuwait has achieved its commitments for 2010 in completely

phasing out the consumption of CFCs. The Executive Committee expects that the on-going regulatory efforts will be further enhanced by the implementation of monitoring system put in place during the third phase as to ensure full compliance and effective control of HCFCs consumption through implementation of its HCFC phase-out management plan.

Kyrgyzstan

18. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for the Kyrgyz Republic and noted with appreciation that the country reported 2011 Article 7 data to the Ozone Secretariat and 2011 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee acknowledged with appreciation that the Kyrgyz Republic has attained zero CFC consumption target under the Montreal Protocol. The Executive Committee also notes that the HPMP has been already approved and its implementation started. The Executive Committee is therefore confident that the Kyrgyz Republic will sustain the phase-out of CFCs and initiate activities both at the project and policy levels to enable the country to meet the Montreal Protocol targets to freeze the consumption of HCFCs by 2013 and reduce them by ten per cent in 2015.

Lebanon

19. The Executive Committee reviewed the report presented with the institutional strengthening project renewal request for Phase VIII for Lebanon and noted with appreciation the continued success of Lebanon's ODS phase-out activities and its achievement of complete phase-out of ODSs, except HCFCs, in all industrial applications. In particular, the National Ozone Unit of Lebanon has worked very closely with industrial sectors, government and non-governmental bodies, the private sector and the public on achieving its ODS phase-out targets and this would help the country in achieving its HCFC phase-out targets. The Executive Committee also notes with appreciation the efforts of Lebanon in building on its stakeholder network and knowledge for implementation of HCFC phase-out projects. The Committee is hopeful that in the next two years the country will continue to build on the progress made and experience gained on achieving its HCFC phase-out targets and that it will strengthen its national capacity, improve ODS legislation and its licensing system to sustain CFC compliance and achieve compliance with the 2013 and 2015 control measures for HCFCs.

Lesotho

20. The Executive Committee reviewed the information presented with the institutional strengthening renewal request for Lesotho and noted with appreciation the fact that Lesotho reported 2011 Article 7 data to the Ozone Secretariat and the country is in compliance with the CFC and other ODS consumption targets. The Executive Committee further noted that Lesotho has taken some significant steps to phase out its consumption of ODS in the period covered for its institutional strengthening project. Specifically in its submission, Lesotho reports that it has taken important initiatives, namely public awareness and information dissemination, training of customs officers and refrigeration technicians. The Executive Committee greatly appreciated the efforts of Lesotho to reduce the consumption of ODSs. The Executive Committee expressed the expectation that, in the next two years, Lesotho will take necessary steps to enforce the newly approved ODS regulations and continue with on-going programmes that will enable the country to sustain and build upon its current levels of reductions in ODS and subsequently sustain zero CFC consumption and meet HCFC compliance targets.

Mexico

21. The Executive Committee reviewed the report presented with the institutional strengthening project renewal request for Mexico and noted with appreciation that the country reported 2011 Article 7 data to the Ozone Secretariat indicating that it is in compliance with the Montreal Protocol control

measures. The Executive Committee also noted that within the framework of the institutional strengthening project, Mexico has achieved in 2011 - 2012 a remarkable phase out of its ODS consumption vis-à-vis 2005. The Executive Committee noted with appreciation that the on-going regulatory efforts are being enhanced by the implementation of ODS monitoring system already put in place. The Executive Committee greatly supports the efforts of Mexico to meet the initial targets to freeze the consumption of HCFCs in 2013 and reduce them by 10 per cent in 2015.

Nigeria

22. The Executive Committee reviewed the report presented with the institutional strengthening renewal request for Nigeria and noted with appreciation the achievements made by Nigeria's National Ozone Office during the present phase, which include the implementation of the HCFC phase-out management plan. The Executive committee acknowledged with appreciation that Nigeria reported 2011 Article 7 data to the Ozone Secretariat showing that the total phase-out of CFCs has been maintained in conformity with the Montreal Protocol, and that the country is in compliance with the Montreal Protocol control measures. The Executive Committee commended the Government of Nigeria for its achievements and expressed the expectation that, in the next two years, Nigeria will continue to sustain, particularly through the innovative components of its HCFC phase-out management plan, its ODS phase-out targets including achievement of the HCFC targets in 2013 and 2015.

Pakistan

23. The Executive Committee reviewed the report presented with the institutional strengthening project renewal request for Pakistan for Phase VII, and noted with appreciation the fact that Pakistan achieved phase-out targets for CFCs, halons, CTC, methyl chloroform and methyl bromide, except for CFCs approved under the essential use process by the Meeting of Parties to the Montreal Protocol. The Executive Committee greatly appreciates the efforts of Pakistan to sustain complete phase-out of the majority of ODSs, to stop using CFCs in MDI manufacturing from 1 January 2013 and systematically move forward in achieving HCFC phase-out. The Executive Committee is hopeful that in the next two years, Pakistan will continue with the implementation of its country programme, national phase-out activities relating to HCFC phase-out and remaining activities relating to CFC MDI phase-out, with outstanding success to achieve sustained ODS phase-out in line with its commitment under the Montreal Protocol. The Executive Committee is also hopeful that in Stage I of its HCFC phase-out activities, Pakistan will continue to build upon its experience of CFC phase-out and successfully achieve the HCFC freeze at baseline in 2013 and the 10 per cent reduction in 2015.

Peru

24. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Peru and noted with appreciation that this country reported Article 7 data to the Ozone Secretariat and country programme implementation data to the Multilateral Fund Secretariat for 2010 and 2011, demonstrating that the Party maintained compliance with the Montreal Protocol goals. The Executive Committee is therefore confident that Peru will sustain the phase-out of CFCs and initiate activities both at the project and policy levels to enable the country to meet the Montreal Protocol targets to freeze the consumption of HCFCs by 2013 and reduce them by ten per cent in 2015.

Saint Kitts and Nevis

25. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Saint Kitts and Nevis, and noted with appreciation that the country reported 2010 and 2011 Article 7 data to the Ozone Secretariat as well as 2010 and 2011 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee acknowledged with

appreciation that Saint Kitts and Nevis has attained zero CFC consumption target in 2010 under the Montreal Protocol, and that comprehensive legislation is already in place. The Executive Committee also noted that the implementation of the HCFC phase-out management plan has started; therefore is confident that Saint Kitts and Nevis will sustain the phase-out of CFCs and will meet the Montreal Protocol targets to freeze the consumption of HCFCs by 2013 and reduce them by ten reduction in 2015.

Saint Lucia

26. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Saint Lucia and noted with appreciation that the country reported 2010 and 2011 Article 7 data to the Ozone Secretariat as well as 2010 and 2011 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee acknowledged with appreciation that Saint Lucia has attained zero CFC consumption target in 2010 under the Montreal Protocol, and that comprehensive legislation is already in place. The Executive Committee also noted that the implementation of the HCFC phase-out management plan has started; therefore is confident that Saint Lucia will sustain the phase-out of CFCs and will meet the Montreal Protocol targets to freeze the consumption of HCFCs by 2013 and reduce them by ten reduction in 2015.

Somalia

27. The Executive Committee reviewed the information presented with the institutional strengthening renewal request for Somalia and noted with appreciation the fact that Somalia reported 2011 Article 7 data to the Ozone Secretariat and is in compliance with the CFC and other phase-out targets. The Executive Committee further noted that Somalia has taken some significant steps to phase out its consumption of ODS in the period covered for its institutional strengthening project. Specifically in its submission, Somalia reports that it has taken important initiatives, namely the implementation of ODS imports controls through a licensing and quota system, training of customs officers and refrigeration technicians. The Executive Committee greatly appreciates the efforts of Somalia to reduce the consumption of ODSs. The Executive Committee expressed the expectation that, in the next two years, Somalia will continue the implementation of the licensing and quota system and of its HCFC phase-out management plan, and sustain zero CFC consumption and achieve subsequent HCFC phase out targets.

Thailand

28. The Executive Committee reviewed the terminal report presented along with the institutional strengthening (IS) project renewal request for Thailand and noted with appreciation the achievements made by Thailand's National Ozone Unit (NOU) during implementation of the sixth phase of its IS project. The Executive Committee congratulated the Government of Thailand for these achievements noting in particular the work done for the HPMP preparation. The Executive Committee also noted that Thailand has a well-established and reliable ODS licensing system and that the country is in compliance with the reporting and consumption obligations under the Montreal Protocol. The Executive Committee expressed its expectations that, in the next two years, Thailand will continue to implement its planned activities particularly the enforcement of the import quota system and sustain its ODS phase-out targets including achievement of the HCFC targets in 2013 and 2015.

Togo

29. The Executive Committee reviewed the report presented with the institutional strengthening project renewal for Togo and noted with appreciation that the country reported 2011 Article 7 data to the Ozone Secretariat indicating that the country has achieved total phase out of CFCs and Halon. The Executive Committee is therefore hopeful that, in the next two years, Togo will continue with the

implementation of ODS phase out activities through implementation of its HCFC phase-out management plan with outstanding success.

Trinidad and Tobago

30. The Executive Committee reviewed the report presented with the institutional strengthening renewal project for Trinidad and Tobago and noted with appreciation that the country is taking the necessary steps to sustain the CFC phase-out achieved and to meet the targets of the Montreal Protocol related to the HCFCs. The Executive Committee commends the Government of Trinidad and Tobago for strengthening the controlling and quota systems used by customs officers with the objective of identifying the gaps and upgrading the present system to ensure the country's ability to deter illegal ODS trade and the compliance with Stage I of the HPMP. It has been noted that the Government has started to manage the country's import and export of HCFCs to ensure the phase-out of the HCFC consumption. The Executive Committee is also pleased by the increase in institutional capacity of the National Ozone Unit and public awareness related to the HCFC phase-out challenges and the multi-level coordination with both public and private stakeholders with respect to best practices and the promotion of low-global warming potential alternatives. The Committee is hopeful that Trinidad and Tobago will continue the implementation of its planned activities with outstanding progress, and will sustain and build upon its current levels of reductions in ODS.

Venezuela (Bolivarian Republic of)

31. The Executive Committee reviewed the report presented with the institutional strengthening renewal project for the Bolivarian Republic of Venezuela and noted with appreciation that the country is taking the necessary steps to sustain the CFC phase-out achieved and to meet the targets of the Montreal Protocol related to the HCFCs. The Executive Committee commends the Government of the Bolivarian Republic of Venezuela for strengthening the controlling and quota systems used by customs officers with the objective of identifying the gaps and upgrading the present system to ensure the country's ability to deter illegal ODS trade. It has been noted that the Government has started to manage the country's import and export of HCFCs to ensure the phase-out of the HCFC consumption. The Executive Committee highlights the work made by the Government of the Bolivarian Republic of Venezuela in the search of low global warming potential alternatives to HCFCs for local and regional markets. The Executive Committee is also pleased by the increase in institutional capacity of the National Ozone Unit and public awareness related to the HCFC phase-out challenges. The Committee is hopeful that the Bolivarian Republic of Venezuela will continue the implementation of its planned activities with outstanding progress, and will sustain and build upon its current levels of reductions in ODS.

Viet Nam

32. The Executive Committee reviewed the report of the institutional strengthening project renewal request for Viet Nam and noted with appreciation that Viet Nam reported 2011 Article 7 data to the Ozone Secretariat indicating that Viet Nam is on track to meet the compliance targets. The Executive Committee is therefore optimistic that, in the next two years, Viet Nam will continue the implementation of its HCFC phase-out management plan with outstanding success in order to meet the initial targets to freeze and reduce the consumption of HCFCs in 2013 and 2015, respectively.

Yemen

33. The Executive Committee reviewed the report presented with the institutional strengthening project renewal and noted with appreciation that Yemen reported Article 7 data to the Ozone Secretariat indicating that the country is maintaining compliance with the zero consumption of CFCs. The Executive Committee is therefore hopeful that, in the next year, Yemen will continue with the implementation of its

Country Programme and start implementing its HCFCs phase-out management plan with success in achieving freeze consumption of HCFCs by 2013 and a ten per cent reduction by 2015.

Annex XI

2013 COMPLIANCE ASSISTANCE PROGRAMME BUDGET

	Bud Ln	Component	Location			CAP 2012 Approved ExCom 65	CAP 2013 Approved ExCom 68
10	PERSONNEL COMPONENT						
	<i>1100 Personnel</i>						
		Title		Grade	w/m		
	1101	Head of Branch	Paris	D1	10	205,000	211,000
	1102	Network & Policy Manager	Paris	P5	12	223,000	230,000
	1103	Capacity Building Manager - Compliance	Paris	P4/P5	12	208,000	214,000
	1104	Information Manager	Paris	P4	12	195,000	201,000
	1105	Monitoring & Administration Officer	Paris	P4	0	0	0
	1107	Programme Officer - HCFC	Paris	P3	12	164,000	169,000
	1108	Programme Officer - ECA / Paris	Paris / ECA	P3	12	164,000	169,000
	1109	Programme Officer - Information Technology	Paris	P4	12	180,000	185,000
	1110	ROA Programme Officer - HPMP	Nairobi	P4	12	180,000	185,000
	1111	ROA Programme Officer - Policy and Enforcement	Nairobi	P4	12	180,000	185,000
	1112	ROA Programme Officer	Nairobi	P3	12	148,000	152,000
	1113	ROA Programme Officer - Methyl Bromide	Nairobi	P3	12	148,000	152,000
	1114	ROLAC Regional Network Coordinator	Panama	P4	12	166,000	171,000
	1115	ROLAC Caribbean Network Coordinator - PEO	Panama	P4	12	166,000	171,000
	1116	ROLAC Programme Officer - HPMP	Panama	P3	12	142,000	146,000
	1117	ROLAC Programme Officer - HPMP / Methyl Bromide	Panama	P3	12	142,000	146,000
	1118	ROAP Senior Regional Network Coordinator	Bangkok	P5	12	188,000	194,000
	1119	ROAP Programme Officer - Policy and Enforcement	Bangkok	P4	12	165,000	170,000
	1120	ROAP PIC Network Coordinator - HPMP	Bangkok	P4	12	165,000	170,000
	1121	ROAP Programme Officer - Technology Officer	Bangkok	P3	12	134,000	138,000
	1122	ROWA Regional Network Coordinator	Bahrain	P4	12	190,000	196,000
	1123	ROWA Programme Officer - HPMP	Bahrain	P4	12	190,000	196,000
	1124	ROWA Programme Officer - Policy and Enforcement	Bahrain	P3	12	160,000	165,000
	1125	ROA Senior Regional Network Coordinator	Nairobi	P5	12	208,000	214,000
	1126	ECA Regional Network Coordinator	ECA	P4	12	195,000	201,000
	1127	ROAP SEA Network Coordinator	Bangkok	P4	12	164,000	170,000
	1199	<i>Sub-total</i>				<i>4,370,000</i>	<i>4,501,000</i>
	<i>1300 Programme Assistance</i>						
		Title		Grade	w/m		
	1301	Secretary Chief	Paris	G6	12	102,000	105,000
	1302	Assistant Network Manager	Paris	G6	12	102,000	105,000
	1303	Assistant Clearinghouse	Paris	G6	12	102,000	105,000
	1304	Assistant Monitoring & Administration	Paris	G6	0	0	0
	1305	Assistant ECA / Paris	Paris	G5	12	90,000	93,000
	1306	Assistant Programme	Paris	G5	12	90,000	93,000
	1307	Assistant Data & Documentation	Paris	G5	12	90,000	93,000
	1309	ROA RNC Assistant	Nairobi	G5	12	31,000	32,000
	1310	ROA Office Assistant	Nairobi	G6	12	38,000	39,000
	1311	ROLAC RNC Assistant	Panama	G6	12	39,000	40,000
	1312	ROLAC PEO Assistant	Panama	G5	12	32,000	33,000
	1313	ROAP-SA RNC Assistant	Bangkok	G5	12	47,000	48,000
	1314	ROAP Office Assistant	Bangkok	G6	12	59,000	61,000
	1315	ROWA RNC Assistant	Bahrain	G6	12	55,000	57,000
	1316	ROWA Office Assistant	Bahrain	G6	12	55,000	57,000
	1317	Temporary assistance CAP				70,000	72,000
	1318	RNC ECA Assistant	ECA	G5	12	90,000	93,000
	1319	ROAP SEA Project Assistant	Bangkok	G4	12	42,000	43,000
	1320	ROLAC Office Assistant	Panama	G3	12	20,000	21,000
	1321	ROA Regional Assistant - HPMP	Nairobi	G6	12	34,000	35,000
	1322	ROLAC Regional Assistant - HPMP	Panama	G6	12	39,000	40,000
	1323	ROAP Regional Assistant - HPMP	Bangkok	G6	12	45,000	46,000
	1324	ROWA Regional Assistant - HPMP	Bahrain	G6	6	27,000	28,000
	1399	<i>Sub-total</i>				<i>1,299,000</i>	<i>1,339,000</i>

	Bud Ln	Component	Location		CAP 2012 Approved ExCom 65	CAP 2013 Approved ExCom 68	
1600		<i>Travel on official business (UNEP staff)</i>					
	1601	Paris staff travel	Paris		205,000	205,000	
	1602	ROA staff travel	Nairobi		143,000	143,000	
	1603	ROLAC staff travel	Panama		96,000	96,000	
	1604	ROAP staff travel	Bangkok		80,000	80,000	
	1605	ROWA staff travel	Bahrain		60,000	60,000	
	1606	ECA staff travel	ECA		26,000	26,000	
	1699	<i>Sub-total</i>			610,000	610,000	
	1999	COMPONENT TOTAL			6,279,000	6,450,000	
20	SUB CONTRACT COMPONENT						
	2200	<i>Sub-contracts (MOUs/LAs for supporting organizations)</i>					
	2202	ROA Sub-contracts with supporting organizations	Nairobi		28,000	28,000	
	2203	ROLAC Sub-contracts with supporting organizations	Panama		15,000	15,000	
	2204	ROAP Sub-contracts with supporting organizations	Bangkok		20,000	20,000	
	2205	ROWA Sub-contracts with supporting organizations	Bahrain		50,000	50,000	
	2206	ECA Sub-contracts with supporting organizations	ECA		50,000	35,000	
	2212	ROA Regional awareness raising	Nairobi		39,000	39,000	
	2213	ROLAC Regional awareness raising - South America	Panama		25,000	25,000	
	2214	ROAP Regional awareness raising	Bangkok		44,000	44,000	
	2215	ROWA Regional awareness raising	Bahrain		20,000	20,000	
	2216	ECA Regional awareness raising	ECA		15,000	10,000	
	2219	ROLAC Regional awareness raising - Caribbean	Panama		25,000	25,000	
	2220	ROLAC Regional awareness raising - Mexico & Central	Panama		25,000	25,000	
	2299	<i>Sub-total</i>			356,000	336,000	
	2300	<i>Sub-contracts (for commercial purposes)</i>					
	2301	Technical and policy information materials	Paris		70,000	70,000	
	2302	OzonAction Newsletter / Thematic Special Issues	Paris		50,000	50,000	
	2303	Illustration/graphics/layout design	Paris		20,000	20,000	
	2304	Exhibition/outreach	Paris		22,000	22,000	
	2305	Targetted information materials on HCFCs	Paris		100,000	100,000	
	2306	Regional Capacity Building and Tech support on HCFC	Regional		80,000	80,000	
	2399	<i>Sub-total</i>			342,000	342,000	
	2999	COMPONENT TOTAL			698,000	678,000	
30	TRAINING COMPONENT						
	3300	<i>Meetings/conferences</i>					
	3301	Advisory and Consultative Meetings - Paris	Paris		32,000	32,000	
	3302	ROA network meetings/thematic workshops	Nairobi		281,000	281,000	
	3303	ROLAC Joint Main Network Meeting of LAC	Panama		92,000	92,000	
	3304	ROAP-SA network meetings/thematic workshops	Bangkok		72,000	72,000	
	3305	ROWA network meetings/thematic workshops	Bahrain		86,000	86,000	
	3306	ECA network meetings/thematic workshops	ECA		150,000	160,000	
	3307	PIC network meetings/thematic workshops	Bangkok		60,000	60,000	
	3308	ROAP-SEA meetings/thematic workshops	Bangkok		50,000	50,000	
	3309	ROLAC Thematic meeting of Caribbean Network	Panama		50,000	50,000	
	3310	ROLAC Thematic meeting of Latin America Networks	Panama		50,000	50,000	
	3312	ROA South-South cooperation	Nairobi		31,000	31,000	
	3313	ROLAC South-South cooperation - South America - South	Panama		15,000	15,000	
	3314	ROAP South-South cooperation	Bangkok		48,000	48,000	
	3315	ROWA South-South cooperation	Bahrain		33,000	33,000	
	3316	ECA South-South cooperation	ECA		10,000	20,000	
	3319	ROLAC South-South cooperation - Caribbean	Panama		15,000	15,000	
	3320	ROLAC South-South cooperation - Mexico & Central	Panama		15,000	15,000	
	3399	<i>Sub-total</i>			1,090,000	1,110,000	
	3999	COMPONENT TOTAL			1,090,000	1,110,000	
40	EQUIPMENT AND PREMISES COMPONENT						
	4100	<i>Expendable equipment (items under \$1,500 each)</i>					
	4101	Office supplies - Paris and ECA	Paris / ECA		15,000	15,000	
	4102	Office supplies - Regions	Regional		25,000	25,000	
	4199	<i>Sub-total</i>			40,000	40,000	
	4200	<i>Non-expendable equipment</i>					
	4201	Office equipment / computer - Paris and ECA	Paris / ECA		22,000	22,000	
	4202	Office equipment / computer - Regions	Regional		33,000	33,000	
	4299	<i>Sub-total</i>			55,000	55,000	

	Bud Ln	Component	Location			CAP 2012 Approved ExCom 65	CAP 2013 Approved ExCom 68
	4300	<i>Rental of premises</i>					
	4301	Office rental - Paris and ECA	Paris / ECA			360,000	360,000
	4302	Office rental - Regions	Regional			151,000	151,000
	4399	<i>Sub-total</i>				511,000	511,000
	4999	COMPONENT TOTAL				606,000	606,000
50		MISCELLANEOUS COMPONENT					
	5100	<i>Operation and maintenance of equipment</i>					
	5101	Rental and maintenance of office equipment - Paris and	Paris			22,000	22,000
	5102	Rental and maintenance of office equipment - Regions	Regional			33,000	33,000
	5199	<i>Sub-total</i>				55,000	55,000
	5200	<i>Reporting cost</i>					
	5201	Reporting/reproduction costs	Paris			11,000	11,000
	5202	Translations - Regions	Regional			36,000	36,000
	5299	<i>Sub-total</i>				47,000	47,000
	5300	<i>Sundry</i>					
	5301	Communication & dissemination - Paris and ECA	Paris / ECA			123,000	123,000
	5302	Communication - Regions	Regional			89,000	89,000
	5399	<i>Sub-total</i>				212,000	212,000
	5999	COMPONENT TOTAL				314,000	314,000
	99	TOTAL DIRECT PROJECT COST				8,987,000	9,158,000
		<i>Programme support costs (8%)</i>				718,960	732,640
90	GRAND TOTAL					9,705,960	9,890,640

Annex XII

UPDATED AGREEMENT BETWEEN THE GOVERNMENT OF GUATEMALA AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of Guatemala and the Executive Committee with respect to the reduction of controlled use of the Ozone-Depleting Substances (ODS) set out in Appendix 1-A (“the Substances”) to a sustained level of 5.40 ODP tonnes by 1 January 2020, in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A . The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances which exceeds the level defined in row 1.2 of Appendix 2-A (maximum allowable total consumption of Annex C, Group I substances) as the final reduction step under this agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances which exceeds the level defined in rows 4.1.3, 4.2.3, 4.3.3, 4.4.3 and 4.5.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees in principle to provide the funding set out in row 3.1 of Appendix 2-A (the “Targets and Funding”) to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (the “Funding Approval Schedule”).
4. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least 60 days prior to the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country has met the Targets for all relevant years. Relevant years are all years since the year in which the hydrochlorofluorocarbons phase-out management plan (HPMP) was approved. Exempt are years for which no obligation for reporting of country programme data exists at the date of the Executive Committee Meeting at which the funding request is being presented;
 - (b) That the meeting of these Targets has been independently verified, except if the Executive Committee decided that such verification would not be required;

- (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year, that it had achieved a significant level of implementation of activities initiated with previously approved tranches, and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent;
- (d) That the Country has submitted and received approval from the Executive Committee for a annual implementation plan in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen; and
- (e) That, for all submissions from the 68th Meeting onwards, confirmation has been received from the Government that an enforceable national system of licensing and quotas for HCFC imports and, where applicable, production and exports is in place and that the system is capable of ensuring the Country's compliance with the Montreal Protocol HCFC phase-out schedule for the duration of this Agreement.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on Implementation of the activities in the previous tranche implementation plan in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A.

- (a) Reallocations categorized as major changes must be documented in advance in an annual Implementation Plan and approved by the Executive Committee as described in sub-paragraph 5(d). Major changes would relate to issues potentially concerning the rules and policies of the Multilateral Fund; changes which would modify any clause of this Agreement; changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the annual implementation report; and
- (c) Any remaining funds will be returned to the Multilateral Fund upon closure of the last tranche of the plan.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and

- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNIDO has agreed to be the lead implementing agency (the “Lead IA”) and UNEP has agreed to be the cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the IA taking part in this Agreement.

10. The Lead IA will be responsible for carrying out the activities of the overall plan with the changes approved as part of the subsequent submissions, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amounts set out in Appendix 7-A in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to information necessary to verify compliance with this Agreement.

14. The completion of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption has been specified in Appendix 2-A. Should at that time activities be still outstanding which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A continue until the time of the completion if not specified by the Executive Committee otherwise.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

16. This updated Agreement supersedes the Agreement reached between the Government of Guatemala and the Executive Committee at the 64th meeting of the Executive Committee.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	6.9
HCFC-141b	C	I	1.1
HCFC-124	C	I	0.2
HCFC-142b	C	I	0.1
Sub-total	C	I	8.3
HCFC-141b in imported pre-blended polyols	C	I	1.4
Total	C	I	9.7

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2011	2012	2013	2014	2015	2016-2017	2018	2019	2020	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	n/a	8.30	8.30	7.47	7.47	7.47	7.47	5.40	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	n/a	8.30	8.30	7.47	7.47	7.47	7.47	5.40	n/a
2.1	Lead IA UNIDO agreed funding(US \$)	118,087	97,925	0		53,775	0	42,850	0	33,000	345,637
2.2	Support costs for Lead IA (US \$)	8,857	7,344	0	0	4,033	0	3,214	0	2,475	25,923
2.3	Cooperating IA UNEP agreed funding (US \$)	28,250		0	0	45,000	0	23,250	0	0	96,500
2.4	Support costs for Cooperating IA (US \$)	3,673		0	0	5,850	0	3,023	0	0	12,546
3.1	Total agreed funding (US \$)	146,337	97,925	0	0	98,775	0	66,100	0	33,000	442,137
3.2	Total support cost (US \$)	12,530	7,344	0	0	9,883	0	6,237	0	2,475	38,469
3.3	Total agreed costs (US \$)	158,867	105,269	0	0	108,658	0	72,337	0	35,475	480,606
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)										1.8
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)										0.0
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)										5.1
4.2.1	Total phase-out of HCFC-141b agreed to be achieved under this Agreement (ODP tonnes)										1.1
4.2.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)										0.0
4.2.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)										0.0
4.3.1	Total phase-out of HCFC-142b agreed to be achieved under this Agreement (ODP tonnes)										0.0
4.3.2	Phase-out of HCFC-142b to be achieved in previously approved projects (ODP tonnes)										0.0
4.3.3	Remaining eligible consumption for HCFC-142b (ODP tonnes)										0.2
4.4.1	Total phase-out of HCFC-124 agreed to be achieved under this Agreement (ODP tonnes)										0.0
4.4.2	Phase-out of HCFC-124 to be achieved in previously approved projects (ODP tonnes)										0.0
4.4.3	Remaining eligible consumption for HCFC-124 (ODP tonnes)										0.1
4.5.1	Total phase-out of HCFC-141b contained in imported pre-blended polyols (ODP tonnes)										1.4
4.5.2	Phase-out of HCFC-141b contained in imported pre-blended polyols to be achieved in previously approved projects (ODP tonnes)										0.0
4.5.3	Remaining eligible consumption for HCFC-141b contained in imported pre-blended polyols (ODP tonnes)										0.0

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval not earlier than the last meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Tranche Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report regarding the progress since the approval of the previous tranche, reflecting on the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it and how they relate to each other. The report should further highlight successes, experiences and challenges related to the different activities included in the Plan, reflecting on changes in the circumstances in the country, and providing other relevant information. The report should also include information about and justification for any changes vis-à-vis the previously submitted tranche plan, such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information about activities in the current year;
- (b) A verification report of the HPMP results and the consumption of the substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until the planned submission of the next tranche request, highlighting their interdependence, and taking into account experiences made and progress achieved in the implementation of earlier tranches. The description should also include a reference to the overall Plan and progress achieved, as well as any possible changes to the overall plan foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain any revisions to the overall plan which were found to be necessary;
- (d) A set of quantitative information for the report and plan, submitted into a database. As per the relevant decisions of the Executive Committee in respect to the format required, the data should be submitted online. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), and will cover the same time periods and activities; it will also capture the quantitative information regarding any necessary revisions of the overall plan as per sub-paragraph 1(c) above. While the quantitative information is required only for previous and future years, the format will include the option to submit in addition information regarding the current year if desired by the country and lead implementing agency; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The Specialized Technical Ozone Unit of Guatemala (UTOZ), within the Ministry of Environment and Natural Resources, will coordinate the implementation of the project and will be responsible for the national coordination of the whole HPMP program with the assistance of UNIDO as the lead implementation agency and UNEP as cooperating implementation agency.

2. Selected local experts will be trained, as central human resources, for the HPMP preparation and implementation in the advanced technologies areas in the service sector, trends and alternative technologies and experiences attained in other countries for the HPMP.

3. The Unit will be responsible for the monitoring of the phase out implementation plan, of making the follow up of the promulgation, and the enforcement of the policies and legislation. The Unit will support UNIDO and UNEP in the preparation the annual implementation plans and progress reports for the Executive Committee.

4. The implementation of the phase out plan will need to be aligned and closely coordinate with the different general instructions, regulatory, fiscal actions, of capacity creation and awareness that the government of Guatemala executes, to ensure the consistency of the governmental priorities.

5. The phase out plan will be managed by a team dedicated to this job that consists of a coordinator that will be designated by the UTOZ and have the support of the representatives and experts of the implementation agencies and the necessary support infrastructure. The component of support to the management and update of the legal instruments of the phase out plan will include the following activities during the length of the plan:

- (a) Management and coordination of the plan implementation with the different actions with respect to government policies related to the refrigeration and air conditioning sector;
- (b) Establishment of a policy development and application program, that includes the different legislative, regulatory, promotional, discouraging and punitive actions, to allow the government to exercise the required mandates and ensure the industry fulfilment with the obligations of the ODS consumption reduction;
- (c) Development and implementation of training, awareness and capacity creation activities for key departments of the government, legislators, decision makers and other institutional players, to ensure a high level commitment to the Plan objectives and obligations;
- (d) Creation of awareness on the Phase Out Plan and the governmental initiatives in the sector, among consumers and the general public, through workshops, media advertising and other information promotion measures;
- (e) Preparation of annual implementation plans including the determination of the sequence of participation of the companies in the activities;
- (f) Establishment and operation of a report system on the ODS use /substitutes by the users;
- (g) Report on the progress of the plan implementation for the annual disbursement based on performance;

- (h) Establishment and operation of the decentralized mechanism to monitor and evaluate the plan results, in association with local environmental regulatory entities to ensure sustainability.
6. The following activities will be considered for the coordination:
- (a) The list of shops must be updated in terms of HCFC consumption, equipment necessary for recovery, its capacity to recover HCFC, the commitment with the phase out activity and other relevant factors of the recovery and recycling component.
 - (b) The refrigerants that cannot be recycled must be kept in the location whilst a new appropriate destruction mechanism is provided.
7. In addition, the local distribution of service equipment that will be purchased through the UNIDO acquisition procedure must also be implemented.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities. These can be specified in the project document further, but include at least the following:
- (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
 - (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
 - (c) Providing verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
 - (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
 - (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
 - (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
 - (g) Carrying out required supervision missions;
 - (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
 - (i) Coordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
 - (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA,

the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;

- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent organization to carry out the verification of the HPMP results and the consumption of the substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for a range of activities. These activities can be specified in the overall plan further, but include at least the following:

- (a) Providing policy development assistance when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$180 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

Annex XIII

UPDATED AGREEMENT BETWEEN THE GOVERNMENT OF THE ISLAMIC REPUBLIC OF IRAN AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of the Islamic Republic of Iran (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) prior to 1 January 2015 in compliance with Montreal Protocol schedules to a sustained level of 342.45 ODP tonnes representing the maximum consumption allowed for 2015 under the Montreal Protocol reduction schedule.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A . The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances which exceeds the level defined in row 1.2 of Appendix 2-A (maximum allowable total consumption of Annex C, Group I substances) as the final reduction step under this agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances which exceeds the level defined in rows 4.1.3 and 4.2.3.
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees in principle to provide the funding set out in row 3.1 of Appendix 2-A (the “Targets and Funding”) to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (the “Funding Approval Schedule”).
4. The Country will meet the consumption limits for each of the Substances as indicated in Appendix 2-A. It will also accept independent verification, to be commissioned by the relevant bilateral or implementing agency, of achievement of these consumption limits as described in sub-paragraph 5(b) of this Agreement.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least 60 days prior to the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country has met the Targets for all relevant years. Relevant years are all years since the year in which the hydrochlorofluorocarbons phase-out management plan (HPMP) was approved. Exempt are years for which no obligation for reporting of country programme data exists at the date of the Executive Committee Meeting at which the funding request is being presented;
 - (b) That the meeting of these Targets has been independently verified, except if the Executive Committee decided that such verification would not be required;
 - (c) That the Country had submitted tranche implementation reports in the form of Appendix 4-A (the “Format of Tranche Implementation Report and Plan”) covering each previous calendar year, that it had achieved a significant level of implementation of activities initiated with previously approved tranches, and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent;

- (d) That the Country has submitted and received approval from the Executive Committee for a tranche implementation plan in the form of Appendix 4-A (the “Format of Tranche Implementation Reports and Plans”) covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen; and
- (e) That, for all submissions from the 68th Meeting onwards, confirmation has been received from the Government that an enforceable national system of licensing and quotas for HCFC imports and, where applicable, production and exports is in place and that the system is capable of ensuring the country's compliance with the Montreal Protocol HCFC phase-out schedule for the duration of this agreement.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (the “Monitoring Institutions and Roles”) will monitor and report on Implementation of the activities in the previous tranche implementation plan in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in sub-paragraph 5(b).

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest phase-down and phase-out of the Substances specified in Appendix 1-A. Reallocations categorized as major changes must be documented in advance in a Tranche Implementation Plan and approved by the Executive Committee as described in sub-paragraph 5(d). Major changes would relate to reallocations affecting in total 30 per cent or more of the funding of the last approved tranche, issues potentially concerning the rules and policies of the Multilateral Fund, or changes which would modify any clause of this Agreement. Reallocations not categorized as major changes may be incorporated in the approved Tranche Implementation Plan, under implementation at the time, and reported to the Executive Committee in the Tranche Implementation Report. Any remaining funds will be returned to the Multilateral Fund upon closure of the last tranche of the plan.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNDP has agreed to be the lead implementing agency (the “Lead IA”) and UNEP, UNIDO and the Government of Germany have agreed to be cooperating agencies under the lead of the Lead IA in respect of the Country's activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the IA taking part in this Agreement.

10. The Lead IA will be responsible for carrying out the activities of the plan as detailed in the first submission of the HPMP with the changes approved as part of the subsequent tranche submissions, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the cooperating agencies to ensure appropriate timing and sequence of activities in the implementation. The cooperating agencies will support the Lead IA by

implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and cooperating agencies have entered into a formal agreement regarding planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular coordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the cooperating agencies with the fees set out in rows 2.2, 2.4, 2.6 and 2.8 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amounts set out in Appendix 7-A in respect of each ODP tonne of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the cooperating agencies to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the cooperating agencies with access to information necessary to verify compliance with this Agreement.

14. The completion of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption has been specified in Appendix 2-A. Should at that time activities be still outstanding which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per Appendix 4-A (a), (b), (d) and (e) continue until the time of the completion if not specified by the Executive Committee otherwise.

15. All of the agreements set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

16. This updated Agreement supersedes the Agreement reached between the Government of the Islamic Republic of Iran and the Executive Committee at the 63rd meeting of the Executive Committee.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	163.6
HCFC-141b	C	I	216.9
Total			380.5

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2011	2012	2013	2014	2015	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes) *	n/a	n/a	380.5	380.5	342.45	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	n/a	380.5	380.5	342.45	n/a
2.1	Lead IA UNDP agreed funding(US \$)	2,242,000	1,370,000	477,816	0	475,930	4,565,746
2.2	Support costs for Lead IA(US \$)	168,150	102,750	35,836	0	35,695	342,431
2.3	Cooperating IA UNEP agreed funding (US \$)	262,000	0	0	0	0	262,000
2.4	Support costs for Cooperating IA (US \$)	34,060	0	0	0	0	34,060
2.5	Cooperating IA UNIDO agreed funding (US \$)	1,300,000	830,000	101,450	0	274,827	2,506,277
2.6	Support costs for Cooperating IA (US \$)	97,500	62,250	7,609	0	20,612	187,971
2.7	Cooperating agency Germany agreed funding (US \$)	2,063,000	534,233	0	0	288,582	2,885,815
2.8	Support costs for Cooperating agency (US \$)	234,079	60,617	0	0	32,744	327,440
3.1	Total agreed funding (US \$)	5,867,000	2,734,233	579,266	0	1,039,339	10,219,838
3.2	Total support cost (US \$)	533,789	225,617	43,445	0	89,051	891,902
3.3	Total agreed costs (US \$)	6,400,789	2,959,850	622,711		1,128,390	11,111,740
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)						38.6
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)						-
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)						125.0
4.2.1	Total phase-out of HCFC-141b agreed to be achieved under this Agreement (ODP tonnes)						62.7
4.2.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)						-
4.2.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)						154.2

Note: US \$173,550 and agency support costs of US \$13,016 for UNIDO were deducted from its third tranche as enterprise Yakhchavan is not eligible for funding under the Multilateral Fund and will convert with its own resources.

*Figures based on Article 7 Data, which are rounded to one decimal point.

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval not earlier than the first meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF TRANCHE IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Tranche Implementation Report and Plan will consist of five parts:
 - (a) A narrative report regarding the progress in the previous tranche, reflecting on the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it and how they relate to each other. The report should further highlight successes, experiences and challenges related to the different activities included in the Plan, reflecting on changes in the circumstances in the country, and providing other relevant information. The report should also include information about and justification for any changes vis-à-vis the previously submitted tranche plan, such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information about activities in the current year;
 - (b) A verification report of the HPMP results and the consumption of the substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
 - (c) A written description of the activities to be undertaken in the next tranche, highlighting their interdependence, and taking into account experiences made and progress achieved in the implementation of earlier tranches. The description should also include a reference to the overall Plan and progress achieved, as well as any possible changes to the overall plan foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain any revisions to the overall plan which were found to be necessary;
 - (d) A set of quantitative information for the report and plan, submitted into a database. As per the relevant decisions of the Executive Committee in respect to the format required, the data should be submitted online. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), and will cover the same time periods and activities; it will also capture the quantitative information regarding any necessary revisions of the overall plan as per sub-paragraph 1(c) above. While the quantitative information is required only for previous and future years, the format will include the option to submit in addition information regarding the current year if desired by the country and lead implementing agency; and
 - (e) An Executive Summary of about five paragraphs, summarizing the information of above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The monitoring process will be managed by the Islamic Republic of Iran Department of Environment (DOE) through the National Ozone Unit (NOU) with the assistance of the Lead IA.
2. The consumption will be monitored and determined based on official import and export data for the Substances recorded by relevant government departments.
3. The NOU shall compile and report the following data and information on an annual basis on or before the relevant due dates:
 - (a) Annual reports on consumption of the Substances to be submitted to the Ozone Secretariat;
 - (b) Annual reports on progress of implementation of HPMP to be submitted to the Executive Committee of the Multilateral Fund; and
4. The NOU and the Lead IA will engage an independent and qualified entity to carry out a qualitative and quantitative performance evaluation of the HPMP implementation.
5. The evaluating entity shall have full access to relevant technical and financial information related to implementation of the HPMP.
6. The evaluating entity shall prepare and submit to the NOU and the Lead IA, a consolidated draft report at the end of each Tranche Implementation Plan, comprising of the findings of the evaluation and recommendations for improvements or adjustments, if any. The draft report shall include the status of the Country's compliance with the provisions of this Agreement.
7. Upon incorporating the comments and explanations as may be applicable, from NOU, Lead IA and the Cooperating IAs, the evaluating entity shall finalize the report and submit to the NOU and Lead IA.
8. The NOU shall endorse the final report and the Lead IA shall submit the same to the relevant meeting of the Executive Committee along with the Tranche Implementation plan and reports.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for the following:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's phase-out plan;
 - (b) Assisting the Country in preparation of the Tranche Implementation Plans and subsequent reports as per Appendix 4-A;
 - (c) Providing verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Tranche Implementation Plan consistent with Appendix 4-A;
 - (d) Ensuring that the experiences and progress is reflected in updates of the overall Plan and in future Tranche Implementation Plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;

- (e) Fulfilling the reporting requirements for the tranches and the overall Plan as specified in Appendix 4-A as well as project completion reports for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
- (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
- (g) Carrying out required supervision missions;
- (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Tranche Implementation Plan and accurate data reporting;
- (i) Coordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
- (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the co-ordinating implementing agencies, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the substances mentioned in Appendix 1-A, as per sub-paragraph 5(b), sub-paragraph 1(b) of Appendix 4-A and Appendix 5-A.

APPENDIX 6-B: ROLE OF COOPERATING AGENCIES

1. The Cooperating IA will be responsible for the following:
 - (a) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a coordinated sequence in the activities; and
 - (b) Providing timely reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$216 per ODP tonne of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

Annex XIV

UPDATED AGREEMENT BETWEEN THE GOVERNMENT OF SAINT LUCIA AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of Saint Lucia (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 0.13 ODP tonnes by 1 January 2020 in compliance with Montreal Protocol schedules, with the understanding that this figure is to be revised one single time, in the event that the baseline consumption for compliance is amended based on revised Article 7 data, with the funding to be adjusted accordingly, as per decision 60/44.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances which exceeds the level defined in row 1.2 of Appendix 2-A (“Maximum allowable total consumption of Annex C, Group I Substances”) as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances which exceeds the level defined in row 4.1.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees in principle to provide the funding set out in row 3.1 of Appendix 2-A (“The Targets, and Funding”) to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least 60 days prior to the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country has met the Targets for all relevant years. Relevant years are all years since the year in which the hydrochlorofluorocarbons phase-out management plan (HPMP) was approved. Exempt are years for which no obligation for reporting of country programme data exists at the date of the Executive Committee Meeting at which the funding request is being presented;
 - (b) That the meeting of these Targets has been independently verified, except if the Executive Committee decided that such verification would not be required;
 - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year, that it had achieved a significant level of implementation of activities initiated with previously approved tranches, and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent;

- (d) That the Country has submitted and received approval from the Executive Committee for an annual implementation plan in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen; and
- (e) That, for all submissions from the 68th Meeting onwards, confirmation has been received from the Government that an enforceable national system of licensing and quotas for HCFC imports and, where applicable, production and exports is in place and that the system is capable of ensuring the Country's compliance with the Montreal Protocol HCFC phase-out schedule for the duration of this Agreement.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A.

- (a) Reallocations categorized as major changes must be documented in advance in an annual implementation plan and approved by the Executive Committee as described in sub-paragraph 5(d) above. Major changes would relate to issues potentially concerning the rules and policies of the Multilateral Fund; changes which would modify any clause of this Agreement; changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the annual implementation report; and
- (c) Any remaining funds will be returned to the Multilateral Fund upon closure of the last tranche of the plan.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNEP has agreed to be the lead implementing agency (the “Lead IA”) and UNIDO has

agreed to be the cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for carrying out the activities of the overall plan with the changes approved as part of the subsequent submissions, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to information necessary to verify compliance with this Agreement.

14. The completion of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption has been specified in Appendix 2-A. Should at that time activities be still outstanding which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A continue until the time of the completion if not specified by the Executive Committee otherwise.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

16. This updated Agreement supersedes the Agreement reached between the Government of Saint Lucia and the Executive Committee at the 64th meeting of the Executive Committee.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	0.2

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2011	2012	2013-2014	2015	2016-2017	2018	2019	2020	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	0	0	0.2	0.18	0.18	0.18	0.18	0.13	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	0	0	0.2	0.18	0.18	0.18	0.18	0.13	n/a
2.1	Lead IA (UNEP) agreed funding(US \$)	13,000	13,150	0	9,522	0	5,467	0	10,753	51,892
2.2	Support costs for Lead IA(US \$)	1,690	1,710	0	1,238	0	711	0	1,398	6,747
2.3	Cooperating IA (UNIDO) agreed funding (US \$)	88,850	11,000	0	3,802	0	3,259	0	5,697	112,608
2.4	Support costs for Cooperating IA (US \$)	7,997	990	0	342	0	293	0	513	10,135
3.1	Total agreed funding (US \$)	101,850	24,150	0	13,324	0	8,726	0	16,450	164,500
3.2	Total support cost (US \$)	9,687	2,700	0	1,580	0	1,004	0	1,911	16,882
3.3	Total agreed costs (US \$)	111,537	26,850	0	14,904	0	9,730	0	18,361	181,382
4.1.1	Total phase-out of HCFC-22 under this Agreement (ODP tonnes)									0.07
4.1.2	Phase-out of HCFC-22 approved projects (ODP tonnes)									0
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)									0.13

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval not earlier than the second meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report regarding the progress since the approval of the previous tranche, reflecting on the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it and how they relate to each other. The report should further highlight successes, experiences and challenges related to the different activities included in the Plan, reflecting on changes in the circumstances in the Country, and providing other relevant information. The report should also include information about and justification for any changes vis-à-vis the previously submitted tranche plan, such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative

report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information about activities in the current year;

- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until the planned submission of the next tranche request, highlighting their interdependence, and taking into account experiences made and progress achieved in the implementation of earlier tranches. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain any revisions to the overall plan which were found to be necessary;
- (d) A set of quantitative information for the report and plan, submitted into a database. As per the relevant decisions of the Executive Committee in respect to the format required, the data should be submitted online. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), and will cover the same time periods and activities; it will also capture the quantitative information regarding any necessary revisions of the overall plan as per sub-paragraph 1(c) above. While the quantitative information is required only for previous and future years, the format will include the option to submit in addition information regarding the current year if desired by the Country and the Lead IA; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The National Ozone Unit (NOU), which is located within the Sustainable Development and Environment Division of the Ministry of Physical Development and the Environment, will be responsible for the day to day execution of project activities. As such, primary responsibility will reside with the Permanent Secretary of that Ministry. The NOU, through his/her supervisor (the Chief Sustainable Development and Environment Officer) , will be responsible for ensuring that the Multilateral Fund Secretariat and the Ministry's policies and procedures for project management, including procurement guidelines and reporting requirements are adhered to. In this regard, the highest policy responsibility rests with the Minister of Physical Development and the Environment while at the technical level, responsibility resides with the Chief Sustainable Development and Environment Officer.

2. In addition to the formal government structure described above, the services of an independent monitoring and evaluation consultant will be engaged from time to time to provide independent verification of projects completed and targets achieved. This consultant will also support the preparation of end of project reporting.

3. Periodically the government, in collaboration with the Lead IA may convene monitoring missions to provide independent verification project outputs, achievement of targets and financial management, as considered necessary to ensure a second level of oversight.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities. These can be specified in the project document further, but include at least the following:

- (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
- (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
- (c) Providing verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
- (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
- (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
- (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
- (g) Carrying out required supervision missions;
- (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
- (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
- (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent organization to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for a range of activities. These activities are specified in the overall plan further, but include at least the following:

- (a) Providing policy development assistance when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$180 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

Annex XV

AGREEMENT BETWEEN THE GOVERNMENT OF ETHIOPIA AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of Ethiopia (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 3.58 ODP tonnes by 1 January 2020 in compliance with Montreal Protocol schedules, with the understanding that this figure is to be revised one single time, in the event that the baseline consumption for compliance is amended based on the revised Article 7 data , with the funding to be adjusted accordingly, as per decision 60/44.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in row 1.2 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in row 4.1.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country had met the Targets set out in row 1.2 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
 - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
 - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the

previously approved tranche was more than 20 per cent; and

- (d) That the Country has submitted an annual implementation plan in the form of Appendix 4-A covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub-paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
 - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
 - (ii) Changes which would modify any clause of this Agreement;
 - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
 - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report; and
- (c) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNEP has agreed to be the lead implementing agency (the “Lead IA”) and UNIDO has agreed

to be the cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A (“Reductions in Funding for Failure to Comply”) in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to the information necessary to verify compliance with this Agreement.

14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	5.5

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2012	2013	2014	2015	2016	2017	2018	2019	2020	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	5.5	5.5	4.95	4.95	4.95	4.95	4.95	3.58	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	5.5	5.5	4.95	4.95	4.95	4.95	4.95	3.58	n/a
2.1	Lead IA (UNEP) agreed funding (US \$)	85,000	0	0	0	55,000	0	0	0	35,000	175,000
2.2	Support costs for Lead IA (US \$)	11,050	0	0	0	7,150	0	0	0	4,550	22,750
2.3	Cooperating IA (UNIDO) agreed funding (US \$)	70,000	0	0	0	70,000	0	0	0	0	140,000
2.4	Support costs for Cooperating IA (US \$)	6,300	0	0	0	6,300	0	0	0	0	12,600
3.1	Total agreed funding (US \$)	155,000	0	0	0	125,000	0	0	0	35,000	315,000
3.2	Total support costs (US \$)	17,350	0	0	0	13,450	0	0	0	4,550	35,350
3.3	Total agreed costs (US \$)	172,350	0	0	0	138,450	0	0	0	39,550	350,350
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)										1.92
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)										0
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)										3.58

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval at the first meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:
 - (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;
 - (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
 - (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;
 - (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and
 - (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The national ozone unit (NOU) will be monitoring the implementation of the project activities and will prepare quarterly progress reports for the project. The monitoring program will ensure effectiveness of all the proposed projects within the HPMP through constant monitoring and periodic review of the performance of individual projects. Independent verification will be conducted by consultant arranged by the Lead IA.
2. The Lead IA will have a particularly prominent role in the monitoring arrangements because of its mandate to monitor ODS imports, whose records will be used as a crosschecking reference in all the monitoring programmes for the different projects within the HPMP. The Lead IA, along with the Cooperating IA will also undertake the challenging task of monitoring illegal ODS imports and exports, and advise the appropriate national agencies through the NOU.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities, including at least the following:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
 - (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
 - (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
 - (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
 - (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
 - (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
 - (g) Carrying out required supervision missions;
 - (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
 - (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
 - (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;

- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:

- (a) Providing assistance for policy development when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$180 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

Annex XVI

AGREEMENT BETWEEN THE GOVERNMENT OF HAITI AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of Haiti (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 2.34 ODP tonnes by 1 January 2020 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in row 1.2 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in row 4.1.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country had met the Targets set out in row 1.2 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
 - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
 - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent; and

- (d) That the Country has submitted an annual implementation plan in the form of Appendix 4-A covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen; and

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub-paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
 - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
 - (ii) Changes which would modify any clause of this Agreement;
 - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
 - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report;
- (c) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNEP has agreed to be the lead implementing agency (the “Lead IA”) and UNDP has agreed to be the cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might

be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A (“Reductions in Funding for Failure to Comply”) in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to the information necessary to verify compliance with this Agreement.

14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	3.6

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2012	2013	2014	2015	2016	2017	2018	2019	2020	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	3.6	3.6	3.24	3.24	3.24	3.24	3.24	2.34	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	3.6	3.6	3.24	3.24	3.24	3.24	3.24	2.34	n/a
2.1	Lead IA [UNEP] agreed funding (US \$)	40,000	0	30,000	0	0	0	84,881	0	28,000	182,881
2.2	Support costs for lead agency (US \$)	5,200	0	3,900	0	0	0	11,035	0	3,640	23,775
2.3	Cooperating IA [UNDP] agreed funding (US \$)	0	0	97,119	0	0	0	0	0	0	97,119
2.4	Support costs for cooperating agency (US \$)	0	0	8,741	0	0	0	0	0	0	8,741
3.1	Total agreed funding (US \$)	40,000	0	127,119	0	0	0	84,881	0	28,000	280,000
3.2	Total support costs (US \$)	5,200	0	12,641	0	0	0	11,035	0	3,640	32,516
3.3	Total agreed costs (US \$)	45,200	0	139,760	0	0	0	95,916	0	31,640	312,516
4.1.1	Total phase-out of HCFC-22 under this Agreement (ODP tonnes)										1.26
4.1.2	Phase-out of HCFC-22 in previously approved projects (ODP tonnes)										n/a
4.1.3	Remaining eligible consumption for HCFC-22										2.34

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval at the first meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the

Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;

- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;
- (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The National Ozone Unit (NOU) will submit annual progress reports of status of implementation of the HPMP to the Lead IA.
2. Monitoring of development of HPMP and verification of the achievement of the performance targets, specified in the Plan, will be assigned to an independent local company or to independent international/regional/local consultant(s) by the Lead IA.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities, including at least the following:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
 - (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
 - (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
 - (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
 - (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee; The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
 - (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
 - (g) Carrying out required supervision missions;
 - (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
 - (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
 - (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
 - (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
 - (l) Providing assistance with policy, management and technical support when required.
2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:
 - (a) Providing assistance for policy development when required;
 - (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
 - (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$180 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

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Annex XVII

AGREEMENT BETWEEN THE GOVERNMENT OF THE REPUBLIC OF THE UNION OF MYANMAR AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of the Republic of the Union of Myanmar (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 2.80 ODP tonnes prior to 1 January 2020 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in row 1.2 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in rows 4.1.3, 4.2.3 and 4.3.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country had met the Targets set out in row 1.2 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
 - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
 - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent; and

- (d) That the Country has submitted an annual implementation plan in the form of Appendix 4-A covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub-paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
 - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
 - (ii) Changes which would modify any clause of this Agreement;
 - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
 - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report; and
- (c) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNEP has agreed to be the lead implementing agency (the “Lead IA”) and UNIDO has agreed to be the cooperating implementing agency/agencies (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations,

which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A (“Reductions in Funding for Failure to Comply”) in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to the information necessary to verify compliance with this Agreement.

14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	4.26
HCFC-141b	C	I	0.04
Sub-total			4.30
HCFC-141b in pre-blended polyols	C	I	1.83
Total			6.13

APPENDIX 2-A: THE TARGETS, AND FUNDING*

		2012	2013	2014	2015	2016	2017	2018	2019	2020	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	4.30	4.30	3.87	3.87	3.87	3.87	3.87	2.80	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	4.30	4.30	3.87	3.87	3.87	3.87	3.87	2.80	n/a
2.1	Lead IA - UNEP agreed funding(US \$)	159,000	0	0	19,000	0	13,000	0	0	29,000	220,000
2.2	Support costs for Lead IA - UNEP (US \$)	20,670	0	0	2,470	0	1,690	0	0	3,770	28,600
2.3	Cooperating IA - UNIDO agreed funding (US \$)	0	0	0	60,000	0	0	0	0	0	60,000
2.4	Support costs for Cooperating IA - UNIDO (US \$)	0	0	0	5,400	0	0	0	0	0	5,400
3.1	Total agreed funding (US \$)	159,000	0	0	79,000	0	13,000	0	0	29,000	280,000
3.2	Total support cost (US \$)	20,670	0	0	7,870	0	1,690	0	0	3,770	34,000
3.3	Total agreed costs (US \$)	179,670	0	0	86,870	0	14,690	0	0	32,770	314,000
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this agreement (ODP tonnes)										1.50
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)										0
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)										2.76
4.2.1	Total phase-out of HCFC-141b agreed to be achieved under this agreement (ODP tonnes)										0
4.2.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)										0
4.2.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)										0.04
4.3.1	Total phase-out of HCFC-141b in pre-blended polyols agreed to be achieved under this agreement (ODP tonnes)										0
4.3.2	Phase-out of HCFC-141b in pre-blended polyols to be achieved in previously approved projects (ODP tonnes)										0
4.3.3	Remaining eligible consumption for HCFC-141b in pre-blended polyols (ODP tonnes)										1.83

* Excludes funding for conversion of HCFC-141b contained in pre-blended polyol

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval at the second meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;
- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;
- (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and

- (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. Annual consumption of HCFCs and other ODS will be monitored through the Ministry of Environmental Conservation and Forestry (MOECAAF) with collaboration from the Customs Department and the Ministry of Commerce. MOECAAF is responsible for reviewing application before providing endorsement to importer, while the Ministry of Commerce is a licensing authority to issue import license upon endorsement from MOECAAF. The Customs Department will control and monitor the import of ODS at the point of entry.
2. MOECAAF will liaise with importers and retailers of ODS to obtain HCFC consumption data and cross-check with data from the Customs Department. MOECAAF will undertake regular review on list of HCFC customers to enforce the control on sale of HCFCs. MOECAAF will also undertake market survey to gauge the penetration of non-HCFC substitutes and alternative technologies in the refrigeration and air-conditioning (RAC) sector.
3. MOECAAF will monitor the implementation of capacity building activities with relevant agencies e.g. RAC technician training (training centers); enforcement officers training (the Customs Department and the Ministry of Commerce).

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities, including at least the following:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
 - (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
 - (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
 - (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
 - (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
 - (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
 - (g) Carrying out required supervision missions;

- (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
- (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
- (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:

- (a) Providing assistance for policy development when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$180 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

Annex XVIII

AGREEMENT BETWEEN THE GOVERNMENT OF UGANDA AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of Uganda (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 0.13 ODP tonnes by 1 January 2020 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in row 1.2 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in row 4.1.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country had met the Targets set out in row 1.2 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
 - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
 - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent; and
 - (d) That the Country has submitted an annual implementation plan in the form of Appendix 4-A covering each calendar year until and including the year for which the

funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub-paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
 - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
 - (ii) Changes which would modify any clause of this Agreement;
 - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
 - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report; and
- (c) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNEP has agreed to be the lead implementing agency (the “Lead IA”) and UNIDO has agreed to be the cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A (“Reductions in Funding for Failure to Comply”) in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to the information necessary to verify compliance with this Agreement.

14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	0.20

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2012	2013	2014	2015	2016	2017	2018	2019	2020	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	0.2	0.2	0.18	0.18	0.18	0.18	0.18	0.13	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	0.2	0.2	0.18	0.18	0.18	0.18	0.18	0.13	n/a
2.1	Lead IA (UNEP) agreed funding (US \$)	40,500	0	0		23,500	0	0	0	20,500	84,500
2.2	Support costs for Lead IA (US \$)	5,265	0	0		3,055	0	0	0	2,665	10,985
2.3	Cooperating IA (UNIDO) agreed funding (US \$)	40,000	0	0		40,000	0	0	0	0	80,000
2.4	Support costs for Cooperating IA (US \$)	3,600	0	0		3,600	0	0	0	0	7,200
3.1	Total agreed funding (US \$)	80,500	0	0		63,500	0	0	0	20,500	164,500
3.2	Total support costs (US \$)	8,865	0	0		6,655	0	0	0	2,665	18,185
3.3	Total agreed costs (US \$)	89,365	0	0		70,155	0	0	0	23,165	182,685
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)										0.07
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)										0
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)										0.13

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval at the first meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase

out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;

- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;
- (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The national ozone unit (NOU) will be monitoring the implementation of the project activities and will prepare quarterly progress reports for the project. The monitoring program will therefore ensure effectiveness of all the proposed projects within the HPMP through constant monitoring and periodic review of the performance of individual projects. Independent verification will be conducted by consultant arranged by the Lead IA.

2. The Lead IA will have a particularly prominent role in the monitoring arrangements because of its mandate to monitor ODS imports, whose records will be used as a crosschecking reference in all the monitoring programmes for the different projects within the HPMP. The Lead IA, along with the cooperating IA will also undertake the challenging task of monitoring illegal ODS imports and exports, and advising the appropriate national agencies through the NOU.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities, including at least the following:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
 - (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
 - (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
 - (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
 - (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
 - (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
 - (g) Carrying out required supervision missions;
 - (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
 - (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
 - (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;

- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:

- (a) Providing assistance for policy development when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$180 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

Annex XIX

AGREEMENT BETWEEN THE GOVERNMENT OF KINGDOM OF BAHRAIN AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of the Kingdom of Bahrain (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 31.66 ODP tonnes by 1 January 2020 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in row 1.2 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in rows 4.1.3, 4.2.3 and 4.3.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country had met the Targets set out in row 1.2 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
 - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
 - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent; and

- (d) That the Country has submitted an annual implementation plan in the form of Appendix 4-A covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub-paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
 - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
 - (ii) Changes which would modify any clause of this Agreement;
 - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
 - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report; and
- (c) Should the Country decide during implementation of the agreement to introduce an alternative technology other than that proposed in the approved HPMP, this would require approval by the Executive Committee as part of an Annual Implementation Plan or the revision of the approved plan. Any submission of such a request for change in technology would identify the associated incremental costs, the potential impact to the climate, and any differences in ODP tonnes to be phased out if applicable. The Country agrees that potential savings in incremental costs related to the change of technology would decrease the overall funding level under this Agreement accordingly;
- (d) Any enterprise to be converted to non-HCFC technology included in the approved HPMP and that would be found to be ineligible under the guidelines of the Multilateral Fund (i.e., due to foreign ownership or establishment post the 21 September 2007 cut-off date), will not receive assistance. This information would be reported to the Executive Committee as part of the Annual Implementation Plan; and

- (e) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNEP has agreed to be the lead implementing agency (the “Lead IA”) and UNIDO has agreed to be the cooperating implementing agency/agencies (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A (“Reductions in Funding for Failure to Comply”) in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to the information necessary to verify compliance with this Agreement.

14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	50.84
HCFC-141b	C	I	0.44
Sub-total			51.29
HCFC-141b contained in imported pre-blended polyols	C	I	10.11
Total			61.39

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2012	2013	2014	2015	2016	2017	2018	2019	2020	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	51.90	51.90	46.71	46.71	46.71	46.71	46.71	33.74	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	51.77	51.77	46.58	46.45	45.39	43.54	37.27	31.66	n/a
2.1	Lead IA (UNEP) agreed funding (US \$)	120,000	0	145,000	0	125,000	0	55,000	0	25,000	470,000
2.2	Support costs for Lead IA (US \$)	15,600	0	18,850	0	16,250	0	7,150	0	3,250	61,100
2.3	Cooperating IA (UNIDO) agreed funding (US \$)	549,455	0	0	0	936,646	0	720,384	0	132,500	2,338,985
2.4	Support costs for Cooperating IA (US \$)	38,462	0	0	0	65,565	0	50,427	0	9,275	163,729
3.1	Total agreed funding (US \$)	669,455	0	145,000	0	1,061,646	0	775,384	0	157,500	2,808,985
3.2	Total support costs (US \$)	54,062	0	18,850	0	81,815	0	57,577	0	12,525	224,829
3.3	Total agreed costs (US \$)	723,517	0	163,850	0	1,143,461	0	832,961	0	170,025	3,033,814
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)										22.77
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)										0.00
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)										28.69
4.2.1	Total phase-out of HCFC-141b agreed to be achieved under this Agreement (ODP tonnes)										0.44
4.2.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)										0.00
4.2.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)										0.00
4.3.1	Total phase-out of HCFC-141b contained in imported pre-blended polyols agreed to be achieved under this Agreement (ODP tonnes)										0.00
4.3.2	Phase-out of HCFC-141b contained in imported pre-blended polyols to be achieved in previously approved projects (ODP tonnes)										0.00
4.3.3	Remaining eligible consumption for HCFC-141b contained in imported pre-blended polyols (ODP tonnes)										10.11

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval at the last meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;
- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;
- (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. All of the monitoring activities will be co-ordinated and managed by the National Ozone Unit (NOU) and the two implementing agencies through the project funding, which is included within this HPMP.
2. The Lead IA will have a particularly prominent role in the monitoring arrangements because of its mandate to monitor ODS imports, whose records will be used as a cross-checking reference in all the monitoring programmes for the different projects within the HPMP. The Lead IA, along with the Cooperating IA will also undertake the challenging task of monitoring illegal ODS imports and exports and advise the appropriate national agencies through the National Ozone Office.
3. In order to ensure that all activities are taking place as planned in the HPMP and to ensure close collaboration between the Lead and the Cooperating IAs, a project implementation and monitoring component is included in the project. This will cover the implementation activities, the day-to-day follow-up, and the selected consultants will advise the NOU, the Lead and the Cooperating IAs if necessary corrective measures are required.
4. The goal of the component is to monitor effectiveness of implementation of the HPMP, including reductions of HCFC consumption levels, and to measure the impact of the project activities on the overall phase out strategy and programme. The Government of Bahrain in consultation with the Lead and the Cooperating IAs will select and contract an independent local organization/firm to undertake this task and report annually on the outcomes and deliverables of the HPMP.
5. The NOU will be responsible for providing the selected organization with all relevant information in possession, full information on NOU activities and partners, and the necessary support/documentation to ensure its access to relevant official institutions and other organizations; and providing the reasonable support in independent data collection.
6. The selected organization will be responsible for:
 - (a) Developing and presenting to the Lead IA, the Cooperating IA and the NOU the approach to independent monitoring of the terminal phase-out management plan implementation;
 - (b) Undertaking independent monitoring of all the activities implemented in the HPMP;
 - (c) Presenting reports on HPMP implementation status and HCFC consumption in the country on half-yearly basis;
 - (d) Preparing periodic (annual) assessment of the consumption of ODS and evaluating the impact of the projects being undertaken; and
 - (e) Taking into consideration comments and recommendations of the Lead IA, the Cooperating IA and the NOU on activities and react accordingly.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities, including at least the following:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and

with its specific internal procedures and requirements as set out in the Country's HPMP;

- (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
- (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
- (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
- (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
- (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
- (g) Carrying out required supervision missions;
- (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
- (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
- (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:

- (a) Providing assistance for policy development when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the

activities; and

- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$214 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

Annex XX

**AGREEMENT BETWEEN THE GOVERNMENT OF PERU AND THE EXECUTIVE
COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION
IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS**

1. This Agreement represents the understanding of the Government of Peru (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 24.19 ODP tonnes by 1 January 2015 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in row 1.2 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in rows 4.1.3, 4.2.3, 4.3.3, 4.4.3 and 4.5.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country had met the Targets set out in row 1.2 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
 - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
 - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent; and

- (d) That the Country has submitted an annual implementation plan in the form of Appendix 4-A covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub-paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
 - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
 - (ii) Changes which would modify any clause of this Agreement;
 - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
 - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report; and
- (c) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNDP has agreed to be the lead implementing agency (the “Lead IA”) and UNEP has agreed to be the cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in

respect of the Country's activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A ("Reductions in Funding for Failure to Comply") in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to the information necessary to verify compliance with this Agreement.

14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	23.85
HCFC-124	C	I	0.06
HCFC-141b	C	I	1.79
HCFC-142b	C	I	1.18
Sub-total			26.88
HCFC-141b contained in imported pre-blended polyols*	C	I	TBD
Total			26.88

* To be phased-out during stage II

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2012	2013	2014	2015	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	26.88	26.88	24.19	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	26.88	26.88	24.19	n/a
2.1	Lead IA (UNDP) agreed funding (US \$)	108,000	100,000	0	24,671	232,671
2.2	Support costs for Lead IA (US \$)	9,720	9,000	0	2,220	20,940
2.3	Cooperating IA (UNEP) agreed funding (US \$)	25,000	20,000	0	5,000	50,000
2.4	Support costs for Cooperating IA (US \$)	3,250	2,600	0	650	6,500
3.1	Total agreed funding (US \$)	133,000	120,000	0	29,671	282,671
3.2	Total support costs (US \$)	12,970	11,600	0	2,870	27,440
3.3	Total agreed costs (US \$)	145,970	131,600	0	32,541	310,111
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)					1.95
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)					0
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)					21.90
4.2.1	Total phase-out of HCFC-124 agreed to be achieved under this Agreement (ODP tonnes)					0
4.2.2	Phase-out of HCFC-124 to be achieved in previously approved projects (ODP tonnes)					0
4.2.3	Remaining eligible consumption for HCFC-124 (ODP tonnes)					0.06
4.3.1	Total phase-out of HCFC-141b agreed to be achieved under this Agreement (ODP tonnes)					1.79
4.3.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)					0
4.3.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)					0
4.4.1	Total phase-out of HCFC-142b agreed to be achieved under this Agreement (ODP tonnes)					0
4.4.2	Phase-out of HCFC-142b to be achieved in previously approved projects (ODP tonnes)					0
4.4.3	Remaining eligible consumption for HCFC-142b (ODP tonnes)					1.18
4.5.1	Total phase-out of HCFC-141b contained in imported pre-blended polyols agreed to be achieved under this Agreement (ODP tonnes)					0
4.5.2	Phase-out of HCFC-141b contained in imported pre-blended polyols to be achieved in previously approved projects (ODP tonnes)					0
4.5.3	Remaining eligible consumption for HCFC-141b contained in imported pre-blended polyols (ODP tonnes)					TBD

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval at the last meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;
- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;
- (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The monitoring unit will be located within the Ozone Technical Office (OTO), and its responsibilities will include: day-to-day implementation of project activities; regular monitoring of project activities, results, progress in HCFC replacement technologies and trends in the local ODS market; technical guidance to the project beneficiaries; and preparation of annual and other progress reports to the Executive Committee. The administrative monitoring will be performed by the auditing unit of the Vice Ministry of Industry under the rules and procedures of the Government of Peru.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities, including at least the following:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
 - (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
 - (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
 - (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
 - (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
 - (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
 - (g) Carrying out required supervision missions;
 - (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
 - (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
 - (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
 - (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
 - (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:

- (a) Providing assistance for policy development when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$151 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

Annex XXI

AGREEMENT BETWEEN THE GOVERNMENT OF THE PHILIPPINES AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of the Philippines (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 146.58 ODP tonnes by 1 January 2015 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in row 1.2 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in rows 4.1.3, 4.2.3 and 4.3.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country had met the Targets set out in row 1.2 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
 - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
 - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent; and

- (d) That the Country has submitted an annual implementation plan in the form of Appendix 4-A covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub-paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
 - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
 - (ii) Changes which would modify any clause of this Agreement;
 - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
 - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report;
- (c) Should the Country decide during implementation of the agreement to introduce an alternative technology other than that proposed in the approved HPMP, this would require approval by the Executive Committee as part of an Annual Implementation Plan or the revision of the approved plan. Any submission of such a request for change in technology would identify the associated incremental costs, the potential impact to the climate, and any differences in ODP tonnes to be phased out if applicable. The Country agrees that potential savings in incremental costs related to the change of technology would decrease the overall funding level under this Agreement accordingly;
- (d) Any enterprise to be converted to non-HCFC technology included in the approved HPMP and that would be found to be ineligible under the guidelines of the Multilateral Fund (i.e., due to foreign ownership or establishment post the 21 September 2007 cut-off date), will not receive assistance. This information would be reported to the Executive Committee as part of the Annual Implementation Plan; and

- (e) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.
8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:
- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
 - (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.
9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNEP has agreed to be the lead implementing agency (the “Lead IA”), UNIDO and Japan have agreed to be the cooperating agencies (the “Cooperating IA”) under the lead of the UNEP in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.
10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per subparagraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IAs have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2, 2.4 and 2.6 of Appendix 2-A.
11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A (“Reductions in Funding for Failure to Comply”) in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.
12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.
13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to the information necessary to verify compliance with this Agreement.

14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	109.32
HCFC-123	C	I	1.70
HCFC-141b	C	I	51.85
Total			162.87

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2010	2012	2013	2014	2015	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	N/A	N/A	208.4	208.4	187.56	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	N/A	N/A	162.87	162.87	146.58	n/a
2.1	Lead IA (UNEP) agreed funding (US \$)	0	207,000	0	0	23,000	230,000
2.2	Support costs for Lead IA (US \$)	0	26,910	0	0	2,990	29,900
2.3	Cooperating IA (UNIDO) agreed funding (US \$)	1,770,650	0	0	0	0	1,770,650
2.4	Support costs for Cooperating IA (US \$)	132,799	0	0	0	0	132,799
2.5	Cooperating IA (Japan) agreed funding (US \$)	317,350	0	0	0	0	317,350
2.6	Support costs for Cooperating IA (Japan) (US \$)	41,256	0	0	0	0	41,256
3.1	Total agreed funding (US \$)	2,088,000	207,000	0	0	23,000	2,318,000
3.2	Total support costs (US \$)	174,055	26,910	0	0	2,990	203,955
3.3	Total agreed costs (US \$)	2,262,055	233,910	0	0	25,990	2,521,955
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)						2.0
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)						0
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)						107.32

4.2.1	Total phase-out of HCFC-123 agreed to be achieved under this Agreement (ODP tonnes)	0
4.2.2	Phase-out of HCFC-123 to be achieved in previously approved projects (ODP tonnes)	0
4.2.3	Remaining eligible consumption for HCFC-123 (ODP tonnes)	1.70
4.3.1	Total phase-out of HCFC-141b agreed to be achieved under this Agreement (ODP tonnes)	3.0
4.3.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)	40
4.3.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)	8.85

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval at the second meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;
- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;

- (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The Philippine Ozone Desk (POD) under the Department of Environment and Natural Resources (DENR), with assistance from an HPMP programme management unit (PMU), will enact HCFC import regulations and policies and improve data collection processes, including but not limited to:

- (a) Enact regulations to ban bulk imports of HCFC-141b intended for usage in foam sector, ban the use of HCFC-141b in foam manufacturing and ban the import of pre-blended polyol containing HCFC-141b;
- (b) Enact regulations to ensure that construction of new or expansion of existing manufacturing facilities using HCFCs are not undertaken;
- (c) Collaborate with the Department of Trade and Industry, and the Bureau of Customs, to establish and implement the HCFC import quota system;
- (d) Review applications for, and issue, annual HCFC import/export licenses;
- (e) Develop and publish the annual import quotas for HCFCs for the period 2012 to 2015;
- (f) Report any incidents of illegal imports of HCFCs;
- (g) Develop an improved data management system to track HCFC consumption reporting by companies;
- (h) Conduct outreach with companies to improve data submissions, and perform data entry and quality control procedures from 2013-2014; and
- (i) Ensure that annual independent verification of reported HCFC consumption data is undertaken for reporting under Article 7.

2. To facilitate implementation of the stage I HPMP, the HPMP PMU will undertake the following activities in cooperation with or under the supervision of the POD:

- (a) Work with the Lead IA to provide training and technical assistance and disseminate information to raise awareness about limiting the growth of HCFC-141b in air-conditioning line flushing and solvent applications in other industries and the cost-effectiveness of available alternatives;
- (b) Work with the Cooperating IA to implement the activities specified in the foam sector plan;

- (c) Prepare implementation plans and progress reports on the implementation of the HPMP and other reports as required by the POD and the Multilateral Fund of Executive Committee and in coordination with the Lead IA;
- (d) Carry out safety and technical audits of all relevant activities undertaken under this plan.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities, including at least the following:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
 - (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
 - (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
 - (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
 - (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
 - (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
 - (g) Carrying out required supervision missions;
 - (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
 - (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
 - (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
 - (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
 - (l) Providing assistance with policy, management and technical support when required.
2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the

consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCIES

1. The Cooperating IA will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:

- (a) Providing assistance for policy development when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$116 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

Annex XXII

AGREEMENT BETWEEN THE GOVERNMENT OF SAUDI ARABIA AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of Saudi Arabia (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 881.21 ODP tonnes by 1 January 2020 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in rows 1.2, 1.3 and 1.4 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in rows 1.2, 1.3 and 1.4 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in rows 4.1.3, 4.2.3, 4.3.3 and 4.4.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in rows 1.2, 1.3 and 1.4 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country had met the Targets set out in rows 1.2, 1.3 and 1.4 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
 - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
 - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent; and

- (d) That the Country has submitted an annual implementation plan in the form of Appendix 4-A covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen;
- (e) That the country has met the conditions set out in Appendix 8-A.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub-paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
 - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
 - (ii) Changes which would modify any clause of this Agreement;
 - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
 - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report; and
- (c) Should the Country decide during implementation of the agreement to introduce an alternative technology other than that proposed in the approved HPMP, this would require approval by the Executive Committee as part of an Annual Implementation Plan or the revision of the approved plan. Any submission of such a request for change in technology would identify the associated incremental costs, the potential impact to the climate, and any differences in ODP tonnes to be phased out if applicable. The Country agrees that potential savings in incremental costs related to the change of technology would decrease the overall funding level under this Agreement accordingly;
- (d) Any enterprise to be converted to non-HCFC technology included in the approved HPMP and that would be found to be ineligible under the guidelines of the Multilateral Fund (i.e., due to foreign ownership or establishment post the 21 September 2007 cut-off date), will not receive assistance. This information would be reported to the Executive Committee as part of the Annual Implementation Plan;

- (e) The Country agrees, in cases where HFC technologies have been chosen as an alternative to HCFC, and taking into account national circumstances related to health and safety and other relevant factors; to monitor the availability of substitutes and alternatives that further minimize impacts on the climate; to consider, in the review of regulations standards and incentives adequate provisions that encourage introduction of such alternatives; and to consider the potential for adoption of cost-effective alternatives that minimize the climate impact in the implementation of the HPMP, when and where possible, and inform the Executive Committee on the progress accordingly;
- (f) The Country commits to examining the possibility of using pre-blended hydrocarbon systems instead of blending them in-house, for those foam enterprises covered under the umbrella project, should this be technically viable, economically feasible and acceptable to the enterprises; and
- (g) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNIDO has agreed to be the lead implementing agency (the "Lead IA") and UNEP has agreed to be the cooperating implementing agency (the "Cooperating IA") under the lead of the Lead IA in respect of the Country's activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in rows 1.2, 1.3 or 1.4 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee

may reduce the amount of the Funding by the amount set out in Appendix 7-A (“Reductions in Funding for Failure to Comply”) in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to the information necessary to verify compliance with this Agreement.

14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	1,011.64
HCFC-123	C	I	0.19
HCFC-141b	C	I	341.00
HCFC-142b	C	I	115.86
Total			1,468.69

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2012	2013	2014	2015	2016	2017	2018	2019	2020	Total	
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	1,468.69	1,468.69	1,321.82	1,321.82	1,321.82	1,321.82	1,321.82	954.65	n/a	
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	1,468.69	1,378.39	1,321.82	1,321.82	1,321.82	980.82	980.82	881.21	n/a	
1.3	Maximum allowable total consumption of HCFC-141b	n/a	n/a	n/a	n/a	n/a	0.00	0.00	0.00	0.00	n/a	
1.4	Maximum allowable total consumption of HCFC-142b	n/a	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	n/a	
2.1	Lead IA (UNIDO) agreed funding (US \$)	2,169,600	2,971,487	1,200,000	1,766,600	850,000	1,047,375	400,000	185,583	170,625	10,761,270	
2.2	Support costs for Lead IA (US \$)	151,872	208,004	84,000	123,662	59,500	73,316	28,000	12,991	11,944	753,289	
2.3	Cooperating IA (UNEP) agreed funding (US \$)	290,400	0	0	250,400	0	123,125	0	0	56,875	720,800	
2.4	Support costs for Cooperating IA (US \$)	35,973	0	0	31,018	0	15,253	0	0	7,045	89,288	
3.1	Total agreed funding (US \$)	2,460,000	2,971,487	1,200,000	2,017,000	850,000	1,170,500	400,000	185,583	227,500	11,482,070	
3.2	Total support costs (US \$)	187,845	208,004	84,000	154,680	59,500	88,569	28,000	12,991	18,989	842,578	
3.3	Total agreed costs (US \$)	2,647,845	3,179,491	1,284,000	2,171,680	909,500	1,259,069	428,000	198,574	246,489	12,324,648	
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)											181.69
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes) *											64.74
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)											765.21
4.2.1	Total phase-out of HCFC-123 agreed to be achieved under this Agreement (ODP tonnes)											0.00
4.2.2	Phase-out of HCFC-123 to be achieved in previously approved projects (ODP tonnes)											0.00
4.2.3	Remaining eligible consumption for HCFC-123 (ODP tonnes)											0.19
4.3.1	Total phase-out of HCFC-141b agreed to be achieved under this Agreement (ODP tonnes)											341.00
4.3.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)											0.00
4.3.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)											0.00
4.4.1	Total phase-out of HCFC-142b agreed to be achieved under this Agreement (ODP tonnes)											0.00
4.4.2	Phase-out of HCFC-142b to be achieved in previously approved projects (ODP tonnes) *											115.86
4.4.3	Remaining eligible consumption for HCFC-142b (ODP tonnes)											0.00

*The Agreement also covers two projects approved at the 62nd meeting of the Executive Committee for implementation by UNIDO and Japan with an associated phase-out of 180.6 ODP tonnes of HCFC-22 and HCFC 142b from the manufacture of extruded polystyrene (XPS) foam at a funding level of US \$1,938,901 plus agency support costs (decision 62/35), and subjects these projects to the same monitoring and reporting obligations as valid for all other activities under stage I of the HPMP.

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval at the last meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;
- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;
- (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The National Ozone Unit (NOU) in close cooperation with relevant authorities will monitor the consumption data of all HCFCs. The two agencies will work together on consumption data reconciliation. Inspections by the NOU staff at converted enterprises are foreseen to ensure sustained HCFC phase-out after project completion. The licensing system will be a tool to monitor and ensure compliance with the control measures.
2. The NOU will liaise with importers and retailers of ODS to obtain HCFC consumption data and cross-check with data from the Customs Department. The NOU will also undertake regular inspections to monitor the use of required labelling in HCFC containers and regular reviews to HCFC customers to enforce the control on sale of HCFCs.
3. The NOU will monitor the implementation of the capacity-building activities with the relevant agencies e.g. refrigeration and air-conditioning technician training (training centres); enforcement officers training (the Customs Department and the Ministry of Commerce).

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities, including at least the following:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
 - (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
 - (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
 - (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
 - (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
 - (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
 - (g) Carrying out required supervision missions;
 - (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
 - (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;

- (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:

- (a) Providing assistance for policy development when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities;
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A;
- (d) Ensuring that disbursements made to the Country are based on the use of the indicators;
- (e) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting; and
- (f) Providing assistance with policy, management and technical support when required.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$78 per ODP kg of consumption beyond the level defined in rows 1.2, 1.3 and 1.4 of Appendix 2-A for each year in which the target specified in rows 1.2, 1.3 or 1.4 of Appendix 2-A has not been met.

APPENDIX 8-A: SECTOR SPECIFIC ARRANGEMENTS

1. This section outlines specific conditions required to be met before the portion of the funding shown in rows 2.1 to 2.4 and 3.1 to 3.3 of Appendix 2-A related to activities in the servicing sector from 2015 and later years could be released:

- (a) Banning of disposable refrigerant containers for HCFC refrigerants;
- (b) Introducing a certification scheme for refrigeration technicians, requiring certain standards of training and equipment;
- (c) Introducing a system regulating access to refrigerant only to entities where certified technicians are carrying out and supervising the work on servicing refrigeration and air conditioning systems,
 - (i) Taking into account the rate of increase of certified personnel, and ensuring that training efforts are carried out timely; and
 - (ii) With means to discourage that supervising of uncertified personnel by certified technicians is carried out only in a perfunctory manner; and
- (d) Developing and submitting with the 2015 tranche request a strategy to strongly encourage end-users of refrigeration and air conditioning equipment to carry out leak detection and repair measures in case of refrigerant losses from refrigeration and air conditioning systems, and committing to implement the strategy during the remainder of the HPMP without additional cost.

2. The portion of the funding related to activities in the servicing sector and being subject to above condition is shown in Table 8-A-1.

Table 8-A-1: Portion of funding related to activities in the servicing sector

Row	Particulars	2015	2016	2017	2018	2019	2020
1.1	UNIDO servicing sector funding (US \$)	777,000	0	387,375	0	0	130,625
1.2	UNEP servicing sector funding (US \$)	120,000	0	63,125	0	0	16,875

Annex XXIII

AGREEMENT BETWEEN THE GOVERNMENT OF THAILAND AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of Thailand (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 881.21 ODP tonnes by 1 January 2018 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in row 1.2 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in rows 4.1.3, 4.2.3, 4.3.3, 4.4.3, 4.5.3, 4.6.3 and 4.7.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the lead implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country had met the Targets set out in row 1.2 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
 - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
 - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent; and

- (d) That the Country has submitted an annual implementation plan in the form of Appendix 4-A covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen; and
- (e) That, for all submissions from the 68th meeting onwards, confirmation has been received from the Government that an enforceable national system of licensing and quotas for HCFC imports and, where applicable, production and exports is in place and that the system is capable of ensuring the Country's compliance with the Montreal Protocol HCFC phase-out schedule for the duration of this Agreement.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub-paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
 - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
 - (ii) Changes which would modify any clause of this Agreement;
 - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
 - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report; and
- (c) Should the Country decide during implementation of the agreement to introduce an alternative technology other than that proposed in the approved HPMP, this would require approval by the Executive Committee as part of an Annual Implementation Plan or the revision of the approved plan. Any submission of such a request for change in technology would identify the associated incremental costs, the potential impact to the climate, and any differences in ODP tonnes to be phased out if applicable. The Country agrees that potential savings in incremental costs related to the change of technology would decrease the overall funding level under this Agreement accordingly;

- (d) Any enterprise to be converted to non-HCFC technology included in the approved HPMP and that would be found to be ineligible under the guidelines of the Multilateral Fund (i.e., due to foreign ownership or establishment post the 21 September 2007 cut-off date), will not receive assistance. This information would be reported to the Executive Committee as part of the Annual Implementation Plan;
- (e) The Country agrees, in cases where HFC technologies have been chosen as an alternative to HCFC, and taking into account national circumstances related to health and safety: to monitor the availability of substitutes and alternatives that further minimize impacts on the climate; to consider, in the review of regulations and/or standards, inclusion of adequate incentive provisions that encourage introduction of lower GWP alternatives; and to consider the potential for adoption of cost-effective alternatives that minimize the climate impact in the implementation of the HPMP, as appropriate, and inform the Executive Committee on the progress accordingly; and
- (f) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. The World Bank has agreed to be the lead implementing agency (the “Lead IA”) and the Government of Japan has agreed to be the cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has

satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A (“Reductions in Funding for Failure to Comply”) in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to the information necessary to verify compliance with this Agreement.

14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	716.57
HCFC-123	C	I	3.20
HCFC-124	C	I	0.08
HCFC-141b	C	I	205.25
HCFC-142b	C	I	0.12
HCFC-225, 225ca and 225cb	C	I	2.30
Sub-total			927.52*
HCFC-141b in imported pre-blended polyols	C	I	15.68
Total			943.20

*Lower than Article 7 baseline because of rounding of Article 7 data to one decimal

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2012	2013	2014	2015	2016	2017	2018	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	927.6	927.6	834.84	834.84	834.84	881.21	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	927.6	927.6	834.84	834.84	834.84	881.21	n/a
2.1	Lead IA (World Bank) agreed funding (US \$)	4,817,166	9,706,154	1,000,000	3,063,542	1,000,000	753,630	2,408,580	22,749,072
2.2	Support costs for Lead IA (US \$)	337,202	679,431	70,000	214,448	70,000	52,754	168,601	1,592,435
2.3	Cooperating IA (Japan) agreed funding (US \$)	302,965	0	0	0	0	0	0	302,965
2.4	Support costs for Cooperating IA (US \$)	39,385	0	0	0	0	0	0	39,385
3.1	Total agreed funding (US \$)	5,120,131	9,706,154	1,000,000	3,063,542	1,000,000	753,630	2,408,580	23,052,037
3.2	Total support costs (US \$)	376,587	679,431	70,000	214,448	70,000	52,754	168,601	1,631,820
3.3	Total agreed costs (US \$)	5,496,718	10,385,585	1,070,000	3,277,990	1,070,000	806,384	2,577,181	24,683,857
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)								67.86
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)								0
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)								648.74
4.2.1	Total phase-out of HCFC-123 agreed to be achieved under this Agreement (ODP tonnes)								0.00
4.2.2	Phase-out of HCFC-123 to be achieved in previously approved projects (ODP tonnes)								0.00
4.2.3	Remaining eligible consumption for HCFC-123 (ODP tonnes)								3.20
4.3.1	Total phase-out of HCFC-124 agreed to be achieved under this Agreement (ODP tonnes)								0.00
4.3.2	Phase-out of HCFC-124 to be achieved in previously approved projects (ODP tonnes)								0.00
4.3.3	Remaining eligible consumption for HCFC-124 (ODP tonnes)								0.08
4.4.1	Total phase-out of HCFC-141b agreed to be achieved under this Agreement (ODP tonnes)								151.68
4.4.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)								0.00
4.4.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)								53.57
4.5.1	Total phase-out of HCFC-142b agreed to be achieved under this Agreement (ODP tonnes)								0.00
4.5.2	Phase-out of HCFC-142b to be achieved in previously approved projects (ODP tonnes)								0.00
4.5.3	Remaining eligible consumption for HCFC-142b (ODP tonnes)								0.12
4.6.1	Total phase-out of HCFC-225, 225ca and 225cb agreed to be achieved under this Agreement (ODP tonnes)								0.00
4.6.2	Phase-out of HCFC-225, 225ca and 225cb to be achieved in previously approved projects (ODP tonnes)								0.00
4.6.3	Remaining eligible consumption for HCFC-225, 225ca and 225cb (ODP tonnes)								2.30
4.7.1	Total phase-out of HCFC-141b contained in imported pre-blended polyols agreed to be achieved under this Agreement (ODP tonnes)								15.19
4.7.2	Phase-out of HCFC-141b contained in imported pre-blended polyols to be achieved in previously approved projects (ODP tonnes)								0.00
4.7.3	Remaining eligible consumption for HCFC-141b contained in imported pre-blended polyols (ODP tonnes)								0.49

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval at the last meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;
- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;
- (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and

- (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The National Ozone Unit (NOU) of the Department of Industrial Works (DIW) is responsible for managing and co-ordinating Thailand's overall ODS phase-out programme, including all phase-out activities and measures controlling Annex C, Group I substances (HCFCs). The management and implementation of this Agreement will be undertaken by the HPMP Project Management Unit (PMU).
2. The HPMP PMU and the NOU will collaborate and co-ordinate with the Hazardous Substances Control Bureau and the Customs Department to institute and implement the import/control system for HCFCs; review annual HCFC import/export license applications to ensure that the list of end-users are provided by importers/exporters; and establish and publish the annual import quotas for HCFCs for the period 2012 through 2016.
3. In order to monitor and evaluate the progress of implementation, the PMU will assist the NOU to:
 - (a) Develop a management information system that captures and tracks all relevant and required data on the import of Annex C, Group I substances (HCFCs) on an annual basis;
 - (b) Update the data on the actual amount of imported HCFCs in cooperation with the Hazardous Substances Control Bureau and the Customs Department on a quarterly basis;
 - (c) Monitor and report any incidents of illegal import of HCFCs;
 - (d) Monitor progress of HCFC phase-out on the demand side by direct oversight of sub-project implementation;
 - (e) Compile periodic progress reports of HPMP implementation and HCFC phase-out achievements for sharing with the DIW, the Customs Department, and the Ministry of Industry and its local bureaus; and
 - (f) Prepare Tranche Implementation Reports and Plans according to the schedule set forth in Appendix 2-A.
4. The DIW will be responsible for reviewing PMU reports and data and instituting control and policy measures which facilitate HCFC control and reductions according to the Agreement.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities, including at least the following:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
 - (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;

- (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
- (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
- (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
- (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
- (g) Carrying out required supervision missions;
- (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
- (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
- (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:

- (a) Providing assistance for policy development when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$196 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

Annex XXIV

AGREEMENT BETWEEN THE GOVERNMENT OF THE REPUBLIC OF TURKEY AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of the Republic of Turkey (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 74.99 ODP tonnes by 1 January 2017 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in row 1.2 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in rows 4.1.3, 4.2.3, 4.3.3 and 4.4.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country had met the Targets set out in row 1.2 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
 - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
 - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent; and
 - (d) That the Country has submitted an annual implementation plan in the form of

Appendix 4-A covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub-paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
 - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
 - (ii) Changes which would modify any clause of this Agreement;
 - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
 - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report;
- (c) Should the Country decide during implementation of the agreement to introduce an alternative technology other than that proposed in the approved HPMP, this would require approval by the Executive Committee as part of an Annual Implementation Plan or the revision of the approved plan. Any submission of such a request for change in technology would identify the associated incremental costs, the potential impact to the climate, and any differences in ODP tonnes to be phased out if applicable. The Country agrees that potential savings in incremental costs related to the change of technology would decrease the overall funding level under this Agreement accordingly;
- (d) Any enterprise to be converted to non-HCFC technology included in the approved HPMP and that would be found to be ineligible under the guidelines of the Multilateral Fund (i.e., due to foreign ownership or establishment post the 21 September 2007 cut-off date), will not receive assistance. This information would be reported to the Executive Committee as part of the Annual Implementation Plan;
- (e) The Country commits to examining the possibility of using pre-blended hydrocarbon systems instead of blending them in-house, for those foam enterprises covered under the

umbrella project, should this be technically viable, economically feasible and acceptable to the enterprises; and

- (f) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNIDO has agreed to be the lead implementing agency (the “Lead IA”) and UNEP has agreed to be the cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A (“Reductions in Funding for Failure to Comply”) in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to the information necessary to verify compliance with this Agreement.

14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level +has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	296.30
HCFC-141b	C	I	197.10
HCFC-142b	C	I	116.40
Subtotal			609.90*
HCFC-141b contained in imported polyols			31.53
Total			641.43

(*) The difference of 0.1 ODP tonnes in the subtotal is due to a very small consumption of HCFC-123 and rounding

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2010	2011	2012	2013	2014	2015	2016	2017	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	n/a	n/a	551.40	551.40	496.26	496.26	496.26	
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	n/a	n/a	456.10	360.80	265.50	170.20	74.99	
2.1	Lead IA (UNIDO) agreed funding (US \$)	7,713,490	0	807,750	0	0	2,500,000	1,598,850	1,500,000	14,120,090
2.2	Support costs for Lead IA (US \$)	578,512	0	56,543	0	0	175,000	111,920	105,000	1,026,975
2.3	Cooperating IA (UNEP) agreed funding (US \$)	0	0	103,450	0	0	0	0	0	103,450
2.4	Support costs for Cooperating IA (US \$)	0	0	13,449	0	0	0	0	0	13,449
3.1	Total agreed funding (US \$)	7,713,490	0	911,200	0	0	2,500,000	1,598,850	1,500,000	14,223,540
3.2	Total support costs (US \$)	578,512	0	69,992	0	0	175,000	111,920	105,000	1,040,424
3.3	Total agreed costs (US \$)	8,292,002*	0	981,192	0	0	2,675,000	1,710,770	1,605,000	15,263,964
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)									84.54
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)									74.70
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)									137.60
4.2.1	Total phase-out of HCFC-141b agreed to be achieved under this Agreement (ODP tonnes)									98.10

4.2.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)	99.00
4.2.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)	0.00
4.3.1	Total phase-out of HCFC-142b agreed to be achieved under this Agreement (ODP tonnes)	0.00
4.3.2	Phase-out of HCFC-142b to be achieved in previously approved projects (ODP tonnes)	120.00
4.3.3	Remaining eligible consumption for HCFC-142b (ODP tonnes)	0.00
4.4.1	Total phase-out of HCFC-141b contained in imported polyols agreed to be achieved under this Agreement (ODP tonnes)	31.53
4.4.2	Phase-out of HCFC-141b contained in imported polyols to be achieved in previously approved projects (ODP tonnes)	0.00
4.4.3	Remaining eligible consumption for HCFC-141b contained in imported polyols (ODP tonnes)	0.00

(*) Approved at the 62nd meeting of the Executive Committee

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval at the first meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;
- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description

should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;

- (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The monitoring process will be managed by the Ministry of Environment and Urbanisation (former Ministry of Environment and Forestry), the National Ozone Unit (NOU) with the assistance of the Lead IA. The NOU will establish a project implementation team.
2. The consumption will be monitored and determined based on official import and export data for the substances recorded by relevant Government departments.
3. The Ministry of Environment and Urbanisation/NOU shall compile and report the following data and information on an annual basis on or before the relevant due dates: annual reports on consumption of the substances to be submitted to the Ozone Secretariat; and annual reports on progress of implementation of HPMP to be submitted to the Executive Committee of the Multilateral Fund.
4. The Ministry of Environment and Urbanisation/NOU and the Lead IA will engage an independent and qualified entity to carry out a qualitative and quantitative performance evaluation of the HPMP implementation.
5. The evaluating entity shall have full access to relevant technical and financial information related to implementation of the HPMP. The evaluating entity shall prepare and submit to the Ministry of Environment and Urbanisation/NOU and the Lead IA, a consolidated draft report at the end of each annual implementation plan, comprising of the findings of the evaluation and recommendations for improvements or adjustments, if any. The draft report shall include the status of the Country's compliance with the provisions of this Agreement.
6. Upon incorporating the comments and explanations as may be applicable, from the Ministry of Environment and Urbanisation/NOU and the Lead IA, the evaluating entity shall finalize the report and submit to the Ministry of Environment and Urbanisation/NOU and the Lead IA.
7. The Ministry of Environment and Urbanisation/NOU shall endorse the final report and the Lead IA shall submit the same to the relevant meeting of the Executive Committee along with the annual implementation plan and reports.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities, including at least the following:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and

with its specific internal procedures and requirements as set out in the Country's HPMP;

- (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
- (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
- (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
- (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
- (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
- (g) Carrying out required supervision missions;
- (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
- (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
- (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA,, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:

- (a) Providing assistance for policy development when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and

- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$56 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

Annex XXV

AGREEMENT BETWEEN THE GOVERNMENT OF THE REPUBLIC OF YEMEN AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of the Republic of Yemen (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 134.47 ODP tonnes by 1 January 2015 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in row 1.2 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in rows 4.1.3, 4.2.3, 4.3.3 and 4.4.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country had met the Targets set out in row 1.2 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
 - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
 - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent; and

- (d) That the Country has submitted an annual implementation plan in the form of Appendix 4-A covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub-paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
 - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
 - (ii) Changes which would modify any clause of this Agreement;
 - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
 - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report; and
- (c) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNEP has agreed to be the lead implementing agency (the “Lead IA”) and UNIDO has agreed to be the cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A (“Reductions in Funding for Failure to Comply”) in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to the information necessary to verify compliance with this Agreement.

14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	156.10
HCFC-141b	C	I	1.10
HCFC-142b	C	I	1.00
Sub-total			158.20
HCFC-141b in imported polyol			17.55
Total			175.75

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2012	2013	2014	2015	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	158.20	158.20	142.38	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	158.20	158.20	134.47	n/a
2.1	Lead IA UNEP agreed funding (US \$)	215,000	0	165,000	0	380,000
2.2	Support costs for Lead IA (US \$)	27,950	0	21,450	0	49,400
2.3	Cooperating IA UNIDO agreed funding (US \$)	410,000	0	0	0	410,000
2.4	Support costs for Cooperating IA (US \$)	28,700	0	0	0	28,700
3.1	Total agreed funding (US \$)	625,000	0	165,000	0	790,000
3.2	Total support costs (US \$)	56,650	0	21,450	0	78,100
3.3	Total agreed costs (US \$)	681,650	0	186,450	0	868,100
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)					62.18
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)					0.00
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)					93.92
4.2.1	Total phase-out of HCFC-141b agreed to be achieved under this Agreement (ODP tonnes)					1.10
4.2.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)					0.00
4.2.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)					0.00
4.3.1	Total phase-out of HCFC-142b agreed to be achieved under this Agreement (ODP tonnes)					0.00
4.3.2	Phase-out of HCFC-142b to be achieved in previously approved projects (ODP tonnes)					0.00
4.3.3	Remaining eligible consumption for HCFC-142b (ODP tonnes)					1.00
4.4.1	Total phase-out of HCFC-141b contained in imported pre-blended polyols agreed to be achieved under this Agreement (ODP tonnes)					0.00
4.4.2	Phase-out of HCFC-141b contained in imported pre-blended polyols to be achieved in previously approved projects (ODP tonnes)					0.00
4.4.3	Remaining eligible consumption for HCFC-141b contained in imported pre-blended polyols (ODP tonnes)					17.55

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval at the last meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;
- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;
- (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. In order to ensure that all activities are taking place as planned in the HPMP and to ensure close collaboration between the Lead IA and Cooperating IA, a project implementation and monitoring component is included, to monitor effectiveness of implementation of the HPMP (including reductions of HCFC consumption levels), and to measure the impact of training and assistance programmes on the overall phase-out strategy. Implementation of the HPMP will be undertaken by the National Ozone Unit (NOU) with support from the Environment Protection Agency's (EPA) offices in the Governorates.

2. The NOU will be responsible for the overall implementation of the HPMP. It will take advantage of the presence of the regional EPA offices in the Governorates and utilize their services in the implementation of the various components in their region.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities, including at least the following:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
 - (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
 - (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
 - (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
 - (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
 - (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
 - (g) Carrying out required supervision missions;
 - (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
 - (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
 - (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each

implementing or bilateral agency involved;

- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:

- (a) Providing assistance for policy development when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$66.58 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

Annex XXVI
REVISED 2012 AND 2013 AND 2014 AND 2015 BUDGETS OF THE FUND SECRETARIAT

		Revised	Revised	Approved	Approved	
		2012	2013	2014	2015	
10	PERSONNEL COMPONENT					
1100	Project Personnel (Title & Grade)					
	01	Chief Officer (D2)	237,190	244,306	251,635	259,184
	02	Deputy Chief Officer (D1)	234,078	241,100	248,333	255,783
	03	Programme Management Officer (P3)	155,137	159,791	164,585	169,522
	04	Deputy Chief Officer on Financial and Economic Affairs (P5)	211,527	217,873	224,409	231,142
	05	Senior Project Management Officer (P5)	211,527	217,873	224,409	231,142
	06	Senior Project Management Officer (P5)	211,527	217,873	224,409	231,142
	07	Senior Project Management Officer (P5)	211,527	217,873	224,409	231,142
	08	Information Management Officer (P3)	187,036	192,647	198,426	204,379
	09	Senior Admin & Fund Management Officer (P5)*	189,785	195,478	201,342	207,383
	10	Senior Monitoring and Evaluation Officer (P5)	211,527	217,873	224,409	231,142
	11	Programme Management Officer (P3)	155,137	159,791	164,585	169,522
	12	Associate IT Officer (Upgraded to P3)	130,000	133,900	137,917	142,055
	13	Associate HR Officer (P2)	0	0	-	0
	14	Programme Management Officer (P3)	155,137	159,791	164,585	169,522
1199	Sub-Total		2,501,136	2,576,170	2,653,455	2,733,059
1200	Consultants					
	01	Projects and technical reviews etc	100,000	100,000	0	0
1299	Sub-Total		100,000	100,000	-	-
1300	Administrative Support Personnel					
	01	Admin Assistant (G7)	89,161	91,836	94,591	97,429
	02	Meeting Services Assistant (G7)	84,366	86,897	89,504	92,189
	03	Programme Assistant (G7)	89,161	91,836	94,591	97,429
	04	Senior Secretary (G5)	66,045	68,027	70,067	72,169
	05	Senior Secretary (G5)	66,045	68,027	70,067	72,169
	06	Computer Operations Assistant (G6)	89,161	91,836	94,591	97,429
	07	Programme Assistant (G5)	69,803	71,897	74,054	76,276
	08	Secretary/Clerk, Administration (G6)	74,881	77,128	79,441	81,825
	09	Registry Clerk (G4)	57,052	58,764	60,527	62,343
	10	Database Assistant (G7)	89,161	91,836	94,591	97,429
	11	Secretary, Monitoring & Evaluation (G5)	66,045	68,027	70,067	72,169
	12	IMIS Assistant (G6)	0	0	-	0
	13	Secretary (G5)	66,045	68,027	70,067	72,169
	14	Programme Assistant (G5)	66,045	68,027	70,067	70,067
	Sub-Total		972,973	1,002,162	1,032,227	1,061,092
1330	Conference Servicing Cost					
1333	Meeting Services: ExCom					
			260,000	260,000	-	-
1334	Meeting Services: ExCom					
			328,668	260,000	-	-
1336	Meeting Services: ExCom					
			260,000	260,000	-	-
1335	Temporary assistance					
			43,782	43,782	-	-
	Sub-Total		892,450	823,782	-	-
1399	TOTAL ADMINISTRATIVE SUPPORT		1,865,423	1,825,944	1,032,227	1,061,092

* Difference in cost between P4 and P5 is to be charged to BL 2101

Note: Personnel costs under 1100 and 1300 will be offset by US \$599,514 based on 2011 actual cost differentials between staff cost in Montreal and staff cost in Nairobi.

			C	E	F	F
			Revised	Revised	Approved	Approved
			2012	2013	2014	2015
1600	Travel on official business					
	01	Mission Costs	208,000	208,000	-	-
	02	Network Meetings (4)	20,000	50,000	-	-
1699		Sub-Total	228,000	258,000	-	-
1999		COMPONENT TOTAL	4,694,559	4,760,114	3,685,682	3,794,151
20	CONTRACTUAL COMPONENT					
2100	Sub-contracts					
	01	Treasury services (Decision 59/51 (b))	500,000	500,000	-	-
2200	Subcontracts					
	01	Various Studies				
	02	Corporate contracts		-	-	-
2999		COMPONENT TOTAL	500,000	500,000	-	-
30	MEETING PARTICIPATION COMPONENT					
3300	Travel & DSA for Art 5 delegates to ExCom Meetings					
	01	Travel of Chairperson and Vice-Chairperson	15,000	15,000	-	-
	02	Executive Committee (3)	225,000	225,000	-	-
3999		COMPONENT TOTAL	240,000	240,000	-	-
40	EQUIPMENT COMPONENT					
4100	Expendables					
	01	Office Stationery	17,550	17,550	-	-
	02	Computer expendable (Software, accessories, hubs, switches, memory)	10,530	10,530	-	-
4199		Sub-Total	28,080	28,080	-	-
4200	Non-Expendable Equipment					
	01	Computers, printers	13,000	13,000	-	-
	02	Other expendable equipment (Shelves, Furnitures)	5,850	5,850	-	-
4299		Sub-Total	18,850	18,850	-	-
4300	Premises					
	01	Rental of office premises**	870,282	870,282	-	-
		Sub-Total	870,282	870,282	-	-
4999		COMPONENT TOTAL	917,212	917,212	-	-

**Based on 2011 cost differentials, the rental costs will be offset by US \$758,144 leaving an amount of US \$46,248 to be charged to the Fund

		C	E	F	F
		Revised	Revised	Approved	Approved
		2012	2013	2014	2015
50	MISCELLANEOUS COMPONENT				
5100	Operation and Maintenance of Equipment				
	01 Computers and printers, etc.(toners, colour printer)	8,100	8,100	-	-
	02 Maintenance of office premises	8,000	8,000	-	-
	03 Rental of photocopiers (office)	15,000	15,000	-	-
	04 Telecommunication equipment rental	8,000	8,000	-	-
	05 Network maintenance	10,000	10,000	-	-
5199	Sub-Total	49,100	49,100	-	-
5200	Reproduction Costs				
	01 Executive Committee meetings and reports to MOP	15,300	15,300	-	-
5299	Sub-Total	15,300	15,300	-	-
5300	Sundries				
	01 Communications	58,500	58,500	-	-
	02 Freight Charges	13,500	13,500	-	-
	03 Bank Charges	4,500	4,500	-	-
	05 Staff Training	20,137	20,137	-	-
5399	Sub-Total	96,637	96,637	-	-
5400	Hospitality & Entertainment				
	01 Hospitality costs	24,000	24,000	-	-
5499	Sub-Total	24,000	24,000	-	-
5999	COMPONENT TOTAL	185,037	185,037	-	-
GRAND TOTAL		6,536,808	6,602,363	3,685,682	3,794,151
	Programme Support Costs (13%)	451,634	465,183	479,139	493,240
COST TO MULTILATERAL FUND		6,988,442	7,067,547	4,164,821	4,287,391
	Previous budget schedule	6,919,774	4,043,516	4,164,821	-
	Increase/decrease	68,668	3,024,031	(0)	4,287,391

Annex XXVII

**VACANCY ANNOUNCEMENT
CHIEF OFFICER, D-2**

DEADLINE FOR APPLICATIONS: [15 March 2013]
DATE OF ISSUANCE: [15 January 2013]
ORGANIZATIONAL UNIT: United Nations Environment Programme
DUTY STATION: Montreal
VACANCY ANNOUNCEMENT NUMBER - xx-PGM-UNEP-xxxxx-MONTREAL
NUMBER:

Organisational Setting And Reporting

This position is located in Montreal. Under the guidance and instruction of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol, the Chief Officer will assist the Executive Committee in the discharge of its functions and report to it.

United Nations Core Values: Integrity, Professionalism, Respect for Diversity

Responsibilities

Specifically, the incumbent is expected to:

1. Direct the development of the Multilateral Fund strategic plan, operational policies and guidelines, including funding allocation, project approval and evaluation policies and guidelines for adoption by the Fund's Executive Committee.
2. Develop three-year budgets and plans for the Multilateral Fund including allocation of Fund resources among the implementing agencies (UNDP, UNEP, UNIDO, World Bank, and bilateral agencies) for consideration by the Executive Committee.
3. Manage the financial resources of approximately \$3 billion that has so far been allocated for the implementation of the Montreal Protocol. Develop plans and strategies on the basis of available financial resources to ensure the achievement of the Montreal Protocol phase out targets by 145 developing country Parties to the Protocol. Facilitate the monitoring of Fund expenditures by the Executive Committee. Ensure expeditious payment of contributions to the Fund by the Parties to the Protocol, and promote additional contributions from other sources.
4. Manage relations with, and coordinate the work of, the implementing agencies of the Multilateral Fund: UNDP, UNEP, UNIDO and the World Bank and several bilateral agencies to promote international cooperation and ensure successful coordination and partnership in the achievement of Montreal Protocol objectives by speedy identification and implementation of investment and non-investment projects in developing countries.
5. Establish and manage effective relations with Article 5 countries, their governments and representatives, to promote environmental issues and ensure the achievement of the Montreal Protocol phase out targets. Direct the provision of technical, legal and institutional advice and assistance to Governments in the development of legally binding instruments for the implementation of the Montreal Protocol.
6. Direct the assessment of activities and projects established on the basis of developing countries' compliance needs to ensure that they meet compliance targets agreed with the Executive Committee and report the results to the Committee. Prepare annual reports to be presented to the meeting of Parties on the activities of the Multilateral Fund.
7. Effectively manage the Secretariat by providing leadership in fostering UN values and principles.

Competencies

Professionalism: demonstrates professional competence and mastery of subject matter, is conscientious and efficient in meeting commitments, observing deadlines and achieving results. Accountability: operates in compliance with organisational regulations and rules, delivers outputs within prescribed time, cost and quality standards. Vision: identifies strategic issues, opportunities and risks. Generates and communicates broad and compelling organisational direction, inspiring others to pursue the same direction. Communication: interprets messages from others and responds appropriately and demonstrates openness in sharing information and keeping people informed. Leadership: proactive in developing strategies to accomplish objectives and drives for change and improvement. Empowering others: empowers others to translate vision into results. Delegates responsibility, clarifies expectations, and gives staff autonomy in important areas of their work. Involves others in decision making, showing appreciation and encourages others to set challenging goals and holds them responsible for achieving results related to their area of responsibility. Managing performance: ability to monitor and appraise programme implementation, progress against milestones.

QUALIFICATIONS

Education

Advanced university degree at masters or preferably Ph.D level would be required with preference in economics, business administration, finance, public administration or any other relevant field.

Work Experience

At least 15 years managerial experience related to policy development, project evaluation and implementation, with at least 7 years at a senior level. Extensive knowledge of the UN charter bodies, policy and decision-making structure, UNEP policy and global environment issues. Formal and/or practical training in staff management, policy analysis and development, environmental planning, programming and budgeting.

Languages

Fluency in oral and written English essential. Good working knowledge of another United Nations official language an asset

Other Skills

Experience in dealing with international organizations and national governments. The position requires political sensitivity and tact.

Evaluation of qualified candidates may include an assessment exercise which may be followed by a competency-based interview.

Special Notice

- This is extra budgetary post and extension of the appointment is subject to the availability of the funds.
- Staff members are subject to the authority of the Secretary-General and to assignment by him or her. In this context, all staff are expected to move periodically to new functions in their careers in accordance with established rules and procedures.
- All applicants are strongly encouraged to apply on-line as soon as possible after the job opening has been posted and well before the deadline stated in the job opening. On-line applications will be acknowledged where an email address has been provided.
- If you do not receive an e-mail acknowledgement within 24 hours of submission, your application may not have been received. In such cases, please resubmit the application, if necessary. If the problem persists, please seek technical assistance through the Inspira "Need Help?" link.

United Nations Considerations

The United Nations shall place no restrictions on the eligibility of men and women to participate in any capacity and under conditions of equality in its principal and subsidiary organs. (Charter of the United Nations - Chapter 3, article 8). The United Nations Secretariat is a non-smoking environment.

No Fee

THE UNITED NATIONS DOES NOT CHARGE A FEE AT ANY STAGE OF THE RECRUITMENT PROCESS (APPLICATION, INTERVIEW MEETING, PROCESSING, OR TRAINING). THE UNITED NATIONS DOES NOT CONCERN ITSELF WITH INFORMATION ON APPLICANTS' BANK ACCOUNTS.
