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EXECUTIVE COMMITTEE OF
THE MULTILATERAL FUND FOR THE
IMPLEMENTATION OF THE MONTREAL PROTOCOL
Sixty-sixth Meeting
Montreal, 16-20 April 2012

REPORT ON BALANCES AND AVAILABILITY OF RESOURCES**Introduction**

1. This document presents a summary of the financial adjustments indicated by the bilateral and implementing agencies (IAs) as agreed by the Fund Secretariat. The document includes statistical data, as required by decisions 28/7 and 56/2, from projects for bilateral and IAs with balances that have been held for over the allowable 12-month period following completion of the project. The data presented are based on the reporting format that has been developed pursuant to decision 31/2 and include both obligated and un-obligated balances. Further to decision 41/92(b), this document also indicates the level of resources available to the Executive Committee in cash and promissory notes as recorded in the Status of contributions and disbursements (UNEP/OzL.Pro/ExCom/66/3). The total funds to be returned addressed in this report amount to US \$1,038,911 including support costs, which consist of US \$3,028,410 returned by the IAs less the amount of US \$1,989,499 to be transferred from the World Bank to UNEP. It also does not include the interest accrued of US \$260,777 returned by one bilateral agency as additional income to the Fund and the bilateral agencies intent to return in cash a balance of US \$78,331 to the 66th meeting.

Funds being returned to the 66th meeting by Implementing Agencies

2. Information provided by the IAs indicates that a total of US \$2,773,074 excluding agency support costs will be returned to the 66th meeting, as set out in Table 1.

Pre-session documents of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol are without prejudice to any decision that the Executive Committee might take following issuance of the document.

Table 1

**FUNDS TO BE RETURNED BY THE IMPLEMENTING AGENCIES TO THE
66th MEETING (US \$)**

Agency	Funds from completed projects	Funds from on-going projects	Total funds to be returned to the 66 th meeting	Fund to be Transferred to UNEP
UNDP	676,933	0	676,933	0
UNEP	52,098	0	52,098	0
UNIDO	105,643	24,451	130,094	0
World Bank	102,239	1,811,710	1,913,949*	1,835,205
TOTAL	936,913	1,836,161	2,773,074	1,835,205

*Includes the transfer of US \$1,835,205 from the WB to UNEP for the Philippine's national phase out plan, per decision 65/10(e)(iii)

3. Table 1 shows that UNDP will return US \$676,933 to the Fund from completed project costs, UNEP and UNIDO US \$52,098, US \$105,643 respectively from completed projects. UNIDO will also return US \$24,451 from ongoing projects. The World Bank will return US \$102,239 from 3 completed projects and US \$1,811,710 from 3 ongoing projects resulting into a total return of US \$1,913,949. This amount includes US \$1,835,205 to be transferred to UNEP for the following 4 projects, PHI/PHA/47/INV/79, PHI/PHA/41/INV/71, PHI/PHA/51/INV/81 and PHI/PHA/54/INV/82 as a follow up to decision 65/10(e)(iii) to request the World Bank to return the remaining balance of the Philippine's national phase out plan to the 66th meeting.

Projects with balances by year of completion

4. Table 2 presents the number of projects with balances that are still being held by the IAs and the years in which those projects were completed. It shows that for projects completed up to and including 31 December 2010 (at least over the last 12 months), IAs had balances that totalled US \$2,867,625 excluding support costs.

Table 2

BALANCES HELD BY YEAR OF PROJECT COMPLETION

Year completed	Number of projects and amount of balances reported by agency									
	UNDP		UNEP		UNIDO		World Bank		TOTAL	
	Number	(US \$)	Number	(US \$)	Number	(US \$)	Number	(US \$)	Number	(US \$)
2005	0	0	3	31,696	0	0	0	0	3	31,696
2006	2	11,621	2	72,022	0	0	0	0	4	83,643
2007	7	50,661	1	8,000	2	48,654	0	0	10	107,315
2008	5	78,194	4	112,350	3	297,726	0	0	12	488,270
2009	9	221,905	3	42,904	11	260,066	0	0	23	524,875
1 January 2010 to 31 March 2010	0	0	0	0	0	0	0	0	0	0
Projects completed over 2 years ago	23	362,381	13	266,972	16	606,446	0	0	52	1,235,799
1 April 2010 to 31 December 2010	44	696,849	20	168,943	26	454,220	2	311,814	92	1,631,826
TOTAL	67	1,059,230	33	435,915	42	1,060,666	2	311,814	144	2,867,625

5. At its 47th meeting, the Executive Committee requested the Fund Secretariat to continue to monitor multi-year annual tranches in the context of documents on implementation delays and project balances (decision 47/50(b)(ii)).

6. UNDP holds a balance amounting to US \$1,059,230, of which US \$235,254 is attributable to 21 multi-year agreements (MYA). It also has the highest number of projects with balances (67) followed by UNIDO (42), UNEP (33) and the World Bank (2). The World Bank reported a balance of US \$311,814 of which US \$215,000 is attributable to 1 MYA. UNEP holds a total balance of US \$435,915 of which US \$12,723 is in respect of 3 MYAs. UNIDO holds a total balance of US \$1,060,666 of which US \$785,240 is attributable to 19 MYAs.

7. Three of the four agencies have projects that were completed over two years ago and have remaining balances. UNDP has the largest number of projects (23), completed over two years ago, which has increased from 22 to 23 projects. The level of balances on UNDP's projects over two years old has increased from US \$257,492 to US \$362,381. UNDP maintained the explanation provided in the past meetings that balances over 2 years are considered still obligated because the financial procedures of UNDP require that a final budget revision be issued to mark the formal closure of a project between UNDP and the executing agency and to close the project in UNDP's systems. Until this step is taken the executing agency is considered to have access to these funds and therefore these are considered obligated.

8. The number of UNEP projects completed over two years ago remains at 13. UNEP's balance on completed projects has slightly decreased from US \$277,674 reported to the 65th meeting to US \$266,972. UNEP explained that two year old balances are still obligated because the agency works with its implementing partners through legal agreements and only when the projects are financially closed and all obligations cleared, the balance of funds would be returned.

9. The balance held by UNIDO for projects completed over two years ago has increased since the 65th Meeting of the Executive Committee from US \$526,072 to US \$606,446 and the number of projects increased from 13 to 16. Out of the 16 projects, 10 are MYAs with US \$528,954 in obligated balances. UNIDO advised the Secretariat that for the remaining obligations, they will either initiate financial completion or return the balances after all pending issues are settled. UNIDO maintained that balances that are over two years old cannot be returned until the financial completion of these projects in order to avoid risk of over-expenditures in the relevant project account.

Obligated and un-obligated balances

10. The level of balances classified as obligated and un-obligated for the four IAs is as follows:

Table 3

OBLIGATED AND UN-OBLIGATED BALANCES BY AGENCY FOR PROJECTS WITH BALANCES (US \$)

Agency	Obligated balances	Un-obligated balances	Total
UNDP	1,059,230	0	1,059,230
UNEP	435,915	0	435,915
UNIDO	1,060,666	0	1,060,666
World Bank	215,000	96,814	311,814
TOTAL	2,770,811	96,814	2,867,625

11. Out of the total of US \$2,867,625 from completed and on-going projects, US \$2,770,811 is obligated (which means that these funds are expected to be spent) and US \$96,814 has not been

obligated. IAs are required to report reasons why any un-obligated balances could not be returned within the required time-frame, and to indicate when they will be returned as per decision 31/2(a)(ii).

12. UNDP has no un-obligated balances, and reported an increase in obligated balances on completed projects. Its overall number of projects with balances shows an increase from 41 projects reported to the 65th meeting to 67 reported to the 66th meeting. The overall level of obligated balances has decreased from US \$1,217,862 to US \$1,059,230. Nine UNDP projects (of which 4 are investment projects) were completed more than 5 years ago and still have obligated balances.

13. UNEP has 33 completed projects, and holds US \$435,915 in obligated amounts and has no un-obligated balances. The overall number of projects with balances has increased from 21 projects reported to the 65th meeting to 33 reported to the 66th meeting. The overall level of balances increased from US \$393,588 to US \$435,915. UNEP has 6 projects completed over 5 years ago with obligated balances. Out of the 6 projects with obligated balances, 3 were completed in 2005.

14. By decision 64/2(i) the Executive Committee decided to note UNIDO's commitment to return unobligated balances from individual completed projects in full by the 66th meeting of the Executive Committee, and in decision 65/2(b) it decided to reiterate decision 31/2(a)(i) and (ii) and to request UNIDO to update the Committee at the 66th meeting on the date at which the remaining un-obligated balances for completed projects would be returned in full. In its report on balances to the 66th meeting, UNIDO reported no un-obligated balances. A review of the balances reported to the 66th meeting shows that some of the un-obligated balances referred to in decision 64/2(i) and 65/2(b) have been obligated while others have been returned to the Fund.

15. UNIDO reported 42 completed projects with US \$1,060,666 in obligated funds. The overall number of projects with balances has increased from 29 projects reported to the 65th meeting to 42 reported to the 66th meeting, and the overall level of balances has increased from US \$858,009 to US \$1,060,666. UNIDO has 2 projects completed in 2007 (i.e. 5 years ago) with obligated balances.

16. The World Bank has US \$215,000 in obligated amounts and US \$96,814 in un-obligated balances for two completed projects that are less than 2 years old. It is the only agency with un-obligated balances. At the request of the Secretariat the World Bank explained that the un-obligated balance does not relate to an individual project but rather to a funding tranche for the China process agents sector plan. The tranche was marked as completed because the country met the required consumption obligations. However, the last payment to China is pending subject to the World Bank's internal clearances. The World Bank clarified that disbursement against this un-obligated balance is expected to be effected before the 66th Executive Committee meeting, at which point the World Bank would have no un-obligated balances.

17. At its 35th meeting, the Executive Committee requested IAs to return all agency support costs associated with remaining funds from completed projects. If expenditures had been incurred from these costs, the IAs should provide an explanation and return the balance of funds (decision 35/13(k)). Table 4 indicates that a total of US \$255,336 in agency support costs will be returned at the 66th meeting. This amount includes the World Bank's return of US \$9,060 from completed projects and US \$152,208 from on-going projects, UNDP's of US \$73,257, UNEP's US \$6,773 and UNIDO's of US \$13,866 from completed projects.

Table 4

ADMINISTRATIVE SUPPORT COSTS TO BE RETURNED TO THE 66th MEETING (US\$)

Agency	Funds from completed projects	Funds from ongoing projects	Total funds to be returned to the 66 th meeting	Fund to be Transferred to UNEP
UNDP	73,257	0	73,257	0
UNEP	6,773	0	6,773	0
UNIDO	13,866	0	13,866	0
World Bank	9,060	152,280	161,340*	154,294
TOTAL	102,956	152,280	255,336	154,294

*Includes the transfer of US \$154,294 from the WB to UNEP for the Philippine's National Phase out Plan, per decision 65/10(e)(iii)

Funds being returned to the 66th meeting from bilateral agencies

18. By decision 56/2 bilateral agencies were requested to report to the Executive Committee on their proposed disposition of balances from completed projects. Table 5 provides the feedback received on returns of balances from projects completed by the Governments of Japan and Italy. It indicates that a total US \$78,331 comprising project costs and agency support costs will be returned to the 66th meeting.

Table 5

FUNDS TO BE RETURNED BY BILATERAL AGENCIES TO THE 66th MEETING (US\$)

Returns against approved projects				
	Project cost	PSC	Total	Interest
Italy	338	44	382	260,777*
Japan	60,900	17,049	77,949	0
Total	61,238	17,093	78,331	260,777

*Composed of the refund of accrued 2010 interest of US \$363, and refund of accrued interest of US \$186,809 and US \$73,605 for TFCPR03001 and TFCPR05004 projects.

19. The Government of Italy has advised the Secretariat of its intent to return US \$382 at the 66th meeting for one completed project in Serbia (YUG/SOL/56/INV/33) as shown in Annex II. It also advised the Secretariat of the refund of US \$363 in 2010 in accrued interest and the refund of accrued interest of US \$186,809 and US \$73,605 for TFCPR03001 and TFCPR05004 directly to the Treasurer by its IA as additional income to the Fund.

20. The Government of Japan has advised the Secretariat of its intent to return US \$77,949 at the 66th meeting for two completed projects CPR/REF/28/INV/302 and NIR/SEV/38/TAS/104, in project and support costs.

Bilateral projects with balances by year of completion

21. Table 6 presents the balances that are being held by bilateral agencies and the years in which those projects were completed. It shows that for projects completed up to and including 31 December 2010 (at least over the last 12 months) bilateral agencies had balances totalling US \$211,720 including support costs.

Table 6

BALANCES HELD BY BILATATERAL AGENCIES

Year Completed	France (US\$)	Japan (US\$)	Total (US\$)
2008	78,440	0	78,440
2009	0	0	0
1 January 2010 to 31 March 2010	0	0	0
Projects completed over 2 years ago	78,440	0	78,440
1 April 2010 to 31 December 2010	64,631	13,087	77,718
Net Total	143,071	13,087	156,158
Project Support Cost	17,810	37,752	55,562
Total	160,881	50,839	211,720

22. The Government of France advised the Secretariat that balances on completed projects are pending internal financial closure and would not be returned to the 66th meeting. All of the Government of France's balances are un-obligated and only one project is over two years old.

SECRETARIAT'S COMMENTS AND RECOMMENDATIONS**COMMENTS**

23. Annex I presents additional information on obligated and un-obligated balances by agency. Of the US \$2.87 million in projects with balances in this report, about US \$1.2 million is for 44 MYAs. UNIDO has the largest amount of balances, US \$1,060,666 of which is obligated, followed by UNDP with US \$1,059,230, UNEP with US \$435,915 and the World Bank with US \$311,814 in balances. The balance on projects completed over 2 years ago withheld by agencies amounts to US \$1,235,799, with UNEP holding balances for three projects completed from 2005 and UNDP holding balances for two projects completed from 2006. Immediate attention should be given to these long outstanding obligations with a view to returning the balances to the Fund as soon as possible. All the agencies have cleared their un-obligated balances of two year old and more. Two bilateral agencies have balances including support cost on completed projects: France with US \$160,881 and Japan with US \$50,839.

24. The status of contributions and disbursements as of 9 March 2012 indicates that the Treasurer has an available balance amounting to US \$30,390,979 in cash and promissory notes. The total net balance returned by IAs, including agency support costs but without interest accrued and the returns from bilateral agencies is US \$1,038,911. The total level of resources available for approvals by the Executive Committee at its 66th meeting, after taking into account the Fund's balance as contained in document UNEP/OzL.Pro/ExCom/66/3 and the total amount returned by IAs is US \$31,429,890.

25. Considering the total level of funding for projects and activities being considered by the Executive Committee as at 9 March 2012 amounting to US \$51.41 million including support cost there are insufficient resources available for approvals at the 66th meeting.

RECOMMENDATIONS

26. The Executive Committee may wish:

- (a) To note the report on balances and availability of resources contained in document UNEP/OzL.Pro/ExCom/66/4;
- (b) To note that the net level of funds being returned by the implementing agencies to the 66th meeting was US \$2,773,074 against projects, which included the return of US \$676,933 from UNDP, US \$52,098 from UNEP, US \$130,094 from UNIDO and US \$1,913,949 from the World Bank;
- (c) To note that the net level of support costs being returned by the implementing agencies to the 66th meeting was US \$255,336 against projects, which included the return of US \$73,257 from UNDP, US \$6,773 from UNEP, US \$13,866 from UNIDO and US \$161,340 from the World Bank;
- (d) To note that the return from the World Bank includes the transfer of US \$1,835,205, plus US \$154,294 in support cost from the World Bank to UNEP for the national CFC phase-out plan for the Philippines, in accordance with decision 65/10(e);
- (e) To note that implementing agencies had balances totalling US \$1,235,799 excluding support costs, from projects completed over two years previously, which included US \$362,381 for UNDP, US \$266,972 for UNEP and US \$606,446 for UNIDO;
- (f) To request agencies with long outstanding obligated balances on projects completed in 2005, 2006 and 2007 to return these balances as soon as possible;
- (g) To note that the Government of France had un-obligated balances totalling US \$78,440 excluding support costs attributed to a project completed over two years previously;
- (h) To note that UNIDO's report to the 66th meeting reflects nil un-obligated balances for completed projects as a follow up to decision 64/2(i) and 65/2(b);
- (i) To note the net level of funds and support costs being returned by the Governments of Italy and Japan to the 66th meeting was US \$382 and US \$77,949, respectively, and to request the Treasurer to follow up with Italy and Japan on their return in cash to the Fund; and
- (j) To note Italy's return of accrued interest of US \$260,777 as additional income to the Fund through UNIDO as its implementing agency (IA) and request the Treasurer to follow up with the IA on this return.

Annex I

OBLIGATED AND UN-OBLIGATED BALANCES HELD BY IMPLEMENTING AGENCY

UNDP

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2010 (US\$)	Funds Disbursed as of 66 th Meeting (US\$)	Obligated Balance as of 66 th Meeting (US\$)	Unobligated Balance as of 66 th Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
ANG/PHA/51/PRP/07	Project preparation for a terminal phase-out management plan in the servicing sector	COM	Dec-10	12,000	3,805	8,195			
ARG/FOA/38/INV/132	Terminal umbrella project for phase-out of the use of CFC-11 in the manufacture of polyurethane foam	COM	Dec-07	1,324,843	1,307,223	17,620			
ARG/FUM/48/INV/149	Methyl bromide phase-out in tobacco and non-protected vegetable seedbeds (sixth tranche)	COM	Jun-09	467,000	466,927	73			
ARM/REF/57/PRP/04	Preparation for HCFC phase-out investment activities (refrigeration sector)	COM	Dec-10	30,000	26,461	3,539			
BAH/PHA/50/INV/16	Terminal phase-out management plan (first tranche)	COM	Dec-08	272,500	272,322	178			
BRA/FOA/56/DEM/285	Pilot project for validation of methyl formate as a blowing agent in the manufacture of polyurethane foam (phase I)	COM	Dec-10	401,500	228,229	173,271			
BRA/PAG/54/INV/281	Phase-out of CTC as process agent in two applications at Braskem	COM	Dec-10	1,178,554	1,172,444	6,110			
BRA/SEV/52/INS/279	Extension of institutional strengthening project (phase V)	COM	Dec-10	351,000	301,329	49,671			
BZE/PHA/57/INV/23	Terminal phase-out management plan for the phase-out of ODS in the refrigeration and air-conditioning sector (second tranche)	COM	Aug-10	72,000	71,980	20			
CBI/REF/44/TAS/08	Implementation of the RMP: technical assistance for the refrigeration servicing sector	COM	Dec-10	75,400	74,064	1,336			
CHD/PHA/57/INV/20	Terminal phase-out management plan (second tranche)	COM	Dec-10	82,000	61,326	20,674			
CHI/FOA/48/INV/161	Terminal umbrella project for phase-out of the use of CFC-11 in the manufacture of polyurethane foam	COM	Dec-10	429,962	363,541	66,421			
CHI/FOA/57/PRP/167	Preparation for HCFC phase-out investment activities (foam sector)	COM	Dec-10	50,000	24,204	25,796			
CHI/FUM/32/INV/143	Demonstration and phase-out project for methyl bromide soil fumigation for fruit tree production and replant	COM	Dec-07	805,000	804,546	454			
CHI/PHA/55/PRP/165	Preparation of a HCFC phase-out management plan	COM	Dec-10	150,000	136,347	13,653			
CHI/REF/57/PRP/170	Preparation for HCFC phase-out investment activities (refrigeration manufacturing)	COM	Dec-10	50,000	33,257	16,743			
CHI/SOL/41/TAS/154	Technical assistance to phase-out ozone depleting solvents	COM	Dec-09	245,690	230,403	15,288			
COI/PHA/56/INV/17	Terminal phase-out management plan (second tranche)	COM	Dec-10	32,000	31,280	720			
COL/FOA/57/PRP/72	Preparation for HCFC phase-out investment activities (foam sector)	COM	Dec-10	150,000	126,967	23,033			
COL/PHA/55/PRP/69	Preparation of a HCFC phase-out management plan	COM	Dec-10	173,750	166,900	6,850			
CUB/DES/59/PRP/45	Preparation for pilot demonstration project on ODS waste management and disposal	COM	Nov-10	40,000	38,739	1,261			
CUB/REF/47/DEM/36	Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers	COM	Dec-10	984,353	916,260	68,093			
DJI/PHA/53/INV/13	Terminal phase-out management plan for CFCs (first tranche)	COM	Dec-09	147,000	144,849	2,151			

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2010 (US\$)	Funds Disbursed as of 66 th Meeting (US\$)	Obligated Balance as of 66 th Meeting (US\$)	Unobligated Balance as of 66 th Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned ?
DRC/FOA/41/INV/19	Terminal umbrella project for the foam sector	COM	Dec-07	640,820	618,358	22,462			
DRC/REF/41/TAS/16	Implementation of the RMP: centralized recovery and recycling of CFC-12 programme	COM	Dec-08	437,102	436,870	232			
EGY/FOA/57/PRP/99	Preparation for validation of low-cost hydrocarbon in foams	COM	Dec-09	30,000	22,792	7,208			
GAM/PHA/55/PRP/20	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	76,061	8,939			
GAM/PHA/57/INV/22	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-10	30,500	27,499	3,001			
GEO/PHA/55/PRP/26	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	78,044	6,956			
GHA/SEV/56/INS/29	Extension of the institutional strengthening project (phase VIII)	COM	Dec-10	139,100	138,983	117			
GRN/PHA/55/INV/14	Terminal phase-out management plan (second tranche)	COM	Dec-09	50,000	49,337	663			
IDS/REF/51/INV/179	Phase-out of CFCs in the refrigeration sector (servicing) (fifth tranche)	COM	Dec-10	159,555	150,815	8,740			
IND/REF/47/INV/395	National CFC consumption phase-out plan focusing on the refrigeration service sector: 2006 work programme	COM	Dec-09	1,332,377	1,157,117	175,260			
KAM/PHA/57/INV/20	Terminal phase-out management plan for the phase-out of CFCs (second tranche)	COM	Dec-10	85,000	83,226	1,774			
KYR/PHA/55/PRP/20	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	84,261	739			
LEB/FUM/47/INV/61	Sector phase-out of methyl bromide in vegetables, cut flowers, and tobacco production (fifth tranche)	COM	Dec-09	267,300	266,717	583			
LEB/PHA/55/INV/66	National phase-out management plan for Annex-A Group-I substances (CFCs) (fifth tranche)	COM	Dec-10	65,000	64,600	400			
MAU/PHA/57/INV/22	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-10	55,000	50,034	4,966			
MAU/REF/41/TAS/11	Implementation of the RMP: centralized recovery and recycling of CFC-12 programme	COM	Dec-07	100,995	100,299	696			
MEX/FOA/56/DEM/141	Pilot project for validation of methyl formate in microcellular polyurethane applications (phase I)	COM	Nov-10	291,500	290,082	1,418			
MEX/FUM/26/DEM/86	Alternatives to methyl bromide for structural fumigation in Mexico	COM	Oct-10	498,300	415,354	82,946			
MEX/PHA/55/PRP/140	Preparation of a HCFC phase-out management plan	COM	Nov-09	69,500	62,781	6,719			
MLI/PHA/57/INV/28	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-10	150,000	147,198	2,802			
MLI/REF/45/TAS/16	Implementation of the RMP update: supplementary training and spares to the national programme for recovery and recycling of refrigerants	COM	Dec-07	50,000	48,610	1,390			
MLW/FUM/43/INV/21	Phase-out of all non-essential and non-QPS methyl bromide (fourth tranche)	COM	Dec-06	849,824	838,303	11,521			
MOL/ARS/54/TAS/20	MDI transition strategy	COM	Dec-10	30,000	29,982	18			
MOL/PHA/55/PRP/21	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	81,883	3,117			
MOL/PHA/56/INV/23	Terminal CFC phase-out management plan (second tranche)	COM	Dec-10	152,500	152,341	159			
NIC/PHA/56/INV/23	Terminal phase-out management plan for Annex A Group I substances (first tranche)	COM	Nov-10	320,000	319,958	42			
NIR/FOA/57/PRP/123	Preparation for HCFC phase-out investment activities (foam sector)	COM	Dec-10	50,000	30,117	19,883			
NIR/SEV/40/INS/107	Extension of institutional strengthening project	COM	Dec-06	260,000	259,900	100			
NIR/SEV/48/INS/114	Extension of the institutional strengthening project (phase IV)	COM	Dec-07	260,000	259,805	195			
NIR/SEV/54/INS/118	Extension of the institutional strengthening project (phase V)	COM	Dec-10	260,000	256,233	3,767			
PAN/PHA/56/INV/29	National phase-out plan for Annex A (Group I) substances (fourth and fifth tranches)	COM	Dec-10	255,000	254,561	439			

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PAR/SOL/45/TAS/14	Technical assistance for the phase-out of ODSs in the solvent sector	COM	Dec-08	30,000	29,893	107			
SAM/PHA/53/INV/11	Terminal phase-out management plan (first tranche)	COM	Dec-10	45,000	43,950	1,050			
SIL/PHA/57/INV/20	Terminal phase-out management plan (first tranche)	COM	Dec-10	40,000	34,715	5,285			
SIL/REF/41/TAS/07	Implementation of the RMP: MAC recovery/recycling of CFC-12	COM	Dec-10	61,858	43,454	18,404			
SRL/FUM/27/DEM/13	Alternatives to methyl bromide for eradication of tea nematodes in Sri Lanka	COM	Oct-07	310,200	302,356	7,844			
SRL/SEV/55/INS/32	Extension of the institutional strengthening project (phase VII)	COM	Dec-10	134,056	119,682	14,374			
SWA/REF/57/PRP/14	Preparation for HCFC phase-out investment activities (refrigeration manufacturing)	COM	Dec-10	30,000	16,963	13,037			
TOG/PHA/57/INV/20	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-10	62,000	59,755	2,245			
TRI/PHA/49/INV/20	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-08	240,000	231,536	8,464			
URU/ARS/43/INV/42	Phase-out of CFC consumption in the manufacture of aerosol metered dose inhalers (MDIs)	COM	Dec-09	427,023	413,063	13,960			
URU/PHA/56/INV/50	Terminal phase-out management plan for Annex A Group I substances (second tranche)	COM	Dec-10	48,000	43,793	4,207			
VEN/SEV/56/INS/113	Renewal of institutional strengthening project (phase IX)	COM	Dec-10	285,480	282,843	2,637			
YEM/REF/37/TAS/15	Implementation of the RMP: national recovery and recycling programme for refrigerants in the commercial and MAC sectors	COM	Dec-08	1,472,730	1,403,516	69,214			
TOTAL						1,059,230			

UNEP

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2010 (US\$)	Funds Disbursed as of 66 th Meeting (US\$)	Obligated Balance as of 66 th Meeting (US\$)	Unobligated Balance as of 66 th Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
ALG/SEV/48/INS/64	Extension of the institutional strengthening project (phase IV)	COM	Dec-08	257,400	181,050	76,350			
BAH/SEV/53/INS/18	Extension of institutional strengthening project (phase V)	COM	Dec-10	60,000	48,000	12,000			
BAR/PHA/54/PRP/17	Project preparation for a terminal phase-out management plan in the servicing sector	COM	Oct-10	15,000	13,199	1,801			
BHU/PHA/55/PRP/13	Preparation of a HCFC phase-out management plan	COM	Dec-10	30,000	23,470	6,530			
BHU/PHA/56/PRP/14	Preparation of a HCFC phase-out management plan (additional)	COM	Dec-10	55,000	43,686	11,314			
BKF/PHA/55/PRP/25	Preparation of a HCFC phase-out management plan	COM	Dec-10	30,000	26,602	3,398			
BKF/PHA/56/PRP/27	Preparation of a HCFC phase-out management plan (additional)	COM	Dec-10	55,000	47,880	7,120			
BKF/SEV/56/INS/26	Extension of the institutional strengthening project (phase VIII)	COM	Dec-10	72,410	71,110	1,300			
CHD/SEV/56/INS/18	Extension of the institutional strengthening project (phase IV)	COM	Dec-10	60,000	58,540	1,460			
GAB/PHA/52/TAS/20	Terminal phase-out management plan (first tranche)	COM	Jul-10	65,000	62,507	2,493			
GLO/SEV/52/TAS/283	Integrated enforcement training under the Montreal Protocol through green customs initiative	COM	Dec-10	62,000	48,423	13,577			
HAI/HAL/50/TAS/10	Sectoral phase-out programme: Establishing a halon management plan	COM	Nov-08	25,000	16,000	9,000			
HAI/PHA/54/PRP/12	Project preparation for a terminal phase-out management plan in the servicing sector	COM	Dec-10	15,000	13,035	1,965			
HAI/REF/39/TRA/07	Implementation of the refrigerant management plan: training for customs officers	COM	Dec-10	33,100	23,719	9,381			
KAM/PHA/55/PRP/18	Preparation of a HCFC phase-out management plan	COM	Jul-10	125,000	104,458	20,542			
MDV/PHA/55/PRP/16	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	65,094	19,906			
MDV/REF/38/TRA/08	Implementation of the RMP: training of customs officials and the Ozone Unit staff	COM	Nov-05	35,000	28,612	6,388			
MLW/PHA/55/PRP/27	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	73,904	11,096			
MYA/REF/29/PRP/03	Preparation of a refrigerant management plan	COM	Apr-05	30,000	8,572	21,428			
MYA/SEV/29/INS/02	Establishment of the national Ozone Cell for implementing the phase out of ODSs under the Montreal Protocol	COM	Dec-09	76,000	53,500	22,500			
NER/PHA/58/TAS/24	Terminal phase out management plan (second tranche)	COM	Dec-10	68,000	67,000	1,000			

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2010 (US\$)	Funds Disbursed as of 66 th Meeting (US\$)	Obligated Balance as of 66 th Meeting (US\$)	Unobligated Balance as of 66 th Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned ?
NIC/SEV/49/INS/20	Renewal of institutional strengthening project (phase IV)	COM	Sep-09	60,000	48,013	11,987			
PAK/PHA/55/PRP/69	Preparation of a HCFC phase-out management plan	COM	Dec-10	60,000	56,603	3,397			
PER/REF/43/TAS/35	Implementation of the RMP update: refrigeration technicians certification and licensing system	COM	Dec-09	86,000	77,583	8,417			
RWA/REF/41/TAS/09	Implementation of the RMP: monitoring the activities in the RMP	COM	Dec-08	20,000	0	10,000			
RWA/REF/41/TRA/06	Implementation of the RMP: train the trainer programme for refrigeration service technicians	COM	Dec-06	50,000	17,246	32,754			
RWA/REF/41/TRA/07	Implementation of the RMP: training programme for customs officers	COM	Dec-06	50,000	10,732	39,268			
SOM/SEV/35/TAS/01	Formulation of national phase out strategy	COM	Dec-10	60,000	35,098	24,902			
SRL/PHA/55/PRP/34	Preparation of a HCFC phase-out management plan	COM	Dec-10	25,000	18,469	6,531			
STP/REF/44/TRA/08	Implementation of the RMP: training programme for refrigeration service technicians	COM	Dec-08	55,000	26,466	17,000			
STV/PHA/47/TRA/10	Terminal phase out management plan for CFCs (first tranche)	COM	Dec-10	67,000	57,770	9,230			
VEN/SEV/34/TAS/90	Review of ODS license system and Decree 3220 related to control of these substances	COM	Dec-05	80,000	35,500	3,881			
ZAM/PHA/50/PRP/17	Project preparation for a terminal phase-out management plan	COM	Dec-07	30,000	22,000	8,000			
TOTAL						435,915			

UNIDO

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2010 (US\$)	Funds Disbursed as of 66 th Meeting (US\$)	Obligated Balance as of 66 th Meeting (US\$)	Unobligated Balance as of 66 th Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?*
ALG/PHA/53/INV/67	National phase-out plan (first tranche)	COM	Apr-10	723,500	721,621	1,879		MY	n/a
ALG/PHA/55/PRP/68	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	72,995	12,005		3	68
ARG/PHA/42/INV/138	National CFC phase-out plan (first tranche)	COM	Dec-08	2,740,000	2,727,724	12,276		MY	n/a
ARG/REF/57/PRP/161	Preparation for HCFC phase-out investment activities (air-conditioning manufacturing sector)	COM	Jul-10	80,000	77,595	2,405		3	67
ARM/SEV/57/INS/05	Institutional strengthening (phase I)	COM	Dec-10	120,000	117,293	2,707		3	67
BDI/PHA/55/INV/24	Terminal phase-out management plan (first tranche)	COM	Nov-10	76,000	66,623	9,377		MY	n/a
CPR/PRO/47/INV/436	Sector plan for methyl bromide production sector (first tranche)	COM	Dec-08	3,000,000	2,718,389	281,611		MY	n/a
CUB/FUM/44/INV/29	Total phase-out of methyl bromide in soil, substrate, storage and structure fumigation	COM	Dec-09	537,763	520,252	17,511		4	68
DRK/PHA/55/INV/52	Plan for terminal phase-out of CTC (6th tranche)	COM	Dec-10	100,000	97,975	2,026		MY	n/a
EGY/FOA/61/PRP/102	Preparation for HCFC phase-out investment activities (appliance foam sector)	COM	Dec-10	100,000	74,131	25,869		4	68
GEO/FUM/47/INV/20	Technical assistance for the elimination of methyl bromide in grain and storage facilities	COM	Dec-10	225,500	221,612	3,889		3	67
IDS/SOL/61/PRP/190	Preparation for HCFC phase-out investment activities (solvent sector)	COM	Dec-10	10,000	6,397	3,603		4	68
IRA/ARS/52/INV/183	Phase-out of CFC consumption in the manufacture of aerosol MDIs	COM	Dec-10	3,296,758	3,260,360	36,398		4	68
IRA/SOL/50/INV/180	Terminal solvent sector umbrella project	COM	Dec-09	856,478	838,521	17,957		4	68
KEN/FUM/53/INV/43	Technology transfer leading to methyl bromide phase-out in soil fumigation	COM	Dec-09	510,659	508,221	2,438		MY	n/a
KUW/PHA/52/INV/11	Terminal phase-out management plan for Annex A Group I substances (first tranche)	COM	Nov-10	220,000	191,894	28,106		MY	n/a
KYR/HAL/48/TAS/12	Halon phase-out programme	COM	Dec-08	50,000	46,162	3,838		4	67
LIB/FUM/47/INV/27	Phase-out of methyl bromide in horticulture: tomatoes, cucumbers, peppers and others	COM	Dec-10	743,000	732,575	10,425		MY	n/a
MEX/PAG/52/INV/133	Umbrella project for terminal phase-out of CTC	COM	Dec-09	1,518,094	1,503,382	14,712		3	68
MEX/SEV/58/INS/147	Extension of institutional strengthening project (phase IX)	COM	Dec-10	185,250	180,569	4,681		4	68
MOG/PHA/53/INV/03	Terminal phase-out plan for CFCs (first tranche)	COM	Dec-09	175,000	168,644	6,356		MY	n/a
MOG/SEV/55/INS/04	Institutional strengthening project (Phase I)	COM	Dec-10	60,000	56,077	3,923		3	67
NER/PHA/54/INV/21	Terminal phase-out management plan (first tranche)	COM	Dec-10	131,000	75,275	55,725		MY	n/a
NIR/REF/58/PRP/124	Preparation for HCFC phase-out investment activities (refrigeration manufacturing sector)	COM	Dec-10	50,000	47,658	2,342		4	68
OMA/PHA/52/INV/15	Terminal phase-out management plan (first tranche)	COM	Jul-09	305,800	296,024	9,776		MY	n/a
PAK/FOA/59/PRP/75	Preparation for HCFC phase-out investment activities (polyurethane foam sector)	COM	Dec-10	80,000	43,894	36,106		4	68
PAK/PHA/44/INV/62	Sector phase-out plan of CTC (second tranche)	COM	Jul-07	1,300,000	1,297,863	2,137		MY	n/a
PAK/PHA/54/INV/67	Sector phase-out plan of CTC (third tranche)	COM	Dec-09	245,665	244,753	912		MY	n/a

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PAK/PHA/55/PRP/70	Preparation of a HCFC phase-out management plan	COM	Dec-10	90,000	84,976	5,024		4	68
PAK/PHA/59/PRP/76	Preparation of a HCFC phase-out management plan (additional funding)	COM	Dec-10	45,000	41,969	3,031		4	68
PHI/FOA/59/PRP/86	Preparation for HCFC phase-out investment activities (foam sector)	COM	Dec-10	70,000	64,249	5,751		4	68
QAT/SEV/49/INS/08	Renewal of institutional strengthening project (phase II, second year funding)	COM	Jun-09	44,500	29,581	14,919		3	67
ROM/PRO/50/INV/35	Sector plan for production sector (second tranche)	COM	Jun-07	900,000	853,483	46,517		MY	n/a
ROM/PRO/53/INV/40	Sector plan for production sector (fourth tranche)	COM	Dec-10	1,200,000	1,197,333	2,667		MY	n/a
SAU/FOA/60/PRP/07	Preparation for HCFC phase-out investment activities (foam sector)	COM	Dec-10	80,000	58,376	21,624		4	68
SUD/FOA/59/PRP/24	Preparation for HCFC phase-out investment activities (polyurethane foam sector)	COM	Dec-10	40,000	14,353	25,647		4	68
SUD/PHA/55/PRP/21	Preparation of a HCFC phase-out management plan	COM	Dec-10	30,000	27,072	2,928		4	68
SYR/PHA/49/INV/96	National CFC phase-out plan for Syria (first tranche)	COM	Dec-10	430,000	378,666	51,334		MY	n/a
SYR/PHA/56/INV/98	National CFC phase-out plan (second tranche)	COM	Dec-10	170,000	75,251	94,749		MY	n/a
SYR/SEV/45/INS/91	Extension of institutional strengthening: phase III	COM	Dec-09	203,823	195,268	8,555		3	68
TUR/FUM/47/INV/88	Phase-out of methyl bromide in protected tomato, cucumber and carnation crops (third and fourth tranches)	COM	Nov-09	1,408,844	1,315,635	93,209		MY	n/a
YUG/PHA/43/TAS/23	National CFC phase-out plan (first tranche)	COM	Dec-09	474,700	400,979	73,721		MY	n/a
Total						1,060,666		MY	n/a

2: Financial completion initiated; 3: Financial completion being initiated; 4: Financial completion to be initiated after settlement of all pending issues

WORLD BANK

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2010 (US\$)	Funds Disbursed as of 66 th Meeting (US\$)	Obligated Balance as of 66 th Meeting (US\$)	Unobligated Balance as of 66 th Meeting (US\$)	Why any unobligated balances could not be returned/ disbursed?	When they could be returned/ disbursed?
ARG/HAL/26/TAS/80	National halon management programme to help eliminate the use of halon-1301 in new applications and to manage recovered halon-1301	COM	Dec-10	290,620	193,806		96,814		
CPR/PAG/58/INV/488	Sector plan for halon phase-out in China: 2009 annual programme	COM	Jul-10	1,500,000	1,285,000	215,000		MYA	
Total						215,000	96,814		

FRANCE

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2010 (US\$)	Funds Disbursed as of 66 th Meeting (US\$)	Balance as of 66 th Meeting (US\$)	Unobligated Balance as of 66 th Meeting (US\$)	Why any unobligated balances could not be returned/disbursed?	When they could be returned/disbursed?
ETH/PHA/51/PRP/17	Project preparation for a terminal phase-out management plan in the servicing sector	COM	Jul-10	30,000	17,600		12,400	Pending other returns of sub-contractor balances	
ETH/REF/44/TAS/14	Implementation of the RMP update	COM	Dec-10	91,300	50,311		40,989	Pending the return of sub-contractors balances.	
LAO/REF/34/TRA/04	Implementation of the RMP: customs training programme	COM	Jun-10	81,542	70,300		11,242	Pending internal financial closure	
MOR/FUM/29/INV/37	Phase-out of methyl bromide use in the cut flower and banana production	COM	Jul-08	1,006,652	928,212		78,440	Data discrepancies with sub-contractors.	
Total							143,071		

JAPAN

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2010 (US\$)	Funds Disbursed as of 66 th Meeting (US\$)	Balance as of 66 th Meeting (US\$)	Unobligated Balance as of 66 th Meeting (US\$)	Why any unobligated balances could not be returned/disbursed?	When they could be returned/disbursed?
SRL/PHA/43/TAS/28	National compliance action plan: recovery and recycling programme	COM	Dec-10	290,400	277,313	13,087			
Total					277,313	13,087			

Annex II

DETAILS ON PROJECTS WITH RETURNED BALANCES FROM BILATERAL AGENCIES

ITALY

Code	Project Title	Project costs returned (US\$)	Associated support costs (US\$)	Total
YUG/SOL/56/INV/33	Terminal CTC phase-out project	338	44	382
Total		338	44	382

JAPAN

Code	Project Title	Project costs returned (US\$)	Associated support costs (US\$)	Total
CPR/REF/28/INV/302	Phasing out ODS in the production of compressors at Changshu Refrigerating Equipment Works	0	9,132	9,132
NIR/SEV/38/TAS/104	Assistance for a national information, education and communication campaign for compliance with the Montreal Protocol	60,900	7,917	68,817
Total		60,900	17,049	77,949