



联合国
环境规划署



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执行蒙特利尔议定书
多边基金执行委员会
第六十六次会议
2012年4月16日至20日，蒙特利尔

关于余额和资金供应情况的报告

导言

1. 本文件旨在简要介绍由双边机构和执行机构提出并经基金秘书处同意的财务调整方案。本文件载有第 28/7 号决定和第 56/2 号决定要求的、从项目完成后的 12 个月允许期限内仍有余额的双边机构和执行机构项目收集的统计数字。本文件所载数据依照第 31/2 号决定规定的报告格式提出，其中既包含结转余额，也包含不结转余额。依照第 41/92 (b)号决定，本文件还说明了出资和发放状况（UNEP/OzL.Pro/ExCom/66/3）中记录的执行委员会可用现金和本票的额度。本报告规定退还的资金总额（包含支助费用）为 1,038,911 美元，其中包括执行机构退还的 3,028,410 美元，扣除从世界银行向环境规划署移交的 1,989,499 美元。不包括一个双边机构退还的应计利息 260,777 美元，作为基金的额外收入，也不包括双边机构打算以现金形式向第六十六次会议退还的 78,331 美元。

执行机构将退还第六十六次会议的资金

2. 如表 1 所示，执行机构提供的资料显示，不含机构支助费用，向第六十六次会议退还的资金共计 2,773,074 美元。

表 1

执行机构将退还第六十六次会议的资金（美元）

机构	已完成项目退还的资金	在建项目退还的资金	将向第六十六次会议退还的资金总额	将移交给环境规划署的金额
开发计划署	676,933	0	676,933	0
环境规划署	52,098	0	52,098	0
工发组织	105,643	24,451	130,094	0
世界银行	102,239	1,811,710	1,913,949*	1,835,205
共计	936,913	1,836,161	2,773,074	1,835,205

*包括世界银行因菲律宾国家淘汰计划而根据第 65/10(e)(三)号决定向环境规划署移交的 1,835,205 美元。

3. 表 1 显示，开发计划署将向基金退还已完成项目费用资金 676,933 美元、环境规划署和工发组织将要向基金退还的已完成项目资金分别为 52,098 美元和 105,643 美元。工发组织也还退还来自在建项目资金的 24,451 美元。世界银行将退还来自 3 个已完工项目的 102,239 美元，及来自 3 个在建项目的 1,811,710 美元，由此带来的退还资金总额为 1,913,949 美元。该金额包括拟因以下 4 个项目而向环境规划署移交的 1,835,205 美元：PHI/PHA/47/INV/79、PHI/PHA/41/INV/71、PHI/PHA/51/INV/81 和 PHI/PHA/54/INV/82，作为落实第 65/10(e)(三)号决定一项后续行动，要求世界银行向第六十六次会议退还菲律宾国家淘汰计划剩余余额。

按完成年份分列的尚有余额的项目

4. 表 2 所列为执行机构仍然留有余额的项目数目以及这些项目的完成年份。表 2 同时显示，对于在 2010 年 12 月 31 日（含）之前（至少之前 12 个月内）完成的项目，执行机构保留的余额合计达 2,867,625 美元（不含支助费用）。

表 2

不同项目完成年份保留的余额

完成年份	不同机构报告的项目数目和余额									
	开发计划署		环境规划署		工发组织		世界银行		共计	
	数目	(美元)	数目	(美元)	数目	(美元)	数目	(美元)	数目	(美元)
2005 年	0	0	3	31,696	0	0	0	0	3	31,696
2006 年	2	11,621	2	72,022	0	0	0	0	4	83,643
2007 年	7	50,661	1	8,000	2	48,654	0	0	10	107,315
2008 年	5	78,194	4	112,350	3	297,726	0	0	12	488,270
2009 年	9	221,905	3	42,904	11	260,066	0	0	23	524,875
2010 年 1 月 1 日至 2010 年 3 月 31 日	0	0	0	0	0	0	0	0	0	0
两年前完成的项目	23	362,381	13	266,972	16	606,446	0	0	52	1,235,799
2010 年 4 月 1 日至 2010 年 12 月 31 日	44	696,849	20	168,943	26	454,220	2	311,814	92	1,631,826
共计	67	1,059,230	33	435,915	42	1,060,666	2	311,814	144	2,867,625

5. 执行委员会在第四十七次会议上，请求基金秘书处依照关于实施延误和项目余额情况的文件，继续对多年期年度付款进行监测（见第 47/50(b)(三)号决定）。

6. 开发计划署保留的余额共计 1,059,230 美元，其中 235,254 美元应归于 21 项多年期协定（MYA）。此外，开发计划署保留余额的项目也最多（67 个），其次是工发组织（42 个）、环境规划署（33 个）和世界银行（2 个）。世界银行上报的余额为 311,814 美元，其中 215,000 美元应归于 1 个“多年期协定”年度付款。环境规划署保留的余额合计达 435,915 美元，其中 12,723 美元应归于 3 个“多年期协定”。工发组织保留的余额合计达 1,060,666 美元，其中 785,240 美元应归于 19 个“多年期协定”。

7. 在四个机构中，有三个机构有两年前便已完成、但仍留有余额的项目。开发计划署两年前已完成的项目数目最多（23 个），即从 22 个增加到 23 个。开发计划署项目过去两年的余额已从 257,492 美元增加到 362,381 美元。开发计划署坚持其在前几次会议上做出的解释，即仍有两年前的已承付余额的原因是，开发计划署的财务程序需要颁布一项最终预算修订，才标志着开发计划署与执行机构之间的项目正式结束，以及开发计划署系统内项目的结束。在采取这一步骤之前，执行机构被认为有机会获得上述资金，因此，这些资金被视为已经承付。

8. 环境规划署两年前已完成项目数目仍然是 13 个。环境规划署向第六十五次会议报告的已完成项目余额已从 277,674 美元略降至 266,972 美元。环境规划署解释说，两年的余额仍有支付义务，因为该机构仍在通过各种法律协议在与执行伙伴合作，且只有在这些项目的财政期结束且所有义务均已履行完毕，则剩余资金才能退回。

9. 自执行委员会第六十五次会议以来，工发组织保留的两年前已完成项目余额从 526,072 美元增至 606,446 美元，项目数目从 13 个增至 16 个。在这 16 个项目中，10 个为多年期协定，承付余额为 528,954 美元。工发组织建议秘书处，就剩余支付义务而言，要么启动财务结束程序，要么在解决所有未决问题之后退还余额。工发组织坚持认为，为了防止在这些项目财务完成之前任何相关项目账户中发生超支的风险，不能退还过去两年的余额。

已承付和未承付的余额

10. 四家执行机构各自己承付和未承付的余额如下表所列：

表 3

不同机构有余额项目已承付和未承付余额（美元）

机构	已承付的余额	未承付的余额	共计
开发计划署	1,059,230	0	1,059,230
环境规划署	435,915	0	435,915
工发组织	1,060,666	0	1,060,666
世界银行	215,000	96,814	311,814
共计	2,770,811	96,814	2,867,625

11. 从已完成项目和在建项目获得的余额共计 2,867,625 美元，其中已承付 2,770,811 美元（这表明预计会支出这些资金），未承付金额为 96,814 美元。相关执行机构必须依照第

31/2 (a) (二)号决定提交报告做出解释，说明为何未能在规定时限内退还未承付余额，并且必须明确退还余额的时间。

12. 开发计划署没有未承付余额，而且其所报告的已完成项目承付余额有所增加。有余额项目总体数目已从向第六十五次会议报告的 41 个增至向第六十六次会议报告的 67 个。已承付余额总体水平已从 1,217,862 美元减少至 1,059,230 美元。9 个开发计划署项目（其中 4 个为投资项目）已在 5 年多之前完成，而且仍有已承付余额。

13. 环境规划署有 33 个已完成项目，保留 435,915 美元已承付金额，并且没有未承付余额。有余额项目总数已从向第六十五次会议报告的 21 个增至向第六十六次会议报告的 33 个。余额总数从 393,588 美元增至 435,915 美元。环境规划署有 6 个已在 5 年多之前完成且拥有承付余额的项目。在这 6 个已承付余额的项目中，有 3 个已在 2005 年完成。

14. 执行委员会在第 64/2(一)号决定中决定注意到工发组织承诺在执行委员会第六十六次会议之前全额退还个别已完成项目的未承付余额，并且在第 65/2(b)号决定中决定重申第 31/2(a)(一)和(二)号决定，并请工发组织向执行委员会第六十六次会议通报将会全额退还已完成项目剩余未承付余额的最新日期。在其向第六十六次会议报告的余额中，工发组织报告称没有未承付余额。对向第六十六次会议报告的余额进行的审查表明，第 64/2(一)和 65/2(b)号决定中所提到的某些未承付余额已经承付，而其他余额已经退还基金。

15. 工发组织报告称有 42 个已完成项目拥有承付资金 1,060,666 美元。有余额的项目总数从向第六十五次会议报告的 29 个增至向第六十六次会议报告的 42 个，余额总数已从 858,009 美元增至 1,060,666 美元。工发组织有 2 个项目在 2007 年（即 5 年前）完成且有承付余额。

16. 世界银行有 2 个完成时间不到两年的项目，其承付金额为 215,000 美元，未承付余额为 96,814 美元。这是惟一一个有未承付余额的机构。根据秘书处的要求，世界银行解释说，未承付余额与具体项目无关，而是涉及到中国加工剂行业计划的一次供资付款。这次付款被标注为已经完成，因为中国已经履行所要求的消费义务。但是，给中国的最后一次付款未在世界银行内部结算之前完成。世界银行解释说，对这笔未承付余额的发放预计会在执行委员会第六十六次会议之前完成，届时，世界银行将不再有未承付余额。

17. 执行委员会在第三十五次会议上要求相关执行机构全额退还与已完成项目留存资金相关的机构支助费用。如果用这些机构支助费用支付相关费用，相关执行机构应做出解释，并及时退还资金余额（第 35/13 (k) 号决定）。表 4 显示，即将向第六十六次会议退还的机构支助费用合计高达 255,336 美元，其中包含世界银行退还的已完成项目余额 9,060 美元和在建设项目余额 152,208 美元、开发计划署退还的已完成项目余额 73,257 美元、环境规划署退还的已完成项目余额 6,773 美元以及工发组织退还的已完成项目余额 13,866 美元。

表 4

将向第六十六次会议退还的行政支助费用（美元）

机构	已完成项目 退还资金	在建项目退还资金	将退还第六十六次 会议的资金总额	将向环境规划署移 交的金额
开发计划署	73,257	0	73,257	0
环境规划署	6,773	0	6,773	0
工发组织	13,866	0	13,866	0
世界银行	9,060	152,280	161,340*	154,294
共计	102,956	152,280	255,336	154,294

*包括世界银行因菲律宾国家淘汰计划而根据第 65/10(e)(三)号决定向环境规划署移交的 154,294 美元。

双边机构将退还第六十六次会议的资金

18. 依照第 56/2 号决定，双边机构必须向执行委员会报告其建议如何处理已完成项目的余额。表 5 所列为日本政府和意大利政府收到的、关于退还已完成项目余额退还情况的反馈信息。如表 5 所示，将向第六十六次会议退还的总金额为 78,331 美元，其中包含项目费用和机构支助费用。

表 5

双边机构将退还第六十六次会议的资金（美元）

已核准项目的退还金额				
	项目费用	项目支助费用	共计	利息
意大利	338	44	382	260,777*
日本	60,900	17,049	77,949	0
共计	61,238	17,093	78,331	260,777

*包括 2010 年应计利息退款 363 美元，以及 TFCPR03001 和 TFCPR05004 项目的应计利息退款 186,809 美元和 73,605 美元。

19. 意大利政府通知秘书处，其计划在第六十六次会议上退还塞尔维亚一个已完成项目（YUG/SOL/56/INV/33）的余额 382 美元，如本文件附件二所示。它还通知秘书处，其执行机构已将 2010 年应计利息退款 363 美元以及 TFCPR03001 和 TFCPR05004 项目的应计利息退款 186,809 美元和 73,605 美元作为基金的一项额外收入直接退还财务主任。

20. 日本政府通知秘书处，其计划在第六十六次会议上退还两个已完成项目 CPR/REF/28/INV/302 和 NIR/SEV/38/TAS/104 的项目费用和项目支助费用合计 77,949 美元。

不同完成年份尚有余额的双边项目

21. 表 6 所列为双边机构目前保留的余额以及这些项目的完成年份。表 6 显示，对于在 2010 年 12 月 31 日及该日之前（至少之前 12 个月内）完成的项目，双边机构保留的余额总计为 211,720 美元，其中包含支助费用。

表 6

双边机构保留的余额

完成年份	法国 (美元)	日本 (美元)	共计 (美元)
2008 年	78,440	0	78,440
2009 年	0	0	0
2010 年 1 月 1 日至 2010 年 3 月 31 日	0	0	0
两年前完成的项目	78,440	0	78,440
2010 年 4 月 1 日至 2010 年 12 月 31 日	64,631	13,087	77,718
净额合计	143,071	13,087	156,158
项目支助费用	17,810	37,752	55,562
共计	160,881	50,839	211,720

22. 法国政府告知秘书处，已完成项目的余额在内部财务期结束之前未结算，并且不会向第六十六次会议退还。法国政府的所有余额均为未承付余额，且只有一个是在两年前完成的项目。

秘书处的评论和建议

评论

23. 附件一所述为不同机构关于已承付和未承付余额的补充信息。在本报告所述留有余额项目的 287 万美元中，约有 120 万美元是 44 个“多年期协定”的余额。工发组织是余额最多的机构，其已承付余额为 1,060,666 美元，其次是 1,059,230 美元的开发计划署、435,915 美元的环境规划署，以及 311,814 美元的世界银行。各家机构保留的两年前已完成项目的余额共计 1,235,799 美元，其中环境规划署留有三个在 2005 年完成的项目的余额，开发计划署留有两个在 2006 年完成的项目的余额。应该立即关注这些长期未结债务，以期尽快向基金退还余额。所有机构都已清偿了其两年或两年以上的未承付余额。有二家双边机构保留的余额中包含已完成项目的支助费用：其中法国有 160,881 美元，日本有 50,839 美元。

24. 截至 2012 年 3 月 9 日的出资和发放情况表明，财务主任可动用的现金和本票总金额为 30,390,979 美元。包括机构支助费用但不含应计利息和双边机构的退款，执行机构退还的净额合计为 1,038,911 美元。考虑到 UNEP/OzL.Pro/ExCom/65/3 号文件所包含的基金余额和执行机构退还的总金额，有待执行委员会第六十六次会议核准的可用资金总额为 31,429,890 美元。

25. 考虑到执行委员会于 2012 年 3 月 9 日审查的项目和活动的资金总额高达 5,141 万美元，其中包括机构支助费用，所以在第六十六次会议上核准的现有资金是不够的。

建议

26. 谨建议执行委员会：

- (a) 注意到 UNEP/OzL.Pro/ExCom/66/4 号文件中所载余额和资金供应情况的报告；
- (b) 注意到各执行机构将向第六十六次会议退还的项目资金净额 2,773,074 美元，其中包括开发计划署退还的 676,933 美元、环境规划署退还的 52,098 美元、工发组织退还的 130,094 美元和世界银行退还的 1,913,949 美元；
- (c) 注意到各执行机构将向第六十六次会议退还项目支助费用净额为 255,336 美元，其中包括开发计划署退还的 73,257 美元、环境规划署退还的 6,773 美元、工发组织退还的 13,866 美元和世界银行退还的 161,340 美元；
- (d) 注意到世界银行的退款中包括世界银行根据第 65/10(e)号决定向环境规划署移交用于菲律宾国家氟氯烃淘汰计划的项目费用 1,835,205 美元外加支助费用 154,294 美元；
- (e) 注意到执行机构留有余额共计 1,235,799 美元，不包括两年前已完成项目的支助费用，其中包括开发计划署的 362,381 美元、环境规划署的 266,972 美元和工发组织的 606,446 美元；
- (f) 请留有 2005 年、2006 年和 2007 年完成项目长期未结承付余额的机构尽快退还这些余额；
- (g) 注意到法国政府留有未承付余额共计 78,440 美元，不包括属于一个两年前已完成项目的支助费用；
- (h) 注意到工发组织为落实第 64/2(一)号决定和第 65/2(b)号决定而向第六十六次会议报告的已完成项目的未承付余额为零；
- (i) 注意到意大利政府和日本政府向第六十六次会议退还的资金和支助费用总额分别为 382 美元和 77,949 美元，并请财务主任监督意大利和日本将其现金余额退还基金；和
- (j) 注意到意大利通过其执行机构工发组织作为基金的额外收入退还应计利息 260,777 美元，并请财务主任监督该执行机构退还此款项。

Annex I

OBLIGATED AND UN-OBLIGATED BALANCES HELD BY IMPLEMENTING AGENCY

UNDP

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2010 (US\$)	Funds Disbursed as of 66 th Meeting (US\$)	Obligated Balance as of 66 th Meeting (US\$)	Unobligated Balance as of 66 th Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
ANG/PHA/51/PRP/07	Project preparation for a terminal phase-out management plan in the servicing sector	COM	Dec-10	12,000	3,805	8,195			
ARG/FOA/38/INV/132	Terminal umbrella project for phase-out of the use of CFC-11 in the manufacture of polyurethane foam	COM	Dec-07	1,324,843	1,307,223	17,620			
ARG/FUM/48/INV/149	Methyl bromide phase-out in tobacco and non-protected vegetable seedbeds (sixth tranche)	COM	Jun-09	467,000	466,927	73			
ARM/REF/57/PRP/04	Preparation for HCFC phase-out investment activities (refrigeration sector)	COM	Dec-10	30,000	26,461	3,539			
BAH/PHA/50/INV/16	Terminal phase-out management plan (first tranche)	COM	Dec-08	272,500	272,322	178			
BRA/FOA/56/DEM/285	Pilot project for validation of methyl formate as a blowing agent in the manufacture of polyurethane foam (phase I)	COM	Dec-10	401,500	228,229	173,271			
BRA/PAG/54/INV/281	Phase-out of CTC as process agent in two applications at Braskem	COM	Dec-10	1,178,554	1,172,444	6,110			
BRA/SEV/52/INS/279	Extension of institutional strengthening project (phase V)	COM	Dec-10	351,000	301,329	49,671			
BZE/PHA/57/INV/23	Terminal phase-out management plan for the phase-out of ODS in the refrigeration and air-conditioning sector (second tranche)	COM	Aug-10	72,000	71,980	20			
CBI/REF/44/TAS/08	Implementation of the RMP: technical assistance for the refrigeration servicing sector	COM	Dec-10	75,400	74,064	1,336			
CHD/PHA/57/INV/20	Terminal phase-out management plan (second tranche)	COM	Dec-10	82,000	61,326	20,674			
CHI/FOA/48/INV/161	Terminal umbrella project for phase-out of the use of CFC-11 in the manufacture of polyurethane foam	COM	Dec-10	429,962	363,541	66,421			
CHI/FOA/57/PRP/167	Preparation for HCFC phase-out investment activities (foam sector)	COM	Dec-10	50,000	24,204	25,796			
CHI/FUM/32/INV/143	Demonstration and phase-out project for methyl bromide soil fumigation for fruit tree production and replant	COM	Dec-07	805,000	804,546	454			
CHI/PHA/55/PRP/165	Preparation of a HCFC phase-out management plan	COM	Dec-10	150,000	136,347	13,653			
CHI/REF/57/PRP/170	Preparation for HCFC phase-out investment activities (refrigeration manufacturing)	COM	Dec-10	50,000	33,257	16,743			
CHI/SOL/41/TAS/154	Technical assistance to phase-out ozone depleting solvents	COM	Dec-09	245,690	230,403	15,288			
COI/PHA/56/INV/17	Terminal phase-out management plan (second tranche)	COM	Dec-10	32,000	31,280	720			
COL/FOA/57/PRP/72	Preparation for HCFC phase-out investment activities (foam sector)	COM	Dec-10	150,000	126,967	23,033			
COL/PHA/55/PRP/69	Preparation of a HCFC phase-out management plan	COM	Dec-10	173,750	166,900	6,850			
CUB/DES/59/PRP/45	Preparation for pilot demonstration project on ODS waste management and disposal	COM	Nov-10	40,000	38,739	1,261			
CUB/REF/47/DEM/36	Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers	COM	Dec-10	984,353	916,260	68,093			
DJI/PHA/53/INV/13	Terminal phase-out management plan for CFCs (first tranche)	COM	Dec-09	147,000	144,849	2,151			

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DRC/FOA/41/INV/19	Terminal umbrella project for the foam sector	COM	Dec-07	640,820	618,358	22,462			
DRC/REF/41/TAS/16	Implementation of the RMP: centralized recovery and recycling of CFC-12 programme	COM	Dec-08	437,102	436,870	232			
EGY/FOA/57/PRP/99	Preparation for validation of low-cost hydrocarbon in foams	COM	Dec-09	30,000	22,792	7,208			
GAM/PHA/55/PRP/20	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	76,061	8,939			
GAM/PHA/57/INV/22	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-10	30,500	27,499	3,001			
GEO/PHA/55/PRP/26	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	78,044	6,956			
GHA/SEV/56/INS/29	Extension of the institutional strengthening project (phase VIII)	COM	Dec-10	139,100	138,983	117			
GRN/PHA/55/INV/14	Terminal phase-out management plan (second tranche)	COM	Dec-09	50,000	49,337	663			
IDS/REF/51/INV/179	Phase-out of CFCs in the refrigeration sector (servicing) (fifth tranche)	COM	Dec-10	159,555	150,815	8,740			
IND/REF/47/INV/395	National CFC consumption phase-out plan focusing on the refrigeration service sector: 2006 work programme	COM	Dec-09	1,332,377	1,157,117	175,260			
KAM/PHA/57/INV/20	Terminal phase-out management plan for the phase-out of CFCs (second tranche)	COM	Dec-10	85,000	83,226	1,774			
KYR/PHA/55/PRP/20	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	84,261	739			
LEB/FUM/47/INV/61	Sector phase-out of methyl bromide in vegetables, cut flowers, and tobacco production (fifth tranche)	COM	Dec-09	267,300	266,717	583			
LEB/PHA/55/INV/66	National phase-out management plan for Annex-A Group-I substances (CFCs) (fifth tranche)	COM	Dec-10	65,000	64,600	400			
MAU/PHA/57/INV/22	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-10	55,000	50,034	4,966			
MAU/REF/41/TAS/11	Implementation of the RMP: centralized recovery and recycling of CFC-12 programme	COM	Dec-07	100,995	100,299	696			
MEX/FOA/56/DEM/141	Pilot project for validation of methyl formate in microcellular polyurethane applications (phase I)	COM	Nov-10	291,500	290,082	1,418			
MEX/FUM/26/DEM/86	Alternatives to methyl bromide for structural fumigation in Mexico	COM	Oct-10	498,300	415,354	82,946			
MEX/PHA/55/PRP/140	Preparation of a HCFC phase-out management plan	COM	Nov-09	69,500	62,781	6,719			
MLI/PHA/57/INV/28	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-10	150,000	147,198	2,802			
MLI/REF/45/TAS/16	Implementation of the RMP update: supplementary training and spares to the national programme for recovery and recycling of refrigerants	COM	Dec-07	50,000	48,610	1,390			
MLW/FUM/43/INV/21	Phase-out of all non-essential and non-QPS methyl bromide (fourth tranche)	COM	Dec-06	849,824	838,303	11,521			
MOL/ARS/54/TAS/20	MDI transition strategy	COM	Dec-10	30,000	29,982	18			
MOL/PHA/55/PRP/21	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	81,883	3,117			
MOL/PHA/56/INV/23	Terminal CFC phase-out management plan (second tranche)	COM	Dec-10	152,500	152,341	159			
NIC/PHA/56/INV/23	Terminal phase-out management plan for Annex A Group I substances (first tranche)	COM	Nov-10	320,000	319,958	42			
NIR/FOA/57/PRP/123	Preparation for HCFC phase-out investment activities (foam sector)	COM	Dec-10	50,000	30,117	19,883			
NIR/SEV/40/INS/107	Extension of institutional strengthening project	COM	Dec-06	260,000	259,900	100			
NIR/SEV/48/INS/114	Extension of the institutional strengthening project (phase IV)	COM	Dec-07	260,000	259,805	195			
NIR/SEV/54/INS/118	Extension of the institutional strengthening project (phase V)	COM	Dec-10	260,000	256,233	3,767			
PAN/PHA/56/INV/29	National phase-out plan for Annex A (Group I) substances (fourth and fifth tranches)	COM	Dec-10	255,000	254,561	439			

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PAR/SOL/45/TAS/14	Technical assistance for the phase-out of ODSs in the solvent sector	COM	Dec-08	30,000	29,893	107			
SAM/PHA/53/INV/11	Terminal phase-out management plan (first tranche)	COM	Dec-10	45,000	43,950	1,050			
SIL/PHA/57/INV/20	Terminal phase-out management plan (first tranche)	COM	Dec-10	40,000	34,715	5,285			
SIL/REF/41/TAS/07	Implementation of the RMP: MAC recovery/recycling of CFC-12	COM	Dec-10	61,858	43,454	18,404			
SRL/FUM/27/DEM/13	Alternatives to methyl bromide for eradication of tea nematodes in Sri Lanka	COM	Oct-07	310,200	302,356	7,844			
SRL/SEV/55/INS/32	Extension of the institutional strengthening project (phase VII)	COM	Dec-10	134,056	119,682	14,374			
SWA/REF/57/PRP/14	Preparation for HCFC phase-out investment activities (refrigeration manufacturing)	COM	Dec-10	30,000	16,963	13,037			
TOG/PHA/57/INV/20	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-10	62,000	59,755	2,245			
TRI/PHA/49/INV/20	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-08	240,000	231,536	8,464			
URU/ARS/43/INV/42	Phase-out of CFC consumption in the manufacture of aerosol metered dose inhalers (MDIs)	COM	Dec-09	427,023	413,063	13,960			
URU/PHA/56/INV/50	Terminal phase-out management plan for Annex A Group I substances (second tranche)	COM	Dec-10	48,000	43,793	4,207			
VEN/SEV/56/INS/113	Renewal of institutional strengthening project (phase IX)	COM	Dec-10	285,480	282,843	2,637			
YEM/REF/37/TAS/15	Implementation of the RMP: national recovery and recycling programme for refrigerants in the commercial and MAC sectors	COM	Dec-08	1,472,730	1,403,516	69,214			
TOTAL						1,059,230			

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ALG/SEV/48/INS/64	Extension of the institutional strengthening project (phase IV)	COM	Dec-08	257,400	181,050	76,350			
BAH/SEV/53/INS/18	Extension of institutional strengthening project (phase V)	COM	Dec-10	60,000	48,000	12,000			
BAR/PHA/54/PRP/17	Project preparation for a terminal phase-out management plan in the servicing sector	COM	Oct-10	15,000	13,199	1,801			
BHU/PHA/55/PRP/13	Preparation of a HCFC phase-out management plan	COM	Dec-10	30,000	23,470	6,530			
BHU/PHA/56/PRP/14	Preparation of a HCFC phase-out management plan (additional)	COM	Dec-10	55,000	43,686	11,314			
BKF/PHA/55/PRP/25	Preparation of a HCFC phase-out management plan	COM	Dec-10	30,000	26,602	3,398			
BKF/PHA/56/PRP/27	Preparation of a HCFC phase-out management plan (additional)	COM	Dec-10	55,000	47,880	7,120			
BKF/SEV/56/INS/26	Extension of the institutional strengthening project (phase VIII)	COM	Dec-10	72,410	71,110	1,300			
CHD/SEV/56/INS/18	Extension of the institutional strengthening project (phase IV)	COM	Dec-10	60,000	58,540	1,460			
GAB/PHA/52/TAS/20	Terminal phase-out management plan (first tranche)	COM	Jul-10	65,000	62,507	2,493			
GLO/SEV/52/TAS/283	Integrated enforcement training under the Montreal Protocol through green customs initiative	COM	Dec-10	62,000	48,423	13,577			
HAI/HAL/50/TAS/10	Sectoral phase-out programme: Establishing a halon management plan	COM	Nov-08	25,000	16,000	9,000			
HAI/PHA/54/PRP/12	Project preparation for a terminal phase-out management plan in the servicing sector	COM	Dec-10	15,000	13,035	1,965			
HAI/REF/39/TRA/07	Implementation of the refrigerant management plan: training for customs officers	COM	Dec-10	33,100	23,719	9,381			
KAM/PHA/55/PRP/18	Preparation of a HCFC phase-out management plan	COM	Jul-10	125,000	104,458	20,542			
MDV/PHA/55/PRP/16	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	65,094	19,906			
MDV/REF/38/TRA/08	Implementation of the RMP: training of customs officials and the Ozone Unit staff	COM	Nov-05	35,000	28,612	6,388			
MLW/PHA/55/PRP/27	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	73,904	11,096			
MYA/REF/29/PRP/03	Preparation of a refrigerant management plan	COM	Apr-05	30,000	8,572	21,428			
MYA/SEV/29/INS/02	Establishment of the national Ozone Cell for implementing the phase out of ODSs under the Montreal Protocol	COM	Dec-09	76,000	53,500	22,500			
NER/PHA/58/TAS/24	Terminal phase out management plan (second tranche)	COM	Dec-10	68,000	67,000	1,000			

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NIC/SEV/49/INS/20	Renewal of institutional strengthening project (phase IV)	COM	Sep-09	60,000	48,013	11,987			
PAK/PHA/55/PRP/69	Preparation of a HCFC phase-out management plan	COM	Dec-10	60,000	56,603	3,397			
PER/REF/43/TAS/35	Implementation of the RMP update: refrigeration technicians certification and licensing system	COM	Dec-09	86,000	77,583	8,417			
RWA/REF/41/TAS/09	Implementation of the RMP: monitoring the activities in the RMP	COM	Dec-08	20,000	0	10,000			
RWA/REF/41/TRA/06	Implementation of the RMP: train the trainer programme for refrigeration service technicians	COM	Dec-06	50,000	17,246	32,754			
RWA/REF/41/TRA/07	Implementation of the RMP: training programme for customs officers	COM	Dec-06	50,000	10,732	39,268			
SOM/SEV/35/TAS/01	Formulation of national phase out strategy	COM	Dec-10	60,000	35,098	24,902			
SRL/PHA/55/PRP/34	Preparation of a HCFC phase-out management plan	COM	Dec-10	25,000	18,469	6,531			
STP/REF/44/TRA/08	Implementation of the RMP: training programme for refrigeration service technicians	COM	Dec-08	55,000	26,466	17,000			
STV/PHA/47/TRA/10	Terminal phase out management plan for CFCs (first tranche)	COM	Dec-10	67,000	57,770	9,230			
VEN/SEV/34/TAS/90	Review of ODS license system and Decree 3220 related to control of these substances	COM	Dec-05	80,000	35,500	3,881			
ZAM/PHA/50/PRP/17	Project preparation for a terminal phase-out management plan	COM	Dec-07	30,000	22,000	8,000			
TOTAL						435,915			

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ALG/PHA/53/INV/67	National phase-out plan (first tranche)	COM	Apr-10	723,500	721,621	1,879		MY	n/a
ALG/PHA/55/PRP/68	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	72,995	12,005		3	68
ARG/PHA/42/INV/138	National CFC phase-out plan (first tranche)	COM	Dec-08	2,740,000	2,727,724	12,276		MY	n/a
ARG/REF/57/PRP/161	Preparation for HCFC phase-out investment activities (air-conditioning manufacturing sector)	COM	Jul-10	80,000	77,595	2,405		3	67
ARM/SEV/57/INS/05	Institutional strengthening (phase I)	COM	Dec-10	120,000	117,293	2,707		3	67
BDI/PHA/55/INV/24	Terminal phase-out management plan (first tranche)	COM	Nov-10	76,000	66,623	9,377		MY	n/a
CPR/PRO/47/INV/436	Sector plan for methyl bromide production sector (first tranche)	COM	Dec-08	3,000,000	2,718,389	281,611		MY	n/a
CUB/FUM/44/INV/29	Total phase-out of methyl bromide in soil, substrate, storage and structure fumigation	COM	Dec-09	537,763	520,252	17,511		4	68
DRK/PHA/55/INV/52	Plan for terminal phase-out of CTC (6th tranche)	COM	Dec-10	100,000	97,975	2,026		MY	n/a
EGY/FOA/61/PRP/102	Preparation for HCFC phase-out investment activities (appliance foam sector)	COM	Dec-10	100,000	74,131	25,869		4	68
GEO/FUM/47/INV/20	Technical assistance for the elimination of methyl bromide in grain and storage facilities	COM	Dec-10	225,500	221,612	3,889		3	67
IDS/SOL/61/PRP/190	Preparation for HCFC phase-out investment activities (solvent sector)	COM	Dec-10	10,000	6,397	3,603		4	68
IRA/ARS/52/INV/183	Phase-out of CFC consumption in the manufacture of aerosol MDIs	COM	Dec-10	3,296,758	3,260,360	36,398		4	68
IRA/SOL/50/INV/180	Terminal solvent sector umbrella project	COM	Dec-09	856,478	838,521	17,957		4	68
KEN/FUM/53/INV/43	Technology transfer leading to methyl bromide phase-out in soil fumigation	COM	Dec-09	510,659	508,221	2,438		MY	n/a
KUW/PHA/52/INV/11	Terminal phase-out management plan for Annex A Group I substances (first tranche)	COM	Nov-10	220,000	191,894	28,106		MY	n/a
KYR/HAL/48/TAS/12	Halon phase-out programme	COM	Dec-08	50,000	46,162	3,838		4	67
LIB/FUM/47/INV/27	Phase-out of methyl bromide in horticulture: tomatoes, cucumbers, peppers and others	COM	Dec-10	743,000	732,575	10,425		MY	n/a
MEX/PAG/52/INV/133	Umbrella project for terminal phase-out of CTC	COM	Dec-09	1,518,094	1,503,382	14,712		3	68
MEX/SEV/58/INS/147	Extension of institutional strengthening project (phase IX)	COM	Dec-10	185,250	180,569	4,681		4	68
MOG/PHA/53/INV/03	Terminal phase-out plan for CFCs (first tranche)	COM	Dec-09	175,000	168,644	6,356		MY	n/a
MOG/SEV/55/INS/04	Institutional strengthening project (Phase I)	COM	Dec-10	60,000	56,077	3,923		3	67
NER/PHA/54/INV/21	Terminal phase-out management plan (first tranche)	COM	Dec-10	131,000	75,275	55,725		MY	n/a
NIR/REF/58/PRP/124	Preparation for HCFC phase-out investment activities (refrigeration manufacturing sector)	COM	Dec-10	50,000	47,658	2,342		4	68
OMA/PHA/52/INV/15	Terminal phase-out management plan (first tranche)	COM	Jul-09	305,800	296,024	9,776		MY	n/a
PAK/FOA/59/PRP/75	Preparation for HCFC phase-out investment activities (polyurethane foam sector)	COM	Dec-10	80,000	43,894	36,106		4	68
PAK/PHA/44/INV/62	Sector phase-out plan of CTC (second tranche)	COM	Jul-07	1,300,000	1,297,863	2,137		MY	n/a
PAK/PHA/54/INV/67	Sector phase-out plan of CTC (third tranche)	COM	Dec-09	245,665	244,753	912		MY	n/a

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PAK/PHA/55/PRP/70	Preparation of a HCFC phase-out management plan	COM	Dec-10	90,000	84,976	5,024		4	68
PAK/PHA/59/PRP/76	Preparation of a HCFC phase-out management plan (additional funding)	COM	Dec-10	45,000	41,969	3,031		4	68
PHI/FOA/59/PRP/86	Preparation for HCFC phase-out investment activities (foam sector)	COM	Dec-10	70,000	64,249	5,751		4	68
QAT/SEV/49/INS/08	Renewal of institutional strengthening project (phase II, second year funding)	COM	Jun-09	44,500	29,581	14,919		3	67
ROM/PRO/50/INV/35	Sector plan for production sector (second tranche)	COM	Jun-07	900,000	853,483	46,517		MY	n/a
ROM/PRO/53/INV/40	Sector plan for production sector (fourth tranche)	COM	Dec-10	1,200,000	1,197,333	2,667		MY	n/a
SAU/FOA/60/PRP/07	Preparation for HCFC phase-out investment activities (foam sector)	COM	Dec-10	80,000	58,376	21,624		4	68
SUD/FOA/59/PRP/24	Preparation for HCFC phase-out investment activities (polyurethane foam sector)	COM	Dec-10	40,000	14,353	25,647		4	68
SUD/PHA/55/PRP/21	Preparation of a HCFC phase-out management plan	COM	Dec-10	30,000	27,072	2,928		4	68
SYR/PHA/49/INV/96	National CFC phase-out plan for Syria (first tranche)	COM	Dec-10	430,000	378,666	51,334		MY	n/a
SYR/PHA/56/INV/98	National CFC phase-out plan (second tranche)	COM	Dec-10	170,000	75,251	94,749		MY	n/a
SYR/SEV/45/INS/91	Extension of institutional strengthening: phase III	COM	Dec-09	203,823	195,268	8,555		3	68
TUR/FUM/47/INV/88	Phase-out of methyl bromide in protected tomato, cucumber and carnation crops (third and fourth tranches)	COM	Nov-09	1,408,844	1,315,635	93,209		MY	n/a
YUG/PHA/43/TAS/23	National CFC phase-out plan (first tranche)	COM	Dec-09	474,700	400,979	73,721		MY	n/a
Total						1,060,666		MY	n/a

2: Financial completion initiated; 3: Financial completion being initiated; 4: Financial completion to be initiated after settlement of all pending issues

WORLD BANK

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2010 (US\$)	Funds Disbursed as of 66 th Meeting (US\$)	Obligated Balance as of 66 th Meeting (US\$)	Unobligated Balance as of 66 th Meeting (US\$)	Why any unobligated balances could not be returned/ disbursed?	When they could be returned/ disbursed?
ARG/HAL/26/TAS/80	National halon management programme to help eliminate the use of halon-1301 in new applications and to manage recovered halon-1301	COM	Dec-10	290,620	193,806		96,814		
CPR/PAG/58/INV/488	Sector plan for halon phase-out in China: 2009 annual programme	COM	Jul-10	1,500,000	1,285,000	215,000		MYA	
Total						215,000	96,814		

FRANCE

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2010 (US\$)	Funds Disbursed as of 66 th Meeting (US\$)	Balance as of 66 th Meeting (US\$)	Unobligated Balance as of 66 th Meeting (US\$)	Why any unobligated balances could not be returned/disbursed?	When they could be returned/disbursed?
ETH/PHA/51/PRP/17	Project preparation for a terminal phase-out management plan in the servicing sector	COM	Jul-10	30,000	17,600		12,400	Pending other returns of sub-contractor balances	
ETH/REF/44/TAS/14	Implementation of the RMP update	COM	Dec-10	91,300	50,311		40,989	Pending the return of sub-contractors balances.	
LAO/REF/34/TRA/04	Implementation of the RMP: customs training programme	COM	Jun-10	81,542	70,300		11,242	Pending internal financial closure	
MOR/FUM/29/INV/37	Phase-out of methyl bromide use in the cut flower and banana production	COM	Jul-08	1,006,652	928,212		78,440	Data discrepancies with sub-contractors.	
Total							143,071		

JAPAN

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2010 (US\$)	Funds Disbursed as of 66 th Meeting (US\$)	Balance as of 66 th Meeting (US\$)	Unobligated Balance as of 66 th Meeting (US\$)	Why any unobligated balances could not be returned/disbursed?	When they could be returned/disbursed?
SRL/PHA/43/TAS/28	National compliance action plan: recovery and recycling programme	COM	Dec-10	290,400	277,313	13,087			
Total					277,313	13,087			

Annex II

DETAILS ON PROJECTS WITH RETURNED BALANCES FROM BILATERAL AGENCIES

ITALY

Code	Project Title	Project costs returned (US\$)	Associated support costs (US\$)	Total
YUG/SOL/56/INV/33	Terminal CTC phase-out project	338	44	382
Total		338	44	382

JAPAN

Code	Project Title	Project costs returned (US\$)	Associated support costs (US\$)	Total
CPR/REF/28/INV/302	Phasing out ODS in the production of compressors at Changshu Refrigerating Equipment Works	0	9,132	9,132
NIR/SEV/38/TAS/104	Assistance for a national information, education and communication campaign for compliance with the Montreal Protocol	60,900	7,917	68,817
Total		60,900	17,049	77,949