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EXECUTIVE COMMITTEE OF
THE MULTILATERAL FUND FOR THE
IMPLEMENTATION OF THE MONTREAL PROTOCOL
Sixty-fifth Meeting
Bali, Indonesia, 13-17 November 2011

REPORT ON BALANCES AND AVAILABILITY OF RESOURCES

Introduction

1. This document presents a summary of the financial adjustments indicated by the bilateral and implementing agencies (IAs) as agreed by the Fund Secretariat. The document includes statistical data, as required by decisions 28/7 and 56/2, from projects for bilateral and implementing agencies with balances that have been held for over the allowable 12-month period following completion of the project. The data presented are based on the reporting format that has been developed pursuant to decision 31/2 and include both obligated and un-obligated balances. Further to decision 41/92(b), this document also indicates the level of resources available to the Executive Committee in cash and promissory notes as recorded in the Status of contributions and disbursements (UNEP/OzL.Pro/ExCom/65/3). The total funds to be returned addressed in this report amount to US \$593,446, including support costs, which consist of the net amount of US \$584,455 returned by the IAs and US \$8,991 returned from bilateral agencies in addition to the amount of US \$197,263 to be transferred from UNDP to UNIDO.

Funds being returned to the 65th meeting by Implementing Agencies

2. Information provided by the IAs indicates that a total of US \$705,522 excluding agency support costs will be returned to the 65th meeting, as set out in Table 1.

Pre-session documents of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol are without prejudice to any decision that the Executive Committee might take following issuance of the document.

Table 1

**FUNDS TO BE RETURNED BY THE IMPLEMENTING AGENCIES TO THE
65th MEETING (US \$)**

| Agency | Funds from completed projects | Funds from closed projects | Funds from ongoing projects | Total funds to be returned to the 65 th meeting | Funds to be Transferred to UNIDO |
|--------------|-------------------------------|----------------------------|-----------------------------|--|----------------------------------|
| UNDP | 70,195 | 0 | 183,500 | 253,695 | 183,500 |
| UNEP | 0 | 0 | 0 | 0 | 0 |
| UNIDO | 18,808 | 0 | 0 | 18,808 | 0 |
| World Bank | 433,019 | 0 | 0 | 433,019 | 0 |
| TOTAL | 522,022 | 0 | 183,500 | 705,522 | 183,500 |

3. Table 1 shows that UNDP will return to the Fund US \$253,695 in project costs including the balance return of the project in Peru (PER/PHA/55/INV/41) in accordance with decision 64/2(h), and that US \$70,195, US \$18,808 and US \$433,019 will be returned from completed projects by UNDP, UNIDO and the World Bank, respectively. The World Bank's balance to be returned for the project in the Philippines (PHI/SEV/60/INS/89) in accordance with decision 64/25 is pending upon confirmation of the project closure from the Bank's team in the Philippines.

Projects with balances by year of completion

4. Table 2 presents the number of projects with balances that are still being held by the IAs and the years in which those projects were completed. It shows that for projects transferred and completed up to and including 30 September 2010 (at least over the last 12 months), IAs had balances that totalled US \$3,395,681 excluding support costs.

Table 2

BALANCES HELD BY YEAR OF PROJECT COMPLETION

| Year completed | Number of projects and amount of balances reported by agency | | | | | | | | | |
|--|--|------------------|-----------|----------------|-----------|----------------|------------|----------------|-----------|------------------|
| | UNDP | | UNEP | | UNIDO | | World Bank | | TOTAL | |
| | Number | (US \$) | Number | (US \$) | Number | (US \$) | Number | (US \$) | Number | (US \$) |
| 2003 | | | | | | | 1 | 430,464 | 1 | 430,464 |
| 2004 | | | | | | | 1 | 225,857 | 1 | 225,857 |
| 2005 | | | 3 | 32,712 | | | | | 3 | 32,712 |
| 2006 | 5 | 57,672 | 2 | 72,022 | 1 | 2,167 | | | 8 | 131,861 |
| 2007 | 9 | 74,594 | 1 | 8,000 | 3 | 133,464 | | | 13 | 216,058 |
| 2008 | 6 | 124,778 | 5 | 139,849 | 5 | 308,868 | | | 16 | 573,495 |
| 1 January 2009 to 30 September 2009 | 2 | 448 | 2 | 25,091 | 4 | 81,573 | | | 8 | 107,112 |
| Projects completed over 2 years ago | 22 | 257,492 | 13 | 277,674 | 13 | 526,072 | 2 | 656,321 | 50 | 1,717,559 |
| 1 October 2009 to 30 September 2010 | 19 | 960,370 | 8 | 115,914 | 16 | 331,937 | 1 | 215,000 | 44 | 1,623,221 |
| Transfer Project | | | | | | | 1 | 54,901 | 1 | 54,901 |
| TOTAL | 41 | 1,217,862 | 21 | 393,588 | 29 | 858,009 | 4 | 926,222 | 95 | 3,395,681 |

5. At its 47th meeting, the Executive Committee requested the Fund Secretariat to continue to monitor multi-year annual tranches in the context of documents on implementation delays and project balances (decision 47/50(b)(ii)).

6. UNDP holds the highest level of balances amounting to US \$1,217,862, of which US \$409,253 is attributable to 17 multi-year agreement (MYA). It also has the highest number of projects with balances (41) followed by UNIDO (29), UNEP (21) and the World Bank (4). The World Bank reported a balance of US \$926,222 of which US \$871,321 is attributable to MYA annual tranches. UNEP holds a total balance of US \$393,588 of which US \$9,785 is attributable to MYAs. UNIDO holds a total balance of US \$858,009 of which US \$690,938 is attributable to the MYA.

7. All agencies have projects that were completed over two years ago and have remaining balances. UNDP has the largest number of projects (22), completed over two years ago, which has decreased from 30 to 22 projects. UNDP is returning to the 65th meeting balances from 17 completed and one transferred project from 2008 to 2010. The level of balances on UNDP's projects over two years old has decreased from US \$333,721 to US \$257,492.

8. To the query from the Secretariat on why remaining balances on projects completed over two years ago are not returned to the Fund, UNDP maintained the explanation provided in the past meetings that balances over 2 years are considered still obligated because the financial procedures of UNDP require that a final budget revision is issued to mark the formal closure of a project between UNDP and the executing agency and to close the project in UNDP's systems. Until this step is taken the executing agency is considered to have access to these funds and therefore these are considered obligated.

9. The number of projects for UNEP completed over two years ago shows an increase from 12 to 13. UNEP's balance on completed projects has slightly increased from US \$268,110 reported to the 64th meeting to US \$277,674. Of the US \$277,674 balance against projects completed 2 years ago, US \$128,940 relates to four institutional strengthening (IS) projects.

10. The balance held by UNIDO for projects completed over two years ago shows a slight decrease since the 64th meeting of the Executive Committee from US \$546,436 to US \$526,072, and the number of projects remained the same at 13 projects. UNIDO maintained that balances over two years old cannot be returned in order to avoid risk of over-expenditures in any project account concerned until the financial completion of the projects.

11. The World Bank's overall number of projects with balances completed over two years ago remains unchanged at two projects, and the level of balances at US \$656,321 amounting to 38 per cent of the funds are the same as reported at the 64th meeting.

Obligated and un-obligated balances

12. The level of balances classified as obligated and un-obligated for the four IAs is as follows:

Table 3

OBLIGATED AND UN-OBLIGATED BALANCES BY AGENCY FOR PROJECTS WITH BALANCES (US \$)

| Agency | Obligated balances | Un-obligated balances | Total |
|---------------|---------------------------|------------------------------|------------------|
| UNDP | 1,217,862 | 0 | 1,217,862 |
| UNEP | 393,588 | 0 | 393,588 |
| UNIDO | 630,654 | 227,355 | 858,009 |
| World Bank | 926,222 | 0 | 926,222 |
| TOTAL | 3,168,326 | 227,355 | 3,395,681 |

13. Out of the total of US \$3,395,681 from completed projects, US \$3,168,326 is obligated (which means that these funds are expected to be spent) and US \$227,355 has not been obligated. IAs are required to report reasons why any un-obligated balances could not be returned within the required time-frame, and to indicate when they will be returned as per decision 31/2(a)(ii).

14. UNDP has no un-obligated balances, and reported a decrease in obligated balances on completed projects. Its overall number of projects with balances shows a decrease from 52 projects reported to the 64th meeting to 41 reported to the 65th meeting. The overall level of obligated balances has decreased from US \$1,250,496 to US \$1,217,862. UNDP's obligated balance of US \$1,217,862 includes US \$409,253 for 17 MYAs.

15. UNEP has 21 completed projects, and holds US \$393,588 in obligated amounts and has no un-obligated balances. The overall number of projects with balances has increased from 18 projects reported to the 64th meeting to 21 reported to the 65th meeting. The overall level of balances increased from US \$387,486 to US \$393,588.

16. UNIDO has 29 completed projects, and holds US \$630,654 in obligated and US \$227,355 in un-obligated balances. The overall number of projects with balances has decreased from 32 projects reported to the 64th meeting to 29 reported to the 65th meeting, and the overall level of balances has decreased from US \$1.01 million to US \$858,009. Out of the 29 projects, 17 are MYAs with US \$501,689 in obligated and US \$189,249 in un-obligated balances. UNIDO remains the only agency with un-obligated balances. The Secretariat will continue to follow-up on decision 64/2(i), whereby UNIDO's committed to returning unobligated balances from individual completed projects in full by the 66th meeting of the Executive Committee.

17. The World Bank has no un-obligated balances, and holds US \$926,222 in obligated balances for three completed projects and one (PHI/SEV/60/INS/89) to be transferred to UNEP once the project accounts are closed. All completed projects are for MYAs. The World Bank explained that these obligated balances are attributed to the annual programmes of MYAs for the Philippines (PHI/PHA/38/INV/69, PHI/PHA/41/INV/71) and China (CPR/PAG/58/INV/488).

Agency support costs

18. At its 35th meeting, the Executive Committee requested IAs to return all agency support costs associated with remaining funds from completed projects. If expenditures had been incurred from these costs, the IAs should provide an explanation and return the balance of funds (decision 35/13(k)). Table 4 indicates that a total of US \$76,196 in agency support costs will be returned at the 65th meeting. This amount includes the World Bank's return of US \$55,659, UNDP's of US \$5,358 and UNIDO of US \$1,416 from completed projects, and US \$13,763 from the ongoing project in Peru (PER/PHA/55/INV/41) by UNDP.

Table 4

ADMINISTRATIVE SUPPORT COSTS TO BE RETURNED TO THE 65th MEETING (US \$)

| Agency | Funds from completed projects | Funds from closed projects | Funds from ongoing projects | Total funds to be returned to the 65 th meeting | To be Transferred to UNIDO |
|--------------|-------------------------------|----------------------------|-----------------------------|--|----------------------------|
| UNDP | 5,358 | 0 | 13,763 | 19,121 | 13,763 |
| UNEP | 0 | 0 | 0 | 0 | 0 |
| UNIDO | 1,416 | 0 | 0 | 1,416 | 0 |
| World Bank | 55,659 | 0 | 0 | 55,659 | 0 |
| TOTAL | 62,433 | 0 | 13,763 | 76,196 | 13,763 |

Funds being returned to the 65th meeting from bilateral agencies

19. By decision 56/2 bilateral agencies were requested to report to the Executive Committee on their proposed disposition of balances from completed projects. Table 5 provides the feedback received on returns of balances from projects completed by the Government of Germany and Italy. It indicates that a total US \$8,991 comprising project costs and agency support costs will be returned to the 65th meeting.

Table 5

FUNDS TO BE RETURNED BY BILATERAL AGENCIES TO THE 65th MEETING (US \$)

| Returns against approved projects | | | |
|--|--------------|-------|-------|
| | Project cost | PSC | Total |
| Italy | 7,957 | 1,034 | 8,991 |

20. The Government of Italy has advised the Secretariat of its intent to return US \$8,991 at the 65th meeting for one completed projects in Serbia (YUG/SOL/56/INV/33) as shown in Annex II.

Bilateral projects with balances by year of completion

21. Table 6 presents the balances that are being held by bilateral agencies and the years in which those projects were completed. It shows that for projects completed up to and including 30 September 2010 (at least over the last 12 months) bilateral agencies had balances totalling US \$226,390 including support costs. France and Japan hold the balances for project completed over two years ago.

Table 6

BALANCES HELD BY BILATATERAL AGENCIES

| Year Completed | France (US \$) | Japan (US \$) | Germany (US \$) | Total (US \$) |
|--|---------------------------|--------------------------|----------------------------|--------------------------|
| 2008 | 78,440 | 60,900 | 0 | 139,340 |
| 1 January 2009 to 30 September 2009 | 0 | 0 | 0 | 0 |
| Projects completed over 2 years ago | 78,440 | 60,900 | 0 | 139,340 |
| 1 October 2009 to 30 September 2010 | 23,642 | 9,441 | 7,474 | 40,557 |
| Net Total | 102,082 | 70,341 | 7,474 | 179,897 |
| Project Support Cost | 12,481 | 33,040 | 972 | 46,493 |
| Total | 114,563 | 103,381 | 8,446 | 226,390 |

22. The Governments of France, Japan and Germany advised the Secretariat that they will not return the balances on completed projects to the 65th meeting. The Government of Japan informed the Secretariat that it would be using the unspent balance for other projects while the Government of

Germany will use the US \$8,446 for the HCFC phase-out management plan (HPMP) project in Zimbabwe to be considered at the present meeting.

SECRETARIAT'S COMMENTS AND RECOMMENDATIONS

COMMENTS

23. Annex I presents additional information on obligated and un-obligated balances by agency. Of the US \$3.4 million in projects with balances in this report, about US \$2 million is for MYAs. UNDP, is the agency with the largest amount of balances, US \$1,217,862 of which is obligated, followed by the World Bank with US \$926,222, UNIDO with US \$858,009 and UNEP with US \$393,588. The balance on projects completed over 2 years ago withheld by Agencies amounts to US \$1,717,559. All the agencies have cleared their un-obligated balances except UNIDO, which agreed to return unobligated balances from individual completed projects in full by the 66th meeting of the Executive Committee. Three bilateral agencies have balances including support cost on completed projects: France with US \$114,563, Japan with US \$103,381 and Germany with US \$8,446.

24. The status of contributions and disbursements as of 10 October 2011 indicates that the Treasurer has an available balance amounting to US \$32,243,853 in cash and promissory notes. The total net balance returned by IAs, including agency support costs, is US \$584,455. The total level of resources available for approvals by the Executive Committee at its 65th meeting, after taking into account the Fund's balance as contained in document UNEP/OzL.Pro/ExCom/65/3 and the total amount returned by IAs, is US \$32,828,308.

25. Considering the total level of funding for projects and activities being considered by the Executive Committee as at 30 September 2011 amounting to US \$53.94 million including support cost there are insufficient resources available for approvals at the 65th meeting.

RECOMMENDATIONS

26. The Executive Committee may wish:

- (a) To note the report on balances and availability of resources contained in document UNEP/OzL.Pro/ExCom/65/4;
- (b) To note that the net level of funds being returned by the implementing agencies to the 65th meeting was US \$705,522 against projects, which included the return of US \$253,695 from UNDP, US \$18,808 from UNIDO and US \$433,019 from the World Bank;
- (c) To note that the net level of support costs being returned by the implementing agencies to the 65th meeting was US \$76,196 against projects, which included the return of US \$19,121 from UNDP, US \$1,416 from UNIDO and US \$55,659 from the World Bank;
- (d) To note that implementing agencies had balances totalling US \$1,717,559 excluding support costs, from projects completed over two years previously, which included US \$257,492 for UNDP, US \$277,674 for UNEP, US \$526,072 for UNIDO, and US \$656,321 for the World Bank;
- (e) To note that bilateral agencies had balances totalling US \$139,340 excluding support costs attributed to France and Japan from projects completed over two years;

- (f) To note the net level of funds and support costs being returned by the Government of Italy to the 65th meeting was US \$8,991;
- (g) To note the return of the remaining balance of US \$183,500 in project cost, plus US \$13,763 in support cost due to the transfer of the terminal phase-out management plan in Peru (PER/PHA/55/INV/41) from UNDP to UNIDO, in accordance with decision 64/2(h);
- (h) To note the delay in the transfer of the remaining balance of US \$54,901 in project cost for phase VII of the institutional strengthening project for the Philippines (PHI/SEV/60/INS/89) from the World Bank to UNEP, in accordance with decision 64/25; and
- (i) To reiterate decision 31/2 (a)(i) and (ii) and request UNIDO to update the Committee at the 66th meeting on the date at which the remaining un-obligated balances on completed projects would be returned in full.

Annex I

OBLIGATED AND UN-OBLIGATED BALANCES HELD BY IMPLEMENTING AGENCY

UNDP

| Code | Project Title | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2010 (US\$) | Funds Disbursed as of 65 th Meeting (US\$) | Obligated Balance as of 65 th Meeting (US\$) | Unobligated Balance as of 65 th Meeting (US\$) | Why any unobligated balances could not be returned? | When they could be returned? |
|--------------------|--|--------|-------------------------|---|---|---|---|---|------------------------------|
| ARG/FOA/38/INV/132 | Terminal umbrella project for phaseout of the use of CFC-11 in the manufacture of polyurethane foam | COM | Dec-07 | 1,324,843 | 1,307,223 | 17,620 | | | |
| ARG/FUM/48/INV/149 | Methyl bromide phase-out in tobacco and non-protected vegetable seedbeds (sixth tranche) | COM | Jun-09 | 467,000 | 466,924 | 76 | | | |
| BAH/PHA/50/INV/16 | Terminal phase-out management plan (first tranche) | COM | Dec-08 | 272,500 | 272,322 | 178 | | | |
| BZE/PHA/57/INV/23 | Terminal phase-out management plan for the phase-out of ODS in the refrigeration and air-conditioning sector (second tranche) | COM | Aug-10 | 72,000 | 71,487 | 513 | | | |
| CHI/FUM/32/INV/143 | Demonstration and phase-out project for methyl bromide soil fumigation for fruit tree production and replant | COM | Dec-07 | 805,000 | 801,747 | 3,253 | | | |
| CHI/REF/42/PRP/155 | Project preparation for the formulation of commercial refrigeration project | COM | Dec-06 | 32,000 | 31,671 | 329 | | | |
| CHI/SEV/51/INS/163 | Extension of institutional strengthening (phase VII) | COM | Jul-09 | 186,550 | 186,178 | 372 | | | |
| CHI/SOL/41/TAS/154 | Technical assistance to phase-out ozone depleting solvents | COM | Dec-09 | 245,690 | 230,402 | 15,288 | | | |
| COS/SEV/53/INS/38 | Extension of institutional strengthening project (phase VII) | COM | Sep-10 | 140,513 | 127,917 | 12,596 | | | |
| DJI/PHA/53/INV/13 | Terminal phase-out management plan for CFCs (first tranche) | COM | Dec-09 | 147,000 | 144,849 | 2,151 | | | |
| DRC/FOA/41/INV/19 | Terminal umbrella project for the foam sector | COM | Dec-07 | 640,820 | 618,341 | 22,479 | | | |
| DRC/PHA/49/INV/24 | National CFC phase-out plan (first tranche) | COM | Dec-09 | 193,750 | 193,643 | 108 | | | |
| DRC/PHA/57/INV/30 | National CFC phase-out plan (second tranche) | COM | Jul-10 | 71,875 | 33,368 | 38,507 | | | |
| EGY/FOA/57/PRP/99 | Preparation for validation of low-cost hydrocarbon in foams | COM | Dec-09 | 30,000 | 22,792 | 7,208 | | | |
| GAB/PHA/57/INV/25 | Terminal phase-out management plan (second tranche) | COM | Dec-09 | 40,000 | 33,283 | 6,717 | | | |
| GEO/PHA/53/INV/25 | Terminal phase-out management plan (second tranche) | COM | Dec-09 | 108,820 | 108,738 | 82 | | | |
| GRN/PHA/55/INV/14 | Terminal phase-out management plan (second tranche) | COM | Dec-09 | 50,000 | 49,337 | 663 | | | |
| HAI/REF/39/TAS/06 | Implementation of the refrigerant management plan: monitoring the activities in the RMP | COM | Aug-10 | 29,400 | 27,180 | 2,220 | | | |
| IDS/ARS/44/INV/167 | National strategy for phasing out the use of CFC in the aerosol: conversion from CFC to hydrocarbon aerosol propellants at P.T Yulia | COM | Jun-06 | 224,000 | 181,887 | 42,113 | | | |
| IND/REF/47/INV/395 | National CFC consumption phase-out plan focusing on the refrigeration service sector: 2006 work programme | COM | Dec-09 | 1,332,377 | 1,096,056 | 236,321 | | | |
| IRA/PHA/42/INV/165 | National CFC phase-out plan: first tranche | COM | Dec-07 | 770,000 | 756,547 | 13,453 | | | |
| JAM/PHA/37/TAS/17 | Terminal phase-out management plan for CFCs: retrofitting/replacement programme | COM | Dec-07 | 140,000 | 132,337 | 7,663 | | | |
| JAM/SOL/42/TAS/20 | Technical assistance umbrella project to phase out ODS solvent use | COM | Dec-06 | 25,000 | 21,391 | 3,609 | | | |
| LEB/FUM/47/INV/61 | Sector phase-out of methyl bromide in vegetables, cut flowers, and tobacco production (fifth tranche) | COM | Dec-09 | 267,300 | 266,717 | 583 | | | |
| LIR/PHA/57/INV/16 | Terminal phase-out management plan for CFCs (second tranche) | COM | Dec-09 | 28,000 | 26,563 | 1,437 | | | |

| Code | Project Title | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2010 (US\$) | Funds Disbursed as of 65 th Meeting (US\$) | Obligated Balance as of 65 th Meeting (US\$) | Unobligated Balance as of 65 th Meeting (US\$) | Why any unobligated balances could not be returned? | When they could be returned ? |
|--------------------|--|--------|-------------------------|---|---|---|---|---|-------------------------------|
| MAU/REF/41/TAS/11 | Implementation of the RMP: centralized recovery and recycling of CFC-12 programme | COM | Dec-07 | 100,995 | 100,299 | 696 | | | |
| MEX/PHA/55/PRP/140 | Preparation of a HCFC phase-out management plan | COM | Nov-09 | 69,500 | 62,781 | 6,719 | | | |
| MLI/REF/45/TAS/16 | Implementation of the RMP update: supplementary training and spares to the national programme for recovery and recycling of refrigerants | COM | Dec-07 | 50,000 | 48,610 | 1,390 | | | |
| MLW/FUM/43/INV/21 | Phase-out of all non-essential and non-QPS methyl bromide (fourth tranche) | COM | Dec-06 | 849,824 | 838,303 | 11,521 | | | |
| NIR/SEV/40/INS/107 | Extension of institutional strengthening project | COM | Dec-06 | 260,000 | 259,900 | 100 | | | |
| NIR/SEV/48/INS/114 | Extension of the institutional strengthening project (phase IV) | COM | Dec-07 | 260,000 | 259,805 | 195 | | | |
| PAR/SOL/45/TAS/14 | Technical assistance for the phase-out of ODSs in the solvent sector | COM | Dec-08 | 30,000 | 29,893 | 107 | | | |
| PER/REF/43/TAS/37 | Implementation of the RMP update: technical assistance for the refrigeration sector | COM | Dec-09 | 123,000 | 121,153 | 1,847 | | | |
| SRL/FUM/27/DEM/13 | Alternatives to methyl bromide for eradication of tea nematodes in Sri Lanka | COM | Oct-07 | 310,200 | 302,356 | 7,844 | | | |
| SYR/REF/38/INV/86 | Sector phase out plan for CFCs in the refrigeration manufacturing sector (except domestic refrigeration) | COM | Dec-09 | 1,665,188 | 1,052,966 | 612,222 | | | |
| TRI/PHA/49/INV/20 | Terminal phase-out management plan for CFCs (second tranche) | COM | Dec-08 | 240,000 | 194,685 | 45,315 | | | |
| TRI/SEV/44/INS/18 | Extension of institutional strengthening project (phase IV) | COM | May-08 | 60,000 | 59,552 | 448 | | | |
| URU/ARS/43/INV/42 | Phase-out of CFC consumption in the manufacture of aerosol metered dose inhalers (MDIs) | COM | Dec-09 | 427,023 | 413,063 | 13,960 | | | |
| URU/PHA/50/INV/46 | Terminal phase-out management plan for Annex A Group I Substances (first tranche) | COM | Dec-08 | 240,000 | 230,483 | 9,517 | | | |
| YEM/REF/37/TAS/15 | Implementation of the RMP: national recovery and recycling programme for refrigerants in the commercial and MAC sectors | COM | Dec-08 | 1,472,730 | 1,403,516 | 69,214 | | | |
| ZIM/SOL/50/TAS/35 | Technical assistance for the phase-out of ODS in the solvent/sterilant sector | COM | Dec-09 | 60,000 | 58,771 | 1,229 | | | |
| TOTAL | | | | | | 1,217,862 | | | |

UNEP

| Code | Project Title | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2010 (US\$) | Funds Disbursed as of 65 th Meeting (US\$) | Obligated Balance as of 65 th Meeting (US\$) | Unobligated Balance as of 65 th Meeting (US\$) | Why any unobligated balances could not be returned? | When they could be returned ? |
|-------------------|---|--------|-------------------------|---|---|---|---|---|-------------------------------|
| ALG/SEV/48/INS/64 | Extension of the institutional strengthening project (phase IV) | COM | Dec-08 | 257,400 | 181,050 | 76,350 | | | |
| BEN/SEV/49/INS/17 | Renewal of institutional strengthening project (phase V) | COM | Dec-08 | 60,000 | 32,501 | 27,499 | | | |
| GAB/PHA/52/TAS/20 | Terminal phase-out management plan (first tranche) | COM | Jul-10 | 65,000 | 62,507 | 2,493 | | | |
| HAI/HAL/50/TAS/10 | Sectoral phase-out programme: Establishing a halon management plan | COM | Nov-08 | 25,000 | 16,000 | 9,000 | | | |
| KAM/PHA/55/PRP/18 | Preparation of a HCFC phase-out management plan | COM | Jul-10 | 125,000 | 104,958 | 20,042 | | | |
| MDV/REF/38/TRA/08 | Implementation of the RMP: training of customs officials and the Ozone Unit staff | COM | Nov-05 | 35,000 | 28,612 | 6,388 | | | |
| MYA/REF/29/PRP/03 | Preparation of a refrigerant management plan | COM | Apr-05 | 30,000 | 7,556 | 22,444 | | | |
| MYA/SEV/29/INS/02 | Establishment of the national Ozone Cell for implementing the phase out of ODSs under the Montreal Protocol | COM | Dec-09 | 76,000 | 53,500 | 22,500 | | | |
| NIC/SEV/49/INS/20 | Renewal of institutional strengthening project (phase IV) | COM | Sep-09 | 60,000 | 48,013 | 11,987 | | | |
| PER/REF/43/TAS/35 | Implementation of the RMP update: refrigeration technicians certification and licensing system | COM | Dec-09 | 86,000 | 76,972 | 9,028 | | | |
| PER/REF/43/TAS/36 | Implementation of the RMP update: technical assistance for prevention of illegal CFC trade | COM | Jun-10 | 26,000 | 23,247 | 2,753 | | | |
| RWA/REF/41/TAS/09 | Implementation of the RMP: monitoring the activities in the RMP | COM | Dec-08 | 20,000 | 0 | 10,000 | | | |
| RWA/REF/41/TRA/06 | Implementation of the RMP: train the trainer programme for refrigeration service technicians | COM | Dec-06 | 50,000 | 17,246 | 32,754 | | | |
| RWA/REF/41/TRA/07 | Implementation of the RMP: training programme for customs officers | COM | Dec-06 | 50,000 | 10,732 | 39,268 | | | |
| STP/REF/44/TRA/08 | Implementation of the RMP: training programme for refrigeration service technicians | COM | Dec-08 | 55,000 | 26,466 | 17,000 | | | |
| SYR/REF/29/TRA/49 | Refrigerant management plan: Training for trainers and refrigeration technician on good service practices | COM | Dec-09 | 201,300 | 149,494 | 51,806 | | | |
| TOG/PHA/54/TAS/15 | Terminal phase-out management plan for CFCs (first tranche) | COM | Jul-10 | 89,000 | 87,708 | 1,292 | | | |
| URT/PHA/54/TAS/22 | Terminal phase-out management plan (first tranche) | COM | Mar-10 | 131,000 | 125,000 | 6,000 | | | |
| VEN/SEV/34/TAS/90 | Review of ODS license system and Decree 3220 related to control of these substances | COM | Dec-05 | 80,000 | 35,500 | 3,881 | | | |
| ZAM/PHA/50/PRP/17 | Project preparation for a terminal phase-out management plan | COM | Dec-07 | 30,000 | 22,000 | 8,000 | | | |
| ZAM/SEV/44/INS/15 | Extension of institutional strengthening project (phase III) | COM | Mar-09 | 65,520 | 52,416 | 13,104 | | | |
| TOTAL | | | | | | 393,588 | | | |

UNIDO

| Code | Project Title | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2010 (US\$) | Funds Disbursed as of 65 th Meeting (US\$) | Obligated Balance as of 65 th Meeting (US\$) | Unobligated Balance as of 65 th Meeting (US\$) | Why any unobligated balances could not be returned? | When they could be returned?* |
|--------------------|--|--------|-------------------------|---|---|---|---|---|-------------------------------|
| ALG/PHA/53/INV/67 | National phase-out plan (first tranche) | COM | Apr-10 | 723,500 | 707,938 | 11,598 | 3,964 | MY | n/a |
| ARG/PHA/42/INV/138 | National CFC phase-out plan (first tranche) | COM | Dec-08 | 2,740,000 | 2,724,220 | 15,779 | 1 | MY | n/a |
| ARG/REF/57/PRP/161 | Preparation for HCFC phase-out investment activities (air-conditioning manufacturing sector) | COM | Jul-10 | 80,000 | 77,146 | 2,854 | 0 | n/a | n/a |
| BEN/PHA/55/INV/19 | Terminal phase-out management plan (first tranche) | COM | Dec-09 | 106,000 | 80,648 | 25,344 | 8 | MY | n/a |
| CMR/PHA/50/INV/26 | Terminal CFC/TCA phase-out management plan (first tranche) | COM | Dec-08 | 600,000 | 596,119 | 3,395 | 486 | MY | n/a |
| CPR/PRO/47/INV/436 | Sector plan for methyl bromide production sector (first tranche) | COM | Dec-08 | 3,000,000 | 2,718,327 | 263,715 | 17,958 | MY | n/a |
| CRO/PHA/49/TAS/29 | Terminal CFC phase-out management plan (4th tranche) | COM | Dec-08 | 20,200 | 18,100 | 2,100 | 0 | MY | n/a |
| CUB/FUM/44/INV/29 | Total phase-out of methyl bromide in soil, substrate, storage and structure fumigation | COM | Dec-09 | 537,763 | 510,423 | 14,195 | 13,145 | 4 | 68 |
| IRA/SOL/50/INV/180 | Terminal solvent sector umbrella project | COM | Dec-09 | 856,478 | 838,521 | 17,814 | 143 | 4 | 68 |
| KEN/FUM/53/INV/43 | Technology transfer leading to methyl bromide phase-out in soil fumigation | COM | Dec-09 | 510,659 | 501,181 | 8,175 | 1,303 | MY | n/a |
| KYR/HAL/48/TAS/12 | Halon phase-out programme | COM | Dec-08 | 50,000 | 44,566 | 3,392 | 2,042 | 4 | 66 |
| LIB/SEV/32/INS/04 | Creation of the National Ozone Unit | COM | Jun-09 | 157,000 | 108,957 | 48,040 | 3 | 3 | 67 |
| MEX/PAG/52/INV/133 | Umbrella project for terminal phase-out of CTC | COM | Dec-09 | 1,518,094 | 1,503,382 | 5,855 | 8,857 | 3 | 68 |
| MEX/PRO/47/INV/128 | Sector plan for phasing out CFC -11 and CFC -12 production sector: fourth tranche | COM | Dec-09 | 11,850,000 | 11,835,622 | 14,378 | 0 | MY | n/a |
| MEX/SEV/52/INS/132 | Extension of institutional strengthening project (phase VIII) | COM | Jun-09 | 247,000 | 241,294 | 4,733 | 973 | 4 | 67 |
| MOG/PHA/53/INV/03 | Terminal phase-out plan for CFCs (first tranche) | COM | Dec-09 | 175,000 | 168,644 | 1,794 | 4,562 | MY | n/a |
| MOG/PHA/55/PRP/05 | Preparation of a HCFC phase-out management plan | COM | Dec-09 | 85,000 | 71,233 | 9,486 | 4,281 | 4 | 68 |
| NIR/PHA/49/INV/115 | Terminal ODS phase-out umbrella project in the solvent sector (3rd tranche) | COM | Dec-07 | 425,000 | 422,043 | 2,957 | 0 | MY | n/a |
| OMA/PHA/52/INV/15 | Terminal phase-out management plan (first tranche) | COM | Jul-09 | 305,800 | 292,894 | 10,744 | 2,162 | MY | n/a |
| PAK/PHA/44/INV/62 | Sector phase-out plan of CTC (second tranche) | COM | Jul-07 | 1,300,000 | 1,295,694 | 2,530 | 1,776 | MY | n/a |
| PAK/PHA/54/INV/67 | Sector phase-out plan of CTC (third tranche) | COM | Dec-09 | 245,665 | 244,753 | 912 | 0 | MY | n/a |
| QAT/SEV/49/INS/08 | Renewal of institutional strengthening project (phase II, second year funding) | COM | Jun-09 | 44,500 | 29,581 | 13,275 | 1,644 | 3 | 67 |
| ROM/PRO/47/INV/32 | Sector plan for production sector | COM | Dec-06 | 3,200,000 | 3,197,833 | 2,167 | 0 | MY | n/a |
| ROM/PRO/50/INV/35 | Sector plan for production sector (second tranche) | COM | Jun-07 | 900,000 | 773,800 | 85,177 | 41,023 | MY | n/a |
| SYR/SEV/45/INS/91 | Extension of institutional strengthening: phase III | COM | Dec-09 | 203,823 | 195,268 | 8,326 | 229 | 3 | 68 |

| Code | Project Title | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2010 (US\$) | Funds Disbursed as of 65 th Meeting (US\$) | Obligated Balance as of 65 th Meeting (US\$) | Unobligated Balance as of 65 th Meeting (US\$) | Why any unobligated balances could not be returned? | When they could be returned?* |
|-------------------|---|--------|-------------------------|---|---|---|---|---|-------------------------------|
| TKM/FUM/53/PRP/02 | Preparation of a project for the elimination of methyl bromide in the post harvest sector | COM | Dec-09 | 20,000 | 19,391 | 608 | 1 | 3 | 68 |
| TUR/FUM/47/INV/88 | Phase-out of methyl bromide in protected tomato, cucumber and carnation crops (third and fourth tranches) | COM | Nov-09 | 1,408,844 | 1,315,635 | 17,106 | 76,103 | MY | n/a |
| YUG/PHA/43/TAS/23 | National CFC phase-out plan (first tranche) | COM | Dec-09 | 474,700 | 400,979 | 33,818 | 39,903 | MY | n/a |
| YUG/SEV/44/INS/25 | Extension of institutional strengthening project (phase II) | COM | Dec-09 | 131,300 | 124,125 | 387 | 6,788 | 3 | 68 |
| Total | | | | | | 630,654 | 227,355 | MY | n/a |

2: Financial completion initiated; 3: Financial completion being initiated; 4: Financial completion to be initiated after settlement of all pending issues

WORLD BANK

| Code | Project Title | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2010 (US\$) | Funds Disbursed as of 65 th Meeting (US\$) | Obligated Balance as of 65 th Meeting (US\$) | Unobligated Balance as of 65 th Meeting (US\$) | Why any unobligated balances could not be returned/dispursed? | When they could be returned/dispursed? |
|--------------------|---|--------|-------------------------|---|---|---|---|---|--|
| CPR/PAG/58/INV/488 | Sector plan for halon phase-out in China: 2009 annual programme | COM | Jul-10 | 1,500,000 | 1,285,000 | 215,000 | | MY | |
| PHI/PHA/38/INV/69 | National CFC phase out plan: manufacturing sector (first tranche) | COM | Dec-03 | 2,858,473 | 2,428,009 | 430,464 | | MY | |
| PHI/PHA/41/INV/71 | National CFC phase-out plan (manufacturing sector): 2004 annual programme | COM | Dec-04 | 1,877,418 | 1,651,561 | 225,857 | | MY | |
| PHI/SEV/60/INS/89 | Extension of institutional strengthening project (phase VII) | TRF | | 158,491 | 103,590 | 54,901 | | | |
| Total | | | | | | 926,222 | | | |

FRANCE

| Code | Project Title | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2010 (US\$) | Funds Disbursed as of 65 th Meeting (US\$) | Balance as of 65 th Meeting (US\$) | Unobligated Balance as of 65 th Meeting (US\$) | Why any unobligated balances could not be returned/disbursed? | When they could be returned/disbursed? |
|-------------------|--|--------|-------------------------|---|---|---|---|---|--|
| ETH/PHA/51/PRP/17 | Project preparation for a terminal phase-out management plan in the servicing sector | COM | Jul-10 | 30,000 | 17,600 | 12,400 | | | |
| LAO/REF/34/TRA/04 | Implementation of the RMP: customs training programme | COM | Jun-10 | 81,542 | 70,300 | 11,242 | | | |
| MOR/FUM/29/INV/37 | Phase-out of methyl bromide use in the cut flower and banana production | COM | Jul-08 | 1,006,652 | 928,212 | 78,440 | | | |
| Total | | | | | | 102,082 | | | |

JAPAN

| Code | Project Title | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2010 (US\$) | Funds Disbursed as of 64 th Meeting (US\$) | Balance as of 65 th Meeting (US\$) | Unobligated Balance as of 65 th Meeting (US\$) | Why any unobligated balances could not be returned/disbursed? | When they could be returned/disbursed? |
|--------------------|---|--------|-------------------------|---|---|---|---|---|--|
| NIR/SEV/38/TAS/104 | Assistance for a national information, education and communication campaign for compliance with the Montreal Protocol | COM | Oct-08 | 68,000 | 7,100 | 60,900 | | | |
| SRL/PHA/43/TAS/27 | National compliance action plan: MAC recovery/recycling and retrofit | COM | Sep-10 | 123,000 | 113,559 | 9,441 | | | |
| Total | | | | | | 70,341 | | | |

GERMANY

| Code | Project Title | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2010 (US\$) | Funds Disbursed as of 64th Meeting (US\$) | Balance as of 65th Meeting (US\$) | Unobligated Balance as of 65th Meeting (US\$) | Why any unobligated balances could not be returned/disbursed? | When they could be returned/disbursed? |
|-------------------|---|---------------|--------------------------------|--|---|---|---|--|---|
| ZAM/REF/42/TAS/13 | Refrigerant management plan update: refrigeration and air conditioning sector | COM | Oct-09 | 136,000 | 128,526 | 7,474 | | | |
| Total | | | | 136,000 | 128,526 | 7,474 | | | |

Annex II

DETAILS ON PROJECTS WITH RETURNED BALANCES FROM BILATERAL AGENCIES

ITALY

| Code | Project Title | Project costs returned (US\$) | Associated support costs (US\$) | Total |
|-------------------|--------------------------------|--------------------------------------|--|--------------|
| YUG/SOL/56/INV/33 | Terminal CTC phase-out project | 7,957 | 1,034 | 8,991 |
| Total | | 7,951 | 1,034 | 8,991 |